



NATIONAL RECONNAISSANCE OFFICE

05 March 2002

MEMORANDUM FOR ASSISTANT TO THE SECRETARY OF DEFENSE  
(INTELLIGENCE OVERSIGHT)

SUBJECT: National Reconnaissance Office Executive Order 12333  
Quarterly Compliance Report

I have attached the National Reconnaissance Office's  
quarterly compliance report for all Executive Order 12333  
activities for the period of 1 October through 31 December 2001.  
If you have any questions about this report, please call (b)(6)  
(b)(6) Office of General Counsel at (b)(3).

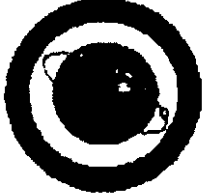
*E. Page Moffett*  
E. Page Moffett  
General Counsel

Attachment:  
Quarterly Compliance Report for the  
Intelligence Oversight Board

cc:  
IG  
D/OS

CL BY: (b)(2)  
CL Reason: 1.5(c)  
DECL ON: X1  
Derived From: NCG 5.1  
01 May 00

UNCLASSIFIED WHEN SEPARATED FROM CLASSIFIED ENCLOSURE  
EFF



~~SECRET~~  
~~NOFORN//X1~~  
NATIONAL RECONNAISSANCE OFFICE

05 March 2002

MEMORANDUM FOR THE ASSISTANT TO THE SECRETARY OF DEFENSE  
(INTELLIGENCE OVERSIGHT)

SUBJECT: National Reconnaissance Office Executive Order 12333  
Quarterly Compliance Report

REFERENCES: (a) Executive Order 12333, 4 December 1981  
(b) DoD Directive 5240.1, 25 April 1988

The National Reconnaissance Office (NRO) Office of General Counsel submits this report pursuant to the above references for the Fourth Quarter of 2001, covering the dates of 1 October through 31 December 2001. Personnel at NRO facilities reported no instances of improper or unlawful intelligence activities, as defined by Executive Order 12333.

Intelligence oversight activities conducted in support of Executive Order 12333 during this quarter included the initial indoctrination and training of 657 individuals assigned to the NRO. In addition, various NRO sites conducted training to re-indoctrinate 1985 NRO personnel on the requirements of Executive Order 12333. The current training program is a combined effort between the NRO Office of Security and the NRO Office of General Counsel. Both offices, in coordination with the NRO Office of Inspector General are in the process of revising the NRO's internal Executive Order 12333 implementing policy and training procedures for 2001.

(b)(2), (b)(6)  
[Redacted]

Office of Security

*E. Fog Maffei*  
Office of General Counsel

CC:  
Office of Inspector General  
Office of Security

CL BY: [Redacted]  
CL Reason: 1.5(c)  
DECL ON: X1  
Derived From: NCG 5.1  
01 May 00

FOUO WHEN SEPARATED FROM CLASSIFIED ENCLOSURE EFF

# National Reconnaissance Office Executive Order 12333 Quarterly Compliance Report

## 1 October - 31 December 2001

U.S. GOVERNMENT PRINTING OFFICE: 2001 O-344-444

Facility	Principal / Secondary POC	Request Sent	Request Road	Follow-up note/call	Report Received	Ready to Read	Re-Entered	Additional Information	USP Listings	Statute USP 128-101	Foreign Sites by US PIO	Are other countries active?
(b)(1), (4)		01/14/02										
		01/14/02			1/15/02	26	231	YES	19	0	N	N
CHMGS		01/14/02			01/16/02							
		01/14/02				164	10	YES	21	0	N	N
(b)		01/14/02			01/04/02							
(all three get msg)		01/14/02										
		01/14/02				114	1478	Y	N	0	N	N
SWMGS		01/14/02			01/13/02							
		01/14/02										
Westfields		01/14/02			02/01/02							
		01/14/02				267	0	Y	N	0	N	N
Los Angeles		01/14/02			01/16/02							
		01/14/02				16	0					
(S)		01/14/02			01/15/02							
		01/14/02				2	145	Y	N	0	N	N
Osaka/Sunnyvale												
		01/14/02										
		01/14/02			01/14/02	17	8	N	N	0	N	N
Offutt AFB		01/14/02			01/22/02							
						0	0	N	N	0	N	N
CCAS		01/14/02			01/16/02							
						0	0	N	N	0	N	N
VAFB		01/14/02			02/01/02							
						16	1	Y	N	0	N	N
Y ODC	John Barrett											
IG		01/14/02			01/15/02	0	0	N	N	0	N	N
CI		01/14/02			01/18/02	0	0	N	N	0	N	N
OR		01/14/02										
WV AFB												
Meade												
Cherokee AFB												
TOTALS	SITES REMAINING					657	1985	YES	YES	0	0	0

CI By (b)  
 CI Request 1/30  
 DECI ON KI  
 Derived from NSC 5.1  
 01 May 01

(b) (7) - (C)



NATIONAL RECONNAISSANCE OFFICE

27 July 2001

MEMORANDUM FOR THE ASSISTANT TO THE SECRETARY OF DEFENSE  
(INTELLIGENCE OVERSIGHT)

SUBJECT: National Reconnaissance Office Executive Order 12333  
Quarterly Compliance Report

REFERENCES: (a) Executive Order 12333, 4 December 1981  
(b) DoD Directive 5240.1, 25 April 1988

The National Reconnaissance Office (NRO) Office of General Counsel submits this report pursuant to the above references for the Second Quarter of 2001, covering the dates of 1 April through 30 June 2001. Personnel at NRO facilities reported no instances of improper or unlawful intelligence activities, as defined by Executive Order 12333. The [redacted] Mission Ground Station reported once instance of authorized intentional collection of signals to, from, or about U.S. Persons, pursuant to OCMC Task #A0033-01. Personnel involved in the test collection signed consent forms prior to the test. The consent forms will be held by the National Security Agency (NSA). Details regarding this activity should appear in the quarterly NSA Signals Intelligence Oversight report.

Intelligence oversight activities conducted in support of Executive Order 12333 during this quarter included the initial indoctrination and training of 1106 individuals assigned to the NRO. In addition, various NRO sites conducted training to re-indoctrinate 2940 NRO personnel on the requirements of Executive Order 12333. The current training program is a combined effort between the NRO Office of Security and the NRO Office of General Counsel. Both offices, in coordination with the NRO Office of Inspector General are in the process of revised the NRO's internal Executive Order 12333 implementing policy and training procedures for 2001.

[redacted]  
Office of Security

*E. Page Moffitt*  
Office of General Counsel

cc:  
Office of Inspector General  
Office of Security  
CL BY: [redacted]  
CL Reason: 1.5(c)  
DECL ON: X1  
Derived From: NCG 5.1  
01 May 00

FOUO WHEN SEPARATED FROM CLASSIFIED ENCLOSURE

EFF





~~SECRET~~  
~~RESTRICTED~~  
NATIONAL RECONNAISSANCE OFFICE

24 April 2001

MEMORANDUM FOR ASSISTANT TO THE SECRETARY OF DEFENSE  
(INTELLIGENCE OVERSIGHT)

SUBJECT: National Reconnaissance Office Executive Order 12333  
Quarterly Compliance Report

quarterly compliance report for all Executive Order 12333  
activities for the period of 1 January through 31 March 2001.

If you have any questions about this report, please call (b)(2)-(b)(6)  
(b)(2)-(b)(6), Office of General Counsel at (b)(3)

Regards,

E. Page Moffett  
General Counsel

Attachment:  
Quarterly Compliance Report for the  
Intelligence Oversight Board

cc:  
IG  
D/OS

CL BY: [redacted]  
CL Reason: 1.5(c)  
DECL ON: X1  
Derived From: NCG 5.1  
01 May 00

UNCLASSIFIED WHEN SEPARATED FROM CLASSIFIED ENCLOSURE

~~SECRET~~  
~~RESTRICTED~~



NATIONAL RECONNAISSANCE OFFICE  
NATIONAL RECONNAISSANCE OFFICE

24 April 2001

MEMORANDUM FOR THE ASSISTANT TO THE SECRETARY OF DEFENSE  
(INTELLIGENCE OVERSIGHT)

SUBJECT: National Reconnaissance Office Executive Order 12333  
Quarterly Compliance Report

REFERENCES: (a) Executive Order 12333, 4 December 1981  
(b) DoD Directive 5240.1, 25 April 1988

The National Reconnaissance Office (NRO) Office of General Counsel submits this report pursuant to the above references for the first quarter of 2001, covering the dates of 1 January through 31 March 2001. Personnel at NRO facilities reported no instances of improper or unlawful intelligence activities, as defined by Executive Order 12333. The [redacted] Mission Ground Station reported once instance of [redacted] intentional collection of signals to, from, or about U.S. Persons, pursuant to OCMC Task #A0033-01. Personnel involved in the test collection signed consent forms prior to the test. The consent forms will be held by the National Security Agency (NSA). Details regarding this activity should appear in the quarterly NSA Signals Intelligence Oversight report.

Intelligence oversight activities conducted in support of Executive Order 12333 during this quarter included the initial indoctrination and training of 1106 individuals assigned to the NRO. In addition, various NRO sites conducted training to re-indoctrinate 2940 NRO personnel on the requirements of Executive Order 12333. The current training program is a combined effort between the NRO Office of Security and the NRO Office of General Counsel. Both offices, in coordination with the NSA Office of Inspector General are in the process of revised the NRO's internal Executive Order 12333 implementing policy and training procedures for 2001.

(b)(6), (b)(7)(C)  
[redacted]  
Office of Security

*E. Page Moffett*  
Office of General Counsel

CC:  
Office of Inspector General  
Office of Security

CL BY: [redacted]  
CL Reason: 1.5(c)  
DECL ON: X1  
Derived From: NCG 5.1  
01 May 00







NATIONAL RECONNAISSANCE OFFICE

06 May 2002

MEMORANDUM FOR ASSISTANT TO THE SECRETARY OF DEFENSE  
(INTELLIGENCE OVERSIGHT)

SUBJECT: National Reconnaissance Office Executive Order 12333  
Quarterly Compliance Report

I have attached the National Reconnaissance Office's  
quarterly compliance report for all Executive Order 12333  
activities for the period of 1 January through 31 March 2002.

If you have any questions about this report, please call (b)(6)  
(b)(6) Office of General Counsel at (b)(6)

*E. Page Morfett*  
E. Page Morfett  
General Counsel

Attachment:  
Quarterly Compliance Report for the  
Intelligence Oversight Board

CC:  
IG  
D/OS

CL BY: (b)(6)  
CL Reason: 1.5(c)  
DECL ON: X1  
Derived From: NCG 5.1  
02 May 02

UNCLASSIFIED WHEN SEPARATED FROM CLASSIFIED ENCLOSURE



NATIONAL RECONNAISSANCE OFFICE

06 May 2002

MEMORANDUM FOR THE ASSISTANT TO THE SECRETARY OF DEFENSE  
(INTELLIGENCE OVERSIGHT)

SUBJECT: National Reconnaissance Office Executive Order 12333  
Quarterly Compliance Report

REFERENCES: (a) Executive Order 12333, 4 December 1981  
(b) DoD Directive 5240.1, 25 April 1988

The National Reconnaissance Office (NRO) Office of General Counsel submits this report pursuant to the above references for the First Quarter of 2002, covering the dates of 1 January through 31 March 2002. Personnel at NRO facilities reported no instances of improper or unlawful intelligence activities, as defined by Executive Order 12333.

Intelligence oversight activities conducted in support of Executive Order 12333 during this quarter included the initial indoctrination and training of 581 individuals assigned to the NRO. In addition, various NRO sites conducted training to re-indoctrinate 704 NRO personnel on the requirements of Executive Order 12333. The current training program is a combined effort between the NRO Office of Security and the NRO Office of General Counsel. Both offices, in coordination with the NRO Office of Inspector General are in the process of revising the NRO's internal Executive Order 12333 implementing policy and training procedures for 2002.

(b)(7)-(b)(6)

Office of Security

Office of General Counsel

cc:  
Office of Inspector General  
Office of Security

CL BY: [redacted]  
CL Reason: 1.3(c)  
DECL ON: X1  
Derived From: NCG 5.1  
01 May 00

FOUO WHEN SEPARATED FROM CLASSIFIED ENCLOSURE

EFF

340

## National Reconnaissance Office Executive Order 12333 Quarterly Compliance Report

### 1 January - 31 March 2002

P. 4

NO. 262

MAY. 6. 2002 9:50AM

Facility	Principal / Secondary POC	Request Sent	Request Read	Follow-up note/call	Report Received	Ident. Requested	Re-Identified	# of Reports Generated	USP Taskings	Declined USP Priority	Request POC by USP ID	Approved by NSA/ISS	Comments
(b)(7)(C)		04/18/02											
		04/18/02			4/25/02	35	28	3	YES	0	NO	NO	EE
CWNGS		04/18/02			04/18/02								
CDMGS		04/18/02			04/04/02								
(all three get msg)		04/18/02				152	233	0	NO	0	NO	NO	
CWNGS		04/18/02			04/18/02								
Westfields		04/18/02			05/03/02	15							
		04/18/02				153	0	0	NO	0	NO	NO	
		04/18/02			04/30/02	15							
		04/18/02			04/30/02	15							
		04/18/02				1	0	0	NO	0	NO	NO	
		04/18/02											
		04/18/02			04/18/02	12		0	NO	0	NO	NO	
Offutt AFB		04/18/02			04/18/02	0	0	0	NO	0	NO	NO	
		04/18/02				0	0	0	NO	0	NO	NO	
		04/18/02			05/02/02	24		0	NO	0	NO	NO	
VAFB		04/18/02			04/29/02	12	1	13	NO	0	NO	NO	
OGC		04/18/02											
IG		04/18/02				0	0	0	NO	0	NO	NO	
OS		04/18/02											
		04/18/02				0	0	0	NO	0	NO	NO	
Offutt AFB													
Meade													
Wallops AFB													
<b>TOTALS</b>	<b>SITES REMAINING</b>					<b>581</b>	<b>704</b>	<b>YES</b>	<b>YES</b>	<b>0</b>	<b>0</b>	<b>0</b>	

CL By: [redacted]  
 CL Reason: 1.5(f)  
 DECL ON: X1  
 Derived From: NCS 5.1  
 01 May 02

With consent of U.S. persons.



~~CONFIDENTIAL~~  
NATIONAL RECONNAISSANCE OFFICE

3 February 2006

MEMORANDUM FOR ASSISTANT TO THE SECRETARY OF DEFENSE  
(INTELLIGENCE OVERSIGHT)

SUBJECT: National Reconnaissance Office Executive Order 12333  
Quarterly Compliance Report

I have attached the National Reconnaissance Office's  
quarterly compliance report for all Executive Order 12333  
activities for the period of 1 October through 31 December 2005.

If you have any questions about this report, please call

(b)(6) Office of General Counsel at (b)(3).

E. Page Moffett  
General Counsel

Attachment:  
Quarterly Compliance Report for the  
Intelligence Oversight Board

cc:  
Inspector General  
Director Office of Security

DECL ON: 20310203  
DRV FROM: NRO Classification Guide 6.0, 21 May 2005

UNCLASSIFIED WHEN SEPARATED FROM CLASSIFIED ENCLOSURE

NO 169 P 2

EFF 342  
FEB 7 2006 3:25PM



~~CONFIDENTIAL~~  
NATIONAL RECONNAISSANCE OFFICE

3 February 2006

MEMORANDUM FOR THE ASSISTANT TO THE SECRETARY OF DEFENSE  
(INTELLIGENCE OVERSIGHT)

SUBJECT: National Reconnaissance Office Executive Order 12333  
Quarterly Compliance Report

REFERENCES: (a) Executive Order 12333, 4 December 1981  
(b) DoD Directive 5240.1, 25 April 1988

The National Reconnaissance Office (NRO) Office of General Counsel submits this report pursuant to the above references for the Fourth Quarter of 2005, covering the dates of 1 October through 31 December 2005. Personnel at NRO facilities reported no instances of improper or unlawful intelligence activities, as defined by Executive Order 12333.

Intelligence oversight activities conducted in support of Executive Order 12333 during this quarter included the initial indoctrination and training of 914 individuals assigned to the NRO. In addition, various NRO sites conducted training to re-indoctrinate 1872 NRO personnel on the requirements of Executive Order 12333. The current training program is a combined effort between the NRO Office of Security and the NRO Office of General Counsel. Both offices, in coordination with the NRO Office of Inspector General, recently revised the NRO's internal Executive Order 12333 implementing policy and training procedures for 2005.

