

21

Transition
Regional
Reports



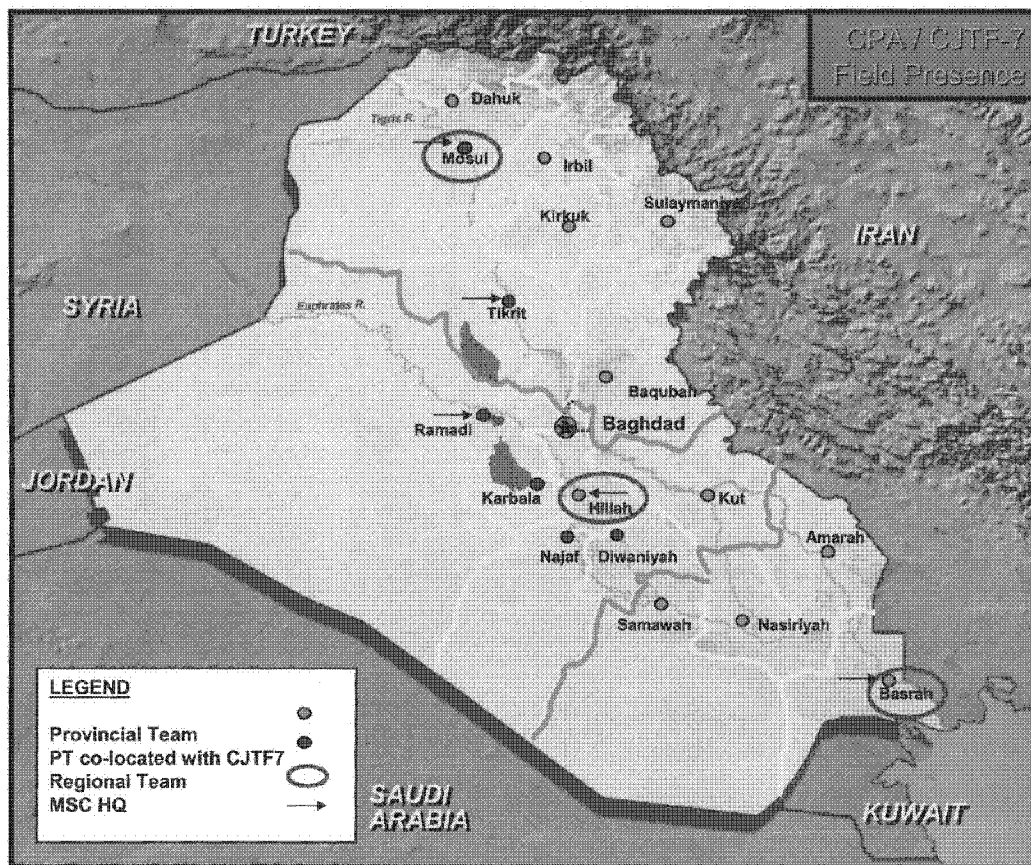
Regional Team Briefing

April 29, 2004

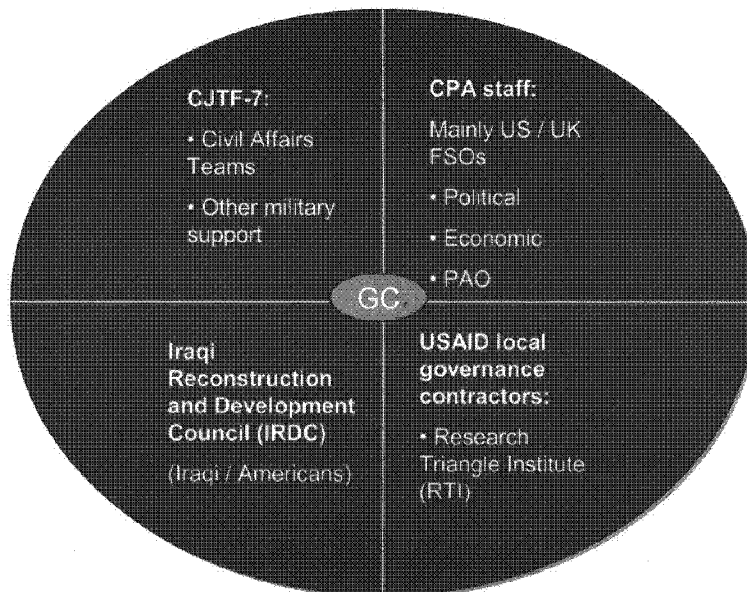
~~Sensitive But Unclassified~~

CLASSIFIED INFORMATION

HAS BEEN REMOVED FROM
FILE AND SCANNED
SEPARATELY



Current Provincial Team Staffing



Provincial Teams vary in size from 10 to 120 people.

GC = Governorate Coordinator

Evolving Responsibilities

Responsibilities as Occupiers

- Establishing Local Government (Governors, Councils, Senior Ministry Positions)
- Overseeing and directing local Government.
- Implementing (and explaining) national political processes and policies.
- Building civil society – centers for human rights, women's rights, democracy
- Political reporting.
- Developing local media.
- Supporting CJTF7 and local Government, including the Governor and Chief of Police, to keep the peace.
- Initiating and overseeing DFI (R3P etc) project implementation.
- Initiating and overseeing coalition-funded projects.

