

# Department of Defense INSTRUCTION

NUMBER 4715.02 August 28, 2009 Incorporating Change 2, August 31, 2018

USD(A&S)

SUBJECT: Regional Environmental Coordination

References: (a) DoD Instruction 4715.2, "DoD Regional Environmental Coordination," May 3, 1996 (hereby canceled)

- (b) DoD Directive 4715.1E, "Environment, Safety, and Occupational Health (ESOH)," March 19, 2005
- (c) DoD Directive 5134.01, "Under Secretary of Defense for Acquisition, Technology, and Logistics (USD(AT&L))," December 9, 2005, as amended
- (d) Deputy Secretary of Defense Memorandum, "Establishment of the Office of the Under Secretary of Defense for Research and Engineering and the Office of the Under Secretary of Defense for Acquisition and Sustainment," July 13, 2018

## 1. PURPOSE. This Instruction:

- a. Reissues and renames Reference (a).
- b. Implements policy, assigns responsibilities, and prescribes procedures under Reference (b) for the establishment and operation of a program for regional environmental coordination within the Department of Defense in accordance with the authority in Reference (c).
- c. Establishes a framework for DoD Regional Environmental Coordinators (DoD RECs) and Component RECs within the United States.

## 2. APPLICABILITY. This Instruction:

a. Applies to OSD, the Military Departments, the Office of the Chairman of the Joint Chiefs of Staff and the Joint Staff, the Combatant Commands, the Office of the Inspector General of the Department of Defense, the Defense Agencies, the DoD Field Activities, and all other organizational entities within the Department of Defense (hereafter referred to collectively as the "DoD Components").

- b. Does not apply to safety and occupational health matters or to the civil works function of the Department of the Army.
- 3. <u>DEFINITIONS</u>. See Glossary.
- 4. <u>POLICY</u>. In accordance with Reference (b), it is DoD policy to assign responsibilities and prescribe procedures for the establishment and operation of a program for regional environmental coordination for the Department of Defense.
- 5. <u>RESPONSIBILITIES</u>. See Enclosure 1.
- 6. PROCEDURES. See Enclosure 2.
- 7. <u>RELEASABILITY</u>. **Cleared for public release.** This Instruction is available on the Directives Division Website at http://www.esd.whs.mil/DD/.
- 8. <u>SUMMARY OF CHANGE 2</u>. This change reassigns the office of primary responsibility for this instruction to the Under Secretary of Defense for Acquisition and Sustainment in accordance with the July 13, 2018 Deputy Secretary of Defense Memorandum (Reference (d)).
- 9. EFFECTIVE DATE. This Instruction is effective August 28, 2009.

Ashton B. Carter

Under Secretary of Defense

for Acquisition, Technology, and Logistics

## Enclosures

- 1. Responsibilities
- 2. Procedures

Glossary

#### **ENCLOSURE 1**

# **RESPONSIBILITIES**

- 1. <u>ASSISTANT SECRETARY OF DEFENSE FOR ENERGY, INSTALLATIONS, AND ENVIRONMENT (ASD(EI&E))</u>. The ASD(EI&E), under the authority, direction, and control of the Under Secretary of Defense for Acquisition, Technology, and Logistics, shall provide oversight of the regional environmental coordinator program.
- 2. <u>PRINCIPAL DEPUTY ASSISTANT SECRETARY OF DEFENSE FOR ENERGY, INSTALLATIONS, AND ENVIRONMENT (PDASD(EI&E)).</u> The PDASD(EI&E), under the authority, direction, and control of the ASD(EI&E), shall:
  - a. Provide oversight of the DoD Components' implementation of this Instruction.
  - b. Develop DoD policy and guidance on issues raised in the REC process.
  - c. Resolve conflicts elevated by the DoD Components.
- d. Appoint a single point of contact in the Office of the ASD(EI&E) to coordinate the REC program with the DoD Components.
- 3. <u>SECRETARIES OF THE MILITARY DEPARTMENTS</u>. The Secretaries of the Military Departments, in addition to the responsibilities in section 4 of this enclosure, shall:
  - a. Serve as the DoD REC as follows:
    - (1) The Secretary of the Army for Regions IV, V, VII, and VIII.
    - (2) The Secretary of the Navy for Regions I, III, and IX.
    - (3) The Secretary of the Air Force for Regions II, VI, and X.
- b. Designate a single Military Department representative in each assigned region to coordinate the DoD REC program with OSD and the other DoD Components, as required.
  - c. Provide all necessary support in the assigned regions.
- 4. <u>HEADS OF THE DoD COMPONENTS</u>. The Heads of the DoD Components, as appropriate, shall:

- a. Designate a DoD Component REC in each region to coordinate environmental and environmentally related matters in support of mission sustainment with the DoD RECs.
- b. Provide all necessary oversight, program direction, and support for their Component's RECs.

