



## DoD INSTRUCTION 5505.18

# INVESTIGATION OF ADULT SEXUAL ASSAULT IN THE DEPARTMENT OF DEFENSE

---

<b>Originating Component:</b>	Office of the Inspector General of the Department of Defense
<b>Effective:</b>	March 22, 2017
<b><i>Change 1 Effective:</i></b>	<i>February 13, 2018</i>
<b>Releasability:</b>	<del>Cleared for public release. Available on the DoD Issuances Website at <a href="http://www.dtic.mil/whs/directives">http://www.dtic.mil/whs/directives</a>.</del> <i>Cleared for public release. Available on the DoD Issuances Website at <a href="http://www.esd.whs.mil/DD/">http://www.esd.whs.mil/DD/</a></i>
<b>Reissues and Cancels:</b>	DoD Instruction 5505.18, "Investigation of Adult Sexual Assault in the Department of Defense," January 25, 2013, as amended
<b>Approved by:</b>	Glenn A. Fine, Acting Inspector General of the Department of Defense
<b><i>Change 1 Approved by:</i></b>	<i>Glenn A. Fine, Performing the Duties of the Inspector General of the Department of Defense</i>

---

**Purpose:** This issuance, in accordance with DoD Directive (DoDD) 5106.01:

- Establishes policy, assigns responsibilities, and provides procedures for the investigation of adult sexual assault within the DoD, in accordance with the authority in the Inspector General Act of 1978, as amended, of Title 5, United States Code (U.S.C.), Appendix.
- Establishes the processes and procedures for the use, maintenance, and protection of certain information from a Restricted Report of adult sexual assault obtained by the military criminal investigative organizations (MCIOs) after the victim has elected to provide such information, but without affecting the restricted nature of the report, in accordance with Section 543 of Public Law 113-291.
- Establishes an exception to the initiation of an adult sexual assault investigation by an MCIO when information is received in accordance with Section 543 of Public Law 113-291.
- *Incorporates a threshold of credible information, as defined in DoD Instruction (DoDI) 5505.07, for the initiation of an adult sexual assault investigation and a DoD nexus, as defined in DoDI 5505.03.*

## TABLE OF CONTENTS

SECTION 1: GENERAL ISSUANCE INFORMATION .....	3
1.1. Applicability. ....	3
1.2. Policy. ....	3
1.3. Information Collections. ....	3
SECTION 2: RESPONSIBILITIES .....	5
2.1. IG DoD.....	5
2.2. OSD and DoD Component Heads. ....	5
SECTION 3: PROCEDURES .....	6
3.1. Coordination and Notification Requirements. ....	6
3.2. <del>Installation</del> <i>Other DoD</i> LEA Resources. ....	8
3.3. Training. ....	8
3.4. Investigative File.....	10
3.5. Evidence.....	11
3.6. CATCH Program. ....	11
GLOSSARY .....	14
G.1. Acronyms. ....	14
G.2. Definitions.....	14
REFERENCES .....	16

## SECTION 1: GENERAL ISSUANCE INFORMATION

**1.1. APPLICABILITY.** This issuance applies to OSD, the Military Departments, the Office of the Chairman of the Joint Chiefs of Staff and the Joint Staff, the Combatant Commands, the Office of the Inspector General of the Department of Defense (IG DoD), the Defense Agencies, the DoD Field Activities, and all other organizational entities within the DoD (referred to collectively in this issuance as the “DoD Components”).

### 1.2. POLICY.

a. MCIOs will initiate a criminal investigation in response to all allegations of adult sexual assault, as defined in the Glossary, of which they become aware that occur within their jurisdiction, except under the provisions of Paragraph 3.6. *The allegation(s) must be based on credible information, as defined in DoDI 5505.07, that a crime has occurred, and have a DoD nexus, as defined in DoDI 5505.03.*

