

### DOD DIRECTIVE 3020.49

# PROGRAM MANAGEMENT FOR THE PLANNING AND EXECUTION OF OPERATIONAL CONTRACT SUPPORT

**Originating Component:** Office of the Under Secretary of Defense for Acquisition and Sustainment

Effective: August 14, 2020 Change 2 Effective: April 22, 2025

**Releasability:** Cleared for public release. Available on the Directives Division Website

at https://www.esd.whs.mil/DD/.

**Reissues and Cancels:** DoD Directive 3020.49, "Orchestrating, Synchronizing, and Integrating

Program Management of Contingency Acquisition Planning and Its

Operational Execution," March 24, 2009, as amended

**Approved by:** David L. Norquist, Deputy Secretary of Defense **Change 2 Approved by:** Stephen A. Feinberg, Deputy Secretary of Defense

**Purpose:** This issuance establishes policy and assigns responsibilities for program management for the planning and execution of acquisition to support applicable operations, pursuant to Sections 3151 through 3157 of Title 10, United States Code, and Section 862 of Public Law 110-181.

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#### **SECTION 1: GENERAL ISSUANCE INFORMATION**

#### 1.1. APPLICABILITY.

This issuance applies to:

- a. OSD, the Military Departments, the Office of the Chairman of the Joint Chiefs of Staff (CJCS) and the Joint Staff, the Combatant Commands, the Office of Inspector General of the Department of Defense, the Defense Agencies, the DoD Field Activities, and all other organizational entities within the DoD (referred to collectively in this issuance as the "DoD Components").
- b. Contingency operations, humanitarian assistance, peace operations, defense support of civil authorities operations, and other activities, including operations and exercises as determined by a Combatant Commander (CCDR) or as directed by the Secretary of Defense (referred to collectively in this issuance as "applicable operations").

#### 1.2. POLICY.

It is DoD policy that DoD Components:

- a. Approach operational planning and execution of contracted support in applicable operations programmatically to ensure the best use of available resources at an acceptable level of risk.
- b. Comply with applicable U.S., international, and local national laws, regulations, policies, and international agreements.
  - c. Prohibit the use of contracted support from performing inherently governmental functions.
- d. Use contracted support in appropriate situations, in accordance with DoD Instruction 1100.22, the Defense Federal Acquisition Regulation Supplement, and the Federal Acquisition Regulation.
- e. Fully consider, plan for, integrate, and execute contracted support of applicable operations in an effective and efficient manner.

#### 1.3. SUMMARY OF CHANGE 2.

The change to this issuance:

- a. Updates statutory references in the purpose to reference Sections 3151 through 3157 of Title 10, United States Code.
- b. Removes language limiting applicability to operations conducted outside the United States based on the need to broaden policy to address operational contract support (OCS) requirements for homeland defense and defense support of civil authorities operations in the United States.
- c. Updates terminology referring to Combatant Commands with geographic or functional areas of responsibility (AORs).
- d. Makes administrative corrections, updates organizational titles, and updates Glossary definitions and references.

#### **SECTION 2: RESPONSIBILITIES**

# 2.1. UNDER SECRETARY OF DEFENSE FOR ACQUISITION AND SUSTAINMENT (USD(A&S)).

The USD(A&S) oversees the implementation of this issuance.

#### 2.2. ASSISTANT SECRETARY OF DEFENSE FOR SUSTAINMENT.

Under the authority, direction, and control of the USD(A&S), the Assistant Secretary of Defense for Sustainment oversees the OCS capability area to manage contracts and contractors effectively through joint policies on requirements definition, program management, and acquisition in support of applicable operations.

#### 2.3. DEPUTY ASSISTANT SECRETARY OF DEFENSE FOR LOGISTICS.

Under the authority, direction, and control of the USD(A&S), the Deputy Assistant Secretary of Defense for Logistics:

- a. Implements a program management approach to plan, orchestrate, integrate, and synchronize the preparation and execution of acquisitions of supplies, services, and construction in support of applicable operations.
- b. Leads, in coordination with the CJCS, the development of joint OCS policy (including contract support integration, contracting support, and contractor management) related to the use of defense contractor personnel, including private security contractors in applicable operations.
  - c. Undertakes interagency coordination with respect to OCS, as appropriate.