*Mary Lou McCaffrey*  
Office of Security

*E. Ray Moffatt*  
Office of General Counsel

CC:  
Office of Inspector General  
Office of Security

DECL ON: 20310203  
DRV FROM: NRO Classification Guide 6.0, 21 May 2005

~~FOUO~~ WHEN SEPARATED FROM CLASSIFIED ENCLOSURE

EFF 343  
FEB 7 2006 3:25PM 834





~~CONFIDENTIAL~~  
NATIONAL RECONNAISSANCE OFFICE

20 July 2005

MEMORANDUM FOR ASSISTANT TO THE SECRETARY OF DEFENSE  
(INTELLIGENCE OVERSIGHT)

SUBJECT: National Reconnaissance Office Executive Order 12333  
Quarterly Compliance Report

I have attached the National Reconnaissance Office's quarterly compliance report for all Executive Order 12333 activities for the period of 1 April through 30 June 2005. If you have any questions about this report, please call (b)(6) Office of General Counsel at (b)(2)

*E. Page Moffett*  
E. Page Moffett  
General Counsel

Attachment:  
Quarterly Compliance Report for the  
Intelligence Oversight Board

cc:  
Inspector General  
Director Office of Security

CL BY: (b)(6)  
CL Reason: 1.4(c)  
DECL ON: 25X1  
Derived From: MCG 5.1  
01 May 2000

UNCLASSIFIED WHEN SEPARATED FROM CLASSIFIED ENCLOSURE

~~CONFIDENTIAL~~  
EFF

345

MAY 08 1995 9:00PM





# National Reconnaissance Office Executive Order 12333 Quarterly Compliance Report

## 1 April - 30 June 2005

NO. 289

Facility	Principal / Secondary POC	Request Sent	Request Read	Follow-up note/call	Report Received	Duty Assigned	Re-Assigned	# of Review Courses Given	USP Failings	Discipline USP Identity	Reviews Filed by USP ID	Any other questionable activities
		06/30/05	out of office	NA	NA							
		06/30/05	06/30/05	NA	7/13/2005	19	0	0	0	0	0	0
CWNAIS		06/30/05	06/30/05	NA	07/05/05							
		06/30/05	07/05/05	NA	NA	318	0	0	0	0	0	0
		06/30/05	07/05/05	NA	07/07/05							
		06/30/05	08/30/05	NA	NA							
		06/30/05	08/30/05	NA	NA	312	559	0	0	0	0	0
SWMGS (CSWAGS) Westfields		06/30/05	07/01/05	NA	NA							
		06/30/05	07/05/05	NA	07/19/05	62	11	0	0	0	0	0
		05/30/05	06/30/05	NA	07/18/05							
		06/30/05	NA	NA	NA	348	0	0	0	0	0	0
Los Angeles		06/30/05	06/30/05	NA	07/07/05							
		06/30/05	NEW	NA	NA	247	0	0	0	0	0	0
		06/30/05	06/30/05	NA	07/19/05							
		06/30/05	06/30/05	NA	NA	8	0	0	0	0	0	0
Onizuka/Sunnyvale		06/30/05			NA							
		06/30/05	06/30/05	NA	NA							
		06/30/05	06/30/05	NA	07/12/05	11	59	0	0	0	0	0
Offutt AFB		06/30/05	06/30/05	NA	07/19/05							
				NA		0	0	0	0	0	0	0
DCAS		06/30/05	06/30/05	NA	07/12/05							
		06/30/05										
VAFB		06/30/05	06/30/05	NA	06/30/05							
		06/30/05	NEW	NA	NA	10	4	51	0	0	0	0
GGC	Michael Barrett											
IG												
CI												
OS												
Kelly AFB												
FL Man of												
San Diego AFB												
<b>TOTALS</b>	<b>SITES REMAINING</b>					<b>1112</b>	<b>1124</b>	<b>51</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

MAY 18 9 347 AM '05

CL by: [Redacted]  
 CL Reason: 1.4(g)  
 USCL ON: 2681  
 Derived From: NRO OC 5.1  
 01 May 2005

\*With consent of U.S. persons.



~~TOP SECRET~~  
NATIONAL RECONNAISSANCE OFFICE

17 October 2005

MEMORANDUM FOR ASSISTANT TO THE SECRETARY OF DEFENSE  
(INTELLIGENCE OVERSIGHT)

SUBJECT: National Reconnaissance Office Executive Order 12333  
Quarterly Compliance Report

I have attached the National Reconnaissance Office's  
quarterly compliance report for all Executive Order 12333  
activities for the period of 1 July through 30 September 2005.

If you have any questions about this report, please call

(b)(6) Office of General Counsel at (b)(6)

E. Page Moffett  
General Counsel

Attachment:  
Quarterly Compliance Report for the  
Intelligence Oversight Board

cc:  
Inspector General  
Director Office of Security

CL BY: [redacted]  
CL Reason: 1.4(c)  
DECL ON: 25X1  
Derived From: NCG 5.1  
01 May 2000

UNCLASSIFIED WHEN SEPARATED FROM CLASSIFIED ENCLOSURE

EFF

348

OCT 24 2005 12:08PM

2 4 089 04



~~CONFIDENTIAL~~  
NATIONAL RECONNAISSANCE OFFICE

17 October 2005

MEMORANDUM FOR THE ASSISTANT TO THE SECRETARY OF DEFENSE  
(INTELLIGENCE OVERSIGHT)

SUBJECT: National Reconnaissance Office Executive Order 12333  
Quarterly Compliance Report

REFERENCES: (a) Executive Order 12333, 4 December 1981  
(b) DoD Directive 5240.1, 25 April 1988

The National Reconnaissance Office (NRO) Office of General Counsel submits this report pursuant to the above references for the Third Quarter of 2005, covering the dates of 1 July through 30 September 2005. Personnel at NRO facilities reported no instances of improper or unlawful intelligence activities, as defined by Executive Order 12333.

Intelligence oversight activities conducted in support of Executive Order 12333 during this quarter included the initial indoctrination and training of 1189 individuals assigned to the NRO. In addition, various NRO sites conducted training to re-indoctrinate 682 NRO personnel on the requirements of Executive Order 12333. The current training program is a combined effort between the NRO Office of Security and the NRO Office of General Counsel. Both offices, in coordination with the NRO Office of Inspector General, recently revised the NRO's internal Executive Order 12333 implementing policy and training procedures for 2005.

*Mary Rose McCaffrey*  
Office of Security

*E. Page Moffatt*  
Office of General Counsel

cc:  
Office of Inspector General  
Office of Security

CL BY: [redacted]  
CL Reason: 1.4(c)  
DECL ON: 25X1  
Derived From: NCO 5.1  
01 May 2000

~~CONFIDENTIAL~~  
FOUO WHEN SEPARATED FROM CLASSIFIED ENCLOSURE

EFF 349  
OCT 24 2005 12:08PM

# National Reconnaissance Office Executive Order 12333 Quarterly Compliance Report

## 1 July - 30 September 2005

P. 4

NO. 585

Facility	Principal / Secondary POC	Request Sent	Request Read	Follow-up notecall	Report Received	Ready Mailed	On-Order	# of Review Copies Given	USP Returns	USP Retention	Review Date by USP ID	Any other questionable activities
(b) [redacted]	(b) [redacted]	10/04/05	10/10/05	10/14/05	10/16/05							
(b) [redacted]	(b) [redacted]	10/04/05	NA	10/14/05	NA	36	0	383	0	0	0	0
(b) [redacted]	(b) [redacted]	10/04/05	10/05/05	NA								
(b) [redacted]	(b) [redacted]	10/04/05	NA	NA	10/07/05							
(b) [redacted]	(b) [redacted]	10/04/05	NA	NA	NA	350	622	0	0	0	0	0
(b) [redacted]	(b) [redacted]	10/04/05		10/14/05	NA							
(b) [redacted]	(b) [redacted]	10/04/05		10/14/05	NA							
Westfield		10/04/05	10/04/05	NA	NA							
		10/04/05	NA	NA	NA	384	0	0	0	0	0	0
		10/04/05	10/05/05	NA								
		10/04/05		NA								
(b) [redacted]	(b) [redacted]	10/04/05	10/04/05	10/14/05	10/14/05							
(b) [redacted]	(b) [redacted]	10/04/05	NA	10/14/05	NA	4	0	0	0	0	0	0
(b) [redacted]	(b) [redacted]	10/04/05										
(b) [redacted]	(b) [redacted]	10/04/05	10/11/05	NA								
(b) [redacted]	(b) [redacted]	10/04/05	10/04/05	NA								
ORR AFBI		10/04/05	10/05/05	NA	NA							
		10/04/05	NA	NA	NA	0	0	1	0	0	0	0
		10/04/05	10/10/05	10/14/05								
VAFB		10/04/05										
		10/04/05	10/04/05	NA	10/04/05							
		10/04/05	10/04/05	NA	NA	18	1	21	0	0	0	0
FG												
CI												
(b) [redacted]	(b) [redacted]											
(b) [redacted]	(b) [redacted]											
<b>TOTAL</b>	<b>SITES REMAINING</b>					<b>1189</b>	<b>682</b>	<b>386</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

OCT 24 2005 12:09 PM  
 EFF  
 350

CL By: [redacted]  
 CL Reason: 1.4(c)  
 ORCL ON: 2961  
 Derived From: NRO GC 5.1  
 01 May 2003

\*With consent of U.S. persons.



~~CONFIDENTIAL~~  
NATIONAL RECONNAISSANCE OFFICE

27 April 2006

MEMORANDUM FOR ASSISTANT TO THE SECRETARY OF DEFENSE  
(INTELLIGENCE OVERSIGHT)

SUBJECT: National Reconnaissance Office Executive Order 12333  
Quarterly Compliance Report

I have attached the National Reconnaissance Office's  
quarterly compliance report for all Executive Order 12333  
activities for the period of 1 January through 31 March 2006.  
If you have any questions about this report, please call  
(b)(6) Office of General Counsel at (b)(2).

*E. Page Moffett*  
E. Page Moffett  
General Counsel

Attachment:  
Quarterly Compliance Report for the  
Intelligence Oversight Board

cc:  
Inspector General  
Director Office of Security

DECL ON: 20310203  
DRV FROM: NRO Classification Guide, 22 May 2005

UNCLASSIFIED WHEN SEPARATED FROM CLASSIFIED ENCLOSURE

10 02 04

~~CONFIDENTIAL~~  
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AYGAV 11:40AM 28.2006 82Y



~~CONFIDENTIAL~~  
NATIONAL RECONNAISSANCE OFFICE

27 April 2006

MEMORANDUM FOR THE ASSISTANT TO THE SECRETARY OF DEFENSE  
(INTELLIGENCE OVERSIGHT)

SUBJECT: National Reconnaissance Office Executive Order 12333  
Quarterly Compliance Report

REFERENCES: (a) Executive Order 12333, 4 December 1981  
(b) DoD Directive 5240.1, 25 April 1988

The National Reconnaissance Office (NRO) Office of General Counsel submits this report pursuant to the above references for the First Quarter of 2006, covering the dates of 1 January through 31 March 2006. Personnel at NRO facilities reported no instances of improper or unlawful intelligence activities, as defined by Executive Order 12333.

Intelligence oversight activities conducted in support of Executive Order 12333 during this quarter included the initial indoctrination and training of 851 individuals assigned to the NRO. In addition, various NRO sites conducted training to re-indoctrinate 2001 NRO personnel on the requirements of Executive Order 12333. The current training program is a combined effort between the NRO Office of Security and the NRO Office of General Counsel. Both offices, in coordination with the NRO Office of Inspector General, recently revised the NRO's internal Executive Order 12333 implementing policy and training procedures for 2006.

*Mary Rose McCaffery*  
Office of Security

*E. Page Maffeo*  
Office of General Counsel

cc:  
Office of Inspector General  
Office of Security

DECL ON: 20310203  
DRV FROM: NRO Classification Guide 6.0, 21 May 2005

~~CONFIDENTIAL~~ WHEN SEPARATED FROM CLASSIFIED ENCLOSURE EFF

352

710 0N

APR 28 2006 11:39AM



~~CONFIDENTIAL~~  
NATIONAL RECONNAISSANCE OFFICE

1 August 2006

MEMORANDUM FOR ASSISTANT TO THE SECRETARY OF DEFENSE  
(INTELLIGENCE OVERSIGHT)

SUBJECT: National Reconnaissance Office Executive Order 12333  
Quarterly Compliance Report

I have attached the National Reconnaissance Office's quarterly compliance report for all Executive Order 12333 activities for the period of 1 April through 30 June 2006. If you have any questions about this report, please call (b)(6) Office of General Counsel at (b)(6).

E. Page Moffett  
General Counsel

Attachment:  
Quarterly Compliance Report for the  
Intelligence Oversight Board

cc:  
Inspector General  
Director Office of Security

DECL ON: 20310203  
DRV FROM: NRO Classification Guide, 21 May 2005

UNCLASSIFIED WHEN SEPARATED FROM CLASSIFIED ENCLOSURE

EFF

353

7 AUG 2006 1:21 PM



~~CONFIDENTIAL~~  
NATIONAL RECONNAISSANCE OFFICE

1 August 2006

MEMORANDUM FOR THE ASSISTANT TO THE SECRETARY OF DEFENSE  
(INTELLIGENCE OVERSIGHT)

SUBJECT: National Reconnaissance Office Executive Order 12333  
Quarterly Compliance Report

REFERENCES: (a) Executive Order 12333, 4 December 1981  
(b) DoD Directive 5240.1, 25 April 1988

The National Reconnaissance Office (NRO) Office of General Counsel submits this report pursuant to the above references for the Second Quarter of 2006, covering the dates of 1 April through 30 June 2006. Personnel at NRO facilities reported no instances of improper or unlawful intelligence activities, as defined by Executive Order 12333.

Intelligence oversight activities conducted in support of Executive Order 12333 during this quarter included the initial indoctrination and training of 695 individuals assigned to the NRO. In addition, various NRO sites conducted training to re-indoctrinate 1274 NRO personnel on the requirements of Executive Order 12333. The current training program is a combined effort between the NRO Office of Security and the NRO Office of General Counsel. Both offices, in coordination with the NRO Office of Inspector General, recently revised the NRO's internal Executive Order 12333 implementing policy and training procedures for 2006.

*Mary Rose McCaffrey*  
Office of Security

*E. Page Moffitt*  
Office of General Counsel

cc:  
Office of Inspector General  
Office of Security

DECL ON: 20310203  
DRV FROM: NRO Classification Guide 6.0, 21 May 2005

~~CONFIDENTIAL~~  
REMOVE WHEN SEPARATED FROM CLASSIFIED ENCLOSURE

EFF 354  
AUG 4 2006 1:21PM



# National Reconnaissance Office Executive Order 12333 Quarterly Compliance Report (1 APRIL 2006 THROUGH 30 JUNE 2006)

Facility	Principal / Secondary POC	Request Sent	Request Read	Request Up	Report Received	Being tracked	Re-Related	# of Review Conferences	NSP Incidents	Disposal USG identity	Revisions from USF ID	Pay to full compliance activities
(b) [redacted]	(b)(7)(C)-(b)(7)(E)	07/08/06	07/10/06	NA	NA							
(b) [redacted]	(b)(7)(C)-(b)(7)(E)	07/07/06	07/06/06	NA	07/10/06	28	235	0	0	0	0	0
(b) [redacted]	(b)(7)(C)-(b)(7)(E)	07/08/06	07/07/06	NA	07/11/06							
(b) [redacted]	(b)(7)(C)-(b)(7)(E)	07/08/06	NA	NA	NA	173	840	289	0	0	0	0
Westfield	(b)(7)(C)-(b)(7)(E)	07/06/06	07/08/06	NA	07/12/06							
(b) [redacted]	(b)(7)(C)-(b)(7)(E)	NA	NA	NA	NA	151	0	0	0	0	0	0
(b) [redacted]	(b)(7)(C)-(b)(7)(E)	07/08/06	07/08/06	NA	07/12/06							
(b) [redacted]	(b)(7)(C)-(b)(7)(E)	07/08/06	07/08/06	NA	NA	2	72	1	0	0	0	0
Comm/OPS/COS/COSO	(b)(7)(C)-(b)(7)(E)	07/08/06	NA	NA	NA							
(Orbit AFB)	(b)(7)(C)-(b)(7)(E)	07/06/06	07/06/06	NA	7/17/2006	0	6	6	0	0	0	0
VAFB	(b)(7)(C)-(b)(7)(E)	07/05/06	07/06/06	NA	07/06/06							
(b) [redacted]	(b)(7)(C)-(b)(7)(E)	07/06/06	07/06/06	NA	NA	13	0	58	0	0	0	0
IC	(b)(7)(C)-(b)(7)(E)											
OS	(b)(7)(C)-(b)(7)(E)											
Wally AFB	(b)(7)(C)-(b)(7)(E)											
<b>TOTAL</b>	(b)(7)(C)-(b)(7)(E)					<b>695</b>	<b>1274</b>	<b>359</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>SITES REMAINING</b>												

SEP. 21. 2006 11:26AM

355

DECL ON: 25X, 20580.203, RFRG dated July 2005  
 DERIVED FROM: NRO Classification Guide 6.0,  
 21 May 2005

\*With consent of U.S. persons.



~~CONFIDENTIAL~~  
NATIONAL RECONNAISSANCE OFFICE

18 October 2006

MEMORANDUM FOR THE ASSISTANT TO THE SECRETARY OF DEFENSE  
(INTELLIGENCE OVERSIGHT)

SUBJECT: National Reconnaissance Office Executive Order 12333  
Quarterly Compliance Report

REFERENCES: (a) Executive Order 12333, 4 December 1981  
(b) DoD Directive S240.1, 25 April 1988

The National Reconnaissance Office (NRO) Office of General Counsel submits this report pursuant to the above references for the third Quarter of 2006, covering the dates of 1 July through 30 September 2006. Personnel at NRO facilities reported no instances of improper or unlawful intelligence activities, as defined by Executive Order 12333.

Intelligence oversight activities conducted in support of Executive Order 12333 during this quarter included the initial indoctrination and training of 1872 individuals assigned to the NRO. In addition, various NRO sites conducted training to re-indoctrinate 1440 NRO personnel on the requirements of Executive Order 12333. A separate report for a mission site is attached and their statistics are not included in the above figures. The current training program is a combined effort between the NRO Office of Security and Counter-Intelligence and the NRO Office of General Counsel. Both offices, in coordination with the NRO Office of Inspector General, recently revised the NRO's internal Executive Order 12333 implementing policy and training procedures for 2006.