b. Other DoD law enforcement ~~activity~~ (LEA) resources, as defined in the Glossary, may assist MCIOs while MCIOs investigate offenses of adult sexual assault provided they meet the training requirements established in Paragraph 3.3. First responders and LEA resources have the responsibility to identify the ~~alleged-reported~~ sexual assault victim(s) and the location of the ~~purported~~ crime scene(s) to prevent the possible loss or contamination of evidence as well as determine jurisdictional responsibility. ~~While first responders and LE resources may have initial contact with the alleged sexual assault victim(s) in order to obtain this information, only the MCIO will conduct the formal victim interview.~~ *First responders and other DoD LE resources may have initial contact with the reported sexual assault victim(s) in order to obtain this information; only the MCIO will conduct the formal victim interview. First responders and other DoD LE resources must contact the Sexual Assault Response Coordinator (SARC) or Sexual Assault Prevention and Response (SAPR) Victim Advocate (VA), or the Family Advocacy Program (FAP), upon identification of a reported sexual assault victim. In accordance with DoDD 6495.01, all sexual assault cases must be treated as emergencies. Emergency care must consist of emergency healthcare (medical and mental health) and the offer of a sexual assault forensic examination (SAFE) when indicated.*

c. Nothing in this instruction is intended to impinge on the authority of the IG DoD.

### 1.3. INFORMATION COLLECTIONS.

a. The “Catch a Serial Offender” (CATCH) Program Restricted and Unrestricted Reports, referred to in Paragraphs 2.2(d), 3.3.(a) (232) and 3.6 of this issuance, have been assigned Office of Management and Budget (OMB) Control Number 0703-XXXX in accordance with the procedures in Volume 2 of DoD Manual 8910.01. The expiration date of this information collection is listed on the OMB Website at <http://www.reginfo.gov/public/jsp/PRA/pradashboard.jsp>.

b. The Defense Sexual Assault Incident Database (DSAID), referred to in Paragraph 2.2.g. of this issuance, has been assigned OMB Control Number 0704-0482 and is prescribed in DoDI 6495.02. The expiration date of this information collection is listed on the OMB Website at <http://www.reginfo.gov/public/jsp/PRA/pradashboard.jsp>.

c. Department of Defense (DD) Form 2911, referred to in Paragraph 3.4. of this issuance, does not require licensing with a report control symbol or an OMB control number in accordance with Paragraphs 1.b.(1) and 1.b.(13) of Volume 1 of enclosure 3 of DoD Manual 8910.01 and Paragraphs 8.a.(2)(a) and 8.b.(5) of enclosure 3 of Volume 2 of DoD Manual 8910.01, respectively.

## SECTION 2: RESPONSIBILITIES

**2.1. IG DOD.** The IG DoD will develop policy and procedures for MCIO adult sexual assault investigations and provide oversight pursuant to DoDD 5106.01.

**2.2. OSD AND DOD COMPONENT HEADS.** The OSD and DoD Component heads ensure:

- a. Compliance with this issuance and prescribe additional procedures as necessary to implement its policy.
- b. All allegations of adult sexual assault are immediately reported to the appropriate MCIO. This includes allegations made by persons affiliated with the DoD, including active duty personnel and their dependents, DoD contractors, and DoD civilian employees.
- c. Procedures exist that allow eligible victims of **alleged a** sexual assault to have, at their request, their allegation handled as a Restricted Report in accordance with DoDD 6495.01 and DoDIs 6400.01 and 6400.06. This reporting option must be explained to all eligible victims by a ~~Sexual Assault Response Coordinator (SARC), or Sexual Assault Prevention and Response (SAPR) VA, Domestic Abuse Victim Advocate (DAVA), or FAP personnel.~~
- d. Adequate resources are established to manage the CATCH Program.
- e. The commander of the Service member who is a **reported** subject of investigation provides the MCIO, in writing, all disposition data, within **25** business days of disposition, to include:
  - (1) Any administrative, non-judicial punishment, or judicial action that occurs as a result of the investigation; or
  - (2) A declination of command action when no action is taken.
- f. MCIOs participate as members in the monthly installation SAPR Case Management Group meeting in accordance with DoDI 6495.02 and the Family Advocacy Program Incident Determination Committee in accordance with Paragraph 2.b.(5) of enclosure 3 of Volume 3 of DoD Manual 6400.01.
- g. MCIOs provide SARCs with the MCIO case number required for SARCs to enter information into the DSAID within 48 hours of the initiation of an investigation. *The SARC will coordinate with FAP personnel if the reported sexual assault involves intimate partner abuse or reports involving individuals under 18 years of age who are not Service members.* In deployed locations that have internet connectivity issues, the timeframe is extended to 96 hours.
- h. Pursuant to its oversight role, the Office of the Under Secretary of Defense for Personnel and Readiness oversees the CATCH Program execution, in accordance with the December 28, 2016 Deputy Secretary of Defense Memorandum.*