# 2.4. PRINCIPAL DIRECTOR, DEFENSE PRICING, CONTRACTING, AND ACQUISITION POLICY.

Under the authority, direction, and control of the USD(A&S), the Principal Director, Defense Pricing, Contracting, and Acquisition Policy modifies the Defense Federal Acquisition Regulation Supplement and, when appropriate, the Federal Acquisition Regulation, in consultation with the other members of the Federal Acquisition Regulation Council, to implement U.S. law and applicable policy and regulations, and to issue contracting guidance.

#### 2.5. PRESIDENT, DEFENSE ACQUISITION UNIVERSITY.

Under the authority, direction, and control of the USD(A&S), the President, Defense Acquisition University, in coordination with the Principal Director, Defense Pricing, Contracting, and Acquisition Policy:

- a. Offers education and training for all acquisition personnel.
- b. Supports education and training of non-acquisition personnel to perform acquisition-related functions and duties.

#### 2.6. DIRECTOR, DEFENSE LOGISTICS AGENCY.

Under the authority, direction, and control of the USD(A&S), the Director, Defense Logistics Agency supports CCDR planning and execution of OCS in applicable operations in accordance with DoD Instruction 3020.41, DoD Directive 3000.06, and DoD Directive 5105.22.

#### 2.7. DIRECTOR, DEFENSE CONTRACT MANAGEMENT AGENCY.

Under the authority, direction, and control of the USD(A&S), the Director, Defense Contract Management Agency, in response to geographic Combatant Command (GCC) requirements, provides deployable experts providing OCS support and contract administration oversight in applicable operations.

#### 2.8. UNDER SECRETARY OF DEFENSE FOR INTELLIGENCE AND SECURITY.

The Under Secretary of Defense for Intelligence and Security:

- a. In accordance with DoD Directive 5143.01, provides advice and assistance, as appropriate, to the USD(A&S) concerning acquisition programs that significantly affect defense intelligence, counterintelligence, and security capabilities and programs.
- b. In coordination with the USD(A&S), oversees the exercise of acquisition authority by the directors of the defense intelligence, counterintelligence, and defense security components.
- c. Develops, coordinates, and oversees the implementation of DoD policy, programs and guidance for personnel, physical, industrial, information, and operations security programs.

#### 2.9. SECRETARIES OF THE MILITARY DEPARTMENTS.

The Secretaries of the Military Departments:

- a. Support the planning, orchestration, integration, and synchronization of, and prepare for and execute, OCS during applicable operations in accordance with DoD Instruction 3020.41.
- b. Identify and train personnel, both acquisition and non-acquisition, who plan, develop, and monitor contract requirements, oversee contracts and contractors, and ensure drawdown and close-out activities are conducted during applicable operations.
- c. Participate in the development of joint OCS policy (including contract support integration, contracting support, and contractor management) related to the use of defense contractor personnel, including private security contractors, in applicable operations.

#### 2.10. CJCS.

#### The CJCS:

- a. Advises and assists the Deputy Assistant Secretary of Defense for Logistics in joint policy development to implement this issuance and other related issuances.
- b. Provides for the preparation and review of OCS integration and contractor management in support of operational and concept plans that conform to the guidance of the President and Secretary of Defense.
- c. Ensures force development activities, including joint doctrine, education, and training requirements, are developed to guide a joint force commander's actions in order to integrate OCS and the management and oversight of contractors during applicable operations in accordance with the policies contained in DoD Instruction 3020.41.
- d. Ensures CCDRs issue guidance and procedures to integrate OCS within their respective AORs, as applicable.

#### 2.11. GCC COMMANDERS.

#### The GCC Commanders:

- a. Orchestrate, integrate, and synchronize the planning and execution of OCS during applicable operations within their respective AORs and in accordance with DoD Instruction 3020.41.
- b. Develop and issue, as necessary, guidance and procedures in accordance with this issuance, and pursuant to joint policies, and related instructions within their respective AORs.