*M.R. McCaffrey*  
Office of Security  
and Counter-Intelligence

*E. P. Moffitt*  
Office of General Counsel

Attachment:  
Mission Site Report

cc:  
Office of Inspector General  
Office of Security and Counter-Intelligence

DECL ON: 20311018  
DRV FROM: NRO Classification Guide 6.0, 21 May 2005

~~CONFIDENTIAL~~  
-FOUO-WHEN SEPARATED FROM CLASSIFIED ENCLOSURE

NO 135  
P. 3

EFF  
OCT 25 2006 12:30 PM  
356

# National Reconnaissance Office Executive Order 12333 Quarterly Compliance Report

## 1 JULY 2006 THROUGH 30 SEPTEMBER 2006

NO. 135

Facility	Principal / Secondary POC	Request Sent	Request Read	Follow-up note/call	Report Received	Items Deleted	Items Exported	Entire Facility Deleted	USP Deleted	Other Deleted	Number of USPs	Number of Images
(b) [Redacted]	Kathleen Brady 835-8455	10/04/06	10/04/06	NA	10/13/2006							
(b) [Redacted]	Tammie Matecke-Conti 835-6460	10/04/06	10/04/06	NA	NA	42	314	0	0	0	0	0
(b) [Redacted]	(b) [Redacted]	10/04/06	10/04/06	10/13/06	10/13/06							
(b) [Redacted]		NA	NA	10/13/06	NA	221	1105	588	0	0	0	0
(b) [Redacted]	(b) [Redacted]	10/04/06	10/04/06	10/13/06	10/10/06							
(b) [Redacted]		NA	NA	NA	NA	371	0	0	0	0	0	0
(b) [Redacted]	(b) [Redacted]	10/04/06	10/04/06	10/13/06	10/18/06							
(b) [Redacted]		10/04/06	10/04/06	10/13/06	NA	1	0	0	0	0	0	0
(b) [Redacted]		10/04/06	10/04/06	10/13/06	NA							
(b) [Redacted]		10/04/06	10/04/06	10/13/06	10/16/2006	0	0	0	0	0	0	0
(b) [Redacted]	(b) [Redacted]	10/04/06	10/04/06	NA	10/04/06							
(b) [Redacted]		10/04/06	NA	NA	NA	14	2	16	0	0	0	0
(b) [Redacted]	(b) [Redacted]	IG										
(b) [Redacted]		OS										
(b) [Redacted]	(b) [Redacted]											
(b) [Redacted]												
<b>TOTAL</b>	<b>SITES REMAINING</b>					<b>1072</b>	<b>1440</b>	<b>588</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

EFF  
MAY 25 2006 12:31 PM  
357

DECLON: 26X 20590293, NRC dated July 2006  
DERIVED FROM: NRO Classification Guide 5.8,  
21 May 2003

With consent of U.S. persons.

\*Separate report attached



NATIONAL RECONNAISSANCE OFFICE

11 January 2006

MEMORANDUM FOR THE ASSISTANT TO THE SECRETARY OF DEFENSE  
(INTELLIGENCE OVERSIGHT)

SUBJECT: National Reconnaissance Office Executive Order 12333  
Quarterly Compliance Report

REFERENCES: (a) Executive Order 12333, 4 December 1981  
(b) DoD Directive 5740.1, 25 April 1988

The National Reconnaissance Office (NRO) Office of General Counsel submits this report pursuant to the above references for the Fourth Quarter of 2006, covering the dates of 1 October through 31 December 2006. Personnel at NRO facilities reported no instances of improper or unlawful intelligence activities, as defined by Executive Order 12333.

Intelligence oversight activities conducted in support of Executive Order 12333 during this quarter included the initial indoctrination and training of 837 individuals assigned to the NRO. In addition, various NRO sites conducted training to re-indoctrinate 3489 NRO personnel on the requirements of Executive Order 12333. A separate report for a mission site is attached and their statistics are not included in the above figures. The current training program is a combined effort between the NRO Office of Security and Counter-Intelligence and the NRO Office of General Counsel. Both offices, in coordination with the NRO Office of Inspector General, recently revised the NRO's internal Executive Order 12333 implementing policy and training procedures for 2006.

*Mary Kay McAffery*  
Office of Security  
and Counter-Intelligence

*E. Page Moffatt*  
Office of General Counsel

Attachment:  
Mission Site Report  
Ground Station Report

cc:  
Office of Inspector General  
Office of Security and Counter-Intelligence

DECL ON: 20310111  
DRV FROM: NRO Classification Guide 6.0, 21 May 2005

~~FOUO~~ WHEN SEPARATED FROM CLASSIFIED ENCLOSURES  
EFF

# National Reconnaissance Office Executive Order 12333 Quarterly Compliance Report

## 1 October through 31 December 2006

NO. 231 2. 4

Facility	Principal / Secondary POC	Request Sent	Request Read	Follow-up note/ball	Report Received	Request Status	Re-Request	1st Review Complete Date	USP Follow-up	Discrep. Resolution	Final Review Date	Final Review Status
(b)(1) 4		12/08/06		01/08/07	(b)(7)(C)							
(b)(1) 4c		12/08/06		01/08/07	see dynamic report	27	4	0	see report	see report	see report	see report
(b)(1) 4c		12/08/06		01/08/07	01/11/07							
(b)(1) 4c		12/08/06		01/08/07	NA	208	1022	688	NO	0	NO	NO
Wearfields		12/08/06		01/08/07	01/08/07							
(b)(1) 4c		NA		NA	NA	291	0	0	NO	0	NO	NO
(b)(1) 4c		12/08/06		01/08/07	01/08/07							
(b)(1) 4c		12/08/06		01/08/07	NA	0	75	75	NO	0	NO	NO
COMR/OFS/COS/COSO (Offutt AFB)		12/08/06	12/08/06	NA	NA							
(b)(1) 4c		12/08/06	12/08/06	NA	12/12/2006	0	0	0	NO	0	NO	NO
VAFB		12/08/06		NA	01/02/07							
(b)(1) 4c		12/08/06		NA	NA	9	0	9	NO	0	NO	NO
TOTALS	SITES REMAINING					637	3493	772	0	0	0	0

JAN 16 2007 9:06AM



NATIONAL RECONNAISSANCE OFFICE

29 January 2008

MEMORANDUM FOR THE ASSISTANT TO THE SECRETARY OF DEFENSE  
(INTELLIGENCE OVERSIGHT)

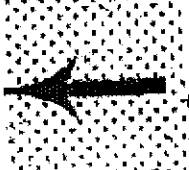
SUBJECT: National Reconnaissance Office Executive Order 12333  
Quarterly Compliance Report

REFERENCES: (a) Executive Order 12333, 4 December 1981  
(b) DoD Directive 5240.1, 25 April 1988

The National Reconnaissance Office (NRO) Office of General Counsel submits this report pursuant to the above references for the Fourth Quarter of 2007, covering the dates of 1 October through 31 December 2007. Personnel at NRO facilities reported no instances of improper or unlawful intelligence activities, as defined by Executive Order 12333.

Intelligence oversight activities conducted in support of Executive Order 12333 during this quarter included the initial indoctrination and training of 656 individuals assigned to the NRO. In addition, various NRO sites conducted training to re-indoctrinate 1780 NRO personnel on the requirements of Executive Order 12333. A separate report for a mission site is attached and their statistics are not included in the above figures. The current training program is a combined effort between the NRO Office of Security and Counter-Intelligence and the NRO Office of General Counsel.

*Mary Rose McCaffrey*  
Office of Security and Counter-Intelligence



*Page McPherson*  
Office of General Counsel

THIS IS A SIGN

Attachment:  
Mission Site Report

cc:  
Office of Inspector General  
Office of Security and Counter-Intelligence

DECL ON: 20330129  
DRV FROM: NRO Classification Guide 6.0, 21 May 2005

~~DO NOT REMOVE WHEN SEPARATED FROM CLASSIFIED ENCLOSURE~~

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350

FEB. 4. 2006 7:49PM

NO. 052 P. 3



~~CONFIDENTIAL~~  
NATIONAL RECONNAISSANCE OFFICE

29 January 2008

MEMORANDUM FOR ASSISTANT TO THE SECRETARY OF DEFENSE  
(INTELLIGENCE OVERSIGHT)

SUBJECT: National Reconnaissance Office Executive Order 12333  
Quarterly Compliance Report

I have attached the National Reconnaissance Office's quarterly compliance report for all Executive Order 12333 activities for the period of 1 October through 31 December 2007. If you have any questions about this report, please call (b)(6) Office of General Counsel at (b)(6)

Handwritten signature of E. Page Moffett in cursive.

E. Page Moffett  
General Counsel

Attachment:  
Quarterly Compliance Report for the  
Intelligence Oversight Board

cc:  
Inspector General  
Director Office of Security and  
Counter-Intelligence

DECL CN. 30330129  
DRV FROM: NRO Classification Guide, 31 May 2005

UNCLASSIFIED WHEN SEPARATED FROM CLASSIFIED ENCLOSURE

~~CONFIDENTIAL~~  
EFF

361

FEB 4 2008 2:50 PM

# National Reconnaissance Office Executive Order 12333 Quarterly Compliance Report

## 1 JULY 2007 THROUGH 30 SEPTEMBER 2007

Facility	Principal / Secondary POC	Request Sent	Request Read	Follow-up note/call	Report Received	Items Sent	Items Examined	# of Recovery Comments Correct	USP In Effect	Discontinue USP Activity	Priority Area By 03/07/10	Any other special security concerns
(b)		12/31/07			1/14/2008							
		12/31/07			NA	21	2	0	NO	0	NO	NO
		12/31/07			01/23/08							
		12/31/07			NA	121	1118	498	YES	0	NO	YES
		12/31/07			01/14/08							
Westfield		12/31/07			NA	260	0	0	NO	0	NO	NO
		12/31/07			01/10/08							
		12/31/07			NA	0	62	62	NO	0	NO	NO
		12/31/07			01/09/08							
CCAS		12/31/07			NA	2	0	0	NO	0	NO	NO
		12/31/07										
OIG	Nichole Barnett											
CI												
R21 AFS												
<b>TOTALS</b>	<b>SITES REMAINING</b>					<b>656</b>	<b>1780</b>	<b>566</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

Westfield: OIG performed an inspection of the Special Technologies Group (STG) within the NRO. NRDD 22-4a, as implemented by NROI 4-1, requires that employees complete annual EO 12333 training. Significant numbers of STG personnel had not complied with annual training requirements. Only (b) STG personnel (58 %) had completed EO 12333 training. OIG recommended in its inspection report that the STG Director ensure that STG personnel complete the annual EO 12333 training. STG concurred and instructed STG personnel to complete the training by September 28. STG established a tracking database to ensure compliance.

362

OIGL ON: 25K, 2068012A, RING dated July 20-08  
 DERIVED FROM: NRO Clearance Study & O, 21 May 2005

\*With consent of U.S. persons.

\*\*Separate report attached.

NO. 092 P. 4





~~CONFIDENTIAL~~  
NATIONAL RECONNAISSANCE OFFICE

24 October 2007

MEMORANDUM FOR ASSISTANT TO THE SECRETARY OF DEFENSE  
(INTELLIGENCE OVERSIGHT)

SUBJECT: National Reconnaissance Office Executive Order 12333  
Quarterly Compliance Report

I have attached the National Reconnaissance Office's quarterly compliance report for all Executive Order 12333 activities for the period of 1 July through 30 September 2007. If you have any questions about this report, please call (b)(6) Office of General Counsel at (b)(6).

E. Page Moffett  
General Counsel

Attachment:  
Quarterly Compliance Report for the  
Intelligence Oversight Board

cc:  
Inspector General  
Director Office of Security and  
Counter-Intelligence

DECL ON: 20321024  
DRV FROM: NRO Classification Guide, 21 May 2005

UNCLASSIFIED WHEN SEPARATED FROM CLASSIFIED ENCLOSURE

SECRET  
NATIONAL RECONNAISSANCE OFFICE

24 October 2007

MEMORANDUM FOR THE ASSISTANT TO THE SECRETARY OF DEFENSE  
(INTELLIGENCE OVERSIGHT)SUBJECT: National Reconnaissance Office Executive Order 12333  
Quarterly Compliance ReportREFERENCES: (a) Executive Order 12333, 4 December 1981  
(b) DoD Directive 5240.1, 25 April 1988

The National Reconnaissance Office (NRO) Office of General Counsel submits this report pursuant to the above references for the Third Quarter of 2007, covering the dates of 1 July through 30 September 2007. Personnel at NRO facilities reported no instances of improper or unlawful intelligence activities, as defined by Executive Order 12333.

Intelligence oversight activities conducted in support of Executive Order 12333 during this quarter included the initial indoctrination and training of 775 individuals assigned to the NRO. In addition, various NRO sites conducted training to re-indoctrinate 1199 NRO personnel on the requirements of Executive Order 12333. A separate report for a mission site is attached and their statistics are not included in the above figures. The current training program is a combined effort between the NRO Office of Security and Counter-Intelligence and the NRO Office of General Counsel.

*Mary Lou McCaffrey*  
Office of Security  
and Counter-Intelligence

*E. Vag Moffitt*  
Office of General Counsel

Attachment:  
Mission Site Reportcc:  
Office of Inspector General  
Office of Security and Counter-IntelligenceDECL ON: 20321024  
DRV FROM: NRO Classification Guide 6.C, 21 May 2005~~FOUO~~ WHEN SEPARATED FROM CLASSIFIED ENCLOSURE

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364

OCT 25 2007 9:23PM

## National Reconnaissance Office Executive Order 12333 Quarterly Compliance Report

### 1 JULY 2007 THROUGH 30 SEPTEMBER 2007

Facility	Principal / Secondary POC	Request Sent	Request Read	Follow-up note/call	Report Received	Army Deployed	Re-Deployed	# of Redline Changes Corrected	USP (estimating)	Overlays GEP/ID/ID/ID	2500a files by US/ID	any other questionable activities
[REDACTED]	[REDACTED]	08/24/07	NA	NA	10/2/2007							
[REDACTED]	[REDACTED]	08/24/07	NA	NA	NA	39	320	unk	NO	0	NO	NO
[REDACTED]	[REDACTED]	08/24/07	NA	NA	10/11/07							
[REDACTED]	[REDACTED]	08/24/07	NA	NA	NA	168	878	468	YES	0	NO	NO
Westfields	[REDACTED]	08/24/07	NA	NA	10/10/07							
[REDACTED]	[REDACTED]	NA	NA	NA	NA	270	0	0	NO	0	NO	NO
[REDACTED]	[REDACTED]	08/24/07	NA	NA	10/10/07							
[REDACTED]	[REDACTED]	08/24/07	NA	NA	NA	0	0	0	NO	0	NO	NO
CCAS	[REDACTED]	08/24/07	NA	NA	10/01/07							
[REDACTED]	[REDACTED]	NA	NA	NA	NA	20	0	0	NO	0	NO	NO
OIG	Michael D'Amico											
CI	[REDACTED]											
MAINT	[REDACTED]											
ST	[REDACTED]											
<b>TOTALS<sup>5</sup></b>	<b>SITES REMAINING</b>					<b>775</b>	<b>1199</b>	<b>471</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

Westfields: OIG performed an inspection of the Special Technologies Group (STG) within the NRO. NROD 22-4a, as implemented by NROD 4-1, requires that employees complete annual EO 12333 training. Significant numbers of STG personnel had not complied with annual training requirements. Only [REDACTED] STG personnel (56 %) had completed EO 12333 training. OIG recommended in its inspection report that the STG Director ensure that STG personnel complete the annual EO 12333 training. STG concurred and instructed STG personnel to complete the training by September 28. STG established a tracking database to ensure compliance.

NO: 829 P. 4

065



~~CONFIDENTIAL~~  
NATIONAL RECONNAISSANCE OFFICE

8 May 2007

MEMORANDUM FOR ASSISTANT TO THE SECRETARY OF DEFENSE  
(INTELLIGENCE OVERSIGHT)

SUBJECT: National Reconnaissance Office Executive Order 12333  
Quarterly Compliance Report

I have attached the National Reconnaissance Office's  
quarterly compliance report for all Executive Order 12333  
activities for the period of 1 January through 31 March 2007.

If you have any questions about this report, please call

(b)(6) Office of General Counsel at (b)(6).

E. Page Moffatt  
General Counsel

Attachment:  
Quarterly Compliance Report for the  
Intelligence Oversight Board

cc:  
Inspector General  
Director Office of Security and  
Counter-Intelligence

DECL ON: 20320508  
DRV FROM: NRO Classification Guide, 21 May 2005

UNCLASSIFIED WHEN SEPARATED FROM CLASSIFIED ENCLOSURE

~~CONFIDENTIAL~~  
EFF

366

0048 11 1007 01 100



~~CONFIDENTIAL~~  
NATIONAL RECONNAISSANCE OFFICE

8 May 2007

MEMORANDUM FOR THE ASSISTANT TO THE SECRETARY OF DEFENSE  
(INTELLIGENCE OVERSIGHT)

SUBJECT: National Reconnaissance Office Executive Order 12333  
Quarterly Compliance Report

REFERENCES: (a) Executive Order 12333, 4 December 1981  
(b) DoD Directive 5240.1, 25 April 1988

The National Reconnaissance Office (NRO) Office of General Counsel submits this report pursuant to the above references for the First Quarter of 2007, covering the dates of 1 January through 31 March 2007. Personnel at NRO facilities reported no instances of improper or unlawful intelligence activities, as defined by Executive Order 12333.