## SECTION 3: PROCEDURES

### 3.1. COORDINATION AND NOTIFICATION REQUIREMENTS.

a. To ensure system accountability and collaboration, the MCIO investigator assigned as the lead investigator to an adult sexual assault investigation will notify each member of the Special Victim Investigation and Prosecution (SVIP) Capability, as required by DoDI 5505.19.

b. To ensure eligible victims of **alleged reported** sexual assaults are aware of and afforded the assistance available to them:

(1) In accordance with ~~Section 534 of Public Law 114-92 (codified in 10, U.S.C. § 1044e(f)) Sections 1044e(f) and 1565b(a) of Title 10, U.S.C., a Service member; a member of the Reserve Component; a dependent; or a DoD civilian employee who is the victim of alleged-a reported~~ sexual assault ~~who is eligible for~~ must be advised of the availability of representation by a special victims' counsel/victims' legal counsel (SVC~~/or~~ VLC). ~~must be advised of the availability for representation by an SVC/VLC.~~ MCIOs should refer to their respective Service regulations regarding any additional victims eligible for SVC or VLC services. No sexual assault victim should be discouraged from requesting representation.

(a) This notice must occur before an MCIO investigator interviews or requests a statement from the individual regarding the **alleged reported** sexual assault unless exigent circumstances apply, such as the need to collect evidence that is subject to degradation (e.g., sexual assault forensic exams and deoxyribonucleic acid (DNA) collection); ~~process a crime scene(s);~~ or prevent or mitigate a serious and imminent threat to the health or safety of the victim of the **alleged reported** sexual assault or another person.

(b) The MCIO investigators must document this notification to the victim or the exigent circumstances that delayed notification to the victim in the investigative file.

(2) ~~In accordance with~~ *To the extent provided by* Section 1044e of Title 10, U.S.C., *or pursuant to a determination by an authority empowered to make an SVC or VLC available to form an attorney-client relationship,* victims of **alleged reported** sexual assaults ~~who are eligible for representation by an SVC/VLC~~ are entitled to have SVC~~/or~~ VLC representation during any proceeding in connection with the reporting, military investigation, and military prosecution of the ~~alleged sex-related offense-reported sexual assault.~~ *Once represented by an SVC or VLC, further communications with the victim will be coordinated through the assigned SVC or VLC. If desired, victims of alleged sexual assault may also have their SARC or Sexual Assault Prevention and Response Victim Advocate (SAPR VA) present. MCIOs will document the date and time the SVC or VLC was notified and when they respond. Any delay or unavailability of the SVC or VLC must also be documented in the investigative file.*

(3) *If desired, victims of reported sexual assault may also have their SARC, SAPR VA, or FAP DAVA present during any proceeding in connection with the reporting, military investigation, and military prosecution of the reported sexual assault. MCIOs will document the*

*date and time the SVC or VLC was notified and when they respond. Any delay or unavailability of the SVC or VLC must also be documented in the investigative file.*

c. In accordance with DoDI 1030.2, the MCIO investigator assigned to conduct the adult sexual assault investigation will ensure the victim and, as appropriate, any witness(es) interviewed, have received a completed DD Form 2701, "Initial Information for Victims and Witnesses of Crime." If the victim has not yet received a DD Form 2701, the investigator must provide one to the victim and explain the information in the form. (All DD Forms referred to in this instruction may be found at <http://www.dtic.mil/whs/directives/informgt/forms/index.htm>.) The MCIO investigator must document the provision of the DD Form 2701 to victims and witnesses in the investigative file.

d. Victims and witness(es) must be notified of their reporting options if allegations of retaliation or reprisal surface that can reasonably be attributed to the sexual assault investigation. If such allegations do not fall under the purview of the MCIO, the victim(s) and witness(es) must be referred to the appropriate DoD Component in accordance with DoDD 7050.06. If the retaliation or reprisal allegation is considered a felony level allegation and under the purview of the MCIO, the MCIO will investigate.

e. When an MCIO initiates an adult sexual assault investigation, it will also initiate and conduct subsequent investigations related to ~~alleged~~ *reported* criminal act(s) directed toward a victim or witness(es) that could reasonably be related to the sexual assault matter under investigation. Related criminal conduct may include, but is not limited to, a communicated threat(s), physical assault(s), intentional damage to property, stalking, violation of a no-contact order, etc.