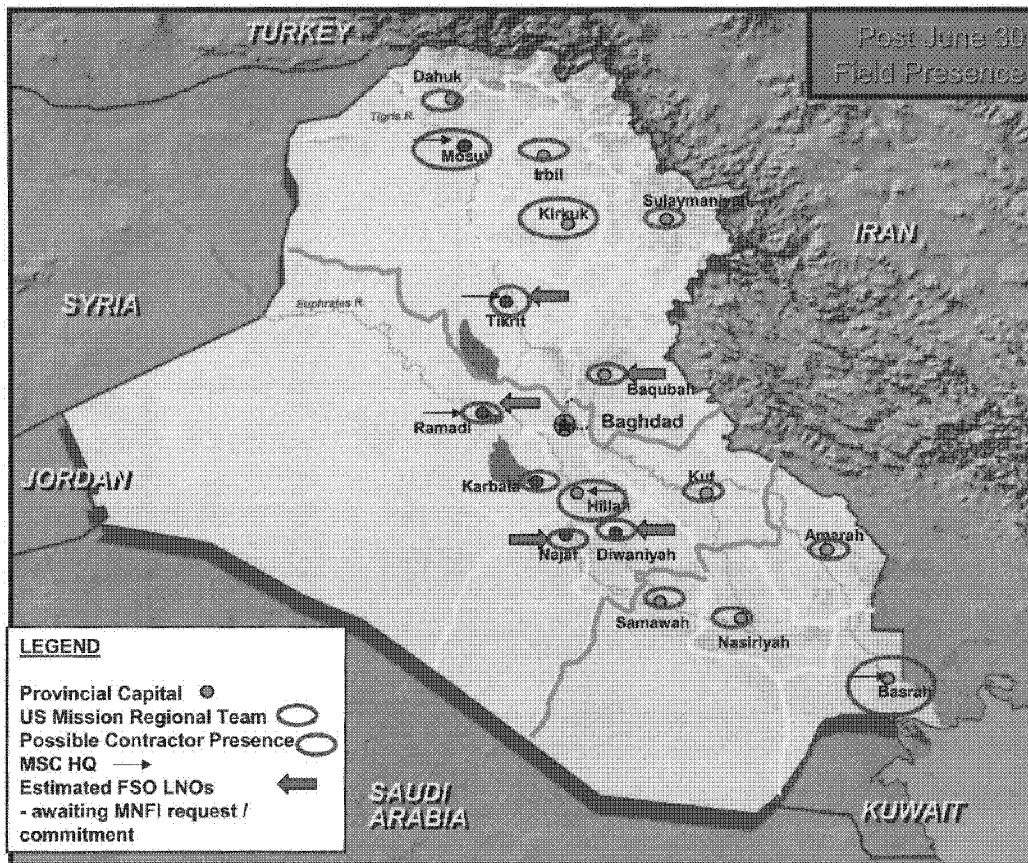
Responsibilities as US Mission

- Support local governance and local Ministries.
- Support the national political process and the work of the UN.
- Support Iraqi civil society efforts and local NGOs.
- Political Reporting
- Developing local media.
- Support the efforts of the MNF-I.
- Initiate and oversee IRRF projects.
- Coordinate with the international community and NGOs in the area.

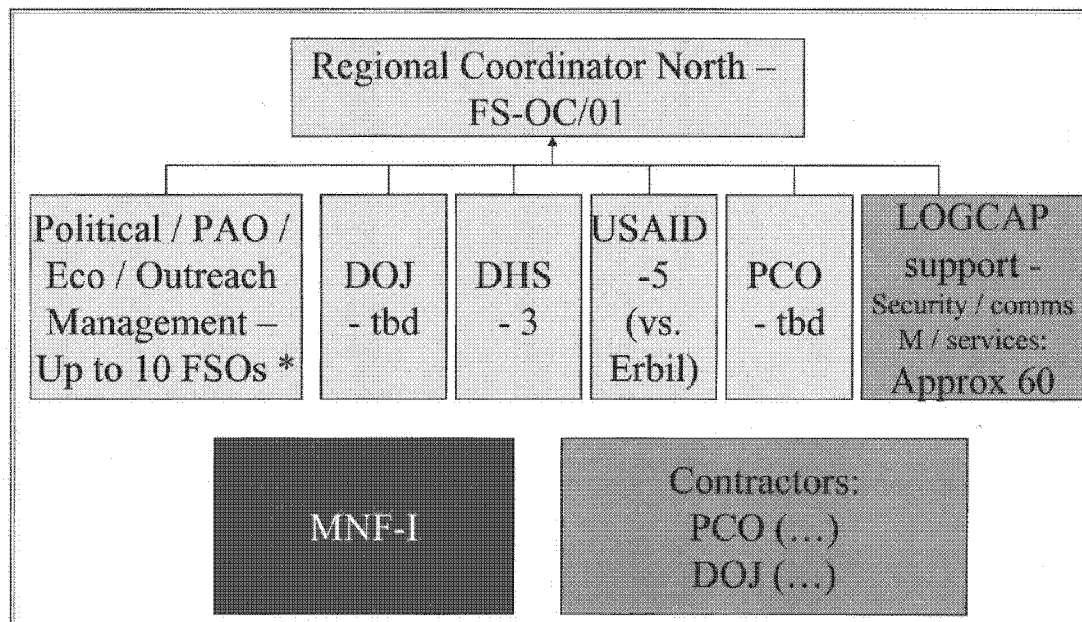
Responsibilities transferred from CPA's PTs

*US Mission Iraq:
Regional Teams*

Current CPA PT Responsibilities	Transferred to Iraqi National / Regional Govt	Transferred to US Mission	Transferred to International Community
Establishing Local Government (Governors, Councils, Nat'l Ministry Reps)	✓		
Overseeing and directing local Government	✓	Support Role	Support Role
Building civil society – centers for human rights, women's rights, democracy	✓	Support Role	Support Role
Overseeing and initiating DFI project implementation	✓ DFI	✓ US-funded	✓ multi-lateral proj's
Supporting CJTF7 and local Government, including the Governor and Chief of Police, to keep the peace	Support Role	Support Role	Support Role
Implementing (and explaining) national political processes and policies	✓		Support Role
Developing and supporting local media	✓	✓	✓
Political and economic reporting		✓	



