



## DoD DIRECTIVE 5111.07

### ASSISTANT SECRETARY OF DEFENSE FOR INTERNATIONAL SECURITY AFFAIRS

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<b>Originating Component:</b>	Office of the Performance Improvement Officer and Director of Administration and Management
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<b>Cancels:</b>	Deputy Secretary of Defense Memorandum, "Reorganization of the Office of the Under Secretary of Defense for Policy," January 9, 2015
<b>Approved by:</b>	Amanda Dory, Acting Under Secretary of Defense for Policy

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**Purpose:** This issuance assigns the responsibilities and functions, relationships, and authorities of the Assistant Secretary of Defense for International Security Affairs (ASD(ISA)), under the authority, direction, and control of the Under Secretary of Defense for Policy (USD(P)), pursuant to the authority vested in the Secretary of Defense by Sections 113 and 138 of Title 10, United States Code and the authorities in DoD Directive (DoDD) 5111.01 and the December 7, 2021 Deputy Secretary of Defense Memorandum.

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## **SECTION 1: GENERAL ISSUANCE INFORMATION**

### **APPLICABILITY.**

This issuance applies to OSD, the Military Departments, the Office of the Chairman of the Joint Chiefs of Staff and the Joint Staff, the Combatant Commands, the Office of Inspector General of the Department of Defense, the Defense Agencies, the DoD Field Activities, and all other organizational entities within the DoD (referred to collectively in this issuance as the “DoD Components”).

## SECTION 2: RESPONSIBILITIES AND FUNCTIONS

The ASD(ISA), under the authority, direction, and control of the USD(P), is the principal civilian advisor to the Secretary of Defense, Deputy Secretary of Defense, and the USD(P) on all matters relating to international security strategy and policy issues related to nations and international organizations in Europe, including the North Atlantic Treaty Organization (NATO) and the European Union; Russia, Ukraine, and Eurasia; the Middle East; and Africa. Section 5 identifies the regions and countries of focus for the ASD(ISA). In fulfillment of the National Defense Strategy, the ASD(ISA):

a. Conducts and manages day-to-day, multilateral, regional, and bilateral defense relations in assigned areas of responsibility.

b. Develops, country-specific and regional security and defense strategy and policy, advises senior DoD leaders, issues guidance to translate and incorporate national and DoD policies into these strategies and policies, and oversees their implementation in coordination with the appropriate DoD officials.

c. For assigned areas of responsibility, develops, coordinates, and oversees the implementation of:

(1) Defense strategy and policy and management of defense and military relations.

(2) Policy, plans, and activities, and use of DoD resources engaged in:

(a) Encouraging the development of military capabilities.

(b) Addressing strategic competition below the level of armed conflict.

(c) Encouraging constitutional democracy, respect for human rights, and civilian control of the military.

(d) Institutionalizing an appropriate role for the military in a constitutional democracy.

(e) Encouraging the development of standards of military professionalism that promote respect for elected civilian authorities and human rights.

(3) DoD regional and country-specific policy and recommendations concerning security cooperation (SC) programs and organizations, including foreign military sales, security assistance, international military education and training, and other missions pertaining to SC, in coordination with the Assistant Secretary of Defense for Strategy, Plans, and Capabilities, who has policy oversight for individual SC programs.

d. Represents the Secretary of Defense and the USD(P) in interagency policy deliberations and international negotiations relating to assigned areas of responsibility. In coordination with the General Counsel of the Department of Defense, oversees the development, review,

negotiation, and conclusion of international agreements and arrangements assigned in areas of responsibility.

e. Develops, coordinates, and oversees the implementation of defense policy related to NATO; the Euro-Atlantic Partnership Council; the Partnership for Peace; and other institutions with a security dimension, including the European Union, the Organization for Security and Co-operation in Europe, the Gulf Cooperation Council, the African Union, and African regional economic communities.

(1) On behalf of the USD(P), provides policy guidance and oversight to the Secretary of Defense Representative in Europe, who concurrently serves as the Defense Advisor, U.S. Mission to NATO and Defense Policy Advisor, U.S. Mission to the European Union.