f. If an adult sexual assault allegation is referred to another law enforcement agency, the reason must be fully documented in an investigative file that identifies the agency and states whether or not the MCIO will be involved in either a joint investigation or monitoring capacity.

g. When an MCIO receives credible information from a military confinement facility regarding a report of sexual assault of a prisoner, the MCIO will initiate an investigation, if it is within the jurisdiction of the MCIO, in accordance with Chapter 147 of Title 42, U.S.C., also known and referred to in this issuance as the "Prison Rape Elimination Act (PREA) of 2003"; Title 28 Code of Federal Regulations (CFR) Part 115; DoDI 1325.07; and July 6, 2015 Acting Under Secretary of Defense for Personnel and Readiness Memorandum.

*h. When an allegation of sexual assault is received that is reported to have occurred on a military installation or the Pentagon Reservation, and there is a reasonable basis to believe it has been committed by a person or persons some or all of whom are not subject to the UCMJ, the MCIO or the Pentagon Force Protection Agency will immediately notify the Department of Justice in accordance with DoDI 5525.07.*

**3.2. INSTALLATION OTHER DOD LEA RESOURCES.** In accordance with Paragraph 1.2.b., other DoD ~~installation~~ LEA resources may assist MCIOs while MCIOs investigate offenses of adult sexual assault under the following requirements:

- a. Only the MCIOs will conduct the formal victim interview.
- b. The investigation will be considered an MCIO investigation and the responsible MCIO will provide direct supervision of all investigative work conducted by the *other DoD LEA* resources.
- c. Under no circumstances may an MCIO refer an adult sexual assault investigation to *an installation other DoD LEA resource* regardless of the severity of the allegation.
- d. When *other DoD LEA* resources assist MCIOs with sexual assault investigations, the MCIO investigator will maintain full responsibility for the investigation and assign tasks. Before assisting the MCIOs, the *other DoD LEA* resources will receive training on the topics required in Paragraph 3.3. by a certified MCIO sexual assault investigator. Ideally, the LEA resources will receive the same training and certification as outlined in DoDI 5505.19, which is required for MCIO sexual assault investigators.
- e. All criminal investigative files will be ~~maintained~~ *marked, used, transmitted, and stored* in accordance with DoD privacy policies in DoDD 5400.11, ~~and~~ DoD 5400.11-R, *and Volume 4 of DoD Manual 5200.01.*
- f. All adult sexual assault investigations assumed by an MCIO will be investigated thoroughly and in compliance with DoDIs 5505.03, 5505.07, 5505.11, 5505.14, 5505.17, and 5505.19, in consideration of jurisdiction involved.

### 3.3. TRAINING.

a. The MCIO investigator(s) and the supporting *other DoD LEA* resources assigned to conduct an investigation of adult sexual assault, including first responders, must be properly trained in conducting such investigations. Section 585 of Public Law 112-81 (codified in *Section 1561*, 10 U.S.C. ~~§ 1561~~ note) requires the creation of a consistent training curriculum regarding sexual assault prevention and response for MCIO investigators, *other DoD LEA* resources, and other first responders assigned to respond to and investigate adult sexual assault. In accordance with that requirement, training will, at a minimum, include:

- (1) Legal jurisdiction for conducting criminal investigations.
- (2) The elements of proof for criminal offenses associated with sexual assault.
- (3) Preliminary investigative procedures, including receiving and responding to notification of a sexual assault.
- (4) Crime scene management to include searching for, locating, identifying, preserving, obtaining, and transporting evidence.



(5) Sensitivities associated with victims of ~~alleged~~ *reported* sexual assault, including, but not limited to:

(a) Specific effects of trauma and stress on the victim of ~~alleged~~ *a reported* sexual assault.

(b) Balancing investigative priorities with needs of the victim of ~~alleged~~ *a reported* sexual assault.

(c) The provision that victims of ~~alleged~~ *reported* sexual assaults may have a SARC, SAPR VA, domestic abuse victim advocate, SVC ~~AVC~~ *or VLC*, or a support person present during interviews.

(d) The various methods used in the practice of interviewing victims of ~~alleged~~ *reported* sexual assaults in DoD.

(6) Sexual assault forensic examination collection and submission.

(7) DNA collection for criminal investigations.

