

ENCLOSURE D - USNORTHCOM



**NORTH AMERICAN AEROSPACE DEFENSE COMMAND
AND
UNITED STATES NORTHERN COMMAND**



**MEMORANDUM FOR INSPECTOR GENERAL, THE JOINT STAFF
(ATTN: (b)(6) [redacted] 266)**

FROM: NORAD and USNORTHCOM Inspector General

**SUBJECT: US NORTHERN COMMAND (USNORTHCOM) Intelligence Oversight
Report for Fourth Quarter FY07**

1. Illegal or Improper Activities

No intelligence activity has been identified which is reasonably believed to be illegal or contrary to Executive Order or Department of Defense directives concerned with Intelligence Oversight for USNORTHCOM or its subordinate joint commands.

2. Significant Intelligence Oversight Activities

a. The N-NC IG completed Intelligence Oversight Inspections of JTF-AK and JTF-CS. The organizations were well versed in their duties and responsibilities, understanding constitutional constraints, and the laws and directives which govern the collection, dissemination and storage of sensitive information, especially that data which is constrained by Intelligence Oversight guidance or acquired on non-DoD persons. Their programs are very strong, with only minor recommendations for improvement.

b. Training: All N-NC Intelligence Directorates and subordinate commands have conducted initial and refresher training for personnel. The percentage of personnel trained in the command is currently 99%, and all efforts will be made to maintain/increase this level.

c. N-NC has also updated and disseminated the command IO Instruction (NNCI-14-103). This instruction has been implemented and changes in the new guidance will be highlighted during upcoming IO Inspections.

d. Suggestions for Improvement: All N-NC Intelligence Directorates and JTFs have implemented more frequent database information review processes (30-60 day reviews) to ensure data repositories have effective follow-up assessments concerning the need to retain various forms of information.

3. POC for this report is (b)(6) DSN 692-0989, commercial (719) 554-0989.

b(6)

//////////////////ORIGINAL SIGNED//////////////////
STEVEN E. ARMSTRONG, Colonel, USAF
Inspector General

(b)(2)

ENCLOSURE E - USPACOM



COMMANDER, U.S. PACIFIC COMMAND
(USPACOM)
CAMP H.M. SMITH, HAWAII 96861-4028

1 October 2007

MEMORANDUM FOR Joint Staff, Inspector General, Attn: (b)(3) 10 USC
130b.(b)(6)

Subject: QUARTERLY INTELLIGENCE OVERSIGHT (IO) REPORT,
4th QUARTER, FY 07

Ref: (a) USCINCPACINST 3800.11A, 31 Jul 96
(b) ASD Memo / December 8, 2006

1. This report provides Intelligence Oversight activity of USPACOM staff and subordinate commands for 4th Quarter, FY07.
2. Description of violations (law, regulation, or policy during the quarter): None
3. List intelligence oversight inspections including:
 - a. List of organizations - USPACOM, JIATF West, USFK, USFJ, ALCOM, JIOC, SOCFAC and JPAC
 - b. Summarize the results or trends - 17-20 Jul 07 NORAD-USNORTHCOM (N-NC) IG re-inspection of JTF-AK J2 grade of "Satisfactory."
 - c. Comment on questionable intelligence activities discovered - None
 - d. Familiarity of personnel with intelligence oversight requirements - Very high
 - e. Adequacy of organization intelligence oversight training program - Annual training now being conducted via computer with certificates being sent to IO coordinator and new members still receiving in-person indoctrination training
 - f. If inspections revealed deficiencies, note the corrective action taken - N/A.
4. Summarize any COCOM/agency level changes to your intelligence oversight program including changes to supporting training programs and the reason for the changes. Attach a copy of the directive or policy which directs the change: None
5. Summarize any COCOM/agency level changes to published directives or policies concerning:
 - a. Intelligence - None
 - b. Counterintelligence - None
 - c. Intelligence-related activities - None
6. Continue to report on the status of ongoing Procedure 15 inquiries and any additional matters pertinent to the agency/COCOM intelligence oversight programs as outlined in Procedure 15: All reporting commands are conducting indoctrination and refresher training. N-NC IG Procedure 15 investigation into potential JTF-AK IO violations is in progress as an outcome of their inspection of ALCOM/JTF-AK J2 conducted on 6-8 Mar 07. Final report from N-NC is forthcoming.

EFF

689

7. Point of Contact for this report is COL John C. Stratis, USA, USPACOM IG, DSN 315-477-5101/5165 or COMM (808) 477-5101/5165

John C. Stratis
JOHN C. STRATIS
Colonel, USA
Inspector General

(b)(2)

ENCLOSURE F - USSOUTHCOM

REPLY TO
ACTIVITY OF

DEPARTMENT OF DEFENSE
UNITED STATES SOUTHERN COMMAND
3511 NW 91ST AVENUE
MIAMI, FL 33172-1217

SCIG

10 October 2007

MEMORANDUM FOR THE JOINT STAFF INSPECTOR GENERAL, ROOM 2C962,
ATTN: JCS DOM(b)(3) 10 USC 130b.(b) JOINT STAFF INSPECTOR GENERAL, 6 (6)
WASHINGTON, D C. 20318-0300

SUBJECT: Quarterly Intelligence Oversight Activities Report for the 4th Quarter 2007

1. (U) Reference DOD Directive 5240.1R, dated December 1982.
2. (U) This report includes input from HQ U.S. Southern Command, Miami, Florida; Joint Task Force Bravo, Soto Cano AB, Honduras; Joint Task Force Guantanamo Bay, Cuba; Special Operations Command South, Homestead ARB, Homestead, Florida and Joint Interagency Task Force-South, Truman Annex (NAS), Key West, Florida.
3. (U) United States Southern Command (US SOUTHCOM) - In accordance with the directive the following is provided:
 - a. No intelligence activity that is reasonably believed to be illegal or contrary to Executive Order 12333, DoD 5240.1-R, or USSOUTHCOM Regulation 381-5 has been identified.
 - b. Significant oversight activities.
 - (1) Publications: None.
 - (2) Training: Training notifications go out monthly. It is automated; e-mail is generated at the server level and is sent to all personnel who are required to undergo training during that month.
 - (3) Inspections: None.
 - (4) Files review: None
 - (5) Inquiries: None
 - c. Suggestions for improvement. None.
 - d. Other. None

~~FOR OFFICIAL USE ONLY~~

EFF

692

~~FOR OFFICIAL USE ONLY~~

SCIG

SUBJECT: Quarterly Intelligence Oversight Activities Report for the 4th Quarter 2007

a. Point of contact for this section is: (b)(2),(b)(6)
(b)(2),(b)(6)

b(2)
b(6)

4. (U) Joint Task Force Bravo (JTF Bravo) - In accordance with the directive the following is provided:

IAW referenced directive, the following information is provided:

a. No intelligence activity has been conducted which may be reasonably construed as illegal or contrary to Directive Order 12333, DoD directives or US SOUTHCOM regulations.

b. Suggestions for improvement: None

c. Other: None.

d. Point of Contact for this memorandum is: (b)(2),(b)(3) 10 USC 130b (b)(6)
(b)(2),(b)(3) 10 USC 130b.(b)(6)

b(2)
b(3)
b(6)
10 USC

5. (U) Special Operations Command South (SOCSO-J2) - In accordance with the directive the following is provided:

a. No intelligence activity has been identified which is reasonably believed to be illegal or contrary to Executive Order 12333 or DoD directive 5340.1-R.

b. Suggestions for improvement: None

c. Other: None

d. Point of contact for HQs Special Operations Command South is: (b)(2),(b)(6)
(b)(2),(b)(6)

b(2)
b(6)

6. (U) Joint Interagency Task Force-South (JIATF-S J2 CI) - In accordance with the directive the following is provided:

a. No intelligence activity has been identified which is reasonably believed to be illegal or contrary to Executive Order or DoD Directives.

b. Significant oversight activities for this quarter include training of newly arriving personnel assigned to intelligence activities.

~~FOR OFFICIAL USE ONLY~~

~~FOR OFFICIAL USE ONLY~~

SCIG

SUBJECT: Quarterly Intelligence Oversight Activities Report for the 4th Quarter 2007

c. Monitored JIATF-S WebTas Program to insure US person data meets authorized retainability under JIATFS mission allowing for the collection of information regarding international drug trafficking.

d. Reviewed all US persons in WebTas and either eliminated them or left them in because of sustaining information. The database is current with known traffickers and persons with prior criminal history.

e. Point of contact for JIATF-S is (b)(2),(b)(6) [redacted]
(b)(2),(b)(6) [redacted]

b(2)
b(6)

7. (U) Joint Task Force - GTMO, Guantanamo Bay, Cuba - In accordance with the directive the following is provided:

a. No intelligence activity that is reasonably believed to be illegal or contrary to Executive Order 12333, DoD 5240.1-R, or USSOUTHCOM Regulation 381-5 has been identified.

b. Significant oversight activities.

(1) Publications: None.

(2) Training: All JTF personnel receive IO training during initial inprocessing into the JTF.

(3) Files review: None.