Intelligence oversight activities conducted in support of Executive Order 12333 during this quarter included the initial indoctrination and training of 851 individuals assigned to the NRO. In addition, various NRO sites conducted training to re-indoctrinate 1914 NRO personnel on the requirements of Executive Order 12333. A separate report for a mission site is attached and their statistics are not included in the above figures. The current training program is a combined effort between the NRO Office of Security and Counter-Intelligence and the NRO Office of General Counsel.

*Mary Rose McCaffrey*  
Office of Security  
and Counter-Intelligence

*P. Regis Moffett*  
Office of General Counsel

Attachment:  
Mission Site Report

cc:  
Office of Inspector General  
Office of Security and Counter-Intelligence

DECL ON: 20320508  
DRV FROM: NRO Classification Guide 5.0, 21 May 2005

~~CONFIDENTIAL~~ WHEN SEPARATED FROM CLASSIFIED ENCLOSURE

CONFIDENTIAL

EFF

367

MAY 16 2007 11:03AM

# National Reconnaissance Office Executive Order 12333 Quarterly Compliance Report

## 1 JANUARY 2007 THROUGH 31 MARCH 2007

NO. 412 P. 4

Facility	Principal / Secondary POC	Request Sent	Request Read	Follow-up note/call	Report Received	Daily entries	Entries Errored	# of Entries Corrected/Unm	MSP Entries	Multiple MSP Entries	Entries Used by US P. O.	Any other questions/notes
(b)(7)(G)	(b)(7)(G)	03/29/07			see separate report							
(b)(7)(G)	(b)(7)(G)	03/29/07			see separate report	31	6	0				
(b)(7)(G)	(b)(7)(G)	03/29/07			04/11/07							
(b)(7)(G)	(b)(7)(G)	03/29/07			NA	171	1136	632	0	NO	NO	NO
Washfields		03/29/07	03/29/07		03/30/07							
		NA	NA		NA	359	0	0	0	NO	NO	NO
(b)(7)(G)	(b)(7)(G)	03/29/07	03/29/07		03/30/07							
(b)(7)(G)	(b)(7)(G)	03/29/07	03/29/07		NA	0	72	72	0	NO	NO	NO
CCAS		03/29/07			04/09/07							
		NA			NA	18	563	0	0	NO	NO	NO
OGC	Michael Barrett											
CI												
(b)(7)(G)	(b)(7)(G)											
(b)(7)(G)	(b)(7)(G)											
<b>TOTALS</b>	<b>SITES REMAINING</b>					<b>351</b>	<b>1914</b>	<b>1264</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

MAY 16 2007 11:06AM  
EFF  
898

DECL. ON: 25X, 20370500, (RPO dated July 2001)  
 DRV FROM: NRO Classification G/33 60,  
 21 May 2005

\*With consent of U.S. persons.

\*Separate report attached.





~~SECRET~~  
NATIONAL RECONNAISSANCE OFFICE

22 August 2007

MEMORANDUM FOR ASSISTANT TO THE SECRETARY OF DEFENSE  
(INTELLIGENCE OVERSIGHT)

SUBJECT: National Reconnaissance Office Executive Order 12333  
Quarterly Compliance Report

I have attached the National Reconnaissance Office's quarterly compliance report for all Executive Order 12333 activities for the period of 1 April through 30 June 2007. If you have any questions about this report, please call

(b)(6) Office of General Counsel at (b)(2).

E. Page Moffett  
General Counsel

Attachment:  
Quarterly Compliance Report for the  
Intelligence Oversight Board

cc:  
Inspector General  
Director Office of Security and  
Counter-Intelligence

DECL ON: 20320203  
DRV FROM: NRO Classification Guide, 21 May 2005

UNCLASSIFIED WHEN SEPARATED FROM CLASSIFIED ENCLOSURE

~~SECRET~~



AUG 28 2007 10:46 AM

# National Reconnaissance Office Executive Order 12333 Quarterly Compliance Report

## 1 April through 30 June 2007

Facility	Principal / Secondary POC	Request Sent	Request Read	Follow-up note/call	Report Received	Agency Status	Re-Status	# of Redacted Classes/Items	USP Findings	Disposal USP to OIG	Response to OIG	Reporting quarter actuals
(b)(7)(F)	(b)(7)(F)	07/04/07	07/06/07	NA	7/6/2007							
(b)(7)(F)	(b)(7)(F)	07/06/07	NA	NA	NA	40	179	0	NO	0	NO	NO
(b)(7)(F)	(b)(7)(F)	07/04/07	NA	NA	07/12/07							
(b)(7)(F)	(b)(7)(F)	07/06/07	NA	NA	NA	194	802	295	NO	426	NO	NO
Westfield		07/06/07	07/06/07	NA	07/06/07	339	0	0	NO	0	NO	NO
(b)(7)(F)	(b)(7)(F)	07/06/07	NA	NA	NA							
(b)(7)(F)	(b)(7)(F)	07/06/07	NA	NA	07/14/2007	0	0	0	NO	0	NO	NO
CCAS		07/06/07	07/10/07	NA	07/10/07							
		NA	NA	NA	NA	16	16	0	NO	0	NO	NO
OIG	Michael Barrett											
CI												
(b)(7)(F)	(b)(7)(F)											
(b)(7)(F)	(b)(7)(F)											
<b>TOTALS</b>	<b>SITES REMAINING</b>					<b>895</b>	<b>803</b>	<b>352</b>	<b>0</b>	<b>426</b>	<b>0</b>	<b>0</b>

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371

DECL. OR: 25X, 1264 0800, RRG dated July 2006  
 DRY FROM: NRO Classification Guide 6.0,  
 21 May 2005

With consent of U.S. persons.

\*Separate report attached.

NO. 591 P. 4

SUBJECT: National Reconnaissance Office Executive Order 12333  
Quarterly Report

Were there any intelligence-related activities that violate law, regulation, or policy substantiated during the quarter, or any actions taken as a result of the violations? If so, please

No

Were any intelligence oversight inspections conducted? If so, by whom, and please provide a summary of the results from those inspections.

Yes. The NSA lead joint inspection of (b)(7)(F), (b)(7)(G) included an assessment of compliance with EO 12333. No issues reported. Additionally, the NRO OIG conducted a special inquiry in response to a memorandum from the NSA indicating that the NRO might be disseminating unminimized SIGINT to consumers without the requisite delegated authority from the Secretary of Defense. The inquiry did not find any evidence to support the allegation. A letter report was forwarded to the appropriate offices within the NRO and the IOB on 23 July 2007.

Were there any substantive changes to your intelligence oversight programs? If any inspections reveal deficiencies, note the corrective actions taken.

No

Were there any changes to published directives or policies concerning intelligence collection activities? If so, attach a copy of the directive or policy to your report.

No

Are you conducting any inquiries into possibly illegal intelligence activities? If so, please describe.

No

Responses provided by the NRO Office of the Inspector General, (b)(3), (b)(6)





~~TOP SECRET~~

**NATIONAL RECONNAISSANCE OFFICE**

10 April 2008

MEMORANDUM FOR THE ASSISTANT TO THE SECRETARY OF DEFENSE  
(INTELLIGENCE OVERSIGHT)

**SUBJECT:** National Reconnaissance Office Executive Order 12333  
Quarterly Compliance Report

**REFERENCES:** (a) Executive Order 12333, 4 December 1981  
(b) DoD Directive 5240.1, 25 April 1988

The National Reconnaissance Office (NRO) Office of General Counsel submits this report pursuant to the above references for the First Quarter of 2008, covering the dates of 1 January through 31 March 2008. Personnel at NRO facilities reported no instances of improper or unlawful intelligence activities, as defined by Executive Order 12333.

Intelligence oversight activities conducted in support of Executive Order 12333 during this quarter included the initial indoctrination and training of 822 individuals assigned to the NRO. In addition, various NRO sites conducted training to re-indoctrinate 3591 NRO personnel on the requirements of Executive Order 12333. A separate report for a mission site is attached and their statistics are not included in the above figures. The current training program is a combined effort between the NRO Office of Security and Counter-Intelligence and the NRO Office of General Counsel.

*Mary Rose McCaffrey*  
Office of Security  
and Counter-Intelligence

*E. Ray Moffet*  
Office of General Counsel

Attachment:  
Mission Site Report

cc:  
Office of Inspector General  
Office of Security and Counter-Intelligence

DECL ON: 20580419  
DRV FROM: NRO Classification Guide 6.0, 21 May 2005

~~TOP SECRET~~

**-FOUO WHEN SEPARATED FROM CLASSIFIED ENCLOSURE**

EFF

374

# National Reconnaissance Office Executive Order 12333 Quarterly Compliance Report

## 1 JANUARY 2006 THROUGH 31 MARCH 2006

APR 14 2006 2:02 PM

Facility	Principal / Secondary POC	Request SenYRcvd	Request Status		# of Review Copies Given	Comp. Status	AD/Dissem. OIP	AD/Dissem. OIP	Any Code	Any Code	Any Code	Any Code	Any Code	Any Code
			Req. Status	Re-Status										
(b)(7)(C)	(b)(7)(C)	S-4/1/2006 R-0408/2006	18	0	0	NO	0	NO	NO	NO	NO	NO	NO	NO
(b)(7)(C)	(b)(7)(C)	S-4/1/2006 R-0408/2006	143	1425	782	NO	0	NO	NO	NO	NO	NO	NO	NO
Westfield		S-4/1/2006 R-0408/2006	328	0	0	NO	0	NO	NO	NO	NO	NO	NO	NO
(b)(7)(C)	(b)(7)(C)	S-4/1/2006 R-0408/2006	1	0	0	NO	0	NO	NO	NO	NO	NO	NO	NO
GDAS		S-4/1/2006 R-0408/2006	24	581	0	NO	0	NO	NO	NO	NO	NO	NO	NO
GCC	Michael Barrett													
CI														
(b)(7)(C)	(b)(7)(C)													
<b>TOTAL</b>	<b>SITES REMAINING</b>		<b>822</b>	<b>3591</b>	<b>1234</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

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375

DECL. OF: 24K, 2164011-1, RAO dated July 2005  
 DERIVED FROM: NRO Classified Information NO  
 21 May 2006

\*With consent of OAS personnel.

\*\*See parent report attached.

NO. 795 2. 4



**Defense Threat Reduction Agency**

8725 John J Kingman Road MS 6201  
Ft Belvoir, VA 22060-6201

January 22, 2002

MEMORANDUM FOR ASSISTANT TO THE SECRETARY OF DEFENSE  
(INTELLIGENCE OVERSIGHT)

SUBJECT: Quarterly Report (October - December 2001)

References: (a) Executive Order 12333, December 1981,  
"United States Intelligence Activities"  
(b) DoD Directive 5240.1-R, December 1982,  
"Procedures Governing the Activities of  
DoD Intelligence Components that Affect  
United States Persons"

The following information is submitted for the period  
October through December 2001:

- a. **Activities that are believed to be illegal or  
contrary to Executive Order for DoD Policy:** None
- b. **Action taken regarding such activities:** N/A
- c. **Significant oversight activities:** None
- d. **Suggestion for improving the Oversight System:**  
ATSD(IO) has contacted the DTRA Security and Intelligence  
Office to discuss the need for a DoD level Intelligence  
Oversight Officer Training Course. If additional information  
or assistance is needed concerning the development of this  
course, please contact Mr. Don Sanborn, DTRA Intelligence  
Oversight Officer at (703)767-4744.
- e. **Additional Comments:** All DTRA Counterintelligence  
personnel received appropriate training on Intelligence  
Oversight requirements during calendar year 2001.

Donald L. Root  
Captain, U.S. Navy  
Inspector General



**Defense Threat Reduction Agency**

8725 John J Kingman Road MS 6201  
Ft Belvoir, VA 22060-6201

3 April 2001

MEMORANDUM FOR ASSISTANT TO THE SECRETARY OF DEFENSE  
INTELLIGENCE OVERSIGHT

SUBJECT: Defense Threat Reduction Agency (DTRA) Quarterly  
Intelligence Oversight Report (Oct - Dec 2000)

- References: (a) Executive Order 12333, December 1981,  
"United States Intelligence Activities"
- (b) DoD Directive 5240.1-R, "Procedures  
Governing the Activities of DoD  
Intelligence Components that Affect United  
States Persons"

The following is submitted for the period January through  
March 2001:

- a. **Activities that are believed to be illegal or contrary  
to Executive Order of DoD Policy:** None.
- b. **Actions taken regarding such activities:** N/A.
- c. **Significant Oversight Activities:** None.
- d. **Suggestions for improving the Intelligence Oversight  
System:** None

A handwritten signature in cursive script, reading "Edwin P. McDermott".

Edwin P. McDermott  
Colonel, U.S. Air Force  
Inspector General



**Defense Threat Reduction Agency**  
8725 John J Kingman Road MS 6201  
Ft Belvoir, VA 22060-6201

9 July 2001

MEMORANDUM FOR ASSISTANT TO THE SECRETARY OF DEFENSE  
(INTELLIGENCE OVERSIGHT)

SUBJECT: Quarterly Report (April-June 2001)

- References:
- (a) Executive Order 12333, December 1981,  
"United States Intelligence Activities"
  - (b) DoD Directive 5240.1-R, December 1982,  
"Procedures Governing the Activities of  
DoD Intelligence Components that Affect  
United States Persons"

The following information is submitted for the period  
April through June 2001:

- a. **Activities that are believed to be illegal or  
contrary to Executive Order for DoD Policy:** None
- b. **Action taken regarding such activities:** N/A
- c. **Significant oversight activities:** None
- d. **Suggestion for improving the Oversight System:**  
ATSO(IO) initiate and fund an intelligence oversight officer's  
course at the Joint Military Intelligence Training Center.  
Recommend that the TDY expenses for the students also be  
funded by ATSO(IO) to ensure appropriate personnel can attend  
(this is a repeated suggestion). If you require additional  
information concerning this suggestion, please contact Mr. Don  
Sanborn, (703)767-4744.

Edwin P. McDermott  
Colonel, U.S. Air Force  
Inspector General





**Defense Threat Reduction Agency**

8725 John J Kingman Road MS 6201

Ft Belvoir, VA 22060-6201

April 8, 2002

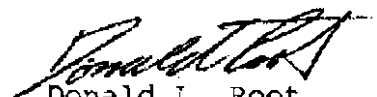
MEMORANDUM FOR ASSISTANT TO THE SECRETARY OF DEFENSE  
(INTELLIGENCE OVERSIGHT)

SUBJECT: Quarterly Report (January - March 2002)

- References:
- (a) Executive Order 12333, December 1981,  
"United States Intelligence Activities"
  - (b) DoD Directive 5240.1-R, December 1982,  
"Procedures Governing the Activities of  
DoD Intelligence Components that Affect  
United States Persons"

The following information is submitted for the period  
January through March 2002:

- a. **Activities that are believed to be illegal or  
contrary to Executive Order for DoD Policy:** None
- b. **Action taken regarding such activities:** N/A
- c. **Significant oversight activities:** None
- d. **Suggestion for improving the Oversight System:** None.