(8) Comprehensive instruction in SAPR policy, to include:

(a) Differences between Unrestricted and Restricted Reporting.

(b) SARC and SAPR VA roles, responsibilities, and limitations.

(c) SAPR Case Management Group responsibilities for MCIOs.

(d) Responsibilities to provide data elements to the SARC for timely entry into DSAID.

*(e) Expedited transfers and corresponding MCIO responsibilities.*

(9) Comprehensive instruction in Family Advocacy Program policy for first responder training requirements and Restricted Reporting.

(10) Victim Witness Assistance Program.

(11) Military protective orders.

~~(12) Expedited transfers and corresponding MCIO responsibilities.~~

~~(13)~~ (12) Military Rules of Evidence (MRE) privileges, in particular MRE 513 “Psychotherapist-Patient Privilege,” and MRE 514, “Victim Advocate-Victim and Department of Defense Safe Helpline Staff-Victim Privilege” in the MRE section of the Manual for Courts-Martial.

~~(4413)~~ Legal assistance for victims of sexual assault in accordance with Sections 1044e and 1565b of Title 10, U.S.C.

~~(4514)~~ Digital evidence.

~~(4615)~~ Handling false reports and official statements.

~~(4716)~~ Alcohol *and drug*-related incidents *and timeliness of toxicology collection*.

~~(4817)~~ The use of IG DoD administrative subpoenas in accordance with the Inspector General Act of 1978, as amended.

~~(4918)~~ The use of technical listening and recording equipment in accordance with DoDI 5505.09.

~~(2019)~~ The use of personally identifiable information (PII) in accordance with DoDI 5505.17 *and Volume 4 of DoD Manual 5200.01*.

~~(2120)~~ ~~PREA~~ *Required* reporting in accordance with PREA.

~~(2221)~~ Reprisal and whistleblower policy and procedures in accordance with DoDD 7050.06.

~~(2322)~~ Policies and procedures associated with the CATCH Program, pursuant to Section 543 of Public Law 113-291.

~~(2423)~~ Policies and procedures associated with DoDI 5505.19.

*(24) Claims of retaliation, which are reasonably associated with a sexual assault allegation in accordance with Section 546 of Public Law 114-328.*

b. MCIOs will consider aligning training with Council of Inspectors General on Integrity and Efficiency Quality Standards for Investigations, as appropriate.

### 3.4. INVESTIGATIVE FILE.

a. All MCIO documentation generated as part of the adult sexual assault investigative report, to include case notes, the case activity record, the DD Form 2911, “DoD Sexual Assault Forensic Examination Report,” and the investigative plan, will be retained for a period of 50 years in accordance with Section 541 of Public Law 114-92 (codified in Section 1561, ~~of~~ Title 10, U.S.C. *note*). When MCIOs are not the lead investigative agency in joint investigations, disposition data must be obtained from the lead investigative agency and archived in the final investigative report or database in accordance with Section 577(b)(8) and (9) of Public Law 108-375 (codified in *Section 1561*, 10 U.S.C. ~~§ 1561~~ *note*) and Section 563 of Public Law 110-417.

b. MCIOs must include the DD Form 2911 or reports from civilian sexual assault forensic exams conducted at civilian healthcare facilities (when DoD retains jurisdiction) as part of the

archived file. If creating digital records, the DD Form 2911 will be uploaded as part of the archived file.

c. All MCIOs will make available unrestricted sexual assault data elements, including the investigative case number, for incorporation into the DSAID, in support of requirements specified in DoDD 6495.01 and DoDI 6495.02.

### **3.5. EVIDENCE.**

a. In accordance with Section 586 of Public Law 112-81 and Section 538 of Public Law 113-291 (both codified in *Section 1561*, 10 U.S.C. ~~§ 1561~~ note), in all investigations conducted by MCIOs as lead investigative agency and relating to sexual assault allegations involving Service members, as defined in the Glossary, all physical and forensic evidence must be retained for a period of at least 5 years from the date of seizure of the evidence. However, the personal property retained as evidence may be returned to the rightful owner of such property before the 5-year period only:

(1) After written evidence disposition is obtained from the senior attorney or designated representative.