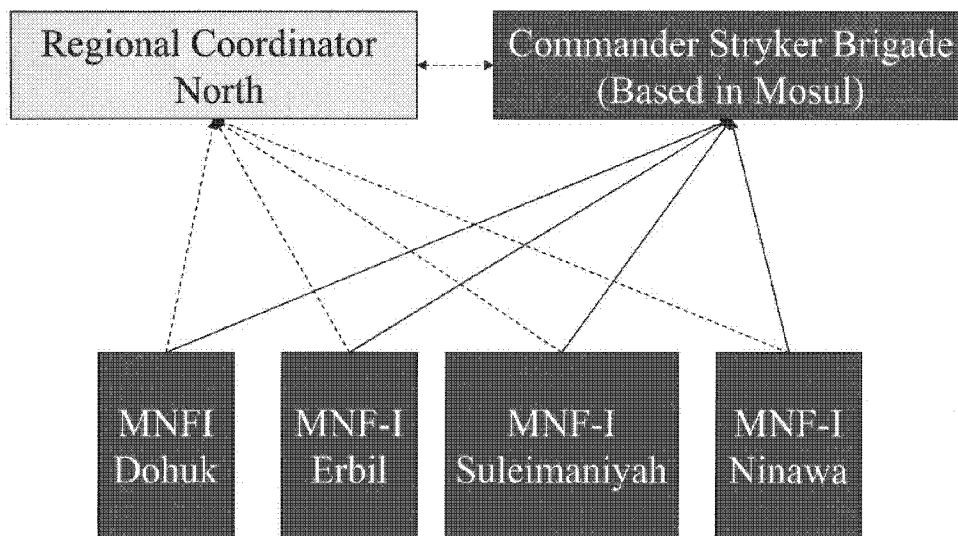
Regional Team (Mosul)



* Pending LNO decision

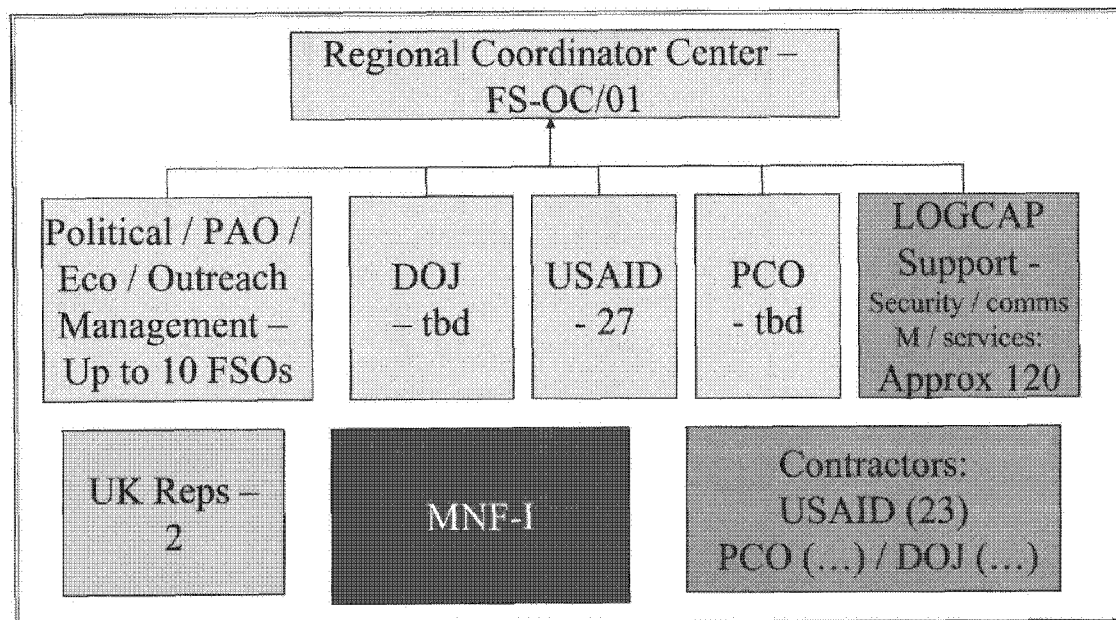
Relations between Regional Team & MNF-I North

*US Mission Iraq:
Regional Teams*

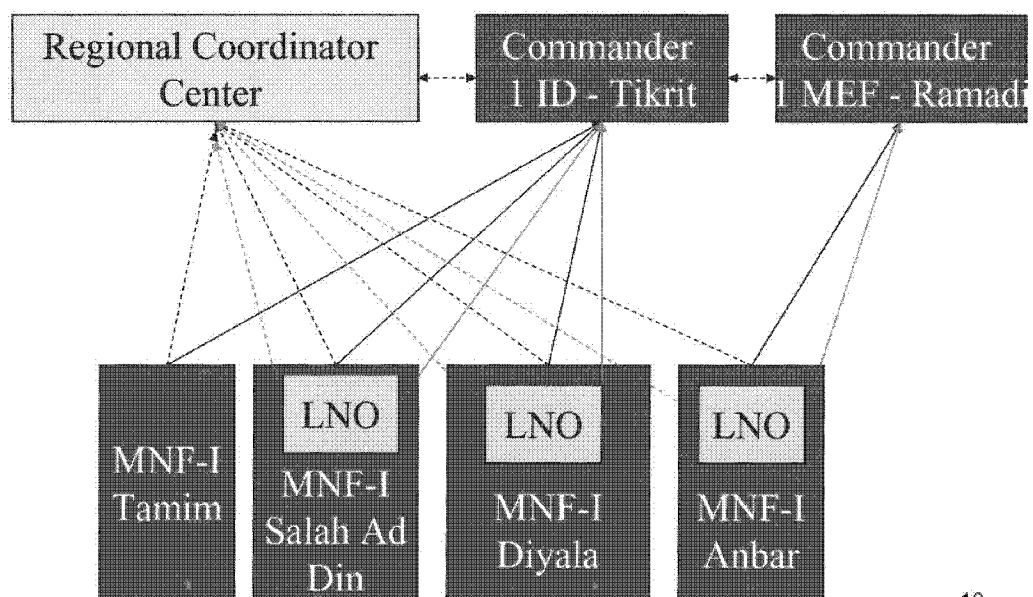


Regional Team (Kirkuk)