  
Donald L. Root  
Captain, U.S. Navy  
Inspector General



**Defense Threat Reduction Agency**

8725 John J Kingman Road MS 6201  
Ft Belvoir, VA 22060-6201

4 January 2006

**MEMORANDUM FOR ASSISTANT TO THE SECRETARY OF DEFENSE  
(INTELLIGENCE OVERSIGHT)**

**SUBJECT:** Quarterly Report (October - December 2005)

- References:**
- (a) Executive Order 12333, December 1981, "United States Intelligence Activities"
  - (b) DoD Directive 5240.1-R, December 1982, "Procedures Governing the Activities of DoD Intelligence Components that Affect United States Persons"

As required, the following information is submitted for the period October through December 2005:

- a. **Activities that are believed to be illegal or contrary to Executive Order for DoD Policy:** None
- b. **Action taken regarding such activities:** N/A
- c. **Significant oversight activities:** None
- d. **Suggestion for improving the Oversight System:** None
- e. **Comments:** None

Donald K. Minner  
Colonel, U.S. Air Force  
Inspector General



**Defense Threat Reduction Agency**

8725 John J Kingman Road MS 6201  
Ft Belvoir, VA 22060-6201

12 July 2005

**MEMORANDUM FOR ASSISTANT TO THE SECRETARY OF DEFENSE  
(INTELLIGENCE OVERSIGHT)**

**SUBJECT: Quarterly Report (April - June 2005)**

- References:**
- (a) Executive Order 12333, December 1981, "United States Intelligence Activities"
  - (b) DoD Directive 5240.1-R, December 1982, "Procedures Governing the Activities of DoD Intelligence Components that Affect United States Persons"

As required, the following information is submitted for the period April through June 2005:

- a. **Activities that are believed to be illegal or contrary to Executive Order for DoD Policy:** None
- b. **Action taken regarding such activities:** N/A
- c. **Significant oversight activities:** None
- d. **Suggestion for improving the Oversight System:** None
- e. **Comments:** None

Donald K. Minner  
Colonel, U.S. Air Force  
Inspector General



**Defense Threat Reduction Agency**

8725 John J Kingman Road MS 6201  
Ft Belvoir, VA 22060-6201

14 September 2005

**MEMORANDUM FOR ASSISTANT TO THE SECRETARY OF DEFENSE  
(INTELLIGENCE OVERSIGHT)**

**SUBJECT: Quarterly Report (July - September 2005)**

- References:**
- (a) Executive Order 12333, December 1981, "United States Intelligence Activities"
  - (b) DoD Directive 5240.1-R, December 1982, "Procedures Governing the Activities of DoD Intelligence Components that Affect United States Persons"

As required, the following information is submitted for the period April through June 2005:

- a. **Activities that are believed to be illegal or contrary to Executive Order for DoD Policy:** None
  - b. **Action taken regarding such activities:** N/A
  - c. **Significant oversight activities:** None
  - d. **Suggestion for improving the Oversight System:** As of 24 Aug 05 Intelligence Oversight (IO) Awareness Training was installed on the DTRA Learning Management System (LMS). The establishment of the IO training on LMS will empower the appropriate personnel to complete training in a timely manner. Training requirement for personnel involved in the conduct of counterintelligence functions is once every 12 months (DTRA instruction 5240.1)
- c. **Comments:** None

Donald K. Minner  
Colonel, U.S. Air Force  
Inspector General



**Defense Threat Reduction Agency**

8725 John J Kingman Road MS 6201  
Ft Belvoir, VA 22080-6201

4 April 2006

**MEMORANDUM FOR ASSISTANT TO THE SECRETARY OF DEFENSE  
(INTELLIGENCE OVERSIGHT)**

**SUBJECT: Quarterly Report (January - March 2006)**

**References: (a) Executive Order 12333, December 1981, "United States  
Intelligence Activities"**

**(b) DoD Directive 5240.1-R, December 1982, "Procedures  
Governing the Activities of DoD Intelligence  
Components that Affect United States Persons"**

**DTRA Instruction 5240.1, 17 Dec 98**

As required, the following information is submitted for the period January through March 2006:

- a. Activities that are believed to be illegal or contrary to Executive Order for DoD Policy: None**
- b. Action taken regarding such activities: N/A**
- c. Significant oversight activities: None**
- d. Suggestion for improving the Oversight System: None**
- e. Comments: None**

Donald K. Minner  
Colonel, U.S. Air Force  
Inspector General



**Defense Threat Reduction Agency**

8725 John J. Kingman Road MSC 6201  
Ft Belvoir, VA 22060-6201

MEMORANDUM FOR ASSISTANT TO THE SECRETARY OF DEFENSE  
(INTELLIGENCE OVERSIGHT)

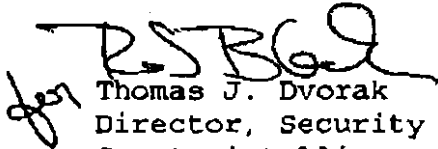
SUBJECT: Quarterly Report (April-June 2006)

THROUGH: ~~DTRA IG~~ *lead* JUL 19 2006

- Reference: (a) Executive Order 12333, December 1981, "United States Intelligence Activities"
- (b) DoD Directive 5240.1-R, December 1982, "Procedures Governing the Activities of DoD Intelligence Components that Affect United States Persons"

The following information is submitted for the period July through September 2006:

- a. Activities that are believed to be illegal or contrary to Executive Order or DOD policy: None.
- b. Actions taken regarding such activities: N/A
- c. Significant oversight activities: None.
- d. Suggestions for improving the intelligence oversight system: None.

*for*   
Thomas J. Dvorak  
Director, Security and  
Counterintelligence Directorate



**Defense Threat Reduction Agency**

8725 John J. Kingman Road MSC 6201

Ft Belvoir, VA 22060-6201

OCT 15 2006

MEMORANDUM FOR ASSISTANT TO THE SECRETARY OF DEFENSE  
(INTELLIGENCE OVERSIGHT)

SUBJECT: Quarterly Report (July - September 2006)

THROUGH: DTRA IG *William M. Hatten* 10/24/06

- Reference: (a) Executive Order 12333, December 1981, "United States Intelligence Activities"
- (b) DoD Directive 5240.1-R, December 1982, "Procedures Governing the Activities of DoD Intelligence Components that Affect United States Persons"

The following information is submitted for the period July through September 2006:

- a. Activities that are believed to be illegal or contrary to Executive Order or DOD policy: None.
- b. Actions taken regarding such activities: N/A
- c. Significant oversight activities: None.
- d. Suggestions for improving the intelligence oversight system: None.

Thomas J. Dvorak  
Director, Security and  
Counterintelligence Directorate



**Defense Threat Reduction Agency**

8725 John J. Kingman Road MSC 6201  
Ft Belvoir, VA 22060-6201

MEMORANDUM FOR ASSISTANT TO THE SECRETARY OF DEFENSE  
(INTELLIGENCE OVERSIGHT)

SUBJECT: Quarterly Report (October - December 2006)

THROUGH: DTRA IG *Rubio/Leung* 1/9/07

- Reference: (a) Executive Order 12333, December 1981, "United States Intelligence Activities"
- (b) DoD Directive 5240.1-R, December 1982, "Procedures Governing the Activities of DoD Intelligence Components that Affect United States Persons"

The following information is submitted for the period October through December 2006:

- a. Activities that are believed to be illegal or contrary to Executive Order or DOD policy: None.
- b. Actions taken regarding such activities: N/A
- c. Significant oversight activities: None.
- d. Suggestions for improving the intelligence oversight system: None.

Thomas J. Dvorak  
Director, Security and  
Counterintelligence Directorate





Defense Threat Reduction Agency  
8725 John J. Kingman Road MSC 6201  
Ft Belvoir, VA 22060-6201

OCT 01 2007

MEMORANDUM FOR ASSISTANT TO THE SECRETARY OF DEFENSE  
(INTELLIGENCE OVERSIGHT)

SUBJECT: Quarterly Report (July - September 2007)

THROUGH: DTRA IG

- Reference: (a) Executive Order 12333, December 1981, "United States Intelligence Activities"
- (b) DoD Directive 5240.1-R, December 1982, "Procedures Governing the Activities of DoD Intelligence Components that Affect United States Persons"

The following information is submitted for the period July through September 2007:

- a. Activities that are believed to be illegal or contrary to Executive Order or DOD policy: None.
- b. Actions taken regarding such activities: N/A
- c. Significant oversight activities: None.
- d. Suggestions for improving the intelligence oversight system: None.

A handwritten signature in black ink, appearing to read "Thomas J. Dvorak".

Thomas J. Dvorak  
Director, Security and  
Counterintelligence Directorate



**Defense Threat Reduction Agency**  
8725 John J. Kingman Road, MSC 6201  
Fort Belvoir, VA 22060-6201

JAN 03 2008

MEMORANDUM FOR ASSISTANT TO THE SECRETARY OF DEFENSE  
(INTELLIGENCE OVERSIGHT)

SUBJECT: Quarterly Report (October - December 2007)

THROUGH: DTRA IG

- References:
- (a) Executive Order 12333, December 1981, "United States Intelligence Activities"
  - (b) DoD Directive 5240.1-R, December 1982, "Procedures Governing the Activities of DoD Intelligence Components that Affect United States Persons"

The following information is submitted for the period October through December 2007:

- a. Activities that are believed to be illegal or contrary to Executive Order for DOD policy: None
- b. Actions taken regarding such activities: N/A
- c. Significant oversight activities: None
- d. Suggestions for improving the intelligence oversight system: None

Thomas J. Dvorak  
Director  
Security and Counterintelligence  
Directorate



**Defense Threat Reduction Agency**  
8725 John J. Kingman Road MSC 6201  
Ft Belvoir, VA 22060-6201

APR 06 2007

MEMORANDUM FOR ASSISTANT TO THE SECRETARY OF DEFENSE  
(INTELLIGENCE OVERSIGHT)

SUBJECT: Quarterly Report (January - March 2007)

THROUGH: DTRA IG

- Reference: (a) Executive Order 12333, December 1981, "United States Intelligence Activities"
- (b) DoD Directive 5240.1-R, December 1982, "Procedures Governing the Activities of DoD Intelligence Components that Affect United States Persons"

The following information is submitted for the period January through March 2007:

- a. Activities that are believed to be illegal or contrary to Executive Order or DOD policy: None.
- b. Actions taken regarding such activities: N/A
- c. Significant oversight activities: None.
- d. Suggestions for improving the intelligence oversight system: None.

Thomas J. Dvorak  
Director, Security and  
Counterintelligence Directorate



**Defense Threat Reduction Agency**

8725 John J. Kingman Road MSC 6201  
Ft Belvoir, VA 22060-6201

MEMORANDUM FOR ASSISTANT TO THE SECRETARY OF DEFENSE  
(INTELLIGENCE OVERSIGHT)

SUBJECT: Quarterly Report (April - June 2007)

THROUGH: DTRA IG *16 Jul 07*

- Reference: (a) Executive Order 12333, December 1981, "United States Intelligence Activities"
- (b) DoD Directive 5240.1-R, December 1982, "Procedures Governing the Activities of DoD Intelligence Components that Affect United States Persons"

The following information is submitted for the period April through June 2007:

- a. Activities that are believed to be illegal or contrary to Executive Order or DOD policy: None.
- b. Actions taken regarding such activities: N/A
- c. Significant oversight activities: None.
- d. Suggestions for improving the intelligence oversight system: None.

*Thomas J. Dvorak*

Thomas J. Dvorak  
Director, Security and  
Counterintelligence Directorate



Defense Threat Reduction Agency  
8725 John J. Kingman Road, MSC 6201  
Fort Belvoir, VA 22060-6201

APR 01 2008

MEMORANDUM FOR ASSISTANT TO THE SECRETARY OF DEFENSE  
(INTELLIGENCE OVERSIGHT)

SUBJECT: Quarterly Report (January - March 2008)

THROUGH: DTRA IG

- References:
- (a) Executive Order 12333, December 1981, "United States Intelligence Activities"
  - (b) DoD Directive 5240.1-R, December 1982, "Procedures Governing the Activities of DoD Intelligence Components that Affect United States Persons"

The following information is submitted for the period of 1 January through 31 March 2008:

- a. Activities that are believed to be illegal or contrary to Executive Order or DoD policy: None
- b. Actions taken regarding such activities: N/A
- c. Significant oversight activities: Agency has begun a review of classified counterintelligence-related data bases to verify that collection and maintenance of information is conducted in accordance with IO procedures. Recommend that agency personnel be provided training that clarifies completely the term "United States person" so that they understand that it refers to not only United States citizens, but also aliens known to be permanent resident aliens ("green card" holders). Agency personnel have raised repeated questions on exactly what is encompassed by the term "United States Person."
- d. Suggestions for improving the intelligence oversight system: Request that an ATSD-IO Intelligence Oversight briefing be conducted for agency Security and Counterintelligence personnel. Although on-line training provides convenient refresher training, it is important to bring together agency Security and Counterintelligence personnel in an "all hands"

EFF

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APR 01 2008

setting for periodic IO briefings from IO experts qualified to answer questions and address any related concerns.

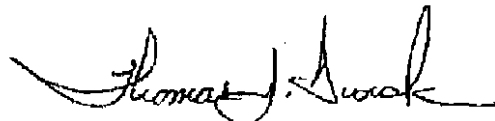
e. Descriptions of intelligence, counterintelligence, and intelligence-related activities that violate law, regulation, or policy substantiated during the quarter, as well as any actions taken as a result of the violations: None

f. Intelligence oversight inspections conducted and the results or trends from those inspections: None

- Questionable intelligence activities: N/A
- Familiarity of personnel with intelligence oversight requirements: N/A
- Adequacy of organization intelligence oversight training programs, oversight structure, and processes: N/A

g. Substantive agency-level changes to the Intelligence Oversight programs, including changes to supporting training programs, and the reason for the changes, with a copy of the directive or policy that directs the change: None

h. Agency-level changes to published directives or policies related to counterintelligence, or intelligence-related activities and the reason for the changes, with a copy of the directive or policy attached: None



Thomas J. Dvorak  
Director, Security and  
Counterintelligence Directorate



**NATIONAL IMAGERY AND MAPPING AGENCY**

4400 BANGORONNE ROAD  
BETHESDA, MARYLAND 20814-5003

8 February 2002

GC-20022094

MEMORANDUM FOR ASSISTANT TO THE SECRETARY OF DEFENSE,  
INTELLIGENCE OVERSIGHT

SUBJECT: NIMA 01-4: National Imagery and Mapping Agency  
(NIMA) Quarterly Intelligence Oversight (IO)  
Report for 1 October 2001 Through 31 December  
2001

1. This report constitutes a joint report of the NIMA Office of General Counsel (OGC) and Office of Inspector General (OIG).

2. Reportable Activities. No reportable activities were identified for the fourth quarter reporting period.

3. Other significant Intelligence Oversight Activities:

a. NIMA OIG conducted no IO inspections in last quarter of CY 2001 because of a major NIMA reorganization; however, an aggressive IO inspection effort for CY 2002 will commence in February 2002. Additionally, NIMA OIG provided NIMA OGC, the Agency IO Program Manager, with an assessment of the IO program based upon the results of the IO inspections conducted during FY 2001.

b. During the quarter, NIMA OGC worked on improvements to its computer-based Intelligence Oversight training on the NIMA Secret Collateral Enterprise System (SCEN). Articles on Intelligence Oversight were published in both the Agency's internal information publication, the "Edge," and in the OGC's own publication, "LegalLines," for NIMA managers and supervisors.

4. The point of contact for this report is Mr. Carl Wilbur, Associate General Counsel, Imagery and Intelligence Policy Law, (301) 227-4154, fax (301) 227-2035.

*Andrew H. Deranger*  
ANDREW H. DERANGER  
Acting General Counsel



**NATIONAL IMAGERY AND MAPPING AGENCY**

4800 SANGAMORE ROAD  
BETHESDA, MARYLAND 20816-5003

12 June 2001

GC-20014694

MEMORANDUM FOR ASSISTANT TO THE SECRETARY OF DEFENSE,  
INTELLIGENCE OVERSIGHT

SUBJECT: NIMA 01-1: National Imagery and Mapping Agency  
(NIMA) Quarterly Intelligence Oversight Report  
for 1 January 2001 Through 31 March 2001

1. This report constitutes a joint report of the NIMA General Counsel and the Inspector General.

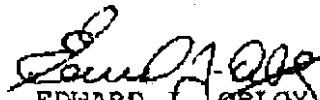
2. Reportable Activities. No reportable activities were identified for the first quarter reporting period.

3. Other significant Intelligence Oversight Activities:

a. The Agency's 12333 committee met twice during the reporting period. No incidents requiring reporting were identified

b. During the quarter, NIMA IG conducted six oversight inspections. The inspections disclosed no violations of intelligence oversight regulations. Although the interviewed employees displayed limited knowledge of the specific provisions of DoD 5240.1-R, they were knowledgeable of and used an internal NIMA procedure designed to preclude unlawful collection of information against U.S. persons.

4. The point of contact for this report is James Harris, Deputy General Counsel for Intelligence, (301) 227-2692, fax (301) 227-2035.

  
EDWARD J. OSLOY  
General Counsel





**NATIONAL IMAGERY AND MAPPING AGENCY**

4800 SANGAMORE ROAD  
BETHESDA, MARYLAND 20815-6003

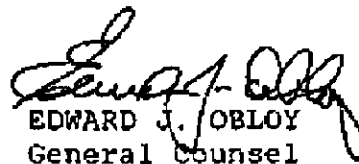
26 July 2001

GC-20015490

MEMORANDUM FOR ASSISTANT TO THE SECRETARY OF DEFENSE,  
INTELLIGENCE OVERSIGHT

SUBJECT: NIMA 01-2: National Imagery and Mapping Agency  
(NIMA) Quarterly Intelligence Oversight Report  
for 1 April 2001 Through 30 June 2001

1. This report constitutes a joint report of the NIMA General Counsel and the Inspector General.
2. Reportable Activities. No reportable activities were identified for the second quarter reporting period.
3. Other significant Intelligence Oversight Activities:
  - a. The Agency's 12333 committee met once during the reporting period. No incidents requiring reporting were identified
  - b. During the quarter, NIMA IG continued conducting IO inspections. The inspections disclosed no violations of IO regulations by the inspected NIMA elements.
4. The point of contact for this report is Carl Wilbur, Associate General Counsel, (301) 227-4154, fax (301) 227-2035.

  
EDWARD J. OBLOY  
General Counsel

EFF

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**NATIONAL IMAGERY AND MAPPING AGENCY**

4800 BANGAMORE ROAD  
BETHESDA, MARYLAND 20816-5003

15 April 2002

GC-20023227

MEMORANDUM FOR ASSISTANT TO THE SECRETARY OF DEFENSE,  
INTELLIGENCE OVERSIGHT

SUBJECT: NIMA 02-1: National Imagery and Mapping Agency  
(NIMA) Quarterly Intelligence Oversight (IO)  
Report for 1 January 2002 Through 31 March 2002

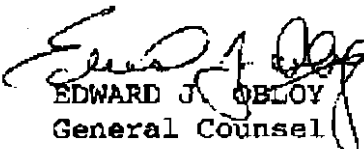
1. This report constitutes a joint report of the NIMA Office of General Counsel (OGC) and the Office of Inspector General (OIG).