(2) When:

(a) It is determined that the allegation is unfounded, i.e., the crime did not occur or it was determined through investigation to be a false allegation;

(b) The evidence is taken from a suspect who is later deemed to be the wrong person, i.e., mistaken identity; or

(c) All legal, adverse action, and administrative proceedings related to such allegation in accordance with Section 538 of Public Law 113-291 (codified in *Section 1561*, 10 U.S.C. ~~§ 1561~~ note) have concluded.

b. Personal property items gathered as evidence which are digital or electronic in nature may be returned to the rightful owner if a forensic copy of the digital or electronic evidence stored on or accessed through an electronic device has been made. The copy must be obtained in a forensically sound manner sufficient for command action or prosecution.

### **3.6. CATCH PROGRAM.**

a. Per the Deputy Secretary of Defense Memorandum, the Secretary of the Navy (SECNAV) was designated as the Executive Agent for the implementation of the CATCH Program.

b. Participation in the CATCH Program is voluntary, *in accordance with Section 543 of Public Law 113-291*. The information provided to the CATCH Program will not be acted upon unless the victim of the ~~alleged~~ *reported* sexual assault changes their reporting option to unrestricted and agrees to participate in the investigation. The victim may opt out at any time.

The victim information (to include name, other PII, and contact information) will not be provided to the MCIO for an initial CATCH Program report. The victim will be assigned a unique identifying number by the SARC. This number will then be provided to the MCIO for tracking purposes.

c. CATCH Program information received by an MCIO will be catalogued on a centralized, restricted, Naval Criminal Investigative Service server.

(1) Designated MCIO investigators and analysts will use automated tools to conduct appropriate database inquiries to determine if the information of suspects identified in the CATCH Program can be attributed to a *reported* subject in an unrestricted investigation of sexual assault allegation or another restricted CATCH Program data entry.

(2) Website procedures developed to implement the CATCH Program will preserve the presumption of innocence for suspects.

(3) Information concerning the identity of a suspect may not be disclosed to the victim or suspect's chain of command, or any other individual, without a need to know. It may not be used for any purpose related to the suspect's assignment, training, or advancement unless the reporting option is changed to Unrestricted by the victim and an MCIO initiates an investigation.

(4) Information will be retained for a period of 10 years from the date of the input of data or the last date of any query of data.

(5) Any PII reported to the MCIO will be protected in accordance with DoDI 5505.17, DoDD 5400.11, DoD 5400.11-R, ~~and~~ Office of Management and Budget Memorandum M-07-16, *and Volume 4 of DoD Manual 5200.01*.

(6) The CATCH Program Website will be sequestered from unauthorized users in order to protect the integrity of the data.

d. If there are multiple victims tied to one perpetrator, and one of those victims changes their mind and changes their reporting option to unrestricted, the MCIO may investigate that allegation.

e. ~~Pursuant to Section 543 of Public Law 113-291, a~~*An* individual who files a Restricted Report of sexual assault has the option to submit details of the incident to the appropriate MCIO via their SARC. Such information will be submitted anonymously and without affecting the restricted nature of the report. The information obtained by the MCIO will be used in an effort to identify individuals who are suspected of perpetrating multiple sexual assaults.

(1) Information received via the CATCH Program will not trigger an investigation unless the victim(s) of the ~~alleged~~ *reported* sexual assault agree to convert their reporting option from Restricted to Unrestricted. If the victim(s) of the ~~alleged~~ *reported* sexual assault change their reporting option to Unrestricted, the MCIO will proceed in accordance with the requirements in Paragraph 3.6.

(2) If the reporting option is changed to Unrestricted, the SVC ~~or~~ VLC must be notified before the MCIO proceeds with any investigation. If the victim is not represented by an SVC ~~or~~ VLC, the cognizant ~~Victim Advocate~~ *SARC, SAPR VA, or FAP DAVA* must be notified.

(f) The Naval Criminal Investigative Service (NCIS) is designated as the supported MCIO for collection, access, and use of CATCH Program data. *NCIS:*

(1) ~~NCIS has the authority to, Directs support for the CATCH Program from supporting MCIOs,~~ unless otherwise directed by the SECNAV or his or her designee., ~~direct support for the CATCH Program from supporting MCIOs.~~ All other MCIOs are designated as supporting agencies.

(2) Provides overall program management for the DoD's use of the CATCH Program.

(3) Provides DoD oversight for the CATCH Program, consistent with Paragraph 3.6 of this issuance, including developing and overseeing policy for access, programming, and account management controls for the CATCH Program.