US Mission Iraq:
Regional Teams

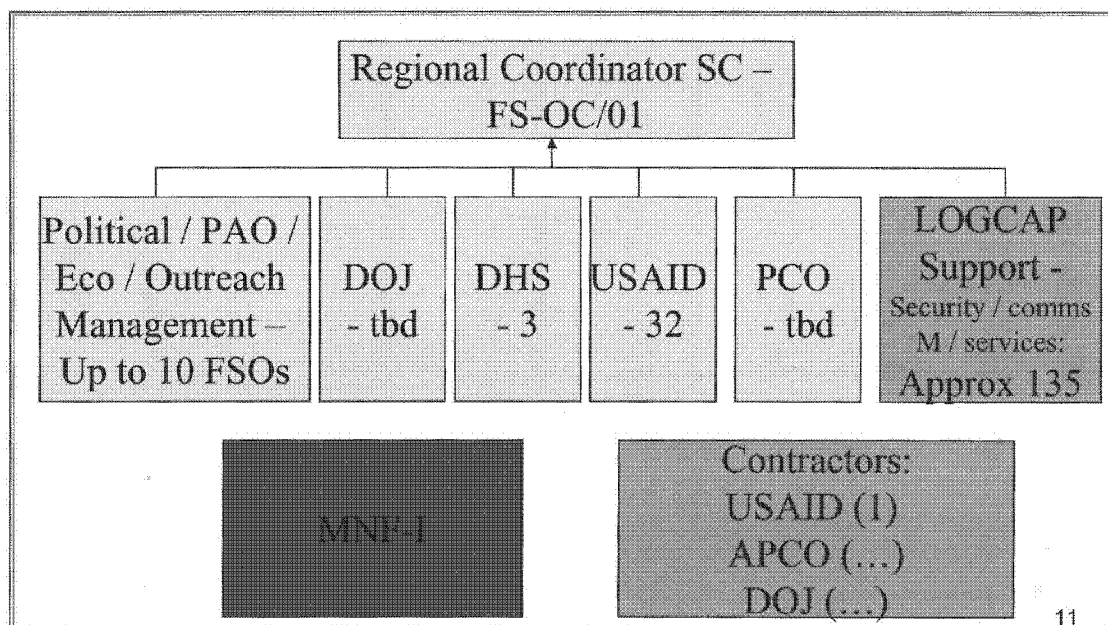


Relations between Regional Team & MNF-I Central



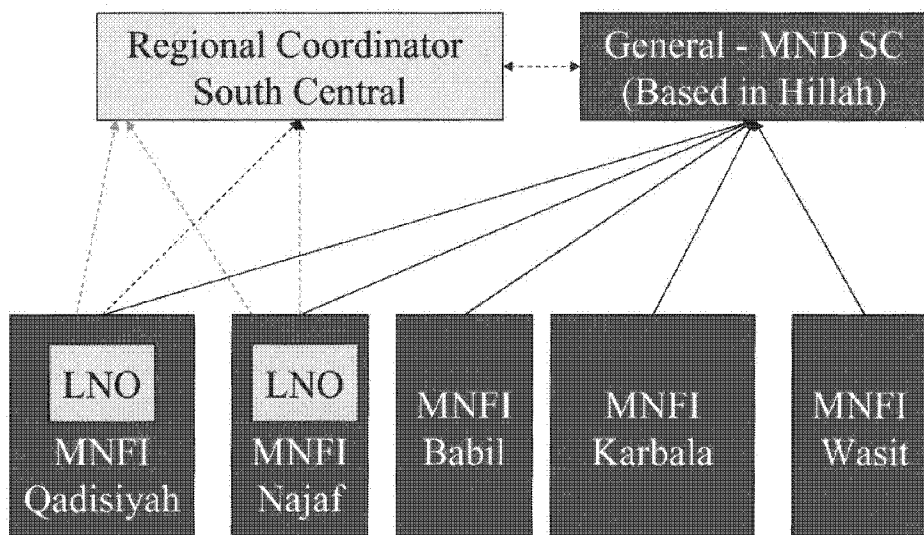
Regional Team (Hillah)

US Mission Iraq:
Regional Teams



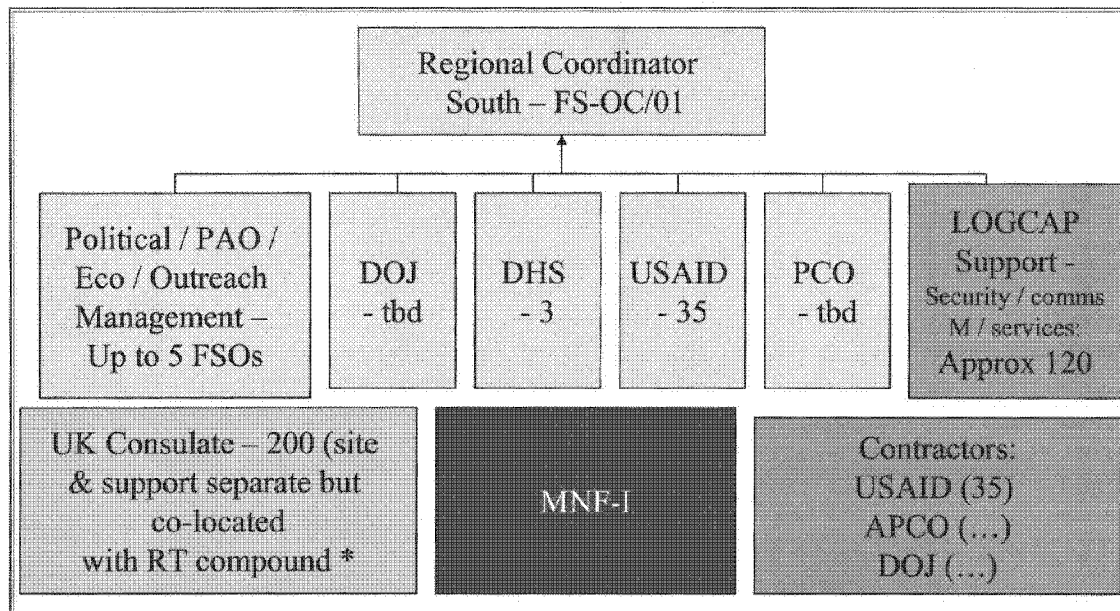
Relations between Regional Team & MNF-I SC