(4) Inquiries: None.

c. Suggestions for improvement. None.

d. Point of contact for JTF-GTMO is IG, JTF-GTMO (b)(2),(b)(3) 10 USC 130b,(b)(6) [redacted]
(b)(2),(b)(3) 10 USC 130b, [redacted]
(b)(6) [redacted]

b(4)
b(6)
b(3)
10 USC 130b

~~FOR OFFICIAL USE ONLY~~

(b)(2)

~~FOR OFFICIAL USE ONLY~~

SCIG

SUBJECT: Quarterly Intelligence Oversight Activities Report for the 4th Quarter 2007

8. Point of Contact at U.S. SOUTHERN COMMAND IG's Office is

(b)(2),(b)(6)

b6
b7c

(b)(2),(b)(6)

(original signed)
T.L. WASHBURN
CAPT, USN
Inspector General

- CF:
- IO Officer, USSOUTHCOM
- IO Officer, JTF-Bravo
- IO Officer, SOCSO
- IO Officer, JIATF-S
- Inspector General - GTMO

ENCLOSURE G - USSOCOM

~~FOR OFFICIAL USE ONLY~~



UNITED STATES SPECIAL OPERATIONS COMMAND

7701 TAMPA POINT BLVD.
MACDILL AIR FORCE BASE, FLORIDA 32621-5323

SOIG

1 October 2007

MEMORANDUM FOR: DEPUTY INSPECTOR GENERAL, JOINT STAFF,
ATTN: (b)(3) 10 USC 130b.(b)(6) 300 JOINT STAFF, PENTAGON,
WASHINGTON, D.C. 20318-0300

SUBJECT: Quarterly Intelligence Oversight Activities Report for 4th Quarter, FY 2007

1: References:

- a. E.O. 12333, 4 December 1981.
- b. DOD Directive 5240.1, 25 April 1988.
- c. DOD Regulation 5240.1-R, 7 December 1982.
- d. CJCSI 6901.01, 3 January 2007.

2. No violations of applicable laws, orders, directives, regulations, or DOD policies were reported.

3. Point of contact is (b)(2).(b)(3) 10 USC 130b.(b)(6)

(b)(3) 10 USC 130b.(b)(6)

Inspector General

This is a privileged document. It will not be released (in whole or in part), reproduced, or given additional dissemination (in whole or in part) outside of Inspector General channels without prior approval of the USSOCOM IG or designee. Information contained in this document is exempt from mandatory disclosure under the Freedom of Information Act (FOIA). Exemptions 5, 6, and 7 apply.

~~FOR OFFICIAL USE ONLY~~

OCT-16-2007 12:32

JCS MAIL & DISTRIBUTION

(b)(2)

P 25

high (b)(2)

ENCLOSURE II - USSTRATCOM

EFF

698

high (b)(2)

Reply to:
USSTRATCOM/3005
901 SAC BLVD, STE 1H9
OFFUTT AFB NE 68113-6005

28 Sep 07

MEMORANDUM FOR THE INSPECTOR GENERAL, JOINT STAFF

Subject: Intelligence Oversight (IO) Report for the period of Jul - Sep 2007

1. There were no intelligence, counterintelligence, or intelligence-related violations of law, regulation, or policy in USSTRATCOM this quarter.
2. During this quarter, the IG had an IO inspection scheduled at the Joint Information Operations Warfare Command (JIOWC), Lackland AFB, but had to postpone it due to other Command priorities. We will re-schedule this IO inspection, as well as scheduling other units' IO inspections, as soon as priorities allow.
3. There were no changes to the USSTRATCOM IO inspection program.
4. We have revised our USSTRATCOM Instruction 919-3, *Intelligence Oversight Inspection Program*, 3 Jul 2007 and will forward a copy to your office. In addition, the OSD ATSD-IO office will conduct an inspection of the IO program at USSTRATCOM 4-8 Feb 2008. We have been in contact with (b)(6) OSD ATSD-IO, to coordinate this inspection visit.
4. If you have any questions concerning this report, please contact the USSTRATCOM POC, (b)(6) Deputy Inspector General (b)(2) high (b)(2)

(b)(6)

(b)(6)

Thomas D. Young
 THOMAS D. YOUNG
 Colonel, USAF
 Inspector General

ENCLOSURE I - USTRANSCOM



UNITED STATES TRANSPORTATION COMMAND
800 SCOTT DRIVE
SCOTT AIR FORCE BASE, ILLINOIS 62204-6307

1 Oct 07

MEMORANDUM FOR THE JOINT STAFF, OFFICE OF THE INSPECTOR GENERAL

FROM: TCIG

SUBJECT: 4th QUARTER, FY07, Intelligence Oversight (IO) Inspection Report

1. USTRANSCOM Inspector General (TCIG) conducted the USTRANSCOM quarterly IO program inspection of the Joint Reserve Directorate (TCJ9)/Joint Transportation Reserve Unit (JTRU), in coordination with Maj Michael Peters, JTRU IO Program Monitor, on 8 September 2007.
2. The inspection consisted of a review of IO program administration, to include required initial/annual IO training of TCJ9/JTRU personnel, and an evaluation of the effectiveness of that IO training. Currently 100% of assigned personnel are trained, and all personnel who were evaluated demonstrated appropriate knowledge of IO regulations and procedures. Two JTRU personnel are currently deployed from the unit, but they have completed annual IO refresher training.
3. There were no questionable activities or IO violations within USTRANSCOM this quarter.

Handwritten signature of Bradley A. Carpenter in black ink.

BRADLEY A. CARPENTER, CAPT, USN
Inspector General

cc:
USTRANSCOM TCJ9/CDR, JTRU (Maj Gen Mitchell)
USTRANSCOM/TCJ9, IO Monitor (Maj Peters)
USTRANSCOM/TCJA (Mr. Moore)

EFF

TOTAL 701.28



**THE JOINT STAFF
WASHINGTON, DC**

Reply ZIP Code:
20318-0300

20 April 2007

**MEMORANDUM FOR THE ASSISTANT TO THE SECRETARY OF DEFENSE
(INTELLIGENCE OVERSIGHT)**

Subject: Intelligence Oversight (IO) Report for Second Quarter, FY-07

1. Below is a consolidated summary of all Combatant Commands (COCOMs) Intelligence Oversight (IO) Reports for the Second Quarter, FY-07. Full COCOM reports are attached for further information. Service Components will report IO information through their Military Services.

a) **USCENTCOM IG:** Augmentation forces for Operation ENDURING FREEDOM assigned to USCENTCOM at Mac Dill AFB are receiving initial intelligence oversight training. There were no questionable intelligence activities reported.

b) **USEUCOM IG:** Intelligence oversight training included 64 initial and 92 refresher briefings. Internal assessments were conducted by EUCOM J2, Survey SHAPE, USNIC-Pristina, USNIC-Sarajevo, Northern Region JOIC, Southern Region JOIC, Joint Analysis Center. Total of 293 personnel interviewed. No questionable intelligence activities reported. The EUCOM IG received an information copy of a possible Procedure 15 but further investigation determined an error. The EUCOM IG received a revision of the USEUCOM Intelligence Oversight staff memorandum, and it should be published during the third quarter.

c) **USJFCOM IG:** J2 established computer-based IO awareness training on each SIPRNET computer. This IO awareness training is also available on the unclassified JFCOM portal in IRIS. The J2 tracks employee training completion in IRIS. The USJFCOM IG's Office conducted a unit command inspection of Special Operations Command Joint Forces Command (SOCJFCOM) and was found to be in compliance with all applicable regulations.

The J7 conducted a review of exercises planned for the 3rd quarter/FY07, and identified one exercise required the use of domestic commercial imagery. A review of legal and policy constraints governing the use of commercial imagery was initiated.

d) **USNORTHCOM**: The N-NC IG completed IO Inspections of JTF-AK that resulted procedural problems pertaining to the development and dissemination of intelligence products containing US persons information, a Procedure 15 Investigation was conducted. The JTF-AK J2 will be responsible for submitting Corrective Action Reports NLT 30 April 2007. The N-NC/J2 reported one incident this quarter, possibly contrary to Executive Order or Department of Defense directives. The N-NC IG hosted a visit from the ATSD(IO), and the inspectors conducted IO inspections of the N-NC/J2 and SJFHQ-N. The N-NC has updated and disseminated the command IO Instruction (NNCI-14-1403). All N-NC Intelligence Directorates and JTF's have conducted initial and refresher training for personnel, 98% has been trained.

e) **USPACOM IG**: Two possible IO violations were reported at ALCOM during an inspection conducted N-NC IG. JTF-AK J2 received an overall N-NC IG inspection grade of "Unsatisfactory" due to annual training being conducted via computer with certificates being sent to IO coordinator. New personnel receive in-person indoctrination training. ALCOM computer drives are being reconfigured. All reporting commands are conducting indoctrination and refresher training.

f) **USSOUTHCOM IG**: Automated training notifications go out monthly as a reminder to all personnel who are required to undergo training during that month. Joint Task Force Bravo (JTF Bravo), Special Operation Command South (SOCSO-J2), Joint Interagency Task Force-South (JIATF-S J2 C), and Joint Task Force, Guantanamo Bay, Cuba (JTF-GIMO) submitted a negative reports for questionable intelligence activities.

g) **USSOCOM IG**: There were no IO inspections conducted during this quarter. No questionable intelligence violations were reported.

h) **USSTRATCOM IG**: USSTRATCOM IG inspected the IO program at the Global Innovation and Strategy Center (GISC). GISC's IO program was found to be satisfactory. No questionable intelligence activity was identified.

i) **USTRANSCOM IG**: USTRANSCOM IG and USTRANSCOM Chief, Civil and Fiscal Law (TCJA), specifically conducted an IO program inspection of the Naval Reserve Intelligence Unit, Joint Operations Intelligence Center - USTRANSCOM (JIOCTRANS 0169). The inspection consisted of a review of the unit IO program administration, to include documentation of annual IO training and an evaluation of the effectiveness of that training. All available JIOCTRANS personnel received IO refresher training. There were two JIOCTRANS personnel deployed, and one assigned to active duty away from the unit; all three will receive annual IO refresher training upon their return.

j) **JSIG:** Updated the CJCSI 5901.01A, Joint Staff IG Responsibilities, Procedures, and Oversight Functions on 3 Jan 07. (b)(6) attended an ATSD(IO) Intelligence Oversight Inspection with (b)(6) and (b)(6) during their Jan 28 - 01 Feb 07, IO visit to Hawaii. They received command briefings and conducted IO inspections of PACOM J2, SOPHAC, JAITFO-W, USARPAC J2, 500th MI BDE HQ, AFSOI Region 6 and the NCIO FO.