(4) Develops and conducts training for assigned, employed, and detailed personnel before initial access to the CATCH Program consistent with the requirements of this issuance and DoDD 5400.11, DoD 5400.11-R, and DoDI 5505.17.

(5) Establishes guidance and procedures as necessary to ensure that the DoD Components and DoD personnel with access to the CATCH Program receive training in the proper use of and safeguards for the CATCH Program.

## GLOSSARY

### G.1. ACRONYMS.

CATCH	Catch a Serial Offender Program
<i>DAVA</i>	<i>Domestic Abuse Victim Advocacy</i>
DD	Department of Defense (form)
DoDD	DoD directive
DoDI	DoD instruction
DSAID	Defense Sexual Assault Incident Database
<i>FAP</i>	<i>Family Advocacy Program</i>
<del>IG-DoD</del>	<del>Inspector General of the Department of Defense</del>
<i>LE</i>	<i>law enforcement</i>
MCIO	military criminal investigative organization
MRE	Military Rules of Evidence
OMB	Office of Management and Budget
<i>SAFE</i>	<i>sexual assault forensic examination</i>
SAPR	sexual assault prevention and response
<del>SAPR-VA</del>	<del>sexual assault prevention and response victim advocate</del>
SARC	sexual assault response coordinator
SVC	special victims' counsel
U.S.C.	United States Code
<i>VA</i>	victim advocate
VLC	victims' legal counsel

**G.2. DEFINITIONS.** Unless otherwise noted, these terms and their definitions are for the purposes of this issuance.

**adult.** A person who has attained the age of 16 years or older.

**commander.** A commissioned or warrant officer who, by virtue of rank and assignment, exercises primary command authority over a DoD organization or prescribed territorial area. All references to "commander" in this issuance also include the military and civilian heads of DoD organizations and are authorized to request an investigation or act on the result of an investigation by a MCIO.

**DAVA.** Defined in DoDI 6400.06.

**DSAID.** Defined in DoDD 6495.01.

**MCIO.** U.S. Army Criminal Investigation Command, Naval Criminal Investigative Service, and Air Force Office of Special Investigations.

**Other DoD law enforcement activity resources.** The U.S. Army Military Police, the U.S. Navy Master at Arms, the U.S. Air Force Security Forces, the U.S. Marine Corps Criminal Investigation Division, *and the Pentagon Force Protection Agency.*

**restricted reporting.** Defined in DoDD 6495.01 *and DoDI 6400.06.*

**SARC.** Defined in DoDD 6495.01.

**Service members.** Members of the Army, Navy, Air Force, Marine Corps, and Military Academies. In addition, National Guard and Reserve Component members on active duty, as defined in Section 101(d)(1) of Title 10, U.S.C; or inactive duty status while in training.

**sexual assault.** An intentional sexual contact characterized by the use of force, threats, intimidation, abuse of authority, or when the victim does not or cannot consent. This includes domestic abuse (as defined in DoDI 6400.06) related sexual assaults. The term “sexual assault” includes the following offenses under the Uniform Code of Military Justice:

Rape, in violation of Article 120.

Sexual assault, in violation of Article 120.

Aggravated sexual contact, in violation of Article 120.

Abusive sexual contact, in violation of Article 120.

Other sexual misconduct, in violation of Article 120c.

Forcible sodomy (oral or anal sex), in violation of Article 125.

Attempts to commit any of the above offenses, in violation of Article 80.

Between 2007 and 2012, amendments to the Uniform Code of Military Justice changed the Article 120 offenses in name and character. Depending upon the date the alleged offense was committed, investigators must refer to the name and character of the offense applicable to the Uniform Code of Military Justice provisions in effect at that time.

