



# Department of Defense **INSTRUCTION**

**NUMBER 5000.57**

December 18, 2013

Incorporating Change 3, May 7, 2019

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USD(A&S)

**SUBJECT:** Defense Acquisition University (DAU)

**References:** See Enclosure 1

1. **PURPOSE.** In accordance with the authority in DoD Directive (DoDD) 5134.01 (Reference (a)) and the July 13, 2018 Deputy Secretary of Defense Memorandum (Reference (b)), this instruction reissues DoD Instruction (DoDI) 5000.57 (Reference (c)) to:

- a. Establish policy and assign responsibilities for DAU.
- b. Implements section 1746 of Title 10, United States Code (Reference (d)).

2. **APPLICABILITY.** This instruction applies to OSD, the Military Departments, the Office of the Chairman of the Joint Chiefs of Staff and the Joint Staff, the Combatant Commands, the Office of the Inspector General of the Department of Defense, the Defense Agencies, the DoD Field Activities, and all other organizational entities within the DoD (referred to collectively in this instruction as the "DoD Components").

3. **POLICY.** It is DoD policy that:

- a. In accordance with DoDI 5000.66 (Reference (e)), members of the Defense Acquisition Workforce who deliver and sustain warfighting capabilities will be highly qualified to perform their acquisition mission, as enabled by education and training.

- b. DAU will:

- (1) Provide a global learning environment to develop qualified acquisition, requirements, and contingency professionals who deliver and sustain effective and affordable warfighting capabilities.

- (2) Be managed and directed by a president who will be the Chief Executive Officer of DAU.

(3) Be structured to serve the Defense Acquisition Workforce community. The president will establish subordinate organizational elements as needed to execute the DAU mission within resources assigned by the Secretary of Defense. DAU will:

(a) Include the Defense Systems Management College, the College of Contract Management, and other regional and major staff elements approved by the Under Secretary of Defense for Acquisition and Sustainment (USD(A&S)).

(b) Provide support for Defense Acquisition Workforce career management as directed by the USD(A&S).

c. DAU may, within assigned resources, employ civilian professors, lecturers, and instructors in numbers sufficient to meet mission needs and may compensate such faculty on a scale that is comparable to other DoD educational institutions, in accordance with Reference (d).

4. RESPONSIBILITIES. See Enclosure 2.

5. RELEASABILITY. **Cleared for public release.** This instruction is available on the Directives Division Website at <https://www.esd.whs.mil/DD/>.

6. SUMMARY OF CHANGE 3. This change is administrative and updates references and organizational symbols to reflect the reorganization of the Office of the Under Secretary of Defense for Acquisition, Technology, and Logistics, pursuant to Reference (b).

7. EFFECTIVE DATE. This instruction is effective December 18, 2013.



Frank Kendall  
Under Secretary of Defense for  
Acquisition, Technology, and Logistics

Enclosures

1. References
2. Responsibilities

Glossary

ENCLOSURE 1

REFERENCES

- (a) DoD Directive 5134.01, "Under Secretary of Defense for Acquisition, Technology, and Logistics (USD(AT&L))," December 9, 2005, as amended
- (b) Deputy Secretary of Defense Memorandum, "Establishment of the Office of the Under Secretary of Defense for Research and Engineering and the Office of the Under Secretary of Defense for Acquisition and Sustainment," July 13, 2018
- (c) DoD Instruction 5000.57, "Defense Acquisition University," February 8, 2006 (hereby cancelled)
- (d) Title 10, United States Code
- (e) DoD Instruction 5000.66, "Defense Acquisition Workforce Education, Training, Experience, and Career Development Program," July 27, 2017, as amended
- (f) DoD Instruction 5400.11, "DoD Privacy and Civil Liberties Programs," January 29, 2019
- (g) DoD Instruction 4000.19, "Support Agreements," April 25, 2013, as amended
- (h) DoD Instruction 8910.01, "Information Collection and Reporting," May 19, 2014

ENCLOSURE 2

RESPONSIBILITIES

1. USD(A&S). The USD(A&S):

a. Prescribes policies and requirements for the education and training programs of DAU, as required by section 1702 of Reference (d).

b. Establishes objectives to achieve the efficient and effective use of available acquisition resources by coordinating DoD acquisition education and training programs and tailoring them to support the careers of civilian and military personnel in Defense Acquisition Workforce positions.