2. The point of contact is the undersigned at (b)(2)

(b)(3) 10 USC 130b, (b)(6)

Deputy Inspector General

Enclosures:

- Enclosure A - Intel Report U.S. Central Command
- Enclosure B - Intel Report U.S. European Command
- Enclosure C - Intel Report U.S. Joint Forces Command
- Enclosure D - Intel Report U.S. Northern Command
- Enclosure E - Intel Report U.S. Pacific Command
- Enclosure F - Intel Report U.S. Southern Command
- Enclosure G - Intel Report U.S. Special Operations Command
- Enclosure H - Intel Report U.S. Strategic Command
- Enclosure I - Intel Report U.S. Transportation Command

(b)(2)

ENCLOSURE A - USCENCOM



UNITED STATES CENTRAL COMMAND
7115 SOUTH BOUNDARY BOULEVARD
MACDILL AIR FORCE BASE, FLORIDA 33621-6101

CCJ2
CCIG

04 April 2007

MEMORANDUM FOR DEPUTY INSPECTOR GENERAL, THE JOINT STAFF,
6000 DEFENSE PENTAGON, WASHINGTON, DC 20301-6000

SUBJECT: 2nd Quarter, Fiscal Year 07, Intelligence Oversight (IO) Report

REF: (a) CJCSI 5901-01, 25 Mar 03, Subject: Oversight of Intelligence Activities.
(b) USCENTCOM Regulation 381-9, 17 Feb 05, Subject: Activities of USCENTCOM
Intelligence Components that Affect United States Persons.

1. Service Components report through their Service channels. Augmentation forces for Operation ENDURING FREEDOM assigned to USCENTCOM at MacDill AFB, FL are receiving initial intelligence oversight training.
2. There were no questionable intelligence activities during the reporting period.
3. POC is MSgt (b)(3) 10 USC [redacted] Special Security Office (SSO) Intelligence Oversight Officer, DSN (b)(2) [redacted] United States Central Command, MacDill Air Force Base, Florida.

(b)(3) 10 USC 130b,(b)(6)

Colonel, USAF
Deputy, Director of Intelligence

(b)(3) 10 USC 130b,(b)(6)

Colonel, USA
Inspector General

ENCLOSURE B - USEUCOM



HEADQUARTERS
UNITED STATES EUROPEAN COMMAND
UNIT 30400
APO AE 09131

ECIG

9 April 2007

MEMORANDUM FOR Joint Staff Inspector General

SUBJECT: Intelligence Oversight Report, Second Quarter, FY07

1. This report provides information concerning intelligence oversight activities of the staff directorates and direct-reporting subordinate intelligence units of headquarters, US European Command.
2. Intelligence oversight training:
 - a. Initial briefings: 64
 - b. Refresher briefings: 92
3. Questionable activities (violations of law, regulation, or policy and action taken): We received an information copy of a possible Procedure 15 inquiry in a country in the USCENTCOM AOR. The DoDIG investigating officer said this was an error on his part, and that USEUCOM had no part to play in this inquiry.
4. Intelligence oversight inspections.
 - a. By intelligence organizations.
 - (1) Activities conducting internal assessments: EUCOM J2, Survey SHAPE, USNIC-Pristina, USNIC-Sarajevo, Northern Region JOIC, Southern Region JOIC, Joint Analysis Center
 - (2) Personnel interviewed: 293
 - b. By USEUCOM Inspector General.
 - (1) Activities inspected or assessed: None.
 - (2) Summary of results: N/A.
 - (3) Questionable intelligence activities discovered: N/A.
 - (4) Familiarity of personnel with intelligence oversight requirements: N/A.

EFF

708

ECIG

SUBJECT: Intelligence Oversight Report, Second Quarter, FY07

(5) Adequacy of organization intelligence oversight training program: N/A.

(6) Corrective actions taken: N/A.

5. Changes to intelligence oversight program (including changes to supporting training programs and the reason for the changes; attach a copy of the directive or policy which directs the change): None.

6. Changes to published directives or policies concerning intelligence, counterintelligence, or intelligence-related activities (attach a copy of the directive or policy): The EUCOM IG received a revision of the USEUCOM Intelligence Oversight staff memorandum; we will review it as part of the normal staffing process. It should be published during the third quarter FY07.

7. Status of ongoing Procedure 15 inquiries: None ongoing.

8. Other matters pertinent to USEUCOM intelligence oversight programs:

9. Point of contact is the undersigned at (b)(2),(b)(3) 10 USC 130b.(b)(6) or
(b)(2),(b)(3) 10 USC 130b.(b)(6)

(b)(3) 10 USC 130b.

(b)(6)

Assistant Inspector General

ENCLOSURE C - USJFCOM



DEPARTMENT OF DEFENSE
 COMMANDER
 U.S. JOINT FORCES COMMAND
 1662 MITSCHER AVENUE SUITE 200
 NORFOLK, VA 23551-2488

IN REPLY REFER TO:

5240
 J00IG3
 10 April 2007

MEMORANDUM FOR INSPECTOR GENERAL, THE JOINT STAFF
 (ATTN: (b)(6))

Subject: U.S. Joint Forces Command (USJFCOM) Intelligence Oversight Report for the
 2nd Quarter FY-07

1. Illegal or improper activities:

No intelligence activity has been identified which is reasonably believed to be illegal or contrary to Executive Order of Department of Defense instructions concerned with intelligence oversight.

2. Significant Intelligence Oversight activities:

a. J2 established computer-based intelligence oversight awareness training on each SIPRNET computer under the training menu of the Network Applications area. Computer-based intelligence oversight awareness training is also available on the unclassified JFCOM portal in the IRIS area. The J2 tracks employee training completion in IRIS.

b. On 19 March 2007 J7 conducted a review of exercises planned for the 3rd Quarter/FY07. The review showed one exercise, Ardent Sentry/Northern Edge 07, requires the use of domestic commercial imagery. A review of legal and policy constraints governing the use of commercial imagery was initiated.

3. Results of Intelligence Oversight Inspections:

a. The USJFCOM Inspector General's Office conducted a unit command inspection of Special Operations Command Joint Forces Command (SOCJFCOM) in Norfolk, Virginia from 5-9 March 2007 that included intelligence oversight. The intelligence oversight officer, (b)(6), was in compliance with all appropriate regulation and maintained accurate training records.

4. Suggestions for improvement: None

5. USJFCOM IG Intelligence Oversight point of contact is (b)(6) and can be reached at (757) 836-5941/0 or DSN 836-5941/0.

Gary R. Woltering
 Col USAF
 Inspector General

EFF

711

ENCLOSURE D - USNORTHCOM



**NORTH AMERICAN AEROSPACE DEFENSE COMMAND
AND
UNITED STATES NORTHERN COMMAND**



MEMORANDUM FOR INSPECTOR GENERAL, THE JOINT STAFF

(ATTN: (b)(6) USA) b(6)

FROM: NORAD-USNORTHCOM Inspector General

SUBJECT: US NORTHERN COMMAND (USNORTHCOM) Intelligence Oversight
Report for Second Quarter FY07

1. Illegal or Improper Activities

a. The N-NC IG conducted an Intelligence Oversight (IO) inspection of JTF-AK from 6-8 March that resulted in the identification of procedural problems pertaining to the development and dissemination of intelligence products containing US Persons information. A determination was made that a Procedure 15 Investigation was required. Details of the inspection are contained in N-NC Intelligence Oversight inspection report, IO Inspection 07-03. In response to the inspection report, the JTF-AK J2 will be responsible for submitting two Corrective Action Reports NLT 30 April 2007.

b. The N-NC J2 reported that there was one incident this quarter possibly contrary to Executive Order or Department of Defense directives concerned with intelligence oversight. On 25 Jan 07, watch notes distributed via email by the N-NC/J2 Operational Intelligence Watch (OIW) contained an FBI IIR which referred to two USPER organizations by name. The IIR was one of many from various information feeds into the OIW and was inadvertently distributed to a small group of analysts without having been reviewed by the watch officer. Concerns were raised by the N-NC/J2 shortly after the watch notes were distributed and immediate action was taken to make appropriate notification to recipients to destroy the watch notes. All electronic and hard copies of the IIR were purged from the OIW computer systems. Subsequent training was conducted for all watch officers on the importance of reviewing items included in distributed watch notes with a close eye on intelligence oversight concerns.