*US Mission Iraq:
Regional Teams*



Regional Team (Basrah)

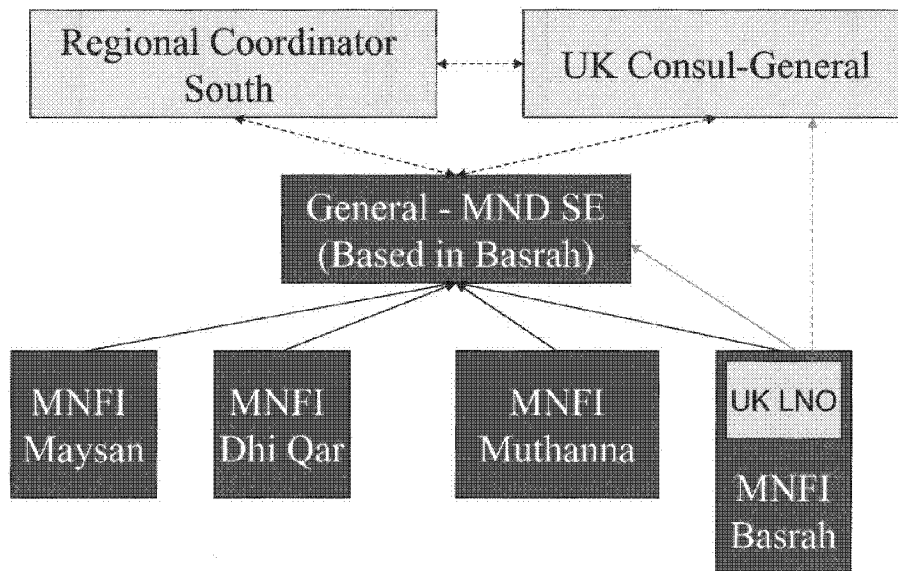
US Mission Iraq:
Regional Teams



* UK would like to continue using some of their current accommodation until the Consulate building is fully operational (end 2004), reimbursing the cost.

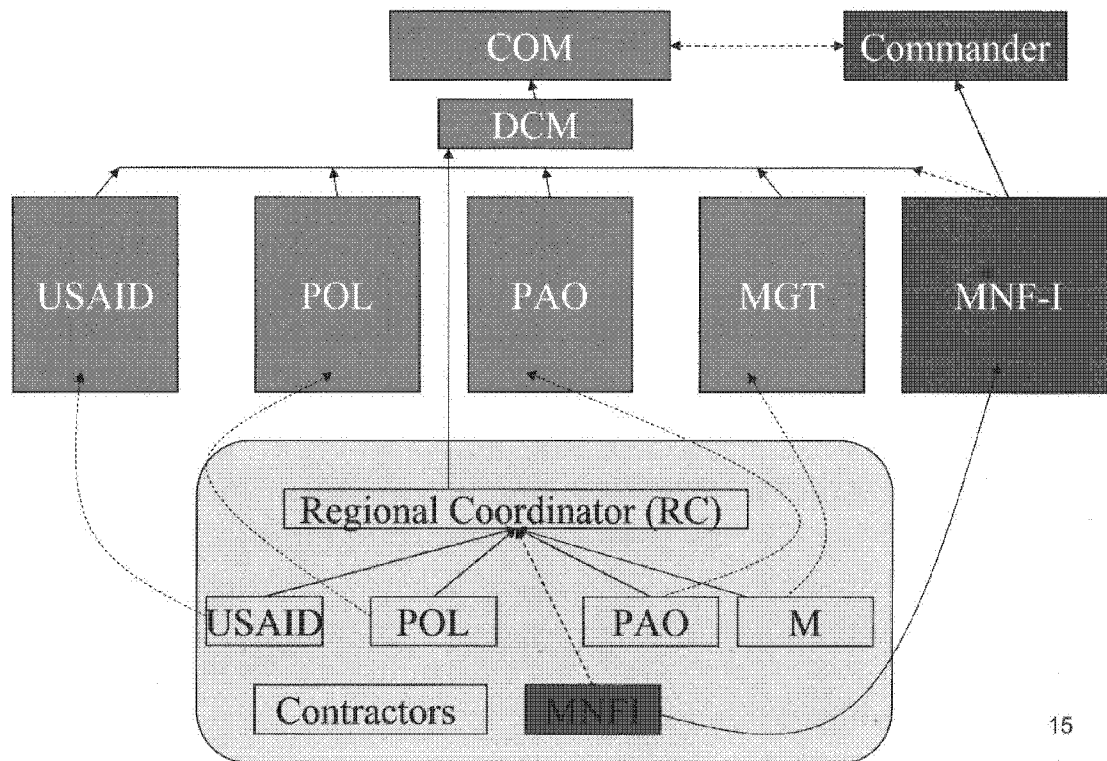
Relations between Regional Team & MNF-I South

*US Mission Iraq:
Regional Teams*



Example Regional-Baghdad Relations

*US Mission Iraq:
Regional Teams*



Conclusion

Regional Sites in Mosul, Kirkuk, Hillah and Basrah (supported by FSO LNOs assigned to MNFI) provide good platforms from which to:

1. Report on and influence regional politics.
2. Support local governance institutions, media and civil society.
3. Oversee project implementation.
4. Support MNF-I.

4 sites are manageable and sustainable. In terms of:

- Securing the 4 sites and providing full fixed and mobile protection – MNFI support / armored cars / PSDs / flak jackets.
- Integrating our teams fully in to the work of the US Mission in Baghdad.
- Managing and staffing our teams are effectively.