# 5. DoD RECs

#### a. The DoD RECs shall:

- (1) Coordinate with DoD Components and other DoD RECs, as appropriate, to accomplish their responsibilities.
- (2) Provide advice and assistance to DoD Components in their region through the DoD Component RECs regarding:
- (a) Federal, regional, State, and local actions affecting multi-Component military missions and operations conducted both on and off military property.
- (b) Proposed DoD programs and initiatives in relation to Federal, regional, and State environmental programs and provide feedback regarding policy gaps or issues.
- (3) Monitor Federal, regional, State, and local legislative and regulatory proposals and initiatives and determine the impacts, if any, on DoD missions and operations.
- (4) In coordination with appropriate DoD Component RECs, develop positions on regional, State and, as appropriate, local legislative and regulatory proposals and initiatives and ensure those positions are consistent with existing DoD policies, legal positions, and initiatives.
- (5) Engage appropriate regional, State, and local officials and staff to articulate a single coordinated DoD position on legislative, regulatory, and policy proposals by regional, State, or local agencies or other officials and discuss potentially acceptable solutions to issues consistent with DoD positions.
- (6) Articulate and advocate approved DoD programs, policy, and initiatives in the development of regional, State, and local legislation, regulation, policy, and other issues as authorized.
- (7) Ensure that all communication with other DoD Components is handled through the appropriate DoD Component RECs and that proper subject matter experts from DoD Components are engaged where necessary.
- (8) Conduct meetings and outreach activities with DoD Components, Federal, regional, State, and local officials, and other stakeholders, as necessary.

- (9) Serve as a point of contact within their designated regions for non-DoD entities to reach the Department of Defense on significant regional environmental and environmentally related matters in support of mission sustainment.
- (10) Provide executive-level summaries on regional activities, success stories, and issues related to their region, as appropriate.
  - (11) Implement the communication plan.

## b. The DoD RECs shall not:

- (1) Issue policy.
- (2) Make unauthorized decisions or commitments for the Department of Defense or any DoD Components.
- (3) Commit the resources of another DoD Component, without that Component's prior consent.
- (4) Negotiate or sign agreements or issue positions on environmental and environmentally related matters in support of mission sustainment unless coordinated with all DoD Components.
- 6. <u>DoD COMPONENT RECs</u>. In addition to the responsibilities in section 5 of this enclosure, the DoD Component RECs shall:
- a. Monitor and strive for the consistent interpretation and application of DoD policies within the region, and elevate issues of interest or those requiring DoD-wide attention to the DoD REC with a copy to the DoD Component single representatives.
- b. Coordinate with the DoD REC of the DoD Component's activities with Federal, regional, State, and local agencies that may have a multi-Component impact.
- c. Ensure that all communication with Federal, regional, State, and local agencies involving multi-Component activities is coordinated with the DoD REC.

#### **ENCLOSURE 2**

## **PROCEDURES**

- 1. On matters covered by this Instruction, communications among the PDASD(EI&E), the DoD Components, the DoD RECs, and the DoD Component RECs shall be in accordance with the chain of command. For issues requiring immediate PDASD(EI&E) attention, the DoD REC shall promptly notify the PDASD(EI&E) at the same time the DoD REC notifies the chain of command.
- 2. For matters requiring a DoD position:
  - a. The DoD REC shall:
- (1) Coordinate the proposed DoD position with that region's DoD Component RECs as well as other DoD RECs whose regions might have an interest in the issue.
- (2) Provide the proposed DoD position to the appropriate DoD Components along with all coordination comments.
- (3) Coordinate, as appropriate, with established service steering committees, work groups, and subject matter experts to develop proposed DoD positions regarding environmental and environmentally related matters in support of mission sustainment.
  - (4) Provide the consolidated views from the coordination to the PDASD(EI&E).
  - b. The PDASD(EI&E) shall:
    - (1) Provide a DoD position.
- (2) Communicate that position to all appropriate DoD Components and to the DoD RECs.
- 3. For planning and reporting purposes, the DoD REC shall:
- a. Develop and implement an annual action plan based on DoD priorities and regional actions and initiatives. The plan shall be calendar-year based and shall be briefed to the DoD Components and PDASD(EI&E) at the annual DoD REC meeting.
  - b. Implement a communication plan for DoD REC.

# **GLOSSARY**

# **DEFINITIONS**

These terms and their definitions are for the purpose of this Instruction.

# **Standard Federal Regions**

Region I: Connecticut, Maine, Massachusetts, New Hampshire, Rhode Island, Vermont.

Region II: New Jersey, New York, Puerto Rico, Virgin Islands.

Region III: Delaware, District of Columbia, Maryland, Pennsylvania, Virginia, West Virginia.

Region IV: Alabama, Florida, Georgia, Kentucky, Mississippi, North Carolina, South Carolina, Tennessee.

Region V: Illinois, Indiana, Michigan, Minnesota, Ohio, Wisconsin.

Region VI: Arkansas, Louisiana, New Mexico, Texas, Oklahoma.

Region VII: Iowa, Kansas, Missouri, Nebraska.

Region VIII: Colorado, Montana, North Dakota, South Dakota, Utah, Wyoming.

Region IX: Arizona, California, Hawaii, Nevada, American Samoa, Guam, Northern Marianas, and any other Pacific islands).

Region X: Alaska, Idaho, Oregon, Washington.

<u>United States</u>. The several States of the United States, the District of Columbia, the Commonwealth of Puerto Rico, Guam, American Samoa, the United States Virgin Islands, the Commonwealth of the Northern Marianas, any other territory or possession of the United States, and associated navigable waters, contiguous zones, and ocean waters of which the natural resources are under the exclusive management authority of the United States.