2. Significant Intelligence Oversight Activities

a. The N-NC IG conducted an IO inspection of JTF-AK from 6-8 March 2007. The N-NC IG also hosted a visit by (b)(6) and (b)(6) from the ATSD(IO). During the visit the ATSD(IO) inspectors conducted IO inspections of the N-NC J2, and SJFHQ-N. All subordinate commands inspected by ATSD(IO) were well versed in their duties and responsibilities, understanding constitutional constraints, and the

laws and directives which govern the collection, dissemination and storage of sensitive information. JTF-AK was discussed in Paragraph 1 above.

b. N-NC has also updated and disseminated the command IO Instruction (NNCI-14-103). This instruction has been implemented and changes in the new guidance will be highlighted during upcoming IO inspections.

c. Training: All N-NC Intelligence Directorates and JTF's have conducted initial and refresher training for personnel. The percentage of personnel trained in the command is currently 98%, and all efforts will be made to maintain/increase this level.

d. Suggestions for Improvement: All N-NC Intelligence Directorates and JTFs have implemented more frequent database information review processes (30-60 day reviews) to ensure data repositories have effective follow-up assessments concerning the need to retain various forms of information.

3. POC for this report is (b)(6) DSN 692-0989, commercial (719) 554-0989. *blue*

//////////////////ORIGINAL SIGNED//////////////////
STEVEN E. ARMSTRONG, Colonel, USAF
Inspector General

ENCLOSURE E - USPACOM



COMMANDER, U.S. PACIFIC COMMAND
(USPACOM)
CAMP H.M. SMITH, HAWAII 96861-4028

4 April 2007

MEMORANDUM FOR Joint Staff, Inspector General, Attn: (b)(6)

Subject: QUARTERLY INTELLIGENCE OVERSIGHT (IO) REPORT.
2nd QUARTER, FY 07

Ref: (a) USCINCPACINST 3800.11A, 31 Jul 96
(b) ASD Memo / December 8, 2006

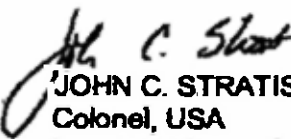
1. This report provides Intelligence Oversight activity of USPACOM staff and subordinate commands for 2nd Quarter, FY07.
2. Description of violations (law, regulation, or policy during the quarter): ALCOM had two possible violations during an inspection done by NORAD/USNORTHCOM conducted on 6-8 Mar 07 (See USNORTHCOM report).
3. List intelligence oversight inspections including:
 - a. List of organizations – USPACOM, JIATF West, USFK, USFJ, ALCOM, JIOC, SOCPAC and JPAC
 - b. Summarize the results or trends – JTF-AK J2 overall N-NC IG inspection grade of 9 Mar 07 "Unsatisfactory" due to potential IO violation.
 - c. Comment on questionable intelligence activities discovered - None
 - d. Familiarity of personnel with intelligence oversight requirements – Very high
 - e. Adequacy of organization intelligence oversight training program – Annual training now being conducted via computer with certificates being sent to IO coordinator and new members still receiving in-person indoctrination training
 - f. If inspections revealed deficiencies, note the corrective action taken – ALCOM computer drives are being reconfigured. Computer archives are being reviewed and reduced.
4. Summarize any COCOM/agency level changes to your intelligence oversight program including changes to supporting training programs and the reason for the changes: Attach a copy of the directive or policy which directs the change: N/A
5. Summarize any COCOM/agency level changes to published directives or policies concerning:
 - a. Intelligence – None
 - b. Counterintelligence – None

c. Intelligence-related activities - None

6. Continue to report on the status of ongoing Procedure 15 inquiries and any additional matters pertinent to the agency/COCOM Intelligence oversight programs are outlined in Procedure 15: All reporting commands are conducting indoctrination and refresher training.

7. General comments: To ensure compliance with applicable laws and regulations, IO file custodians in JIATF-West reviewed their files containing information on U.S. persons.

8. Point of Contact for this report is COL John C. Stratis, USA, USPACOM IG, DSN 315-477-5101/5165 or COMM (808) 477-5101/5165


JOHN C. STRATIS
Colonel, USA
Inspector General

ENCLOSURE F - USSOUTHCOM

RENY TO
ATTENTION OF

DEPARTMENT OF DEFENSE
UNITED STATES SOUTHERN COMMAND
3611 NW 91ST AVENUE
MIAMI, FL 33172-1217

SCIG

5 April 2007

MEMORANDUM FOR THE JOINT STAFF INSPECTOR GENERAL, ROOM 2C962,
ATTN: JCS DON (b)(6) JOINT STAFF INSPECTOR GENERAL,
WASHINGTON, D.C. 20318-0300

SUBJECT: Quarterly Intelligence Oversight Activities Report for the 2nd Quarter 2007

1. (U) Reference DOD Directive 5240.1R, dated December 1982.
2. (U) This report includes input from HQ U.S. Southern Command, Miami, Florida; Joint Task Force Bravo, Soto Cano AB, Honduras; Joint Task Force Guantanamo Bay, Cuba; Special Operations Command South, Homestead AFB, Homestead, Florida and Joint Interagency Task Force-South, Truman Annex (NAS), Key West, Florida.
3. (U) United States Southern Command (US SOUTHCOM) - In accordance with the directive the following is provided:
 - a. No intelligence activity that is reasonably believed to be illegal or contrary to Executive Order 12333, DoD 5240.1-R, or USSOUTHCOM Regulation 381-5 has been identified.
 - b. Significant oversight activities:
 - (1) Publications: None.
 - (2) Training: Training notifications go out monthly. It is automated; e-mail is generated at the server level and is sent to all personnel who are required to undergo training during that month.
 - (3) Inspections: None.
 - (4) Files review: None
 - (5) Inquiries: None
 - c. Suggestions for improvement: None.
 - d. Other: None

~~FOR OFFICIAL USE ONLY~~

EFF

719

~~FOR OFFICIAL USE ONLY~~

SCIG

SUBJECT: Quarterly ~~Intelligence Oversight~~ Activities Report for the 2nd Quarter 2007

c. Point of contact for this section is (b)(2),(b)(6)
(b)(2),(b)(6)

b(2)
b(6)

4. (U) Joint Task Force Bravo (JTF Bravo) - In accordance with the directive the following is provided:

IAW referenced directive, the following information is provided:

a. No intelligence activity has been conducted which may be reasonably construed as illegal or contrary to Directive Order 12333, DoD directives or US SOUTHCOM regulations.

b. Suggestions for improvement: None

c. Other: None.

d. Point of Contact for this memorandum is (b)(2),(b)(3) 10 USC 130b,(b)(6)
(b)(2),(b)(3) 10 USC 130b,(b)(6)

b(2)
b(3)
10 USC
130b

5. (U) Special Operations Command South (SOCSO-J3) - In accordance with the directive the following is provided:

a. No intelligence activity has been identified which is reasonably believed to be illegal or contrary to Executive Order 12333 or DoD directive 5340.1-R.

b. Suggestions for improvement: None

c. Other: None

d. Point of contact for HQs Special Operations Command South is (b)(2),(b)(6)
(b)(2),(b)(6)

b(2)
b(6)

6. (U) Joint Interagency Task Force-South (JIATF-S J3 CI) - In accordance with the directive the following is provided:

a. No intelligence activity has been identified which is reasonably believed to be illegal or contrary to Executive Order or DoD Directives.

b. Significant oversight activities for this quarter include training of newly arriving personnel assigned to intelligence activities.

~~FOR OFFICIAL USE ONLY~~

SCIG

SUBJECT: Quarterly Intelligence Oversight Activities Report for the 2nd Quarter 2007

c. Monitored JIATF-S WebTas Program to insure US person data meets authorized retainability under JIATFS mission allowing for the collection of information regarding international drug trafficking.

d. Reviewed all US persons in WebTas and either eliminated them or left them in because of sustaining information. The database is current with known traffickers and persons with prior criminal history.

e. Handled inquiries regarding the collectability of tail numbers for aircraft and names of boats. Tail number and boat names do not meet the definition of a U.S. person unless the aircraft/boats have the U.S. owners name affiliated directly.

f. Point of contact for JIATF-S is (b)(2),(b)(6) [redacted] (b)(2),(b)(6) [redacted]

b(2)
b(6)

7. (U) Joint Task Force - GTMO, Guantanamo Bay, Cuba - In accordance with the directive the following is provided:

a. No intelligence activity that is reasonably believed to be illegal or contrary to Executive Order 12333, DoD 5240.1-R, or USSOUTHCOM Regulation 381-5 has been identified.

b. Significant oversight activities.

(1) Publications: None.

(2) Training: All JTF personnel receive IO training during initial inprocessing into the JTF.

(3) Files review: None.