(2) In coordination with the Assistant Secretary of Defense for Space Policy, promotes coordination and cooperation on NATO nuclear policy and strategy with NATO allies and supports the NATO Nuclear Planning Group and its subordinate body, the High Level Group.

f. In coordination with the Assistant Secretary of Defense for Special Operations and Low-Intensity Conflict:

(1) Develops and oversees defense policy related to violent extremist organizations within the assigned areas of responsibility.

(2) Develops and oversees messaging guidance and oversees operations in the information environment related to the assigned areas of responsibility.

g. Addresses strategic competition with the People's Republic of China in the assigned areas of responsibility, in coordination with the Assistant Secretary of Defense for Indo-Pacific Affairs.

h. Participates in Planning, Programming, Budgeting, and Execution process activities that relate to assigned areas of responsibility.

i. When possible, uses existing systems, facilities, and services of the DoD and other Federal departments and agencies to avoid duplication and achieve maximum efficiency and economy.

j. Performs such other duties as the Secretary of Defense and the USD(P) may prescribe.

## **SECTION 3: RELATIONSHIPS**

### **3.1. ASD(ISA).**

In performing assigned functions and responsibilities, the ASD(ISA):

- a. Reports directly to the USD(P), who exercises authority, direction, and control.
- b. Coordinates and exchanges information with other OSD officials; the DoD Component heads; and Federal, State, or local officials having collateral or related responsibilities and functions.

### **3.2. PRINCIPAL STAFF ASSISTANTS (PSAS) AND DOD COMPONENT HEADS.**

The PSAs and DoD Component heads coordinate with the ASD(ISA) on matters under their purview related to the responsibilities, functions, and authorities assigned in this issuance.

## SECTION 4: AUTHORITIES

In accordance with DoD policies and issuances, the ASD(ISA) is delegated the authority to:

a. Approve DoD manuals and directive-type memorandums in areas of assigned responsibilities that implement policy already established by a DoDD, DoD instruction (DoDI), directive-type memorandum, or policy memorandum issued by the Secretary of Defense or Deputy Secretary of Defense.

(1) In these documents, assign responsibilities related to the authorities and responsibilities in this issuance to the PSAs and DoD Component heads. Assignment of responsibilities in these DoD issuances to Military Department officials must be made through the Secretaries of those Departments. DoD issuances assigning responsibilities to the Combatant Commands must be coordinated with the Chairman of the Joint Chiefs of Staff.

(2) Such documents must be fully coordinated in accordance with DoDI 5025.01.

(3) This authority may not be redelegated.

b. Communicate directly with the DoD Component heads as necessary, to carry out assigned responsibilities and functions, including transmitting requests for advice and assistance. Communications to Military Department officials must be transmitted through the Secretaries of the Military Departments or as otherwise provided in law or as directed by the Secretary of Defense in other DoD issuances. Communications to the Combatant Commanders must be in accordance with DoDD 5100.01.

c. Communicate with other government officials, members of the public, and representatives of foreign governments, as appropriate, in carrying out assigned responsibilities and functions. Communications with representatives and members of the Legislative Branch must be conducted through the Office of the Assistant Secretary of Defense for Legislative Affairs, except for communications with the Committee on Appropriations of the Senate and House of Representatives, which must be coordinated with the Office of the Under Secretary of Defense (Comptroller)/Chief Financial Officer, Department of Defense.

d. Communicate with agencies of the Executive Branch and Congress on special access programs. For all special access program matters, the ASD(ISA) must conduct communications with agencies of the Executive Branch and Congress pursuant to DoDD 5205.07.

e. Obtain reports and information, in accordance with DoDI 8910.01, as necessary, to carry out assigned responsibilities and functions.

f. Establish arrangements for DoD participation in non-DoD governmental programs for which the ASD(ISA) has been assigned primary DoD responsibility.

g. Negotiate and conclude international agreements in areas of assigned responsibility, when authorized by and in accordance with DoDI 5530.03. This authority is subject to coordination with the General Counsel of the Department of Defense.

