


Subj: QUARTERLY INTELLIGENCE OVERSIGHT REPORT FOR SECOND
QUARTER FISCAL YEAR 2001

the Deputy Senior Inspector also conducted interactive Intelligence Oversight training to enhance understanding within the Area of the purposes and practical value of Intelligence Oversight laws.

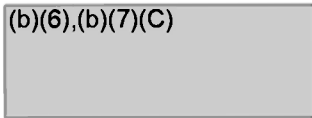
4. Other than the special project described in the preceding paragraph and presented to SECNAV IG staff in April 2001, which the Deputy Senior Inspector believes would increase the overall effectiveness of the NRIC Intelligence Oversight training program and compliment the message to "protect the constitutional rights of U.S. persons" on one of the two new Intelligence Oversight posters that SECNAV IG staff unveiled at the 12-13 October 2000 ATSD-IO Intelligence Oversight Conference, there are no further recommendations for improvements to the current Intelligence Oversight system.

5. During the reporting quarter, one question of legality was raised by a reservist assigned to DIAHQ 1482 in Reserve Area 3, involving information in a DIA database that the reservist thought might be about an U.S. person. The reservist immediately stopped work on his project and raised the question with his reserve chain of command and the appropriate DIA Team Chief. The NRIC Deputy Senior Inspector determined that the question was properly addressed to the appropriate authorities, properly reported up the chain of command, and did not involve a violation by any Naval Reserve Intelligence personnel.

6. The point of contact for Intelligence Oversight matters within this Command continues to be the Deputy Senior Inspector,
(b)(2),(b)(6),(b)(7)(C)



(b)(6),(b)(7)(C)



Deputy

Copy to:
COMNAVRESFOR IG
ONI (ONI-OCA)
COMNAVRESINTCOM (N001)



UNITED STATES MARINE CORPS
HEADQUARTERS UNITED STATES MARINE CORPS
2 NAVY ANNEX
WASHINGTON, DC 20380-1775

IN REPLY REFER TO:
3800
IGO
12 Apr 01

From: Deputy Naval Inspector General for Marine Corps
Matters/Inspector General of the Marine Corps
To: Naval Inspector General (NIG-00G1)
Subj: QUARTERLY INTELLIGENCE OVERSIGHT REPORT FOR THE SECOND
QUARTER, FISCAL YEAR 2001
Ref: (a) SECNAVINST 3820.3D
(b) MCO 3800.2A

1. Per the references, the following information is provided:

a. During the subject period no intelligence or counterintelligence activities were discovered or reported which were believed to be questionable, illegal, improper, or contrary to applicable law, statutes, directives, or policies.

b. Highlights of intelligence oversight activity undertaken during this reporting period include on-site surveys of the Headquarters, Marine Forces Pacific, Pearl Harbor, Hawaii, as well as selected units in the geographic vicinity, and the Navy Marine Corps Intelligence Training Center, Dam Neck, Virginia.

c. Marine Corps commands and support activities conducted required annual training, refresher training, and inspections during the reporting period.

(b)(2),(b)(6),(b)(7)(C)

(b)(6),(b)(7)(C)

/ By direction

Copy to:
CMC (IO)
COMMARFORPAC (INSP)
COMMARFORLANT (G-7)
COMMARFORRES (G-7)
CO, MCIA
CO, MSG, BN
CO, MARSPTBN



DEPARTMENT OF THE NAVY
HEADQUARTERS, NAVAL RESERVE SECURITY GROUP COMMAND
1550 HALSEY AVENUE
NAVAL AIR STATION
JOINT RESERVE BASE
FORT WORTH TX 76127-1550

3820
Ser N1/097
12 Apr 01

From: Commander, Naval Reserve Security Group Command
To: Office of the Navy Inspector General (NIC-00G1)

Subj: QUARTERLY INTELLIGENCE OVERSIGHT (IO) REPORT

Ref: (a) SECNAVINST 3820.3D
(b) Executive Order 12333

1. Per reference (a), subject report is submitted for the period January through March 2001.
2. During this reporting period IO inspections were conducted at the following units:

<u>Unit</u>	<u>Date</u>
NAVRESSECGRU New Orleans	February 2001
RCAC East	February 2001

3. NAVRESSECGRU periodically inspects subordinate units to:
 - Ensure compliance with governing directives.
 - Verify that proper documentation is maintained.
 - Examine all personnel to ensure they fully understand the requirements of references (a) and (b).
4. No recommendations for improvement were identified during this reporting period.