(4) Inquiries: None.

c. Suggestions for improvement. None.

d. Point of contact for JTF-GTMO is IG, JTF-GTMO (b)(2),(b)(3) 10 USC 130b,(b)(6) [redacted] (b)(2),(b)(3) 10 USC 130b,(b)(6) [redacted]

b(2)
b(3)
b(6)
10 USC 130b

~~FOR OFFICIAL USE ONLY~~

~~FOR OFFICIAL USE ONLY~~

SCIG

SUBJECT: Quarterly Intelligence Oversight Activities Report for the 2nd Quarter 2007

8. Point of Contact at U.S. SOUTHERN COMMAND IG's Office (b)(2),(b)(6)
(b)(2),(b)(6)

6(4)
6(2)

"Original signed"
T.L. WASHBURN
CAPT, USN
Inspector General

- CF:
- IO Officer, USSOUTHCOM
- IO Officer, JTF-Bravo
- IO Officer, SOCSO
- IO Officer, JIATF-S
- Inspector General - GTMO

~~FOR OFFICIAL USE ONLY~~

EFF

722

ENCLOSURE G - USSOCOM



UNITED STATES SPECIAL OPERATIONS COMMAND
7701 TAMPA POINT BOULEVARD
MACDILL AIR FORCE BASE, FLORIDA 33621-6323

SOIG

2 April 2007

MEMORANDUM FOR: DEPUTY INSPECTOR GENERAL, JOINT STAFF,
ATTN: (b)(3) 10 USC 1306, (b)(6) 300 JOINT STAFF, PENTAGON,
WASHINGTON, D.C. 20318-0300

SUBJECT: Quarterly Intelligence Oversight Activities Report for 2nd Quarter, FY 2007

1. References:

- a. E.O. 12333, 4 December 1981.
- b. DOD Directive 5240.1, 25 April 1988.
- c. DOD Regulation 5240.1-R, 7 December 1982.
- d. CJCSI 5901.01, 3 January 2007.

2. No violations of applicable laws, orders, directives, regulations, or DOD policies were reported.

3. Point of contact is (b)(2), (b)(3) 10 USC 1306, (b)(6)

(b)(3) 10 USC 1306, (b)(6)

Inspector General

Post-It Fax Note	7571	Date	2 APR 07
To	(b)(3) 10 USC 1306, (b)(6)	From	KATHY MILLINS
Subject	JOINT STAFF DTC	Re	USSACOM IG
Phone	(b)(2)	Phone	(b)(2)
Fax		Fax	

This is a privileged document. It will not be released (in whole or in part), reproduced, or given additional dissemination (in whole or in part) outside of Inspector General channels without prior approval of the USSOCOM IG or designee. Information contained in this document is exempt from mandatory disclosure under the Freedom of Information Act (FOIA). Exemptions 5, 8, and 7 apply.

~~FOR OFFICIAL USE ONLY~~



Proven - Vigilant - Prepared
in the past today for the future

EFF

TOTAL P. 24/28

(b)(2)

high (b)(2)

ENCLOSURE II - USSTRATCOM



DEPARTMENT OF DEFENSE
UNITED STATES STRATEGIC COMMAND
OFFUTT AIR FORCE BASE, NEBRASKA 68110-0000

high (b)(2)

Reply to:
USSTRATCOM/J005
901 SAC BLVD, STE 1H9
OFFUTT AFB NE 68113-6005

30 Mar 07

MEMORANDUM FOR THE INSPECTOR GENERAL, JOINT STAFF

Subject: Intelligence Oversight (IO) Report for the period of Jan - Mar 2007

1. There were no intelligence, counterintelligence, or intelligence-related violations of law, regulation, or policy in USSTRATCOM this quarter.
2. During this quarter, the IG inspected the IO program at the Global Innovation and Strategy Center (GISC). This was the first IO program inspection at the GISC which began operation in June 2006. The GISC IO program is satisfactorily meeting the requirements of the DoD IO program. There was no questionable intelligence activity at the GISC. The personnel interviewed during the inspection displayed an understanding of the DoD IO program requirements. The IO training program meets DoD requirements, however, improvement is necessary. The IG made two recommendations in the inspection report to the GISC Director. The IG recommended that the GISC IO program monitor be included in the GISC in-processing procedure for inbound personnel to ensure those requiring initial IO indoctrination training receive it upon their arrival. The IG also recommended that the IO program monitor develop a formal IO refresher training program to ensure all personnel receive annual training and that the training is documented. These recommendations are being implemented by the GISC IO program monitor.
3. There were no changes to USSTRATCOM directives or policies relating to intelligence, counterintelligence, or intelligence-related activities. We are revising and updating our USSTRATCOM Operating Instruction concerning IO program management. We will provide you a copy of the instruction as soon as it is published.
4. If you have any questions concerning this report, our POC is (b)(6) Deputy Inspector General (b)(2)

high (b)(2)

Thomas D. Young
THOMAS D. YOUNG
Colonel, USAF
Inspector General

ENCLOSURE I - USTRANSCOM



UNITED STATES TRANSPORTATION COMMAND
100 SCOTT DRIVE
SCOTT AIR FORCE BASE, ILLINOIS 62226-6207

2 Apr 07

MEMORANDUM FOR THE JOINT STAFF, OFFICE OF THE INSPECTOR GENERAL

FROM: TCIG

SUBJECT: 2nd QUARTER, FY07, Intelligence Oversight (IO) Inspection Report

1. The USTRANSCOM Inspector General (TCIG) conducted a quarterly review of USTRANSCOM IO programs to assess compliance with Federal laws and national directives regarding intelligence activities. There were no questionable activities or violations reported this quarter.
2. In addition, CAPT Brad Carpenter, USTRANSCOM Inspector General (TCIG), and (b)(6), USTRANSCOM Chief, Civil and Fiscal Law (FCJA), specifically conducted an IO program inspection of the Naval Reserve Intelligence Unit, Joint Operations Intelligence Center - USTRANSCOM (JIOCTTRANS 0169) on 03 Feb 07.
3. The JIOCTTRANS inspection consisted of a review of the unit IO program administration, to include documentation of annual IO training and an evaluation of the effectiveness of that training (through testing and interviews). 100% of available JIOCTTRANS personnel received annual IO refresher training in February and March 2007. All personnel evaluated demonstrated a sound understanding of IO policies and reporting requirements. There were two JIOCTTRANS personnel deployed, and one other assigned on active duty away from the unit; all three will receive annual IO refresher training upon their return.
4. There have been no changes to USTRANSCOM IO program activities, directives, or training programs this quarter.
5. Please contact this office at DSN 779-1781, or USTCIG@ustrancom.mil, for additional questions.


BRADLEY A. CARPENTER, CAPT, USN
Inspector General

cc:
USTRANSCOM/TCJ2
USTRANSCOM/TCJ2, JIOCTTRANS 0169
USTRANSCOM/TCJA

EFF

728
TOTAL P.23



**THE JOINT STAFF
WASHINGTON, DC**

Reply ZIP Code:
20318-0300

11 July 2007

**MEMORANDUM FOR THE ASSISTANT TO THE SECRETARY OF DEFENSE
(INTELLIGENCE OVERSIGHT)**

Subject: Intelligence Oversight (IO) Report for Third Quarter, FY07

1. Below is a consolidated summary of all Combatant Commands (COCOMs) Intelligence Oversight (IO) Reports for the Third Quarter, FY-07. Attached are all COCOM reports for further information. Also Service Components will report IO information through their Service.

a) **USCENTCOM IG:** Augmentation forces for Operation ENDURING FREEDOM assigned to USCENTCOM at Mac Dill AFB are receiving initial intelligence oversight training. There were no questionable activities reported.

b) **USEUCOM IO:** Intelligence oversight training included 192 initial and 103 refresher briefings. Internal assessments were conducted by EUCOM J2, on SHAPE Survey, USNIC-Pristina, USNIC-Sarajevo, Northern Region JOIC, Southern Region JOIC, and the Joint Analysis Center and there were 278 personnel interviewed.

✓ The EUCOM IG conducted a Staff Assistance Visit of the US National Intelligence Cell-Pristina, Kosovo to acclimate the new intelligence oversight training officer. There were no major discrepancies found in the course of records reviews and interviews with watch personnel. One common finding was that even experienced personnel believe that a questionable activity relates only to information concerning US persons, and not to intelligence professional misconduct. This is a systemic weakness in training that the intelligence officer is working on.

EUCOM IG will meet with ATSD(IO) inspectors following their assessment of Special Operations Command, Europe on 16 July 2007.

c) **USJFCOM IG:** J9 OPSEC Coordinator received a briefing from the National Geospatial Intelligence Agency on the NGA processes for compliance with DOD's Intelligence Oversight Requirements regarding the "Proper use Memorandum for Domestic Imagery". The briefing was presented as part of the OPSEC Coordinator training program.

This document is from the Office of the Inspector General, Joint Staff, and may contain information that is "Law Enforcement Sensitive" (LES) or "For Official Use Only" (FOUO) or otherwise subject to the Privacy and/or legal and or other privileges that restrict release without appropriate legal authority.