## **SECTION 5: REGIONAL AND COUNTRY FOCUS OF THE ASD(ISA)**

The alignment of individual countries under the focus of the ASD(ISA) and the other Assistant Secretaries of Defense with regional responsibilities is subject to adjustment by the USD(P). Such adjustments will be accomplished by administrative changes pursuant to the November 30, 2006 Deputy Secretary of Defense Memorandum.

### **a. Europe.**

Includes Albania, Andorra, Austria, Belgium, Bosnia and Herzegovina, Bulgaria, Croatia, Cyprus, Czechia, Denmark (including Greenland and the Faroe Islands), Estonia, Finland, France, Germany, Greece, Hungary, Iceland, Ireland, Italy, Kosovo, Latvia, Liechtenstein, Lithuania, Luxembourg, Malta, Monaco, Montenegro, the Netherlands, North Macedonia, Norway, Poland, Portugal, Romania, San Marino, Serbia, Slovakia, Slovenia, Spain, Sweden, Switzerland, Turkey, the United Kingdom (including its overseas territories and crown dependencies), and Vatican City.

### **b. Russia, Ukraine, and Eurasia.**

Includes Armenia, Azerbaijan, Belarus, Georgia, Kazakhstan, Kyrgyzstan, Moldova, Russia, Tajikistan, Turkmenistan, Ukraine, and Uzbekistan.

### **c. Middle East.**

Includes Bahrain, Egypt, Iran, Iraq, Israel, Jordan, Kuwait, Lebanon, Oman, Palestinian territories, Qatar, Saudi Arabia, Syria, the United Arab Emirates, and Yemen.

### **d. Africa.**

Includes Algeria, Angola, Benin, Botswana, Burkina Faso, Burundi, Cabo Verde, Cameroon, the Central African Republic, Chad, Comoros, Côte d'Ivoire, the Democratic Republic of the Congo, Djibouti, Equatorial Guinea, Eritrea, Eswatini, Ethiopia, Gabon, Gambia, Ghana, Guinea, Guinea-Bissau, Kenya, Lesotho, Libya, Liberia, Madagascar, Malawi, Mali, Mauritania, Mauritius, Morocco, Mozambique, Namibia, Niger, Nigeria, Republic of the Congo, Rwanda, São Tomé and Príncipe, Senegal, Seychelles, Sierra Leone, Somalia, South Africa, South Sudan, Sudan, Tanzania, Togo, Tunisia, Uganda, Zambia, and Zimbabwe.



## GLOSSARY

<b>ACRONYM</b>	<b>MEANING</b>
ASD(ISA)	Assistant Secretary of Defense for International Security Affairs
DoDD	DoD directive
DoDI	DoD instruction
NATO	North Atlantic Treaty Organization
PSA	Principal Staff Assistant
SC	security cooperation
USD(P)	Under Secretary of Defense for Policy

## REFERENCES

- Deputy Secretary of Defense Memorandum, “Delegations of Authority,” November 30, 2006
- Deputy Secretary of Defense Memorandum, “Reorganization of the Office of the Under Secretary of Defense for Policy,” December 7, 2021
- DoD Directive 5100.01, “Functions of the Department of Defense and Its Major Components,” December 21, 2010, as amended
- DoD Directive 5111.01, “Under Secretary of Defense for Policy (USD(P)),” June 23, 2020
- DoD Directive 5205.07, “Special Access Program (SAP) Policy,” September 12, 2024
- DoD Directive 5230.11, “Disclosure of Classified Military Information to Foreign Governments and International Organizations,” November 7, 2023
- DoD Instruction 2040.02, “International Transfers of Technology, Articles, and Services,” March 27, 2014, as amended
- DoD Instruction 5025.01, “DoD Issuances Program,” August 1, 2016, as amended
- DoD Instruction 5530.03, “International Agreements,” December 4, 2019
- DoD Instruction 8910.01, “DoD Implementation of the Paperwork Reduction Act,” December 5, 2022
- National Defense Strategy, current edition
- United States Code, Title 10