c. Selects the President, DAU.

d. Provides guidance and direction to the President, DAU on policies and procedures related to the development and operation of DAU.

e. Provides centralized funding (including funding for students to attend DAU courses of instruction), personnel, and other resources to support DAU and its mission objectives. Approves any proposed adjustments within the planning, programming, budgeting, and execution process.

f. Establishes a program of education and training standards, requirements, and performance learning assets for the civilian and military Defense Acquisition Workforce. The program will promote jointness and interoperability to the greatest extent practical and be designed to provide benefits as broadly as possible to the workforces supporting the A&S mission.

g. Establishes, in coordination with the Chairman of the Joint Chiefs of Staff, the acquisition content of a senior course at the Eisenhower School for National Security and Resource Strategy (ES).

2. PRESIDENT, DAU. The President, DAU:

a. Reports to the USD(A&S) through the Assistant Secretary of Defense for Acquisition or as directed by the USD(A&S).

b. Organizes, directs, and manages DAU and all resources assigned to DAU.

c. Provides the Defense Acquisition Workforce career-long, integrated learning support through certification courses, job performance training, executive and international courses, mission assistance, rapid deployment training, knowledge sharing, continuous learning, defense

acquisition research, workforce qualification support, and other activities in support of talent management as directed by the USD(A&S).

d. Provides access to acquisition-specific training that is designed to support effective implementation of acquisition-related duties performed by DoD personnel who are not part of the Defense Acquisition Workforce.

e. Proposes DAU's resourcing requirements for the Future Years Defense Program and other planning, programming, budgeting, and execution actions by:

(1) Working with DoD Component workforce organizations to determine priorities for attendance at DAU courses and allocate quotas, student travel, and per diem funding to the DoD Components.

(2) Providing an appropriate programming and budgeting mechanism to control the allocation of resources to achieve the objectives of DAU, including funding for students to attend courses of instruction and funding to pay faculty and staff salaries as well as the expenses of operating DAU.

f. Conducts a program of Defense Acquisition Research to support the development of training programs, mission assistance, knowledge sharing, and continuous learning as well as to inform policy formulation in the acquisition L community.

g. Develops and manages information systems for the maintenance of records on student enrollment, course completions, and related workforce data consistent with the requirements of DoDI 5400.11 (Reference (f)).

h. Obtains external support for DAU, as necessary, through interservice and intragovernmental support agreements in accordance with DoDI 4000.19 (Reference (g)).

i. Negotiates, executes, terminates, and oversees the terms of the memorandums of agreement and other agreements between DAU and other organizations within DoD and with other Federal Government organizations. In the execution of the DAU mission, the president may enter into valid intragovernmental transactions with other organizations within DoD and the Federal Government to accept appropriated funding authority and to transfer DAU funding to such organizations.

j. Publishes an annual catalog describing DAU's training, continuous learning, mission assistance, and knowledge sharing resources, as well as continuous learning guidance, periodicals, reports, and other materials, in various forms, to support the mission of DAU and the needs of the acquisition community.

k. Establishes a course equivalency program that identifies alternatives for the workforce to attain required training.

l. Establishes and implements an Acquisition Workforce Fulfillment Program that provides criteria for determining whether an individual has demonstrated competence in the areas that are taught in the training courses required for their position. If an individual meets the appropriate fulfillment standards prescribed, the applicable training requirement is fulfilled.

m. Establishes DAU prerequisite course requirements that promote the attainment of course outcomes (learning objectives) and improve the students understanding of acquisition and life cycle management within the DoD and functional career categories.

n. Works with relevant DoD professional schools and degree-granting institutions to ensure best practices are used in curriculum development to support the Defense Acquisition Workforce.

o. Advises and supports the USD(A&S) in executing his or her responsibilities outlined in References (c), (e), and this instruction by:

(1) Serving as a member of the A&S Senior Steering Board and the A&S Workforce Management Group to support oversight and execution of the Defense Acquisition Workforce Education, Training, and Career Development Program, as directed by the USD(A&S).

(2) Providing support to the functional leaders by establishing a central repository for the acquisition career field competency models and the tracking of competencies to learning assets.

(3) Providing a uniform framework for certification standards, assignment-specific learning, and qualification standards applicable to acquisition position categories as approved by the functional leaders.

(4) Providing and operating an Acquisition Workforce business management system designed to support the management and execution of the Defense Acquisition Workforce Education, Training, and Career Development Program by maintaining the system of record for Acquisition Workforce qualification data.