USJ&COM IG conducted a unit command inspection of the Joint Personnel Recovery Agency (JPRA) at Fort Belvoir, Virginia from 23-27 April 2007 that included IO. JPRA's IO office was in compliance with all regulations.

d) **USNORTHCOM IG:** The N-NC IG in conjunction with ATSD(IO), completed IO Inspections of the N-NC J2, SJFHQ-N, and JTF-N. The organizations were well versed in their duties and responsibilities, understanding constitutional constraints, and the laws and directives which govern the collection, dissemination and storage of sensitive information, especially that data which is constrained by IO guidance or acquired on non-DOD persons. N-NC has updated and disseminated the command IO Instruction (NNCI-14-103). All N-NC Intelligence Directorates and subordinate commands have conducted initial and refresher training at 99%. N-NC has also updated and disseminated the command IO instruction (NNCI-14-103).

e) **USPACOM IG:** JTF-AK J2 overall N-NC IG inspection grade of "unsatisfactory" due to potential IO violation. Annual training is being conducted via computer with certificates being sent to IO coordinator while new members continue receiving in-person indoctrination training. ALCOM Procedure 15 inquiry, inspection is scheduled to be completed during the N-NC IG re-inspection.

f) **USSOUTHCOM IG:** Automated training notifications go out monthly as a reminder to all personnel who are required to undergo training during that month. Joint Task Force Bravo (*JTF Bravo*), Special Operation Command South (*SOCSSO-J2*), Joint Interagency Task Force-South (*JITF-S J2 C*), and Joint Task Force, Guantanamo Bay, Cuba (*JTF-GTMO*) all submitted a negative report to any IO violations.

g) **USSOCOM IG:** There were no IO inspections of violation reported during this quarter.

h) **USSTRATCOM IG:** USSTRATCOM IG inspected the IO programs at Joint Functional Component Command-Integrated Missile Defense (*JFCC-IMD*) and the Joint Functional Component Command-Intelligence, Surveillance and Reconnaissance (*JFCC-ISR*). *JFCC-IMD* and *JFCC-ISR* programs were found to be excellent. No questionable intelligence activity was identified.

i) **USTRANSCOM IG:** USTRANSCOM IG and USTRANSCOM Chief, Civil and Fiscal Law (*TCJA*), conducted an IO program inspection of the USTRANSCOM Joint Intelligence Operation Center in coordination with the *TCJ2* IO Program Monitor. The inspection consisted of a review of *TCJ2* IO program administration, to include documentation of annual IO training and an evaluation of the effectiveness of that training. 96% of *TCJ2* personnel had received initial or annual IO refresher training. Nine remaining personnel were scheduled to complete IO training within 60 days following the inspection. All

This document is from the Office of the Inspector General, Joint Staff, and may contain information that is "Law Enforcement Sensitive" (LES) or "For Official Use Only" (FOUO) or otherwise subject to the Privacy and/or legal and or other privileges that restrict release without appropriate legal authority.

EFF

personnel evaluated during the inspection demonstrated a sound understanding of IO policies and the requirements for reporting questionable intelligence activities.

2. The point of contact for this action is the undersigned at

(b)(2)

(b)(3) 10 USC 130b.(b)(6)

COL, USA
Joint Staff Deputy Inspector General

Enclosures:

- Enclosure A – Intel Report U.S. Central Command
- Enclosure B – Intel Report U.S. European Command
- Enclosure C – Intel Report U.S. Joint Forces Command
- Enclosure D – Intel Report U.S. Northern Command
- Enclosure E – Intel Report U.S. Pacific Command
- Enclosure F – Intel Report U.S. Southern Command
- Enclosure G – Intel Report U.S. Special Operations Command
- Enclosure H – Intel Report U.S. Strategic Command
- Enclosure I – Intel Report U.S. Transportation Command

This document is from the Office of the Inspector General, Joint Staff, and may contain information that is "Law Enforcement Sensitive" (LES) or "For Official Use Only" (FOUO) or otherwise subject to the Privacy and/or legal and or other privileges that restrict release without appropriate legal authority.

EFF

3 731

ENCLOSURE A - USCENCOM



**UNITED STATES CENTRAL COMMAND
7115 SOUTH BOUNDARY BOULEVARD
MACDILL AIR FORCE BASE, FLORIDA 33621-5101**

CCJ2

22 June 07

MEMORANDUM THRU

STAFF JUDGE ADVOCATE, HQCENTCOM, 7115 S. BOUNDARY BLVD.
MACDILL AFB, FL 33621

INSPECTOR GENERAL, HQCENTCOM, 7115 S. BOUNDARY BLVD.
MACDILL AFB, FL 33621

FOR DEPUTY INSPECTOR GENERAL, THE JOINT STAFF, 6000 DEFENSE PENTAGON,
WASHINGTON, DC 20301-6000

SUBJECT: 3rd Quarter, Fiscal Year 07, Intelligence Oversight (IO) Report

REF: (a) CJCSI 5901-01, 15 Dec 99, Subject: Oversight of Intelligence Activities
(b) USCENCOM Regulation 381-9, 4 Jun 01, Subject: Activities of USCENCOM
Intelligence Components that Affect United States Persons.

1. Service Components report through their Service channels. Augmentation forces for Operation ENDURING FREEDOM assigned to USCENCOM at MacDill AFB, FL are receiving initial intelligence oversight training.
2. There were no questionable intelligence activities during the reporting period.
3. POC is SFC (b)(3) 10 USC 130b, Special Security Office (SSO) Intelligence Oversight Officer, DSN (b)(2), United States Central Command, MacDill Air Force Base, Florida.

(b)(3) 10 USC 130b.(b)(6)
[Redacted]

**CAPTAIN, USN
Deputy Director of Intelligence**

(b)(3) 10 USC 130b.(b)(6)
[Redacted]

**Colonel, USA
Inspector General, USCENCOM**

ENCLOSURE B - USEUCOM



**HEADQUARTERS
UNITED STATES EUROPEAN COMMAND
UNIT 30400
APO AE 09131**

ECIG

10 July 2007

MEMORANDUM FOR Joint Staff Inspector General

SUBJECT: Intelligence Oversight Report, Third Quarter, FY07

1. This report provides information concerning intelligence oversight activities of the staff directorates and direct-reporting subordinate intelligence units of headquarters, US European Command.
2. Intelligence oversight training:
 - a. Initial briefings: 192
 - b. Refresher briefings: 103
3. Questionable activities (violations of law, regulation, or policy and action taken): None reported or noted.
4. Intelligence oversight inspections.
 - a. By intelligence organizations.
 - (1) Activities conducting internal assessments: EUCOM J2, SHAPE Survey, USNIC-Pristina, USNIC-Sarajevo, Northern Region JOIC, Southern Region JOIC, Joint Analysis Center.
 - (2) Personnel interviewed: 278
 - b. By USEUCOM Inspector General.
 - (1) Activities inspected or assessed: US National Intelligence Cell-Pristina, Kosovo.
 - (2) Summary of results: This was a staff assistance visit to acclimate a brand new intel oversight training officer to his duties. There were no major discrepancies found in the course of records reviews and interviews with watch personnel.
 - (3) Questionable intelligence activities discovered: None.
 - (4) Familiarity of personnel with intelligence oversight requirements: One common finding is that even experienced personnel believe that a questionable activity relates only to

EFF

735

ECIG

SUBJECT: Intelligence Oversight Report, Third Quarter, FY07

information concerning US persons, and not to intelligence professional misconduct. This is a systemic weakness in training.

(5) Adequacy of organization intelligence oversight training program: See comment above.

(6) Corrective actions taken: The new intel oversight training officer acted on an IG suggestion to bring perceived training deficiencies, along with suggested improvements, to the attention of the EUCOM intel oversight officer.

5. Changes to intelligence oversight program (including changes to supporting training programs and the reason for the changes; attach a copy of the directive or policy which directs the change): None.

6. Changes to published directives or policies concerning intelligence, counterintelligence, or intelligence-related activities (attach a copy of the directive or policy): None.

7. Status of ongoing Procedure 15 inquiries: None ongoing.

8. Other matters pertinent to USEUCOM intelligence oversight programs: EUCOM IG will meet with ATSD(IO) inspectors following their assessment of Special Operations Command, Europe, on 16 July 2007.

9. Point of contact is the undersigned at (b)(2),(b)(3) 10 USC 130b,(b)(6) or (b)(2),(b)(3) 10 USC 130b,(b)(6)

(b)(3) 10 USC 130b,

(b)(6)

Assistant Inspector General

ENCLOSURE C - USJFCOM



DEPARTMENT OF DEFENSE

COMMANDER
U.S. JOINT FORCES COMMAND
1582 MITSCHER AVENUE SUITE 200
NORFOLK, VA 23561-2488

IN REPLY REFER TO:

5240
J00IG3
5 July 2007

MEMORANDUM FOR INSPECTOR GENERAL, THE JOINT STAFF (ATTN: (b)(3) 10
(b)(3) 10
USC 1305/h

**Subject: U.S. JOINT FORCES COMMAND (USJFCOM) INTELLIGENCE
OVERSIGHT REPORT FOR THE THIRD QUARTER FY-07**

1. Illegal or improper activities:

No intelligence activity has been identified which is reasonably believed to be illegal or contrary to Executive Order or Department of Defense instructions concerned with intelligence oversight.

2. Significant Intelligence Oversight activities:

a. On 12 April 2007, the J9 OPSEC Coordinator received a briefing from the National Geospatial Intelligence Agency on the NGA processes for compliance with DoD's Intelligence Oversight Requirements regarding the "Proper Use Memorandum for Domestic Imagery". This briefing was presented as part of the OPSEC Coordinator training program.

3. Results of Intelligence Oversight Inspections:

a. The USJFCOM Inspector General's Office conducted a unit command inspection of Joint Personnel Recovery Agency (JPRA) at Fort Belvoir, Virginia from 23-27 April 2007 that included intelligence oversight. JPRA's intelligence oversight officer, (b)(6) USAF, was in compliance with all appropriate regulations and maintained accurate training records.

