Purpose: This issuance:

- Establishes policy and assigns responsibilities for receipt, analysis, coordination, and approval of DoD military or civilian personnel support to the United Nations (UN).
- Designates the Secretary of the Army as the DoD Executive Agent for Personnel Support to the UN.
- Expands DoD support to the UN to include service in the United Nations Headquarters (UN HQ) and UN missions outside of peace operations and activities.
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SECTION 1: GENERAL ISSUANCE INFORMATION

1.1. APPLICABILITY.

a. This issuance applies to:

   (1) OSD, the Military Departments, the Office of the Chairman of the Joint Chiefs of Staff (CJCS) and the Joint Staff, the Combatant Commands, the Office of the Inspector General of the Department of Defense, the Defense Agencies, the DoD Field Activities, and all other organizational entities within the DoD.

   (2) Personnel allocations or assignments in support of the UN HQ or a UN mission in place on the effective date of this issuance and to the allocation or assignment of personnel to the UN HQ or a UN mission in the future.

b. This issuance does not apply to:

   (1) Deployment of units in support of UN missions. When the deployment of units is required, the CJCS will prepare appropriate orders for approval by the Secretary of Defense.

   (2) Temporary tasking of personnel who are not under the operational control of the UN. Such details may include support to UN missions or contingents for in-mission mentoring, training, advising, or assisting activities.

c. This issuance takes precedence over DoD memoranda or other issuances that contain conflicting guidance on the allocation or assignment of personnel to the UN HQ, to UN missions, or to the DoD Executive Agent for Personnel Support to the UN responsible for such allocations or assignments.

1.2. POLICY. DoD will support UN peace operations in part by providing qualified personnel to serve in various UN roles. It is DoD policy that:

a. The Secretary of Defense will approve all initial allocations or assignments of DoD personnel to UN missions. The Under Secretary of Defense for Policy will approve all initial allocations or assignments of DoD personnel to the UN HQ. The DoD Executive Agent for Personnel Support to UN Missions will manage follow-on allocations or assignments of DoD personnel to a UN mission or the UN HQ, including those resulting from the extension of a UN Security Council mandate.

b. The Secretary of Defense will decide when to remove DoD personnel from a UN mission.

c. DoD will seek full status protections for its personnel operating in all UN missions consistent with DoD Directive 5525.1 and the July 11, 2006, Secretary of Defense memorandum. Such protections for DoD personnel operating in UN missions may be provided, as appropriate, through:
(1) An international agreement that provides status protections in the country where the UN mission takes place, including bilateral or multilateral status of forces agreements, access agreements, defense cooperation agreements, and exchanges of diplomatic notes;

(2) A UN Security Council Resolution under Chapter VI or Chapter VII of the UN Charter; or

(3) Other legally binding provisions or agreements.

d. In accordance with U.S. policy and Title 22, United States Code (U.S.C.), DoD will also ensure that personnel participate in UN peacekeeping or peace enforcement missions only in countries where sufficient protections from the International Criminal Court (ICC) exist. Such protections may be provided, as appropriate, through the means noted in Paragraph 1.2.c., as well as on the basis of other bilateral agreements between the United States and the host country consistent with Article 98 of the Rome Statute of the ICC.

e. The DoD Executive Agent for Personnel Support to the UN will ensure DoD personnel assigned to UN missions are equipped with adequate personal protective equipment and weapons to mitigate force protection risks.

f. No DoD military or civilian personnel serving in the UN HQ will receive direct compensation from the UN or subordinate their U.S. oath of office to an oath or declaration of the UN in accordance with Title 18, U.S.C.

g. DoD will allocate or assign personnel to the UN on a reimbursable basis, consistent with Title 22, U.S.C. Non-reimbursable support is only provided when specifically authorized by the Secretary of Defense.

1.3. SUMMARY OF CHANGE 1.

a. The major change to this issuance is a result of Commander, U.S. Africa Command’s, request to the Under Secretary of Defense for Policy (USD(P)) for the geographic Combatant Commanders (GCCs) to have a better opportunity to engage earlier in the process of assigning DoD personnel to support UN missions. Paragraph 2.10. has been amended to require the coordination of the impacted GCC at the Chief of Staff-level or higher on all initial allocations or assignments of DoD personnel to a UN mission in a GCC’s area of responsibility.

b. Paragraphs 2.9. and 2.10. have been amended to facilitate coordination between the impacted GCC and the U.S. Military Observer Group (USMOG) commander regarding GCC-specific pre-deployment training requirements.

c. All other changes are administrative in nature and clarify or standardize language and procedures throughout the directive.
SECTION 2: RESPONSIBILITIES

2.1. USD(P). The USD(P):

   a. Endorses all initial allocations or assignments of DoD personnel to UN missions to the Secretary of Defense for approval.

   b. Approves initial allocations or assignments of DoD personnel to the UN HQ.