### 2.12. FUNCTIONAL COMBATANT COMMAND (FCC) COMMANDERS.

The FCC Commanders develop and issue, as necessary, guidance and procedures in accordance with this issuance, and pursuant to joint policies and applicable guidance provided by the supported GCC Commander.

#### **GLOSSARY**

#### G.1. ACRONYMS.

AOR area of responsibility

CJCS Chairman of the Joint Chiefs of Staff
CCDR Combatant Commander

FCC functional Combatant Command

GCC geographic Combatant Command

OCS operational contract support

USD(A&S) Under Secretary of Defense for Acquisition and Sustainment

#### **G.2. DEFINITIONS.**

Unless otherwise noted, these terms and their definitions are for the purpose of this issuance.

TERM	DEFINITION
acquisition	Defined in Part 2, Subpart 2.1., Section 2.101 of the Federal Acquisition Regulation.
applicable operations	Contingency operations, humanitarian assistance, peace operations, defense support of civil authorities operations, and other activities, including operations and exercises as determined by a CCDR or as directed by the Secretary of Defense.
contract support integration	The planning, coordination, and synchronization of contracted support in military operations.
contracting support	The planning, coordination, and execution of contracting authority to legally bind contractors in support of military operations.
contractor management	The oversight and integration of contractor personnel and associated equipment in support of military operations.

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TERM DEFINITION

contingency operation

A military operation that is either designated by the Secretary of Defense as a contingency operation or becomes a contingency operation as a matter of law as defined in Section 101(1)(13) of Title 10, United States Code.

**FCC** 

A unified command with a broad continuing mission under a single commander, composed of significant assigned components of two or more Military Departments, that is established and so designated in the Unified Command Plan by the President, through the Secretary of Defense with the advice and assistance of the Chairman of the Joint Chiefs of Staff, as having trans-regional AORs. The Unified Command Plan designates these commands as having trans-regional AORs as they operate world-wide across geographic boundaries and provide unique capabilities to the Military Services and to unified commands with physical AORs.

GCC

A unified command with a broad continuing mission under a single commander, composed of significant assigned components of two or more Military Departments, that is established and so designated in the Unified Command Plan by the President, through the Secretary of Defense with the advice and assistance of the Chairman of the Joint Chiefs of Staff, as having physical AORs. The Unified Command Plan designates these commands as having physical AORs as they operate in clearly delineated AORs and have a regional military focus.

humanitarian assistance Assistance to the local populace, specifically authorized by Section 401 of Title 10, United States Code, and funded under separate authorities, provided by predominantly U.S. forces in conjunction with military operations.

**OCS** 

The ability to orchestrate and synchronize the provision of integrated contract support and management of contractor personnel providing support to command-directed operations within a designated operational area.

peace operations

Defined in the DoD Dictionary of Military and Associated Terms.

program management As related to OCS, the process of planning, preparing, organizing, and integrating the combined efforts of participating civilian and military personnel and other organizations to meet operational and mission requirements during applicable operations.

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#### REFERENCES

Defense Federal Acquisition Regulation Supplement, current edition

DoD Directive 3000.06, "Combat Support Agencies (CSAs)," June 27, 2013, as amended

DoD Directive 5105.22, "Defense Logistics Agency (DLA)," June 29, 2017

DoD Directive 5143.01, "Under Secretary of Defense for Intelligence and Security (USD(I&S))," October 24, 2014, as amended

DoD Instruction 1100.22, "Policy and Procedures for Determining Workforce Mix," April 12, 2010, as amended

DoD Instruction 3020.41, "Operational Contract Support (OCS)," December 20, 2011, as amended

Federal Acquisition Regulation, current edition

Public Law 109-364, Section 854, "Joint Policies on Requirements Definition, Contingency Program Management, and Contingency Contracting," October 17, 2006

Public Law 110-181, Section 862, "Contractors Performing Private Security Functions in Areas of Combat Operations," January 28, 2008

United States Code, Title 10

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