4. Suggestions for improvement: None

5. USJFCOM Intelligence Oversight point of contact is (b)(6) and can be reached at (757) 836-5941/0 or DSN 836-5941/0.

Gary R. Woltering
Colonel, USAF
Inspector General

ENCLOSURE D - USNORTHCOM



**NORTH AMERICAN AEROSPACE DEFENSE COMMAND
AND
UNITED STATES NORTHERN COMMAND**



MEMORANDUM FOR INSPECTOR GENERAL, THE JOINT STAFF
(ATTN: (b)(6) USA) b(6)

FROM: NORAD and USNORTHCOM Inspector General

SUBJECT: US NORTHERN COMMAND (USNORTHCOM) Intelligence Oversight Report for Third Quarter FY07

1. Illegal or Improper Activities

No intelligence activity has been identified which is reasonably believed to be illegal or contrary to Executive Order or Department of Defense directives concerned with Intelligence Oversight for USNORTHCOM or its subordinate joint commands.

2. Significant Intelligence Oversight Activities

a. The N-NC IG, in conjunction with ATSD(IO), completed Intelligence Oversight Inspections of the N-NC J2, SJFHQ-N, ARNORTH, and JTF-N. The organizations were well versed in their duties and responsibilities, understanding constitutional constraints, and the laws and directives which govern the collection, dissemination and storage of sensitive information, especially that data which is constrained by Intelligence Oversight guidance or acquired on non-DoD persons. Their programs are very strong, with only minor recommendations for improvement.

b. Training: All N-NC Intelligence Directorates and subordinate commands have conducted initial and refresher training for personnel. The percentage of personnel trained in the command is currently 99%, and all efforts will be made to maintain/increase this level.

c. N-NC has also updated and disseminated the command IO Instruction (NNCI-14-103). This instruction has been implemented and changes in the new guidance will be highlighted during upcoming IO Inspections.

d. Suggestions for Improvement: All N-NC Intelligence Directorates and JTFs have implemented more frequent database information review processes (30-60 day reviews) to ensure data repositories have effective follow-up assessments concerning the need to retain various forms of information.

3. POC for this report is (b)(6) [redacted] IDSN 692-0989, commercial (719) 554-0989. b(6)

//////////////////ORIGINAL SIGNED//////////////////
STEVEN E. ARMSTRONG, Colonel, USAF
Inspector General



COMMANDER, U.S. PACIFIC COMMAND
USPACOM
CAMP H.M. SMITH, HAWAII 96861-4028

5 July 2007

MEMORANDUM FOR Joint Staff, Inspector General, Attn: (b)(3) 10 USC
 130b,(b)(6)

Subject: QUARTERLY INTELLIGENCE OVERSIGHT (IO) REPORT,
 3rd QUARTER, FY 07

Ref: (a) USCINCPACINST 3800.11A, 31 Jul 96
 (b) ASD Memo / December 8, 2006

1. This report provides Intelligence Oversight activity of USPACOM staff and subordinate commands for 3rd Quarter, FY07.
2. Description of violations (law, regulation, or policy during the quarter): None
3. List intelligence oversight inspections including:
 - a. List of organizations – USPACOM, JIATF West, USFK, USFJ, ALCOM, JIOC, SOCPAC and JPAC
 - b. Summarize the results or trends – JTF-AK J2 overall N-NC IG inspection grade of 9 Mar 07 "Unsatisfactory" due to potential IO violation.
 - c. Comment on questionable intelligence activities discovered - None
 - d. Familiarity of personnel with intelligence oversight requirements – Very high
 - e. Adequacy of organization intelligence oversight training program –Annual training now being conducted via computer with certificates being sent to IO coordinator and new members still receiving in-person Indoctrination training
 - f. If inspections revealed deficiencies, note the corrective action taken – ALCOM computer drives are continued to be reconfigured and scrubbed. Electronic and hard-copy archives are being reviewed and reduced.
4. Summarize any COCOM/agency level changes to your intelligence oversight program including changes to supporting training programs and the reason for the changes. Attach a copy of the directive or policy which directs the change: ALCOM reinstated testing in conjunction with Initial and refresher IO training IAW N-NC 14-103 and other IO guidance.
5. Summarize any COCOM/agency level changes to published directives or policies concerning:
 - a. Intelligence – None
 - b. Counterintelligence – None
 - c. Intelligence-related activities - None
6. Continue to report on the status of ongoing Procedure 15 inquiries and any additional matters pertinent to the agency/COCOM intelligence oversight programs are outlined in

Procedure 15: All reporting commands are conducting indoctrination and refresher training. ALCOM due to procedure 15 inquiry from April 07 N-NC IG inspection is scheduled to be completed during N-NC IG re-inspection 19-20 Jul 07.

7. General comments: To ensure compliance with applicable laws and regulations, IO file custodians in JIATF-West reviewed their files containing information on U.S. persons.

8. Point of Contact for this report is COL John C. Stratis, USA, USPACOM IG, DSN 315-477-5101/5165 or COMM (808) 477-5101/5165



JOHN C. STRATIS
Colonel, USA
Inspector General

(b)(2)

ENCLOSURE F - USSOUTHCOM

REPLY TO
ATTENTION OFDEPARTMENT OF DEFENSE
UNITED STATES SOUTHERN COMMAND
3311 NW 91ST AVENUE
MIAMI, FL 33172-1217

SCIG

9 July 2007

MEMORANDUM FOR THE JOINT STAFF INSPECTOR GENERAL, ROOM 2C962,
ATTN: JCS DOM (b)(6) JOINT STAFF INSPECTOR GENERAL, 616
WASHINGTON, D.C. 20318-0300

SUBJECT: Quarterly Intelligence Oversight Activities Report for the 3rd Quarter 2007

1. (U) Reference DOD Directive 5240.1R, dated December 1982.
2. (U) This report includes input from HQ U.S. Southern Command, Miami, Florida; Joint Task Force Bravo, Soto Cano AB, Honduras; Joint Task Force Guantanamo Bay, Cuba; Special Operations Command South, Homestead AFB, Homestead, Florida and Joint Interagency Task Force-South, Truman Annex (NAS), Key West, Florida.
3. (U) United States Southern Command (US SOUTHCOM) - In accordance with the directive the following is provided:
 - a. No intelligence activity that is reasonably believed to be illegal or contrary to Executive Order 12333, DoD 5240.1-R, or USSOUTHCOM Regulation 381-5 has been identified.
 - b. Significant oversight activities.
 - (1) Publications: None.
 - (2) Training: Training notifications go out monthly. It is automated; e-mail is generated at the server level and is sent to all personnel who are required to undergo training during that month.
 - (3) Inspections: None.
 - (4) Files review: None
 - (5) Inquiries: None
 - c. Suggestions for improvement. None.
 - d. Other. None

~~FOR OFFICIAL USE ONLY~~

EFF

745

~~FOR OFFICIAL USE ONLY~~

SCIG

SUBJECT: Quarterly Intelligence Oversight Activities Report for the 3rd Quarter 2007

c. Point of contact for this section is (b)(2),(b)(6) [redacted] b(2) b(6)
(b)(2),(b)(6) [redacted]

4. (U) Joint Task Force Bravo (JTF Bravo) - In accordance with the directive the following is provided:

IAW referenced directive, the following information is provided:

a. No intelligence activity has been conducted which may be reasonably construed as illegal or contrary to Directive Order 12333, DoD directives or US SOUTHCOM regulations.

b. Suggestions for improvement: None

c. Other: None.

d. Point of Contact for this memorandum is (b)(2),(b)(3) 10 USC 130b,(b)(6) [redacted] b(2) b(6) 10 USC 1304
(b)(2),(b)(3) 10 USC 130b,(b)(6) [redacted]

5. (U) Special Operations Command South (SOC SO-J2) - In accordance with the directive the following is provided:

a. No intelligence activity has been identified which is reasonably believed to be illegal or contrary to Executive Order 12333 or DoD directive 5340.1-R.

b. Suggestions for improvement: None

c. Other: None

d. Point of contact for HQs Special Operations Command South is (b)(2),(b)(6) [redacted] b(2) b(6)
(b)(2),(b)(6) [redacted]

6. (U) Joint Interagency Task Force-South (JIATF-S J2 CI) - In accordance with the directive the following is provided:

a. No intelligence activity has been identified which is reasonably believed to be illegal or contrary to Executive Order or DoD Directives.

b. Significant oversight activities for this quarter include training of newly arriving personnel assigned to intelligence activities.

~~FOR OFFICIAL USE ONLY~~

~~FOR OFFICIAL USE ONLY~~

SCIG

SUBJECT: Quarterly Intelligence Oversight Activities Report for the 3rd Quarter 2007

c. Monitored JIATF-S WebTas Program to insure US person data meets authorized retainability under JIATFS mission allowing for the collection of information regarding international drug trafficking.

d. Reviewed all US persons in WebTas and either eliminated them or left them in because of sustaining information. The database is current with known traffickers and persons with prior criminal history.

e. Point of contact for JIATF-S is (b)(2),(b)(6) [redacted] (b)(2),(b)(6) [redacted]

b(2)
b(6)

7. (U) Joint Task Force - GTMO, Guantanamo Bay, Cuba - In accordance with the directive the following is provided:

a. No intelligence activity that is reasonably believed to be illegal or contrary to Executive Order 12333, DoD 5240.1-R, or USSOUTHCOM Regulation 381-5 has been identified.

b. Significant oversight activities.