**unrestricted reporting.** Defined in DoDD 6495.01 *and DoDI 6400.06.*

## REFERENCES

- Acting Under Secretary of Defense for Personnel and Readiness Memorandum, “Reporting Option for Prisoners in Military Correctional Facilities Under the Prison Rape Elimination Act,” July 6, 2015
- Code of Federal Regulations, Title 28, Part 115
- Deputy Secretary of Defense Memorandum, “Designation of the Navy as the Executive Agent for the Development and Maintenance of the “Catch a Serial Offender” Program Server and Searchable Database,” December 28, 2016
- DoD 5400.11-R, “Department of Defense Privacy Program,” May 14, 2007
- DoD Directive 5106.01, “Inspector General of the Department of Defense (IG DoD),” April 20, 2012, as amended
- DoD Directive 5400.11, “DoD Privacy Program,” October 29, 2014
- DoD Directive 6495.01, “Sexual Assault Prevention and Response (SAPR) Program,” January 23, 2012, as amended
- DoD Directive 7050.06, “Military Whistleblower Protection,” April 17, 2015
- DoD Instruction 1030.2, “Victim and Witness Assistance Procedures,” June 4, 2004
- DoD Instruction 1325.07, “Administration of Military Correctional Facilities and Clemency and Parole Authority,” March 11, 2013, *as amended*
- DoD Instruction 5505.03, “Initiation of Investigations by Defense Criminal Investigative Organizations,” March 24, 2011, as amended
- DoD Instruction 5505.07, “Titling and Indexing Subjects of Criminal Investigations in the Department of Defense,” January 27, 2012
- DoD Instruction 5505.09, “Interception of Wire, Electronic, and Oral Communications for Law Enforcement,” November 27, 2013, as amended
- DoD Instruction 5505.11, “Fingerprint Card and Final Disposition Report Submission Requirements,” July 21, 2014, as amended
- DoD Instruction 5505.14, “Deoxyribonucleic Acid (DNA) Collection Requirements for Criminal Investigations, Law Enforcement, Corrections, and Commanders,” December 22, 2015, as amended
- DoD Instruction 5505.17, “Collection, Maintenance, Use, and Dissemination of Personally Identifiable Information and Law Enforcement Information by DoD Law Enforcement Activities,” December 19, 2012, as amended
- DoD Instruction 5505.19, “Establishment of Special Victim Investigation and Prosecution (SVIP) Capability Within the Military Criminal Investigative Organizations (MCIOs),” February 3, 2015, as amended
- DoD Instruction 5525.07, “Implementation of the Memorandum of Understanding (MOU) Between the Departments of Justice (DOJ) and Defense Relating to the Investigation and Prosecution of Certain Crimes,” June 18, 2007*
- DoD Instruction 6400.01, “Family Advocacy Program (FAP),” February 13, 2015, *as amended*
- DoD Instruction 6400.06, “Domestic Abuse Involving DoD Military and Certain Affiliated Personnel,” August 21, 2007, as amended



- DoD Instruction 6495.02, “Sexual Assault Prevention and Response (SAPR) Program Procedures,” March 28, 2013, as amended
- DoD Manual 5200.01, Volume 4, “DoD Information Security Program: Controlled Unclassified Information (CUI),” February 24, 2012*
- DoD Manual 6400.01, Volume 3, “Family Advocacy Program (FAP): Clinical Case Staff Meeting (CCSM) and Incident Determination Committee (IDC),” August 11, 2016
- DoD Manual 8910.01, Volume 1, “DoD Information Collections: Procedures for DoD Public Information Collections,” June 30, 2014, as amended
- DoD Manual 8910.01, Volume 2, “DoD Information Collections: Procedures for DoD Public Information Collections,” June 30, 2014, as amended
- Manual for Courts-Martial, United States, current edition, Part III (also known as the “Military Rules of Evidence”)
- Office of Management and Budget Memorandum M-07-16, “Safeguarding Against and Responding to the Breach of Personally Identifiable Information (PII),” May 22, 2007
- Public Law 108-375, “Ronald W. Regan National Defense Authorization Act for Fiscal Year 2005,” October 28, 2004
- Public Law 110-417, “Duncan Hunter National Defense Authorization Act for Fiscal Year 2009,” October 14, 2008
- Public Law 112-81, “National Defense Authorization Act for Fiscal Year 2012,” December 31, 2011
- Public Law 113-291, “Carl Levin and Howard P. ‘Buck’ McKeon National Defense Authorization Act for Fiscal Year 2015,” December 19, 2014
- Public Law 114-92, “National Defense Authorization Act for Fiscal Year 2016,” November 25, 2015
- Public Law 114-328, “National Defense Authorization Act for Fiscal Year 2017,” December 23, 2016*
- United States Code, Title 5, Appendix 3
- United States Code, Title 10 (~~Chapter 47 is also known as the “Uniform Code of Military Justice (UCMJ)”~~)
- United States Code, Title 42, Chapter 147 (also known as the “Prison Rape Elimination Act (PREA) of 2003”)