Iraq Transition Team Daily Status Report

Thursday, April 01, 2004

vol. 1

Yesterday: Wednesday, March 30, 2004

M - Management
P - Policy
M-P Combined

1. Meetings/Events

- Logistics test through Haifa successful. *M*
- Baghdad Conference Call *M-P*
- Holzman staff initiated MPP drafting (due 4/15) *M-P*
- Interagency Sector Meeting, chaired by Jackson, reviewed transition sector status *M-P*
- Ambassador Ricciardone conference call with U/S Grossman and Exec Sec Karl Hoffman. *M-P*
- Justice sector strategy meeting, chaired by Godec. *P*

2. Documents/Products

- Joint DoD/DoS Operations Plan draft published for comment. *M*
- USAID and DoD access team Web portal containing up to SBU project information. *M*
- Initial draft NSPD prepared, issued for comment within State. *P*
- Draft cable to all posts circulated to advise posts/host governments on plans for US Mission Baghdad. *P*
- Draft info memo on legal authorities for CPA "successor" and temporary organizations cleared. *P*

3. Issues Identified

- Determine whether the Chief of Mission (CoM) or the MNF-I will have the authority over the Office of Security Cooperation (OSC) including military training and police training (*Issue CoM-4/1-1*). *P*
- ITPT, CPA, PMO and CJTF-7 recommend 17 Provincial Teams; this includes a recommendation that 8 sites would have DoS personnel. Plan in draft. (*Issue ProvTeam-4/1-1*) *P*

Agenda for Thursday, April 1, 2004

1. Meetings/Events

- Ricciardone meets with Reps Ike Skelton & Spratt with H DAS Carl Raether *M-P*
- Ricciardone and U/S Grossman (semi-weekly) *M-P*
- Law Enforcement issues meeting *M-P*

- INL, Robert Charles and Rose Likins, PM Meeting with Ricciardone
- Ambassador Ricciardone and U/S Green (daily meeting)
- DoS/DoD Integration Meeting (Pentagon, 2 pm)
- NEA/OBO Space Planning Meeting
- Out of Country Sector Meeting
- Security Sector Meeting
- Meeting with CPA Chief of Staff Ed Rice on moving CPA/W functions to NEA.

2. Documents and Products

- Sector status updates due to Transition Management Office (noon)
- Info memo sent to Secretary on legal authorities for CPA "successor" and temporary organizations.
- Draft NSPD circulated for clearance.

Planned for Friday, April 2, 2004

1. Meetings/Events

- Consular Sector Meeting
- Medical Sector Meeting
- U/S Green Meeting
- Baghdad Conference Call
- Security Sector SVTS
- NEA meeting on CPA/W functions.

2. Documents and Products

- Weekly status report to SecDef and SecState. (COB)
- Action memo to Deputy Secretary with draft NSPD.



Iraq Transition Team Daily Status Report

Friday, April 02, 2004

vol. 2

Yesterday: Thursday, April 1, 2004

<i>M</i>	-	Management
<i>P</i>	-	Policy
<i>M-P</i>		Combined

1. Meetings/Events

- Ricciardone & Kicklighter briefed Reps Ike Skelton & Spratt with H DAS Carl Raether *M-P*
- U/S Grossman round table with Ricciardone (semi-weekly) *M-P*
- DoS/DoD Policy Meeting; chaired by Godec and Hutter. *P*
- NEA/OBO Space Planning Meeting; chaired by Walsh *M*
- Security Sector Meeting (DS Lead: Ross) *M*
- Law Enforcement issues meeting *M-P*
 - INL, Robert Charles and Rose Likins, PM Meeting with Ricciardone
- Godec, Jackson, BG Rice Meeting with CPA Chief of Staff Ed Rice regarding moving CPA/W functions to NEA. *P*
- MNF-I deputy to DCS for Pol/Mil meeting; chaired by Jackson *M*

2. Documents and Products

- Sector status updates to Transition Management Office *M-P*
- Info memo sent to Secretary on legal authorities for CPA "successor" and temporary organizations *P*
- Draft NSPD circulated for comments *P*
- Joint DoD/DoS Operations Plan draft published for comment. *M*
- USAID and DoD access team Web portal containing up to SBU project information. *M*
- Initial draft NSPD prepared, issued for comment within State. *P*
- Draft cable to all posts circulated to advise posts/host governments on plans for US Mission Baghdad. *P*
- Draft info memo on legal authorities for CPA "successor" and temporary organizations cleared. *P*

3. Issues Identified

- MNF-I DCG presence in Annex will require resolution of space, staffing, IT security and essential services. (Issue CoM-4/2-1). *M*
- Determine availability of swing space for the IG and other ad hoc requirements. (Issue CoM-4/2-2). *M*

Agenda for Friday, April 2, 2004

1. Meetings/Events

- Consular Sector Meeting chaired by Cummings *P*
- Medical Sector Meeting chaired by Willig *M*
- M daily transition meeting *M*
- Washington Deployment Center meeting chaired by M/R *M*
- Baghdad Conference Call *M-P*
- Security Sector SVTS *M-P*
- NEA, HR, RM meeting on transfer of CPA/W to State. *M-P*
- Chief of Mission (COM) Meeting chaired by Duffy *M-P*
- Ron Schlicher to head NEA/I *P*
- Human Resources (HR) Meeting chaired by Pergl *M*
- UN Acting Special Representative of Sec. General Ross Mountain and Ricciardone exchange briefing on USG and UN transition plans *P*

2. Documents and Products

- First weekly status report for week of March 26 through April 2. *M-P*
- Draft memo to Deputy Secretary with draft NSPD circulated within State *P*

Planned for Monday, April 5, 2004

1. Meetings/Events

- Overseas Building Operations (OBO) Meeting chair Wilmer *M*
- Medical (Med) Meeting chaired by Willig *M*
- US-UK Transition Meeting *M-P*

2. Documents and Products

- Action memo to Dep Sec to approve release of NSPD for formal interagency review. *P*
- PM and INL draft MOU with DoD for Baghdad OSC. *P*



Transition Planning Team Status Report

Coalition Provisional Authority to U.S. Mission Baghdad

Week Ending April 2, 2004

Interagency Transition Planning Team, Bureau of Near Eastern Affairs

Latest Transition News:

- Transition Team Baghdad: John Holzman and his team have begun transition planning in Baghdad. Thrice weekly teleconferences with Washington.
- Interim embassy facilities: Initial contract to improve and renovate the interim embassy facilities awarded on schedule, on budget.