- (1) Publications: None.
- (2) Training: All JTF personnel receive IO training during initial inprocessing into the JTF.
- (3) Inspection: In 18 Apr 07 SOUTHCOM IG conducted an inspection of the JTF-GTMO Intelligence Oversight program. No discrepancies noted.
- (4) Files review: None.
- (5) Inquiries: None.

c. Suggestions for improvement. None.

d. Point of contact for JTF-GTMO is IG, JTF-GTMO (b)(2),(b)(3) 10 USC 130b,(b)(6) [redacted] (b)(2),(b)(3) 10 USC 130b, (b)(6) [redacted]

b(2)
b(3)
10 USC 130b

~~FOR OFFICIAL USE ONLY~~

(b)(2)

~~FOR OFFICIAL USE ONLY~~

SCIG

SUBJECT: Quarterly Intelligence Oversight Activities Report for the 3rd Quarter 2007

8. Point of Contact at U.S. SOUTHERN COMMAND IG's Office is

(b)(2)(b)(6)

b(2)
b(6)

(b)(2)(b)(6)

(original signed)
T.L. WASHBURN
CAPT, USN
Inspector General

CF:
IO Officer, USSOUTHCOM
IO Officer, JTF-Bravo
IO Officer, SOCSO
IO Officer, JLATF-S
Inspector General - GTMO

~~FOR OFFICIAL USE ONLY~~

ENCLOSURE G - USSOCOM

~~FOR OFFICIAL USE ONLY~~



UNITED STATES SPECIAL OPERATIONS COMMAND

7701 TAMPA PORT BOULEVARD
MACDILL AIR FORCE BASE, FLORIDA 33621-5523

SOIG

2 July 2007

MEMORANDUM FOR: DEPUTY INSPECTOR GENERAL, JOINT STAFF,
ATTN: (b)(3) 10 USC 130b,(b)(6) 300 JOINT STAFF, PENTAGON,
WASHINGTON, D.C. 20318-0300

SUBJECT: Quarterly Intelligence Oversight Activities Report for 3rd Quarter, FY 2007

1. References:

- a. E.O. 12333, 4 December 1981.
- b. DOD Directive 5240.1, 25 April 1988.
- c. DOD Regulation 5240.1-R, 7 December 1982.
- d. CJCSI 5901.01, 3 January 2007.

2. No violations of applicable laws, orders, directives, regulations, or DOD policies were reported.

3. Point of contact is (b)(2),(b)(3) 10 USC 130b,(b)(6)

(b)(3) 10 USC 130b,(b)(6)

Inspector General

Post-it Fax Note	7871	Date	2 Jul 07	# of pages	1
To	(b)(3) 10 USC 130b (b)(6)	From	(b)(3) 10 USC 130b (b)(6)		
Call Ref	JOINT STAFF DIG	Co	USSOCOM IG		
Phone	(b)(2)	Phone #	(b)(2)		
Fax #		Fax #			

This is a privileged document. It will not be released (in whole or in part), reproduced, or given additional dissemination (in whole or in part) outside of Inspector General channels without prior approval of the USSOCOM IG or designee. Information contained in this document is exempt from mandatory declassification under the Freedom of Information Act (FOIA). Exemptions 5, 8, and 7 apply.

~~FOR OFFICIAL USE ONLY~~



Proven • Vigilant • Prepared
in the past today for the future

EFF

750

JUL-12-2007 09:45

JCS MAIL & DISTRIBUTION

(b)(2)

P.24

high(b)(2)

ENCLOSURE H - USSTRATCOM

EFF

751



DEPARTMENT OF DEFENSE
UNITED STATES STRATEGIC COMMAND

high (b)(2)

Reply to:
USSTRATCOM/J005
901 SAC BLVD, STE 1H9
OFFUTT AFB NE 68113-6005

29 Jun 07

MEMORANDUM FOR THE INSPECTOR GENERAL, JOINT STAFF

Subject: Intelligence Oversight (IO) Report for the period of Apr - Jun 2007

1. There were no intelligence, counterintelligence, or intelligence-related violations of law, regulation, or policy in USSTRATCOM this quarter.
2. During this quarter, the IG inspected the IO programs at Joint Functional Component Command-Integrated Missile Defense (JFCC-IMD) and the Joint Functional Component Command-Intelligence, Surveillance and Reconnaissance (JFCC-ISR). This was the first time the IG conducted inspections at these two JFCCs since they stood up in calendar year 2005 (b)(6) (b)(c). (b)(6) OSD ATSD-IO, observed the USSTRATCOM IG inspector conduct the inspection at JFCC-ISR as part of the upcoming IO program inspection at USSTRATCOM. Both of these commands have excellent IO programs and are meeting all of the requirements of the DoD IO program. There was no questionable intelligence activity at either JFCC-IMD or JFCC-ISR. The personnel interviewed during these inspections displayed outstanding understanding of the DoD IO program requirements. In addition, the IO training programs at these commands, to include initial indoctrination and recurring refresher training, satisfy all DoD requirements and are effectively meeting the IO needs of the USSTRATCOM personnel assigned there. In summary, the IO programs at JFCC-IMD and JFCC-ISR are excellent, and fully support the DoD IO program.
3. There were no changes to the USSTRATCOM IO inspection program.
4. There were no changes to USSTRATCOM directives or policies relating to intelligence, counterintelligence, or intelligence-related activities. We are currently revising our USSTRATCOM Operating Instruction concerning IO inspection program management. We will provide you a copy of the instruction as soon as it is published.

For questions concerning this report, please contact the USSTRATCOM POC, Deputy Inspector General (b)(2) high (b)(2)

(b)(6)

Thomas D. Young
THOMAS D. YOUNG
Colonel, USAF
Inspector General

(b)(2)

ENCLOSURE I - USTRANSCOM



UNITED STATES TRANSPORTATION COMMAND
508 SCOTT DRIVE
SCOTT AIR FORCE BASE, ILLINOIS 62220-4467

2 Jul 07

MEMORANDUM FOR THE JOINT STAFF, OFFICE OF THE INSPECTOR GENERAL

FROM: TCIG

SUBJECT: 3rd QUARTER, FY07, Intelligence Oversight (IO) Inspection Report

1. The USTRANSCOM inspector General (TCIG) conducted a quarterly review of USTRANSCOM IO programs to assess compliance with Federal laws and national directives regarding intelligence activities. There were no questionable activities or violations reported this quarter.
2. In addition, CAPT Brad Carpenter, USTRANSCOM Inspector General (TCIG), and (b)(6) Chief, Civil and Fiscal Law, Office of the Staff Judge Advocate (TCJA), conducted an IO program inspection of the USTRANSCOM Joint Intelligence Operation Center in coordination with the TCJ2 IO Program Monitor on 15 May 2007.
3. The inspection consisted of a review of TCJ2 IO program administration, to include documentation of annual IO training and an evaluation of the effectiveness of that training. As of 15 May 2007, 96% of TCJ2 personnel had received initial or annual IO refresher training for 2007. Nine (9) remaining personnel were scheduled to complete IO training within 60 days following the inspection. All personnel evaluated during the inspection demonstrated a sound understanding of IO policies and the requirements for reporting questionable intelligence activities.
4. There have been no changes to USTRANSCOM IO program activities, directives, or training programs this quarter.
5. Please contact this office at ODN 779-1781, or USTCIG@ustrancom.mil, for additional questions.


BRADLEY A. CARPENTER, CAPT, USN
Inspector General

cc:
USTRANSCOM/TCJ2, TCJ2-OA (b)(6)
USTRANSCOM/TCJA-C (b)(6)

EFF

754
TOTAL 0 17



**THE JOINT STAFF
WASHINGTON, DC**

Reply ZIP Code:
20318-0300

14 April 2008

**MEMORANDUM FOR THE ASSISTANT TO THE SECRETARY OF DEFENSE
(INTELLIGENCE OVERSIGHT)**

Subject: Intelligence Oversight (IO) Report for Second Quarter, FY08

1. Below is a consolidated summary of all Combatant Commands (COCOMs) Intelligence Oversight (IO) Reports for the Second Quarter, FY08. Attached are all COCOM reports with further information. Service Component IGs will report IO information to their Service.

a) **USCENTCOM IG:** Augmentation forces for Operation ENDURING FREEDOM assigned to USCENTCOM at Mac Dill AFB are receiving initial intelligence oversight training. There were no questionable activities reported.

b) **USEUCOM IG:** Intelligence oversight training included 105 initial and 191 refresher briefings.

Internal assessments were conducted by EUCOM J2, SHAPE Survey, USNIC-Pristma, Northern Region JOIC, Southern Region JOIC, Joint Analysis Center, Special Operations Command, Europe and there were 141 personnel interviewed.

c) **USJFCOM IG:** USJFCOM J2 conducted a self-inspection of all intelligence holdings for US persons' data, including items posted to command home pages, hard-copy files, proper use statements and archived imagery. All holdings were found to be within IO regulations.

The Joint Personnel Recovery Agency (JPRA) Intelligence Directorate is cooperating with the Federal Bureau of Investigation on the Global Hostage-Taking Research and Analysis Project managed by the FBI's Behavioral Science Unit. Cooperation for this is established within the limits set by DOD 5240.1-R.

The USJFCOM IG's office conducted a unit command inspection of the Joint Fires Integration and Interoperability Team (JFIIT) in Elgin, Florida from 28 January to 1 February 2008. JFIIT was found to be in compliance with all appropriate regulations and maintained accurate training records for the command's intelligence oversight programs.

This document is from the Office of the Inspector General, Joint Staff, and may contain information that is "Law Enforcement Sensitive" (LES) or "For Official Use Only" (FOUO) or otherwise subject to the Privacy and/or legal and or other privileges that restrict release without appropriate legal authority.

EFF

755