2.2. ASSISTANT SECRETARY OF DEFENSE FOR SPECIAL OPERATIONS AND LOW-INTENSITY CONFLICT. Under the authority, direction, and control of the USD(P), and as the principal civilian advisor to the USD(P) and the Secretary of Defense on peace operations with overall supervision of all peace operations within DoD, the Assistant Secretary of Defense for Special Operations and Low-Intensity Conflict:

   a. Oversees and manages the process for the receipt, analysis, coordination, and approval (or other disposition) of UN requests for the allocation or assignment of DoD personnel to the UN HQ or a UN mission, as forwarded by the Department of State to DoD.

   b. Administers the personnel working group to coordinate, provide analysis, and synchronize manning requirements for DoD personnel support to the UN.

   c. Upon receipt of a Department of State request for DoD personnel to be assigned to a UN mission, ensures, in coordination with the General Counsel of the Department of Defense, that such personnel will be accorded sufficient legal protections and immunities, including from the jurisdiction of the ICC.

   d. Forwards an action memorandum to the USD(P) for decision, coordinated with the CJCS, the General Counsel of the Department of Defense, the Under Secretary of Defense for Personnel and Readiness, the Under Secretary of Defense (Comptroller)/Chief Financial Officer of the Department of Defense, and others as appropriate for the initial allocation or assignment of DoD personnel to the UN HQ.

   e. Forwards a similarly coordinated action memorandum through the USD(P) to the Secretary of Defense for decision regarding the initial allocation or assignment of DoD personnel to a UN mission.

   f. Oversees and manages all other direct and indirect DoD assistance to UN peace operations, including but not limited to: logistics, technology, engineering, mentoring, defense equipment, exercises, training, and assessment.

2.3. UNDER SECRETARY OF DEFENSE FOR PERSONNEL AND READINESS. The Under Secretary of Defense for Personnel and Readiness, in coordination with the USD(P) and the DoD Component heads, establishes policies to support the allocation or assignment of DoD military or civilian personnel to support the UN.
2.4. **GENERAL COUNSEL OF THE DEPARTMENT OF DEFENSE.** The General Counsel of the Department of Defense will provide legal advice and assistance on DoD personnel support to the UN, including regarding compliance with the requirements of Title 22, U.S.C., and regarding efforts to ensure that DoD personnel assigned to a UN mission will be accorded sufficient legal protections and immunities, including from the jurisdiction of the ICC.

2.5. **DIRECTOR, DEFENSE INTELLIGENCE AGENCY.** Under the authority, direction, and control of the Under Secretary of Defense for Intelligence, the Director, Defense Intelligence Agency, conducts monthly assessments of each UN mission to which DoD allocates or assigns personnel to assist DoD leadership in establishing appropriate force protection measures, determining the level of personnel support, and shaping DoD policy for the mission.

2.6. **OSD PRINCIPAL STAFF ASSISTANTS.** The OSD Principal Staff Assistants exercise their designated authorities and responsibilities as established by law or DoD guidance to assist the DoD Executive Agent for Personnel Support to the UN.

2.7. **SECRETARIES OF THE MILITARY DEPARTMENTS.** The Secretaries of the Military Departments:

   a. Provide qualified and experienced personnel to support the UN. Personnel allocated or assigned to UN missions will report to the USMOG for pre-deployment training and for administrative and logistics support before executing their assignments. Personnel selected for allocation or assignment at the UN HQ will be assigned to USMOG with duty at New York City, New York.

   b. Nominate qualified and experienced personnel in coordination with USMOG to compete for positions at the UN HQ as directed by OSD. Assign personnel selected for UN HQ positions to USMOG.

   c. Provide the staff for USMOG as requested by the DoD Executive Agent for Personnel Support to the UN.

   d. Credit, professionally reward, and track UN experience and expertise within respective personnel systems.

   e. Cooperate with and support the DoD Executive Agent for Personnel Support to the UN to ensure the effective and efficient use of resources to facilitate accomplishment of the mission.

   f. Provide representation to the Assistant Secretary of Defense for Special Operations and Low-Intensity Conflict personnel working group.