Key Transition Issues:

- National Security Presidential Directive (NSPD): NEA and L drafted NSPD to designate Mission Baghdad and NEA/Iraq as the successor to CPA to secure funds and key authorities for State. NSPD would also establish two temporary organizations. Draft circulating for comment in State.*
- Interagency Operations Plan: State/DOD circulating draft combined USG Iraq transition plan.*
- Funds: RM developing a financial strategy and a resource requirement survey.
- Security: Per request of Secretary of Defense, DOD and State developing principles and assumptions for security for Mission Baghdad. A/S Taylor to meet with DoD counterparts next week.
- Ministry advisors: CPA recommends reduction from current total of 637 to 199 advisors in Iraqi ministries on 1 July; 155 from US.* CPA to provide recommendations on extensions of incumbents for State review.
- Provincial Teams: Plan drafted for Provincial teams.* Under discussion with DOD. Interagency meeting next week. Issue: In how many of the 18 provinces?
- CPA/W to State: Discussions begun on transferring functions/personnel from CPA Washington to State.

Upcoming Transition Events:

- April 15 - Draft MPP due
- Tank company vacates future Chancery site (C-135)
- Transition from CJTF to MNF command begins (on or about 4/15)
- May 1 - State/DoD agreement regarding Embassy security due
- May 15 - US Office Baghdad opens in interim Chancery (on or about 5/15)
- May 30 - Soft-opening of US Mission in Baghdad
- July 1 - US Embassy opens

* Document available on the Interagency Transition Team intranet site,
<http://www.extranet.state.gov/p/iraqitwg/index.cfm>



US Mission Baghdad ITPT Status Report



Sector	Status	Comments	Look Ahead/ Mitigation Strategy
Chief of Mission	●	<ul style="list-style-type: none">• 9 agencies submitted NSDD 38 staffing proposals; under review• 8 other agencies confirm no staff• 11 agencies submissions overdue	<ul style="list-style-type: none">• U/S Green pressing non-responsive agencies• Ricciardone holding senior inter-agency law enforcement planning meeting next week
Consular	■	<ul style="list-style-type: none">• American Services on track for soft opening• Mid-term visa strategy required	<ul style="list-style-type: none">• CA and OBO addressing critical facilities constraint
Contracts	■	<ul style="list-style-type: none">• Tracking over 1,000 contracts, prioritized by expiration date	<ul style="list-style-type: none">• Require urgent DoD decision on contracts extension/funding (through 1 July or 1 October)
Diplomatic Security	●	<ul style="list-style-type: none">• Security support available on May 15 remains TBD due to unresolved policy issues, identification of funding sources and provision of DoD support• 43 armored vehicles shipped of 220 planned; Communication equip not included prior to shipment	<ul style="list-style-type: none">• DS and DoD meeting to resolve policy issues pending• DS to set funding requirement with RM based on 1000 member mission
Facilities/ Real Property	■	<ul style="list-style-type: none">• OBO developing space plans with DoD and CPA for the interim embassy annex reconciling competing and evolving requirements	<ul style="list-style-type: none">• Integrated schedule for interim embassy facilities will be completed next week.
Human Resources	■	<ul style="list-style-type: none">• 141 Foreign Service positions identified for FY04• 24 paneled, 211 bidders• Candidates identified for most positions• 0 FSNs hired	<ul style="list-style-type: none">• FSN vetting and hiring plan on target. Security investigators to be hired next week• DoD planning underway to provide Adm and Logistics Support Group in Embassy
IRM (Information Technology)	●	<ul style="list-style-type: none">• Availability of identified space, power, and HVAC delaying planning and installation of permanent IT facilities• Funding levels/authority impacting planning	<ul style="list-style-type: none">• IRM decision memo to M regarding funding pending• OpenNet Café operational in Annex by April 15
Justice	■	<ul style="list-style-type: none">• Programs/Staffing to be defined.	<ul style="list-style-type: none">• PM and INL drafting MOU for DoD on Baghdad Office of Security Cooperation• Ricciardone holding senior inter-agency law enforcement planning meeting next week

Sector	Status	Comments	Look Ahead/ Mitigation Strategy
Logistics	■	<ul style="list-style-type: none"> •Logistic test shipment through Haifa successful •Travel agent, equipment inventory, and unclass pouch plan required. •Security of transportation routes remains concern 	•DoD planning underway to provide Adm and Logistics Support Group in Embassy
Medical	■	•Space determination required	•Med exploring use of hospital space
Out of Country Support	■	•Evaluating the management functions that would be appropriate to be performed outside Iraq	•Determining alternate location for services and staffing requirements
Policy/Legal	■	<ul style="list-style-type: none"> •NSPD draft circulated within State for comment •Cable in draft to brief posts/coalition govts on US transition plans •Transferring appropriate functions from CPA Washington to NEA •CPA recommendation regarding advisors in Iraqi ministries under review 	<ul style="list-style-type: none"> •NSPD draft to be circulated to interagency for comment next; consultations with Hill when appropriate •CPA to provide position descriptions and recommendation on extensions •State to recruit required positions
Provincial Teams	■	•ITPT, CPA, and CJTF-7 recommend 17 provincial teams (8 teams with DoS staff). Plan in draft.	•Interagency meeting 4/6 to review
Resources Management (Finance)	■	<ul style="list-style-type: none"> •RM identifying initial DoS funding requirement; working with DoD to create DoD/DoS transition budget. •FMOs establishing FM Baghdad operations/Amman support 	•NSPD resolution urgent and critical
Public Diplomacy (Strategic Comm.)	■	•CPA staffing recommendation received	<ul style="list-style-type: none"> •State to review CPA recommendations •Convention Center management and security unresolved

KEY

- Planning phase complete; activities resourced; on schedule
- Planning phase near completion, some resources identified; on schedule within 5 days
- Plan not defined; resources not identified; schedule slip by 5+ days

NR: status not reported

040117-23

(b)(6)

From: (b)(6)
Sent: Saturday, January 17, 2004 7:12 PM
To: (b)(6)
Cc: Bremer, Paul; (b)(6)
Subject: FW: 1/20 Governance Cell Papers [CONFIDENTIAL, Record]
Importance: High

new & Transition

(b) - pls print out and have hand delivered to LPB. thx, rj

-----Original Message-----

From: (b)(6)
Sent: Friday, January 16, 2004 5:52 PM
To: (b)(6)
Cc: (b)(6)
Subject: FW: 1/20 Governance Cell Papers [CONFIDENTIAL, Record]
Importance: High

For CPA Baghdad: Per Amb. Blackwill, these papers are for Amb. Bremer from Blackwill.