(5) Maintains a publicly accessible internet site to publish the acquisition career field certification standards, position category descriptions and other information as required to support the execution of the Defense Acquisition Workforce Education, Training, and Career Development Program.

p. Maintains appropriate liaison with the DoD Components, other federal agencies, and other public and private sector organizations and educational institutions for the exchange of information concerning assigned programs, activities, and responsibilities.

q. Uses existing systems, facilities, and services of the DoD and other federal agencies, when practicable, to avoid duplication and to achieve maximum efficiency and economy.

r. Obtains reports and information and conducts surveys, consistent with DoDI 8910.01 (Reference (h)), as necessary, to carry out assigned responsibilities.

s. Communicates with other federal officials, State and local officials, members of the public, and representatives of foreign governments, as appropriate, in carrying out assigned responsibilities. Communications with representatives of the Legislative Branch must be conducted through the Office of the Assistant Secretary of Defense for Legislative Affairs, except for communications with the Defense Appropriations Committees, which must be coordinated with the Office of the Under Secretary of Defense (Comptroller)/Chief Financial Officer, Department of Defense, and be consistent with the DoD Legislative Program.

t. Performs other duties as prescribed in Reference (e) and as the Secretary of Defense or the USD(A&S) may assign.

3. UNDER SECRETARY OF DEFENSE FOR PERSONNEL AND READINESS (USD(P&R)). The USD(P&R) advises and assists the USD(A&S) in establishing civilian personnel policy, programs, and rates of pay pertaining to section 1746(b) of Reference (d) regarding employment and compensation of civilian faculty members.

4. DIRECTOR, DEFENSE CONTRACT AUDIT AGENCY. Under the authority, direction, and control of the Under Secretary of Defense (Comptroller)/Chief Financial Officer, Department of Defense, and in addition to the responsibilities in section 5 of this enclosure, the Director, Defense Contract Audit Agency, provides a program of education, training, career development and workforce management as required by Reference (d) for the auditing career field. Program development will be coordinated with the President, DAU, as appropriate.

5. DOD COMPONENT HEADS. Acting through their Acquisition Executives, as appropriate, the DoD Component heads:

a. Provide to DAU their annual student training requirements, and associated budgetary requirements for travel and per diem for Defense Acquisition Workforce personnel, non-acquisition personnel with acquisition-related duties, and direct hire 1102 local nationals.

b. Participate with DAU in formalizing class schedules and allocating student quotas to meet requirements.

c. Select and schedule candidates for the senior course at ES.

6. SECRETARIES OF THE MILITARY DEPARTMENTS. In addition to the responsibilities in section 5 of this enclosure, the Secretaries of the Military Departments assign military personnel to DAU according to approved authorizations.

7. CHAIRMAN OF THE JOINT CHIEFS OF STAFF. In addition to the responsibilities in section 5 of this enclosure, the Chairman of the Joint Chiefs of Staff:

a. Ensures that the National Defense University and ES provide the senior acquisition course for Defense Acquisition Workforce personnel serving in critical acquisition positions.

b. Ensures, in coordination with the USD(A&S), that the ES acquisition curriculum is relevant to the educational needs of senior Defense Acquisition Workforce professionals.



## GLOSSARY

### PART I. ABBREVIATIONS AND ACRONYMS

A&S	acquisition and sustainment
DAU	Defense Acquisition University
DoDD	DoD Directive
DoDI	DoD Instruction
ES	Eisenhower School for National Security and Resource Strategy
USD(A&S)	Under Secretary of Defense for Acquisition and Sustainment
USD(P&R)	Under Secretary of Defense for Personnel and Readiness

### PART II. DEFINITIONS

These terms and their definitions are for the purposes of this instruction:

A&S community. The Defense Acquisition Workforce and the organizations in which they work.

Chief Executive Officer. The individual responsible for overseeing all activities of DAU. The Chief Executive Officer is also the Chief Administrative Officer as defined by the accrediting body.

Defense Acquisition Workforce. Persons serving in acquisition positions within the DoD, as designated pursuant to section 1721(a) of Reference (d).

direct hire 1102 local nationals. Foreign nationals serving in the roles of contract specialists, warranted contracting officers, and team leads in DoD contracting offices who are not considered part of the Defense Acquisition Workforce.