2. Reportable Activities. No reportable activities were identified for the first quarter reporting period.

3. Other significant Intelligence Oversight Activities:

NIMA IG commenced its IO inspection program for 2002 during the quarter. The inspections continue to use a combination of interviews and file reviews. The interviews use a revised questionnaire that more closely focuses on employee knowledge of IO collection, retention and dissemination rules, and their reporting responsibilities. Inspections to date disclose no IO violations.

4. The point of contact for this report is Mr. Carl Wilbur, Associate General Counsel, Imagery and Intelligence Policy Law, (301) 227-4154, fax (301) 227-2035.

  
EDWARD J. OBLOY  
General Counsel

cc:  
NIMA (SI)

**NATIONAL GEOSPATIAL-INTELLIGENCE AGENCY**

4800 Sangamore Road  
Bethesda, Maryland 20816-5003

OGC-20053800

FEB 28 2006

MEMORANDUM FOR THE ASSISTANT TO THE SECRETARY OF DEFENSE  
(INTELLIGENCE OVERSIGHT)

SUBJECT: NGA 05-4: National Geospatial-Intelligence Agency (NGA) Quarterly Intelligence Oversight Report for 1 October 2005 through 31 December 2005

1. This report constitutes a joint report of the NGA Office of General Counsel (OGC) and the Office of Inspector General (OIG) for the fourth calendar quarter of 2005.

2. Reportable Activities. There were no illegal intelligence activities noted during this reporting period. See item 3.a(2), below, regarding an update to an IG Complaint of a questionable activity.

3. Other significant Intelligence Oversight Activities:

a. The NGA Office of Inspector General (OIG) completed three IO inspections this quarter. The inspections were conducted at NGA facilities in the Washington, D.C., and St. Louis, MO area. This brought the total number of inspections conducted for FY05 to 30. None of the inspections identified IO violations or questionable activities.

b. During the quarter, the NGA OIG closed investigative case no. 05-037 regarding a questionable activity - the collection and uses of personal information regarding non-Government employees who were U.S. persons, in an NGA database used to document OCONUS travel by NGA personnel. The OIG inquiry determined that the database was originated by the NGA counterintelligence component in keeping with directives requiring personnel with SCI-level security clearances to report foreign travel. However, the database also solicited employees to provide the names and addresses of travel companions, many of whom were not Government or contractor employees. Further, the information was solicited for both official and unofficial travel. The inquiry also addressed issues of compliance with Privacy Act requirements for registering the database as a system of records, and the appropriateness of collecting and retaining such information. OIG forwarded the inquiry results

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OGC-20053800

SUBJECT: NGA 05-4: National Geospatial-Intelligence  
Agency (NGA) Quarterly Intelligence Oversight  
Report for 1 October 2005 through 31 December 2005

to the Office of General Counsel to determine, per Procedure 15, DoD 5240.1-R, as to whether, "the activity is legal and consistent with applicable policy." The database OPR has expunged the information regarding non-Government, U.S. persons from the database, and actions were taken to comply with Privacy Act provisions.

c. OGC reviewed both the IO portion and Privacy Act portions of the OIG Inquiry (Case No. 03-057). OGC could not determine whether an IO violation actually occurred due to a lack of factual information in the Inquiry Report. OGC responded back to the OIG Inquiry Report on December 30, 2005, requesting OIG submit a supplemental factual report.

#### 4. Suggestions for IO Process Improvements.

a. ATSD(IO) Awards. Request ATSD(IO) establish an awards program for the overall improvement of intelligence oversight within DoD, similar to awards programs with the Acquisition and Inspector General divisions. Such an awards program could include: awards for the best overall program, most improved program, best training initiatives, best awareness initiatives, or best new program suggestion.

b. Awareness Items. Request ATSD(IO) develop and directly provide IO Awareness Items to agencies and organizations. Such items would include: posters, cards, writing instruments (pens/pencils), lanyards, pads, cups/mugs, bags, etc. As an alternative, request ATSD(IO) provide the contract vehicle through which agencies and organizations can obtain approved Awareness Items.

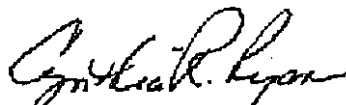
c. Funding assistance. Agencies and organizations are not directly appropriated funds for the development, maintenance, and improvement of intelligence oversight programs or activities, whereas ATSD(IO) is. NGA suggests ATSD(IO) seriously consider increasing its annual budgetary submissions to DoD with a specific item for program assistance to other organizations for this purpose.

OGC-20053800

SUBJECT: NGA 05-4: National Geospatial-Intelligence  
Agency (NGA) Quarterly Intelligence Oversight  
Report for 1 October 2005 through 31 December 2005

d. Contractor assistance. Request ATSD(IO) utilize its contractor support to provide program development assistance to other Agencies and organizations. Assistance could be provided in developing training materials, awareness items, or policies/procedures.

5. The point of contact for this report is Mr. Terry Monroe, Associate General Counsel, Mission and International Law, (301) 227-4554, fax (301) 227-2035.



CYNTHIA R. RYAN  
General Counsel

cc:  
NGA/OIG

**NATIONAL GEOSPATIAL-INTELLIGENCE AGENCY**

4000 Sangamore Road  
Bethesda, Maryland 20816-5000

OGC-20053798

17 OCT 2005

**MEMORANDUM FOR THE ASSISTANT TO THE SECRETARY OF DEFENSE  
(INTELLIGENCE OVERSIGHT)**

**SUBJECT:** NGA 05-2: National Geospatial-Intelligence Agency (NGA) Quarterly Intelligence Oversight (IO) Report for 1 April 2005 Through 30 June 2005

1. This report constitutes a joint report of the NGA Office of General Counsel (OGC) and the Office of Inspector General (OIG) for the second calendar quarter of 2005.

2. Reportable Activities. There were no illegal intelligence activities noted during this reporting period. See item 3.a(2), below regarding an update to an IG complaint of a questionable activity.

3. Other significant Intelligence Oversight Activities:

a. Inspections.

(1) The NGA Office of Inspector General (OIG) conducted seven IO inspections this quarter. The inspections were conducted at NGA facilities in the Washington, DC, and St. Louis, MO, areas. The inspections disclosed no evidence of IO violations.

(2) During the quarter, the NGA OIG continued work on case no. 03-057 pertaining to a complaint regarding the collection, and uses, of personal information in an NGA database used to document foreign and OCONUS travel by NGA personnel. The initial response to OIG interrogatories to the NGA counterintelligence unit cited DCID 1/20 and DoD 5105.21-M-1 as the authority for capturing information regarding travel by employees with SCI clearances. The response requires additional OIG inquiry before referring the matter to OGC for determination as to whether a violation of IO regulations has occurred. OIG, in concert with NGA OGC, will review the responses and determine what additional action is required, and if a violation of IO regulations has occurred.

OGC-20053798

SUBJECT: NGA 05-2: National Geospatial-Intelligence  
Agency (NGA) Quarterly Intelligence Oversight (IO)  
Report for 1 April 2005 Through 30 June 2005

b. IO Training. During this reporting period:

(1) Agency-wide Training Statistics:<sup>1</sup>

Course	2nd Qtr <sup>2</sup>	CY05 <sup>3</sup>	Prev. Yr. <sup>4</sup>	%WF <sup>5</sup>
Initial Trng <sup>6</sup>	727	987	3670	26.2
Refresher Trng <sup>7</sup>	1435	2177	5367	38.3

(a) Efforts are underway to replace all current online, computer-based (CBT) training programs (currently, four separate CBTs) with a single CBT. The 3-dimensional concept is to provide (1) targeted training for categories of employees, (2) multi-level training for depth of knowledge, and (3) area training, for more instruction in particular areas (e.g., collection, dissemination, techniques, reporting, etc.)

(b) During this quarter, OGC conducted three, instructor-led IO Officer training sessions in April and June. The training sessions are open to anyone, but are primarily to teach new IO Officers the tools and resources available to them, and how to perform their duties and responsibilities. The remaining CY05 schedule is:

Session	Date	Time	Location	Room
#20	August 9/10	8am-Noon	Bethesda, MD	278 Erskine Hall
#21	August 23/24	8am-Noon	St. Louis, MO	1CLarge, Bldg.36
#22	October 4/5	8am-Noon	Bethesda, MD	278 Erskine Hall
#23	October 18/19	8am-Noon	St. Louis, MO	1CLarge, Bldg.36

OGC-20053798

<sup>1</sup> Does not include training conducted during New Employee Orientation.

<sup>2</sup> 2nd Quarter - April 1st to June 30th, 2005.

<sup>3</sup> CY05 = training conducted since January 1, 2005.

<sup>4</sup> Previous Year - July 1, 2004 to June 30, 2005.

<sup>5</sup> Percentage of Workforce trained over previous 12 months (based upon public figure of 14,000 employees).

<sup>6</sup> Initial IO Training, provided as an online, computer-based training (CBT) program, consisting of a minimal 10-slide briefing package. Credit for completion of the annual intelligence oversight familiarization requirement is given for completion of either the Initial or the Refresher IO CBT.

<sup>7</sup> Refresher IO Training, provided as an online, computer-based training (CBT) program, consisting of a. Credit for completion of the annual intelligence oversight familiarization requirement is given for completion of either the Initial or the Refresher IO CBT.

SUBJECT: NGA 05-2: National Geospatial-Intelligence  
Agency (NGA) Quarterly Intelligence Oversight (IO)  
Report for 1 April 2005 Through 30 June 2005

(2) Online, Initial and Refresher, computer-based training (CBT) was available to all employees, via classified and unclassified networks. Agency Directorates and Offices report near 100% employee familiarization training. However, OIG inspection survey results still indicate some deficiencies in employee comprehension and retention of the training information.

4. Suggestions for IO Process Improvements. No new suggestions for this reporting period.

5. The point of contact for this report is Mr. Terry Monroe, Associate General Counsel, Mission and International Law, (301) 227-4554, fax (301) 227-2035.

*Thomas N. Willens*

ROBERT L. DEITZ  
Acting General Counsel

CC:  
NGA/OIG





## NATIONAL GEOSPATIAL-INTELLIGENCE AGENCY

4600 Sangamore Road  
Bethesda, Maryland 20816-5003

NOV 01 2005

OGC-20053799

### MEMORANDUM FOR THE ASSISTANT TO THE SECRETARY OF DEFENSE (INTELLIGENCE OVERSIGHT)

SUBJECT: NGA 05-3: National Geospatial-Intelligence  
Agency (NGA) Quarterly Intelligence Oversight (IO)  
Report for 1 July 2005 Through 30 September 2005

1. This report constitutes a joint report of the NGA Office of General Counsel (OGC) and the Office of Inspector General (OIG) for the third calendar quarter of 2005.

2. Reportable Activities. There were no illegal intelligence activities noted during this reporting period. See item 3.a(2), below, regarding an update to an IG Complaint of a questionable activity.

3. Other significant Intelligence Oversight Activities:

a. Inspections.

(1) The NGA Office of Inspector General (OIG) completed seven IO inspections this quarter, including one of the NGA Executive Committee Staff. The inspections were conducted at NGA facilities in the Washington, D.C area and a classified location. This brought the total number of inspections conducted for FY05 to 27. None of the inspections identified IO violations or questionable activities.

(2) During the quarter, the NGA OIG continued work on Case No. 03-057 pertaining to a complaint regarding the collection and uses of personal information in an NGA database used to document foreign and OCONUS travel by NGA personnel. The inquiry determined that the database was justified under Director of Central Intelligence Directives (DCID) and DoD requirements to monitor travel of personnel with SCI clearances. However, the database also contained names and addresses of non-NGA or Federal personnel and such information was retrievable.

SUBJECT: NGA 05-3: National Geospatial-Intelligence  
 Agency (NGA) Quarterly Intelligence Oversight (IO)  
 Report for 1 July 2005 Through 30 September 2005

b. IO Training.

(1) Agency-wide Training Statistics:<sup>1</sup>

Course	1st Qtr	2nd Qtr	3rd Qtr <sup>2</sup>	CY05 <sup>3</sup>	Last12 <sup>4</sup>	%WF <sup>5</sup>
Initial Trng <sup>6</sup>	260	727	31	1018	2348	16.77
Refresher Trng <sup>7</sup>	742	1435	1585	3762	5593	39.95
IOO Trng (Inst.)	8	28	2	38	38	N/A

(a) Efforts are underway to replace all current online, computer-based (CBT) training programs (currently, four separate CBTs) with a single CBT. The 3-dimensional concept is to provide (1) targeted training for categories of employees, (2) multi-level training for depth of knowledge, and (3) area training, for more instruction in particular areas (e.g., collection, dissemination, techniques, reporting, etc.)

(b) During this quarter, OGC conducted one, instructor-led IO Officer training session (including two STATE Department IG employees). The training sessions are open to anyone, but are primarily to teach new IO Officers the tools and resources available to them, and how to perform their duties and responsibilities. OGC has trained a total of 38 persons for CY05, and 147 persons since the course began in 2004. Due to budgetary and resource considerations, the remaining CY05 training sessions (for August and October) were cancelled. Currently, there are no plans for rescheduled sessions.

<sup>1</sup> Does not include training conducted during New Employee Orientation.

<sup>2</sup> 3rd Quarter = July 1st to September 30th, 2005.

<sup>3</sup> CY05 = training conducted since January 1, 2005.

<sup>4</sup> Last12 = Last 12 months: October 1, 2004 to September 30, 2005.

<sup>5</sup> Percentage of Workforce trained over previous 12 months (based upon public figure of 14,000 employees).

<sup>6</sup> Initial IO Training, provided as an online, computer-based training (CBT) program, consists of a minimal 10-slide briefing package. Credit for completion of the annual intelligence oversight familiarization requirement is given for completion of either the Initial or the Refresher IO CBT.

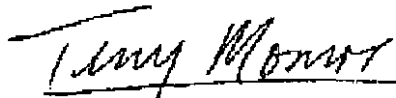
<sup>7</sup> Refresher IO Training, provided as an online, computer-based training (CBT) program, consists of two fact-based scenarios, each asking four basic questions about IO: recognition of an IO issue, perpetrator of the IO issue, application of proper procedures, and reporting of the IO issue. Credit for completion of the annual intelligence oversight familiarization requirement is given for completion of either the Initial or the Refresher IO CBT.

OGC-20053799

SUBJECT: NGA 05-3: National Geospatial-Intelligence  
Agency (NGA) Quarterly Intelligence Oversight (IO)  
Report for 1 July 2005 Through 30 September 2005

in developing training materials, awareness items, or  
policies/procedures.

5. The point of contact for this report is Mr. Terry Monroe,  
Associate General Counsel, Mission and International Law,  
(301) 227-4554, fax (301) 227-2035.

  
for ROBERT L. DEITZ  
Acting General Counsel

cc:  
NGA/OIG

APR 27 2006

S-090-06/OIG

MEMORANDUM FOR THE ASSISTANT TO THE SECRETARY OF DEFENSE  
(INTELLIGENCE OVERSIGHT)

SUBJECT: National Geospatial- Intelligence Agency (NGA),  
Office of the Inspector General (OIG),  
Quarterly Intelligence Oversight (IO) Report  
for 1 January 2006 through 31 March 2006

REFERENCE: DOD Regulation 5240.1-R, Procedures Governing  
the Activities of DOD Intelligence Components  
that Affect United States Persons, December  
1982.

1. (U) In accordance with the reference, the NGA OIG submits the  
following IO quarterly report.

2. (U) During this reporting period, the NGA OIG conducted a  
total of five IO inspections. Inspections were conducted of the  
following organizations:

- National Geospatial Intelligence College (HDND), Fort  
Belvoir
- National Geospatial Intelligence College (HDNG), St. Louis  
Missouri
- Office of Americas Homeland Security Division (PMIA),  
Arnold Missouri
- [REDACTED] and [REDACTED]
- Digital Imagery Operations Branch, the Operations Tiger  
Team, and the Precision Imagery Operations Team (EDIAB,  
EDIAC, and EDIAA), Bolling Air Force Base.

(b)(3)-P.L. 86-36

The inspections disclosed no evidence of IO violations.

3. (U) Agency Directorates and Offices inspected by OIG  
personnel reported near 100% employee familiarization training.  
However, OIG inspection and survey results still indicated some  
deficiencies in employee comprehension and retention of the  
training information.

Derived From: NSA/CSSM 1-52  
Date: 20081123  
Declassify On: 20081123

REL TO USA, AUS, CAN, GBR, NZL//20291123

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~~SECRET~~

REL TO USA, AUS, CAN, GBR, NZL//20291123

S-090-06/OIG

SUBJECT: National Geospatial- Intelligence Agency (NGA), Office of the Inspector General (OIG), Quarterly Intelligence Oversight (IO) Report for 1 January 2006 through 31 March 2006

(b)(1)

(b)(3)-P.L. 86-36

4. (U) The point of contact for this matter is David L. Payne, OIGE, telephone 301-227-7517.