2.8. **SECRETARY OF THE ARMY.** In addition to the responsibilities in Paragraph 2.7., and in his or her capacity as the DoD Executive Agent for Personnel Support to the UN, the Secretary of the Army:

**SECTION 2: RESPONSIBILITIES**
a. Oversees all DoD personnel allocated or assigned to the UN HQ or a UN mission through the USMOG commander to ensure that mission requirements, administrative requirements or control, and logistics demands of the personnel are met.

b. Programs and budgets to fund the operational and support requirements of the USMOG. Provides administrative and logistics support for personnel assigned to the UN HQ or a UN mission, including but not limited to equipment, training, and transportation.

c. Provides an officer in the grade of O-6 to serve as the Commander of USMOG.

d. Coordinates with the U.S. Mission to the United Nations/Military Staff Committee to process Service nominations for validated positions at UN HQ.

e. Coordinates with the CJCS and Secretaries of the Military Departments, or their designated representatives, to identify personnel and their replacements to serve in approved allocations or assignments to the UN HQ and UN missions.

f. Develops and manages a program to create a population of officers steeped in peace operations training, experience, and leadership that will be able to lead UN peace operations at the senior levels, and executes this task in relation to the implementation of the Army’s role as the Joint Proponent for Peacekeeping and Stability Operations in accordance with the June 11, 2013, Secretary of Defense Memorandum.

2.9. CJCS. The CJCS or designee:

a. Coordinates with the Assistant Secretary of Defense for Special Operations and Low-Intensity Conflict and the impacted GCC on memoranda seeking the initial allocation or assignment of DoD personnel to the UN HQ and UN missions.

b. Upon receipt of an approved allocation or assignment of a U.S. Service member to the UN HQ or a UN mission, requests the Secretaries of the Military Departments and, when appropriate, the Commander, U.S. Special Operations Command, and other Combatant Commanders, to provide qualified personnel for such allocations or assignments.

c. Facilitates the USMOG commander’s ability to receive and conduct GCC-specific training events relevant to the changing environment within a GCC’s area of responsibility.

2.10. GCCS. The GCCs:

a. Provide Chief of Staff-level or higher coordination and recommendations to the CJCS regarding requests for the initial allocation or assignment of DoD personnel to UN missions in the GCC’s area of responsibility.

b. As requested by the CJCS and in accordance with capacity, resources, and regional alignment, provide personnel to serve in approved allocations or assignments to the UN HQ or a
UN mission on a temporary basis pending the identification and allocation or assignment of a permanent fill.

c. Pursuant to DoD Instruction 2000.12, exercise tactical control for force protection for all DoD personnel assigned to a UN mission in their respective geographic areas of responsibility.

d. Provide threat and vulnerability assessments for new allocations or assignments to UN missions. Conduct annual threat assessments of locations where DoD personnel support UN missions.

e. In accordance with DoD Instruction 2000.12, coordinate with the U.S. Embassy in the country where the UN mission takes place to establish who may be in the best position to provide force protection support to DoD personnel allocated or assigned to the UN.

f. Coordinate with the Secretary of Defense for any decision to relocate DoD personnel temporarily from a UN mission. This requirement does not limit the Commander’s inherent authority and obligation to use all necessary means and to take appropriate action in defense of U.S. Service members, or the ability to relocate personnel in exigent circumstances that do not permit prior coordination.

g. Coordinate with the USMOG commander, as required, to ensure pre-deployment training for personnel assigned to a UN mission meets all theater entry requirements and accounts for the changing environment within the GCC area of responsibility.
GLOSSARY

G.1. ACRONYMS.

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<tr>
<th>Acronym</th>
<th>Definition</th>
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<tbody>
<tr>
<td>CJCS</td>
<td>Chairman of the Joint Chiefs of Staff</td>
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<td>GCC</td>
<td>geographic Combatant Commander</td>
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<td>ICC</td>
<td>International Criminal Court</td>
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<tr>
<td>UN</td>
<td>United Nations</td>
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<td>UN HQ</td>
<td>United Nations Headquarters</td>
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<td>USD(P)</td>
<td>Under Secretary of Defense for Policy</td>
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<td>USMOG</td>
<td>U.S. Military Observer Group</td>
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REFERENCES

Article 98 of the Rome Statute of the International Court, July 17, 1998
President’s Policy Memorandum, “United States Support to United Nation’s Peace Operations,” September 28, 2015
Secretary of Defense Memorandum, “Importance of Obtaining Status Protections in Host Nations,” July 11, 2006
United States Code, Title 18
United States Code, Title 22