Thanks, and best,

(b)(6)

-----Original Message-----

From: (b)(6)
Sent: Friday, January 16, 2004 5:51 PM

(b)(6)

(b)(6)

Subject: 1/20 Governance Cell Papers [CONFIDENTIAL, Record]
Importance: High

Attached are papers for the Tuesday, January 20 Governance Cell meeting. This package is also being Washfaxed.

Please give me a ring at (b)(6) if you have any questions.

Thanks, and have a nice weekend.

(b)(6)

<<Iraq Governance Cell for 1-12-04 Tab H Legal Issues - cable.DOC>> <<Agenda for 1-20 Iraq Gov Cell.doc>>
<<Cover memo for Jan 20 Gov Cell.doc>> <<Iraq Governance Cell for 1-12-04 Tab G Legal Issues - NSC
paper.doc>> <<2H89011.DOC>>

1/17/2004

Iraq Transition Issues with Legal Dimensions

1. Transitional Administrative Law (TAL)

- U.S. has an interest in what the TAL provides regarding ratification/adoption of agreements entered into by IGC, and entering into new binding legal agreements.

Absent provisions addressing these issues, we may confront difficulties in ensuring that agreements entered into with U.S. before transfer of power are legally durable, and that binding agreements can be entered into after transfer of power in a manner that serves our interests.

2. Security Agreement

- Military Technical Agreement

There are numerous questions regarding freedom to operate, mutual cooperation, use of facilities without charge, command and control, rules of engagement, who should be parties to the agreement, how to address presence of other contributing nations, and the duration of the agreement.

- Status of Forces Agreement (SOFA)

Absent a SOFA (or UNSCR protection), after the transfer of power, U.S. forces in Iraq will be subject to Iraqi law for purposes of arrest and criminal prosecution, customs, taxes, environmental protection, civil claims, carriage and use of firearms, operation of vehicles and aircraft, etc.

- Article 98 Agreement

Absent an Article 98 Agreement, Iraqi authorities would be free to surrender U.S. personnel in Iraq to the ICC, depending on applicability of certain UNSCRs.

- Detainees

Any provisions regarding our authority to arrest and detain (or continue to detain) EPWs or other detainees after transfer of power, or to transfer them to Iraqi authorities, must take into

TAB

III

account our rights and obligations under the Geneva Conventions and conflict termination issues.

- UNSCR Authority Issue

It may be politically helpful for Iraqis for agreement to be premised on notion that authority for presence of U.S. forces operating in Iraq after transfer of power is not derived solely from this agreement but also from existing UNSCRs.

3. Continuation of CPA Orders/Regulations

Absent special provisions allowing for the durability or ratification of CPA orders/regulations in the TLA or other instrument, existing CPA orders and regulations will expire when the CPA is dissolved. Many of these orders address issues of ongoing importance to the U.S. such as foreign investment in Iraq, the Iraqi criminal code, and the statute establishing the Iraqi Special Tribunal. Consideration should be given to which of these orders the U.S. would like to see continued in effect and how this is best accomplished as a technical/legal matter. There are also a number of additional CPA orders/regulations, particularly on economic issues, that we understand may be desired that will likely require legal drafting and input (including by Iraqis) prior to the transfer of power and that we would like to see continued after the transfer of power.

4. UNSCR

- Development Fund for Iraq

Absent a new UNSCR, we could confront legal problems concerning disbursement of any funds remaining in DFI at transfer of power and dissolution of CPA (since UNSCRs provide that these funds are disbursed at direction of CPA in consultation with Iraqi interim administration). Note also that, absent UNSCR, IAMB may continue to oversee DFI, and any Iraqi funds identified in other countries must be returned to the DFI.

- Oil Exports

Absent a new UNSCR, Iraqi oil exports after the transfer of power may continue to be audited by accountants reporting to the IAMB.

- Arms Embargo

Absent a new UNSCR, restrictions in existing UNSCRs on exporting arms to Iraq will continue, and the exception for "material required by the Authority [CPA]" to serve UNSCR purposes may no longer be useful; this could result in legal issues concerning arms transfers to forces operating in Iraq that should be explored.

- UN Role

UN may take the position that a new UNSCR is required for UN to undertake activities in Iraq after the transfer of power (though it appears UN would have authority under existing resolutions for at least some activities).

- UNMOVIC/IAEA

UNSCR provisions granting special authorities to UNMOVIC and IAEA in Iraq have not been suspended or terminated. Absent a new UNSCR, UNMOVIC or IAEA could assert a right to resume inspections. Similar issues may arise in connection with Iraqi obligations under nonproliferation treaties.

5. U.S. Domestic Law Sanctions

Iraq remains on the terrorism list under U.S. law, but virtually all provisions of law that sanction Iraq by virtue of its support to terrorism have been suspended under special authority provided to the President in the Iraq Supplemental. Our position has been that the suspension is permanent but that could be challenged for the period after the September 30, 2005 date mentioned in the statute. In addition, there are certain other remaining domestic sanctions on Iraq that we should consider whether to lift after the transfer of power.

6. Establishing Diplomatic Relations with New Iraqi Government

A variety of issues will need to be addressed related to the means of establishing diplomatic relations, appropriate levels of privileges and immunities for U.S. diplomatic and consular personnel, and the acquisition of property.

7. Iraq's Treaty Obligations and ongoing UNSCR requirements

We need to identify and consider the relationship between Iraq's current or desired future treaty obligations, continuing UNSCR requirements, and ongoing U.S. security and other operations in Iraq.