*M. Boyle*  
MARCUS BOYLE

The Inspector General

Derived From: NGA/CSDN 1-52  
Dated: 20041123  
Declassify On: 20051231

~~SECRET~~

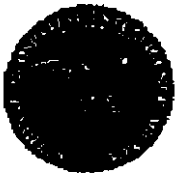
REL TO USA, AUS, CAN, GBR, NZL//20291123

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## NATIONAL GEOSPATIAL-INTELLIGENCE AGENCY

4600 Sangamore Road  
Bethesda, Maryland 20816-5003

U-058-06/OGM

02 AUG 2006

MEMORANDUM FOR ASSISTANT TO THE SECRETARY OF DEFENSE  
(INTELLIGENCE OVERSIGHT)SUBJECT: National Geospatial-Intelligence Agency Quarterly  
Intelligence Oversight Report for 1 April through  
30 June 2006

1. The Office of Geospatial Intelligence Management (OGM) is the Agency's newly designated Intelligence Oversight (IO) Program Management Office. OGM works in partnership with the Office of the Inspector General (OIG) and the Office of the General Counsel (OGC) to administer the Agency's IO Program.
2. This report constitutes a consolidated NGA report for the second quarter of Calendar Year 2006. This report follows-up on the inquiry previously reported in the 28 April 2006 NGA Quarterly IO Report for 1 January through 31 March 2006.
3. Reportable Activities: There were no illegal or questionable intelligence activities noted during this reporting period.
4. Other Significant IO Activities:
  - a. The OIG completed four IO inspections during this reporting period. The inspections were conducted at NGA locations in the Washington, D.C., Colorado Springs, CO, and St. Louis, MO areas. The inspections disclosed no evidence of IO violations or questionable intelligence activities.
  - b. The OIG completed the inquiry noted in paragraph 2. The inquiry into the NSA OIG complaint that an NGA employee was unauthorized to download a high volume of NSA database information found that no IO violation or questionable intelligence activity occurred.
    - i. The NGA OGC reviewed the OIG report and determined that the NGA employee actions were not illegal and

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U-058-06/OGM

SUBJECT: National Geospatial-Intelligence Agency Quarterly  
Intelligence Oversight Report for 1 April through 30  
June 2006

did not contravene IO policies and procedures. The OGC further recommended that no disciplinary action be taken against the employee.

ii. Copies of the OIG report and the OGC opinion were provided to the NSA OIG and the NGA employee's senior management chain.

5. Suggestions for ATSD IO Process Improvements.

a. Recommend ATSD IO update its DoD-wide training CD, "Intelligence Oversight Awareness Training," to incorporate currency of developments since the CD's release in 2000. DoD Component IO-related activities have been impacted by events since its issuance to include September 11, 2001, the Intelligence Reform and Terrorism Prevention Act of 2004, and others.

b. Recommend ATSD IO consider the addition of three new features to ATSD IO websites: The ATSD IO annual inspection/staff assistance visit schedule; periodic feedback on those inspections or visits; and "blog" space to allow real-time information- or problem-sharing among the DoD Components on IO-related matters. These new features would help to expand DoD Component awareness and capitalize on lessons learned impacting Intelligence Oversight activities.

6. The point of contact for this report is Ms. Lorraine Gaynor, Policy and Customer Outreach Division, (703) 735-3924.



THOMAS A. FERGUSON  
Director, Office of Geospatial  
Intelligence Management

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NATIONAL GEOSPATIAL-INTELLIGENCE AGENCY  
4600 Sangamore Road  
Bethesda, Maryland 20816-5002

U-078-06/OGM

1 8 OCT 2006

MEMORANDUM FOR ASSISTANT TO THE SECRETARY OF DEFENSE  
(INTELLIGENCE OVERSIGHT)

SUBJECT: National Geospatial-Intelligence Agency Quarterly  
Intelligence Oversight Report for 1 July through 30  
September 2006

1. The Office of Geospatial Intelligence Management (OGM) is the National Geospatial-Intelligence Agency (NGA) Intelligence Oversight (IO) Program Management Office. OGM works in partnership with the Office of the Inspector General (OIG) and the Office of the General Counsel to administer the Agency's IO Program.
2. This report constitutes a consolidated NGA report for the third quarter of Calendar Year 2006. There were no illegal or questionable intelligence activities noted during this reporting period.
3. Other Significant IO Activities:
  - a. The OIG completed thirteen (13) IO inspections this quarter. The inspections were conducted at NGA locations in the Washington, D.C., St. Louis, MO, and White Sands, NM areas. The inspections disclosed no evidence of IO violations or questionable intelligence activities.
  - b. We continue to update the Agency's IO websites and improve employee access to relevant guidance and reference materials. NGA's implementing IO instruction is now under review to ensure currency and incorporate improved business processes and procedures. We anticipate completion of this review and revision of the IO instruction by early 2007.
4. The point of contact for this report is Ms. Lorraine Gaynor, Policy and Customer Outreach Division, (703) 735-3924.



THOMAS A. FERGUSON  
Director, Office of Geospatial  
Intelligence Management

cc:  
TIG (Mr. Usowski)  
GC (Ms. Ryan)

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411

NATIONAL GEOSPATIAL-INTELLIGENCE AGENCY

4600 Sangamore Road  
Bethesda, Maryland 20818-5003

28 JAN 2007

U-008-07/OGM

MEMORANDUM FOR ASSISTANT TO THE SECRETARY OF DEFENSE  
(INTELLIGENCE OVERSIGHT)

SUBJECT: (U) National Geospatial-Intelligence Agency  
Annual Intelligence Oversight Report for  
1 January to 31 December 2006

1. (U) The Office of Geospatial Intelligence Management (OGM) is the National Geospatial-Intelligence Agency (NGA) Intelligence Oversight (IO) Program Management Office. OGM works in partnership with the Office of the General Counsel to obtain legal interpretation of laws and regulations pertaining to the Agency's IO Program; and the Office of Inspector General (OIG) to provide oversight of the Agency's IO program.
2. (U//~~FOUO~~) Pursuant to Office of the Assistant to the Secretary of Defense Memorandum, Annual Intelligence Oversight Report to Congress, 8 December 2006, this memorandum constitutes the consolidated NGA report for Calendar Year (CY) 2006, to include the 1<sup>st</sup> quarter of Fiscal Year (FY) 2007. No illegal or questionable intelligence activities were noted during this reporting period.
3. (U) Other Significant IO Activities:
  - a. (U//~~FOUO~~) The OIG completed 22 IO inspections during CY 2006. The inspections were conducted in the Washington, DC; St. Louis, MO; Colorado Springs, CO; and White Sands, NM, areas. The inspections disclosed no IO violations or questionable activities. Personnel interviewed during the inspections were generally familiar with the IO reporting requirements and procedures and with the training resources available to them. There were no inspections conducted during first quarter FY 2007.
  - b. (U//~~FOUO~~) The OIG is enhancing its Intelligence Oversight inspections to provide more comprehensive analyses and results to the NGA Senior Leadership and other appropriate stakeholders; and therefore, has plans to conduct IO inspections beginning, 2nd Quarter, FY 2007. We continue to update the Agency's IO

U-008-07/OGM

SUBJECT: (U) National Geospatial-Intelligence Agency Annual  
Intelligence Oversight Report for 1 January to 31  
December 2006

websites and improve employee access to relevant guidance and reference materials. NGA's implementing IO instruction is now under review to ensure currency and incorporate improved business processes and procedures. We anticipate completion of this review and revision of the IO instruction by spring 2007.

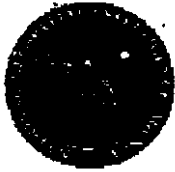
c. (U//~~FOUO~~) There were no command level changes to published directives or policies concerning intelligence, counterintelligence or intelligence-related activities made during this reporting period. ✓

4. (U) The point of contact for this report is Ms. Danielle Paolucci, Policy and Customer Outreach Division, (703) 735-2261.



THOMAS E. FERGUSON  
Director, Office of Geospatial  
Intelligence Management

cc:  
IG (Mr. Usowski)  
GC (Ms. Ryan)



**NATIONAL GEOSPATIAL-INTELLIGENCE AGENCY**

4800 Sangamore Road  
Bethesda, Maryland 20816-5003

U-100-07/OGM

30 November 2007

**MEMORANDUM FOR ASSISTANT TO THE SECRETARY OF DEFENSE  
(INTELLIGENCE OVERSIGHT)**

**SUBJECT: National Geospatial-Intelligence Agency Quarterly Intelligence Oversight  
Report for 1 July to 30 September 2007**

1. (U//~~FOUO~~) The Office of Geospatial Intelligence Management (OGM) is the National Geospatial-Intelligence Agency (NGA) Intelligence Oversight (IO) Program Management Office. OGM works in partnership with the Office of the Inspector General (OIG) and the Office of the General Counsel (OGC) to administer the Agency's IO Program.

2. (U//~~FOUO~~) This report constitutes a consolidated NGA report for the third quarter of Calendar Year 2007. There were no illegal or questionable intelligence activities noted during this reporting period.

3. (U//~~FOUO~~) Other Significant IO Activities:

a. (U//~~FOUO~~) The OIG completed 7 Intelligence Oversight (IO) inspections during this reporting period. The inspections were based on assessments of three key areas -- mission relevance to IO, employee training, and employee awareness. The inspections were conducted in Washington, DC; Miami, FL; and St. Louis, MO. The inspections disclosed no IO violations or questionable activities. The results of the IO inspections disclosed that personnel interviewed during the inspections were familiar with the IO reporting requirements and procedures, and that IO training had been completed as required.

b. (U//~~FOUO~~) The Agency's IO websites continue to be updated to improve employee access to relevant guidance and reference materials. Final revision and review of NGA's implementing IO instruction to ensure currency and incorporate improved business processes and procedures is nearing completion.

c. (U//~~FOUO~~) There were no command level changes to published directives or policies concerning intelligence, counterintelligence or intelligence-related activities made during this reporting period.

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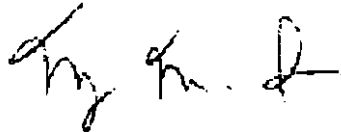
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U-100-07/OGM

MEMORANDUM FOR ASSISTANT TO THE SECRETARY OF DEFENSE  
(INTELLIGENCE OVERSIGHT)

4. (~~UNCLASSIFIED~~) The points of contact for this report are Pamela Stephens, (703)735-2283 and Harry (Terry) Dawson, (703) 735-2286, Policy and Customer Outreach Division, OMGC.



MARY M. IRVIN  
Director, Office of Geospatial  
Intelligence Management

cc:  
OIG (Dr. Usowski)  
OGC (Ms. Ryan)

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**NATIONAL GEOSPATIAL-INTELLIGENCE AGENCY**

4500 Sangamore Road  
Bethesda, Maryland 20815-5000

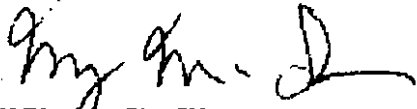
NGA-U-2007-4018

29 January 2008

MEMORANDUM FOR ASSISTANT TO THE SECRETARY OF DEFENSE  
(INTELLIGENCE OVERSIGHT)

SUBJECT: (U) National Geospatial-Intelligence Agency Quarterly  
Intelligence Oversight Report for 1 October to 31  
December 2007

1. (U) The Office of Geospatial Intelligence Management (OGM) is the National Geospatial-Intelligence Agency (NGA) Intelligence Oversight (IO) Program Management Office. OGM works in partnership with the Office of the Inspector General (OIG) and the Office of the General Counsel (OGC) to administer the Agency's IO Program.
2. (U/~~FOUO~~) This document constitutes the consolidated NGA report for the first quarter of Fiscal Year 2008 (1 October to 31 December 2007). During this period the NGA OIG did not conduct IO inspections. The IO inspections will resume in the second quarter of FY 2008.
3. (U/~~FOUO~~) Other Significant IO Activities:
  - a. (U/~~FOUO~~) NGA continues to update its IO website and improve employee access to relevant guidance and reference materials. Final revision and review of NGA's implementing IO instruction is anticipated by spring 2008.
  - b. (U/~~FOUO~~) There were no command level changes to published directives or policies concerning intelligence, counterintelligence or intelligence-related activities made during this reporting period.
  - c. (U/~~FOUO~~) NGA continues to be involved with other agency components in discussing the enhancements to the agency's IO program.
4. (U) The points of contact for this report are Ms. Pamela Stephens, (703)735-2283 and Dr. Harry (Terry) Dawson, (703)735-2286, Policy and Customer Outreach Division, OGMC.

  
MARY W. IRVIN  
Director, Office of Geospatial  
Intelligence Management

cc:  
OIG (Dr. Usowski)  
OGC (Ms. Ryan)

EFF

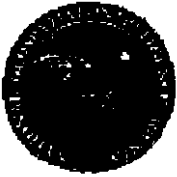
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## NATIONAL GEOSPATIAL-INTELLIGENCE AGENCY

4600 Sangamore Road  
Bethesda, Maryland 20816-5003

26 APR 2007



U-036-07/OGM

MEMORANDUM FOR ASSISTANT TO THE SECRETARY OF DEFENSE  
(INTELLIGENCE OVERSIGHT)SUBJECT: National Geospatial-Intelligence Agency Quarterly  
Intelligence Oversight Report for 1 January to  
31 March 2007

1. The Office of Geospatial Intelligence Management (OGM) is the National Geospatial-Intelligence Agency (NGA) Intelligence Oversight (IO) Program Management Office. OGM works in partnership with the Office of the Inspector General (OIG) and the Office of the General Counsel to administer the Agency's IO Program.
2. This report constitutes a consolidated NGA report for the first quarter of Calendar Year 2007. There were no illegal or questionable intelligence activities noted during this reporting period.
3. Other Significant IO Activities:
  - a. There were no inspections conducted during this reporting period.
  - b. We continue to update the Agency's IO websites to improve employee access to relevant guidance and reference materials. NGA's implementing IO instruction is now under revision to ensure currency and incorporate improved business processes and procedures. We anticipate completion of this review and revision of the IO instruction by spring 2007.
  - c. There were no command level changes to published directives or policies concerning intelligence, counterintelligence or intelligence-related activities made during this reporting period.
4. The point of contact for this report is Ms. Danielle Paolucci, Policy and Customer Outreach Division, (703) 735-2261.

MARY M. IRVIN  
Director, Office of Geospatial  
Intelligence Managementcc:  
OIG (Mr. Peter Usowski)  
OGC (Ms. Cynthia Ryan)~~UNCLASSIFIED//FOR OFFICIAL USE ONLY~~

UNCLASSIFIED//~~FOR OFFICIAL USE ONLY~~

NATIONAL GEOSPATIAL-INTELLIGENCE AGENCY

4800 Sangamore Road  
Bethesda, Maryland 20814-6003

U-062-07/OGM

MEMORANDUM FOR ASSISTANT TO THE SECRETARY OF DEFENSE  
(INTELLIGENCE OVERSIGHT)

SUBJECT: National Geospatial-Intelligence Agency  
Quarterly Intelligence Oversight Report for 1  
April to 30 June 2007

1. The Office of Geospatial Intelligence Management (OGM) is the National Geospatial-Intelligence Agency (NGA) Intelligence Oversight (IO) Program Management Office. OGM works in partnership with the Office of the Inspector General (OIG) and the Office of the General Counsel to administer the Agency's IO Program.
2. This report constitutes a consolidated NGA report for the second quarter of Calendar Year 2007. There were no illegal or questionable intelligence activities noted during this reporting period.
3. Other Significant IO Activities:
  - a. The OIG completed 15 Intelligence Oversight (IO) inspections during this reporting period. The inspections were based on assessments of three key areas—mission relevance to IO, employee training, and employee awareness. The inspections were conducted in Washington, DC; Charlottesville, VA; and St. Louis, MO. The inspections disclosed no IO violations or questionable activities. The results of the IO inspections disclosed that personnel interviewed during the inspections were familiar with the IO reporting requirements and procedures, and that IO training had been completed as required.
  - b. We continue to update the Agency's IO websites to improve employee access to relevant guidance and reference materials.
  - c. There were no command level changes to published directives or policies concerning intelligence, counterintelligence or intelligence-related activities made during this reporting period.

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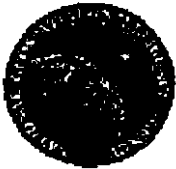
4. The points of contact for this report are Pam Stephens, (703) 735-2283 and Harry (Terry) Dawson, (703) 735-2286, Policy and Customer Outreach Division, CMGC.



MARY M. IRVIN  
Director, Office of Geospatial  
Intelligence Management

cc:  
OIG (Mr. Usowski)  
OGC (Ms. Ryan)

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**NATIONAL GEOSPATIAL-INTELLIGENCE AGENCY**

4600 Sangamore Road  
Beltsville, Maryland 20816-5003

NGA-U-2008-1441

3 June 2008

MEMORANDUM FOR ASSISTANT TO THE SECRETARY OF DEFENSE  
(INTELLIGENCE OVERSIGHT)

SUBJECT: (U) National Geospatial-Intelligence Agency Quarterly Intelligence Oversight Report for 1 January to 31 March 2008

1. (U) The Office of Geospatial Intelligence Management (OGM) is the National Geospatial-Intelligence Agency (NGA) Intelligence Oversight (IO) Program Management Office. OGM works in partnership with the Office of the Inspector General (OIG) and the Office of the General Counsel (OGC) to administer the Agency's IO Program.

2. (U/~~FOUO~~) This document constitutes the consolidated NGA report for the second quarter of Fiscal Year 2008 (1 January to 31 March 2008). During this period the NGA OIG completed no Intelligence Oversight inspections. The IO inspections will resume in the third quarter of FY 2008.

3. (U/~~FOUO~~) Other Significant IO Activities:

a. (U/~~FOUO~~) There were no command level changes to published directives or policies concerning intelligence, counterintelligence or intelligence-related activities made during this reporting period.

b. (U/~~FOUO~~) A new updated list of NGA Intelligence Oversight Officers (IOO) is being created with the necessary IOO training to follow.

c. (U/~~FOUO~~) NGA continues to be involved with other agency components in discussing the enhancements to the agency's IO program.

4. (U) The points of contact for this report are Ms. Pamela Stephens, (703)735-2283 and Dr. Harry (Terry) Dawson, (703)735-2286, Geospatial Intelligence Community Governance Division, OGMC.

MARY M. IRVIN  
Director, Office of Geospatial  
Intelligence Management

cc:  
DIG (Mr. Thomas Burton)  
OGC (Ms. Ryan)

**UNCLASSIFIED//~~FOUO~~**



**THE JOINT STAFF  
WASHINGTON, DC**

Reply ZIP Code:  
20318-0300

30 January 2002

**MEMORANDUM FOR THE ASSISTANT TO THE SECRETARY OF DEFENSE  
(INTELLIGENCE OVERSIGHT)**

**Subject: Intelligence Oversight (IO) Report for the First Quarter FY-02**

1. In accordance with DoD Directive 5240.1-R, December 1982, "Procedure Governing the Activities of DoD Intelligence Components that affect United States Persons," a consolidated Intelligence Oversight (IO) report is submitted for the combatant commands for the First Quarter, FY 02.

2. **USJFCOM** reported that no intelligence activity has been identified which is reasonably believed to be illegal or contrary to executive order, Department of Defense, or service instructions concerned with Intelligence Oversight. Significant oversight activities taken:

a. **Joint Forces Intelligence Command (JFIC):** Revised IO Instruction and implemented Directorate Intelligence Oversight Officers to facilitate training and expertise on the subject in the command. Also conducted training on 29 new joins, 44 watchstanders, and 22 mobilized reserves.

b. **JOINT TASK FORCE (JTF-6):** On 16 Oct 01 trained all (119) first half FY02 Intelligence Analysts going out on Law Enforcement Agency (LEA) support missions. IO visits to each Intelligence Analyst were 60 percent complete and expected to be 100 percent by the end of Jan 02. Fourteen individuals were trained and certified by the command as Intelligence Oversight off site inspectors.

c. **JOINT TASK FORCE - Olympics (JTF-O).** Received training aids from JFCOM and conducted initial IO training. Removed Anti Terrorism Force Protection duties from the J2 and moved those duties into J3 to preclude any possible IO conflicts.

d. **USJFCOM IG.** Addressed some IO issues/perceived restrictions coming out of Homeland Security (HLS) directorate's need for domestic intelligence and

information procedures. Decided to consolidate the issues from JFCOM J2, JFCOM HLS, and JFIC, and for J2/HLS to co-host a meeting with a working group from the Assistant to the Secretary of Defense for Intelligence Oversight's office to attempt to alleviate these concerns and define the needs for domestic intelligence in a Homeland Security role.

3. **USSTRATCOM IG** reported no IO violations or questionable activities during this reporting period. An IO inspection of the USSTRATCOM J2 from 26-29 Nov 01 showed the Intelligence Directorate to be in compliance.

4. **USEUCOM IG** reported no IO violations during this quarter. Intelligence oversight training: Initial: 100/Refresher: 27, EUCOM J2 conducted a self-inspection, no personnel were interviewed, 25 percent of division personnel files, computer disks and administrative holdings were inspected.

5. **USSOUTHCOM IG** provided initial training for new personnel assigned to intelligence activities. Inspected 10 percent of SCJ2 files with no irregularities reported. Downloaded the PATRIOT Act. Reviewing the Act for Intelligence Oversight Implications.

6. **USPACOM IG** reported no IO violations during this quarter. All commands conducting indoctrination and refresher training as required.

7. **USCENTCOM IG** reported no questionable intelligence activities during this reporting period. Augmentation forces for Operation ENDURING FREEDOM assigned to USCENTCOM at MacDill AFB FL are receiving initial intelligence oversight training.

8. **USSOCOM IG** reported no violations of applicable laws, orders, directives, regulations, or DoD policies.

9. **USTRANSCOM IG** reported no IO violations during this quarter. Quarterly inspection was conducted by representatives of the Office of the Inspector General (TCIG) and the Chief Counsel (TCJA) in the Joint Intelligence Center with the TCJ2 IO Program Monitor on 19 Nov 01. The inspection included a review of the TCJ2 IO program records, a random search for information on US Persons in the Intelligence database and the SIPRNET, a review of the CD-ROM library, personal interviews and a visit with the systems administrative personnel. The inspection team found a very well organized and executed program that evidenced a lot of effort by the IO monitor. All personnel interviewed were aware of IO procedures and rules. No unauthorized data was found on US persons within the intelligence databases or in the CD-ROM library.

10. **USSPACECOM** reported no IO violations during this quarter. One person was given Initial IO Training, 25 individuals were given refresher training.

11. My point of contact is (b)(6) at (b)(2)

(b)(6)

**Deputy, Inspector General**



Reply ZIP Code:  
20318-0300

18 April 2001

**MEMORANDUM FOR THE ASSISTANT TO THE SECRETARY OF DEFENSE  
(INTELLIGENCE OVERSIGHT)**

**Subject: Intelligence Oversight (IO) Report for the Second Quarter FY-01**

1. In accordance with DoD 5240.1-R, December 1982, "Procedure Governing the Activities of DoD Intelligence Components that affect United States Persons," a consolidated Intelligence Oversight (IO) report is submitted for the combatant commands for the Second Quarter, FY 01.
2. USJFCOM reported the investigation regarding the Joint Forces Intelligence Command (JFIC) procedure 15 violation was concluded and forwarded to ATSD-IO via Joint Staff Deputy IG. No other intelligence activity has been identified which is reasonably believed to be illegal or contrary to Executive Order, Department of Defense, or service instructions concerned with Intelligence Oversight. Significant oversight activities taken:
  - a. USJFCOM (J00L) is currently working on the legal position regarding the request of JTF-CS for JFIC support in collecting information for planning purposes regarding 120 U.S. cities. JTF-CS mission, as outlined in the Unified Command Plan of 1999 (UCP-99) is to support other federal and state agencies during the consequence management stage of a Chemical, Biological, Radiological, Nuclear, or High Yield Explosive (CBRNE) event.
  - b. JOINT FORCES INTELLIGENCE COMMAND (JFIC) reported the investigation into a procedure 15 violation of DoD 5240.1-R was concluded by the JFCOM IG and substantiated the allegation. JFIC Instruction 5240.1 (Intelligence Oversight) is under development, and will include training requirements, specific procedures in light of supporting JTF-CS, and periodic review requirements.
  - c. JOINT TASK FORCE (JTF-6) conducted Intelligence Oversight visits to 72 reserve Intelligence Analysts.
  - e. JOINT PERSONNEL RECOVERY AGENCY (JPRA) conducted Intelligence Oversight Training for 20 JPRA employees at Fairchild AFB, WA and 29 employees at HQ JPRA, Ft. Belvoir, VA.

f. JOINT C4ISR BATTLE CENTER (JBC) conducted Intelligence Oversight training to four new JBC members IAW JBC procedures.

3. USSSTRATCOM IG reported USCINSTRAT Inspector General (J005) and Staff Advocate Judge Advocate (J060) conducted an Intelligence Oversight Inspection of the J521-Targeting Branch [USSSTRATCOM J5 Plans & Policy Directorate] from 13-14 March 2001. The IO program was found to be in compliance with guidance of DoD 5240.1-R.

4. USEUCOM IG reported no IO violations during this quarter. All commands conducting indoctrination and refresher training as required.

5. USSOUTHCOM IG reported no IO violations during this quarter. All commands conducting indoctrination and refresher training as required.

6. USCINPAC IG reported no IO violations during this quarter. All commands conducting indoctrination and refresher training as required.

7. USCENTCOM IG reported no questionable intelligence activities during this reporting period.

8. USSOCOM IG reported no violations of applicable laws, orders, directives, regulations, or DoD policies.

9. AMC IG reported no IO violations during this quarter.

10. SPACECOM reported no IO violations during this quarter. All commands conducting indoctrination and refresher training as required.

11. My point of contact is (b)(3) 10 USC 130b.(b) (6) at COMM: (b)(2) or (b)(2)

(b)(6)

Deputy, Inspector General

**THE JOINT STAFF  
WASHINGTON, DC**

Reply ZIP Code:  
20318-0300

20 July 2001

**MEMORANDUM FOR THE ASSISTANT TO THE SECRETARY OF DEFENSE  
(INTELLIGENCE OVERSIGHT)**

**Subject: Intelligence Oversight (IO) Report for the Third Quarter FY-01**

1. In accordance with DoD 5240.1-R, December 1982, "Procedure Governing the Activities of DoD Intelligence Components that affect United States Persons," a consolidated Intelligence Oversight (IO) report is submitted for the combatant commands for the Third Quarter, FY 01.
2. USJFCOM approved and disseminated a new Intelligence Oversight Instruction (USJFCOMINST 5240.IC). No other intelligence activity has been identified which is reasonably believed to be illegal or contrary to Executive Order, Department of Defense, or service instructions concerned with Intelligence Oversight. Significant oversight activities taken:
  - a. JOINT WARFARE ANALYSIS CENTER (JWAC). An inspection was conducted by the office of the Assistant to the Secretary of Defense for Intelligence Oversight (ATSD-IO) on 22 May 01.
3. USSTRATCOM IG reported USCINSTRAT Inspector General (J005) and Staff Advocate Judge Advocate (J060) conducted an Intelligence Oversight Inspection of the USSTRATCOM J3/J4 Operations and Logistics Directorate, Airborne Operations Division (J3621), Information Operations (J39) and National Airborne Operation Center (J38). The IO programs was found to be in compliance with DoD 5240.1-R guidance.
4. USEUCOM IG reported receiving notification of possible violation in Task Force Falcon, Kosovo. Matter has been turned over to US Army Europe for inquiry and falls within the purview of the US Army. All commands conducting indoctrination and refresher training as required.
5. USSOUTHCOM IG reported no IO violations during this quarter. All commands conducting indoctrination and refresher training as required.
6. USCINPAC IG reported no IO violations during this quarter. All commands conducting indoctrination and refresher training as required.



7. USCENTCOM IG reported no questionable intelligence activities during this reporting period.

8. USSOCOM IG reported no violations of applicable laws, orders, directives, regulations, or DoD policies.

9. AMC IG reported no IO violations during this quarter.

10. SPACECOM reported no IO violations during this quarter. All commands conducting indoctrination and refresher training as required.

11. My point of contact is (b)(3) to USC 130b.(b) at COMM: (b)(2) or (b)(2)

(b)(3) to USC 130b.(b)(6)

Deputy, Inspector General



~~SECRET~~  
THE JOINT STAFF  
WASHINGTON, DC

Reply ZIP Code:  
20318-0300

1 May 2002

MEMORANDUM FOR THE ASSISTANT TO THE SECRETARY OF DEFENSE  
(INTELLIGENCE OVERSIGHT)

Subject: Intelligence Oversight (IO) Report for the Second Quarter FY-02

1. (U) In accordance with DoD Directive 5240.1-R, December 1982, "Procedures Governing the Activities of DoD Intelligence Components that affect United States Persons," a consolidated Intelligence Oversight (IO) report is submitted for the combatant commands for the Second Quarter, FY 02.
2. (U) **USJFCOM:** Intelligence Oversight Violation Report. In a document titled "JFCOM J2 LNO UPDATE 190130T FEB 02" an intelligence oversight violation occurred. This document was disseminated by the JFCOM liaison element assigned to JIF-Olympics and working at the FBI's Olympic Intelligence Center. The document labeled "UNCLASSIFIED/LAW ENFORCEMENT SENSITIVE" contained US Persons data in referring to, and reporting on, organizations (Planned Parenthood, the white supremacist group National Alliance) and their involvement in protests and literature distribution. Also noted was the report contained a large section labeled "GENERAL CRIMINAL ACTIVITY". Collection and dissemination of the US Persons information by military intelligence assets is not allowed unless this information constitutes "Foreign Intelligence" as outlined in paragraph 3 of Procedure 2, DoDR 5240.1-R (i.e. international nexus). The inclusion of these two sections in this intelligence product is clearly outside the purview of military intelligence assets and should be handled through law enforcement or Anti-Terrorism/Force Protection channels. An inquiry into the circumstances of this violation was conducted and the result will be forwarded via separate correspondence.
  - a. (U) Joint Forces Intelligence Command (JFIC) will conduct a Command Security Standown from 22-25 Apr 02, which will include the annual IO training requirement. Conducted training on 37 new joins and 42 watchstanders.
  - b. (U) Joint Task Force Six (JTF-6) conducted a site visit to a deployed Intelligence Analyst in conjunction with (b)(6) DAIG, and (b)(6)

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(b)(6) from ATDSIO on 27 Feb 02. IO visits were conducted to 73 reserve Intelligence Analysts during the reporting quarter (including ATSD-IO and DAIG visits.) This represents an inspection ratio of 7% of all deployed JTF-6 analysts. Visits were conducted to all first tour analysts, and first time supported law enforcement agencies

c. (U) Joint Task Force Olympics (JTF-O) received a site visit from USJFCOM IG and ATSDIO from 23-25 Jan 02. The visit was to ensure compliance with IO regulations while engaged in a real world mission within the United States. The organization displayed excellent knowledge of IO issues and guidance and no violations occurred during this operation

3. (U) **USSTRATCOM IG** reported no IO violations or questionable activities during this reporting period.

4. (U) **USEUCOM IG** reported no IO violations during this quarter. Intelligence oversight training: initial briefing: 153; refresher briefings: 12. EUCOM J2 conducted a self-inspection. No personnel were interviewed. Materials inspected: 25% of division personnel files, computer disks, and administrative holdings. The IG conducted staff assistance visits to the US National Intelligence Centers in Sarajevo, Bosnia-Herzegovina and Pristina, Kosovo.

5. (S) **USSOUTHCOM IG** no significant intelligence activity has been identified which is reasonably believed to be illegal or contrary to Executive Order 12333, DoD directives or USSOUTHCOM regulations. Training: all new personnel received initial training in March. In addition, all personnel who required it received refresher training. Files reviewed: SCJ2 inspected 20% of its files. No irregularities reported. Completed review of the Patriot Act for Intelligence Oversight implications. None noted. Developed new report module in STEM-ISIS that permits rapid identification of personnel who need IO training. The next programming effort will incorporate an automatic e-mail notification directing personnel to take the training via CBT, with training results generating automatic input to the database. This effort will cause marked efficiency in conducting and tracking IO training.

Inquiries: conducted inquiry into alleged IO violation. (b)(1),(b)(3):10 USC §424 submitted an Intelligence Information Report (IIR) to DIA concerning a person in the (b)(1),(b)(3):10 USC §424 then submitted a follow-up report with unconfirmed and unevaluated information that the person may be a U.S. citizen and therefore, no violation occurred.

Opinion regarding possible U.S. Person detainee: Entered into discussions with ATSD-IO (b)(6) and SCSJA (b)(6) for a decision regarding information obtained from detainees in Guantanamo who might be found to be U.S. Persons. ATSD-IO concurred that EXORD 16 Feb 02

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JTF 170 constitutes a duly authorized mission, that a detainee who turns out to be a U.S. Person is an exemption under Procedure 2, and since DoD intelligence is authorized to interrogate and acquire information as part of the team that includes the FBI, we are authorized to report, retain, publish, and disseminate that information. (b)(6) contacted JCS Legal Counsel regarding our discussions; JCS legal counsel also concurred with our opinion. It was subsequently determined by the U.S. that a detainee (Himdy) is a dual citizen (born in the U.S.), and therefore he was relocated from Guantanamo to the U.S.

- a. (U) Joint Task Force Bravo (JTF Bravo) – negative report submitted.
  - b. (U) Joint Southern Surveillance Reconnaissance Operations Center (JSSROC) – Conducted normal in-processing IO briefing with all incoming personnel.
  - c. (U) Special Operation Command South – negative report submitted.
  - d. ~~(S)~~ Joint Interagency Task Force-East (JIATF-E) – IAW directive the following is provided: possible violation on 25 Feb 02, an aircraft from the 204<sup>th</sup> Military Intelligence Battalion tactically assigned to JIATF East was diverted from their pre-planned mission in Colombia to answer an Ad Hoc tasking. The request for information originated from the U.S. Embassy in Colombia to support a force protection mission. Details of this incident are contained in the procedure 15 submitted by USAINSCOM with assistance from JIATF East.
6. (U) **USPACOM IG** reported no IO violations during this quarter. All commands conducted indoctrination and refresher training as required. A Staff Assistance Visit was conducted at USFJ. DoD IG conducted an inspection of USFK. Both were evaluated as satisfactory.
  7. (U) **USCENTCOM IG** reported no questionable intelligence activities during this reporting period. Augmentation forces for Operation ENDURING FREEDOM assigned to USCENTCOM at MacDill AFB FL are receiving initial intelligence oversight training.
  8. (U) **USSOCOM IG** reported no violations of applicable laws, orders, directives, regulations, or DoD policies. 4/8/02
  9. (U) **USTRANSCOM IG** representatives of the offices of the Inspector General (TCIG) and the Chief Counsel (TCJA) conducted the USTRANSCOM IO program quarterly inspection on the Joint Transportation Reserve Unit (JTRU) intelligence personnel who are working within the Joint Intelligence Center (JIC). The TCJ2 IO Program Monitor and Alternate were also present on 28 Mar 02 because they provide the IO training to the JTRU personnel. The inspection included a review of the TCJ2 (JIC) IO training records and

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