(b)(6),(b)(7)(C)

By direction

Copy to:
COMNAVAIRESFOR (N9)

OPTIONAL FORM 99 (7-90)

FAX TRANSMITTAL

of pages ▶

To

From

Dept./Agency

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NSN 7540-01-317-7368

5099-101

GENERAL SERVICES ADMINISTRATION

EFF

1099

TOTAL P.01

PAGE.01

(b)(2)



DEPARTMENT OF THE NAVY

HEADQUARTERS
NAVAL CRIMINAL INVESTIGATIVE SERVICE
WASHINGTON NAVY YARD BLDG 111
716 SICARD STREET SE
WASHINGTON DC 20388-5380 5370

Ser 06/1U0011
02 May 2001

From: Director, Naval Criminal Investigative Service
To: Naval Inspector General (Attn: NIG-OOG)

Subj: QUARTERLY INTELLIGENCE OVERSIGHT MATTERS

Ref: (a) SECNAVINST 3820.3d, Conduct and Oversight of
Intelligence Activities within DoN

Encl: (1) Oversight Inspection Visits by NCIS Field Offices

1. This quarterly report is submitted in accordance with reference (a), covering the period 1 January 2001 through 30 March 2001.
2. NCIS Headquarters conducted inspections of the following field office components during this quarter:
NCIS Norfolk Field Office - February 2001
NCIS Washington, DC Field Office - March 2001
3. NCIS Field Office Managers completed mandated quarterly inspections and visits of subordinate components which included supervisory review of intelligence/counterintelligence operational activities. Enclosure (1) identifies the inspections and visits of the offices during which intelligence oversight matters were addressed.
4. On 16 Jan 2001 ATSD(IO) notified NCISHQ of a 9 Jan 2001 threat assessment produced by the NCIS Mayport Field Office that inappropriately included U.S. persons information (off-limits businesses in CONUS) and was posted on INTELINK. NCISHQ removed the threat assessment from INTELINK, counseled the responsible supervisor on the NCIS policy covering the reporting of off-limits facilities via criminal vice CI reporting, and directed the Field Office to recall the message. Mayport Field Office issued a cancellation notice to recipients of the initial report and reissued a corrected copy.
5. No other discrepancies or improprieties on the part of NCIS personnel relating to the mandates and guidelines cited in reference (a) were noted or reported during this quarter.

(b)(6),(b)(7)(C)

By direction

EFF

1100

INSPECTION VISITS BY NCIS FIELD OFFICES

OFFICE VISITED

DATE OF VISIT

NCISRA Sigonella

14-17Feb01



DEPARTMENT OF THE NAVY
NAVAL INSPECTOR GENERAL
1014 N STREET SE SUITE 100
WASHINGTON NAVY YARD DC 20374-5006

IN REPLY REFER TO:
3820
Ser 00/006
8 May 01

From: Naval Inspector General
To: Commander in Chief, Atlantic Fleet (N2)
Subj: INTELLIGENCE OVERSIGHT INSPECTION RESULTS
Ref: (a) SECNAVINST 3820.3D

1. Per reference (a), the Naval Inspector General's (NAVIG) Special Assistant for Intelligence Oversight and Special Programs Review conducted an Intelligence Oversight (IO) Inspection at your command on 19 April 2001. The inspection checked for compliance with Executive Order 12333 and its DoD and Navy implementing instructions.
2. The NAVIG inspection team noted zero deficiencies. Command personnel demonstrated a superior understanding of IO principles and responsibilities. This is primarily attributed to the forward thinking and can-do attitude of your IO Program Manager. By incorporating web-based technology, your IO authority created an interactive training environment not only for CINCLANTFLT but also for its component commands. With this cutting-edge approach to training, the Navy's IO message is being well received: "Know your mission, know your capabilities, and know your legal limitations."
3. To further improve IO awareness, we offer our partnership and support to standardize web-based training for the entire Navy and Marine Corps team. With your assistance, we hope to launch initiatives that will stimulate audiences to be more receptive to IO and cognizant of potential violations.
4. Thank you and your staff for the cooperation and assistance provided during this inspection.


MICHAEL D. HASKINS



DEPARTMENT OF THE NAVY
GENERAL COUNSEL OF THE NAVY
1000 NAVY PENTAGON
WASHINGTON, D.C. 20350-1000

October 2, 2001

SECOND ENDORSEMENT on Naval Inspector General Memorandum 3820
Ser 00/1394 of 22 Aug 01

From: General Counsel of the Navy
To: Assistant to the Secretary of Defense (Intelligence Oversight)
Via: Under Secretary of the Navy *smk/01*
Susan Morrissey Livingstone
Subj: DEPARTMENT OF THE NAVY QUARTERLY INTELLIGENCE OVERSIGHT REPORT

1. Forwarded. Concur with JAG's assessment. NIG's note of interest warrants further investigation and resolution.

Alberto J. Mora

Copy to:
NAVINGEN
JAG



DEPARTMENT OF THE NAVY
OFFICE OF THE JUDGE ADVOCATE GENERAL
1322 PATTERSON AVENUE SE SUITE 3000
WASHINGTON NAVY YARD DC 20374-5066

IN REPLY REFER TO

3820

Ser 13/1MA12095.01

18 September 2001

FIRST ENDORSEMENT on Naval Inspector General Memorandum 3820
Ser 00/1394 of 22 Aug 01

From: Judge Advocate General
To: Assistant to the Secretary of Defense (Intelligence Oversight)
Via: (1) General Counsel
(2) Under Secretary of the Navy
Subj: DEPARTMENT OF THE NAVY QUARTERLY INTELLIGENCE OVERSIGHT REPORT

1. Forwarded, contents noted.

*Paragraph 3 raises
legitimate concerns.*

[Signature]
D. J. GUTER

Rear Admiral, JAGC, U.S. Navy
Judge Advocate General

Copy to:
NAVINSGEN

EFF

1104



DEPARTMENT OF THE NAVY
NAVAL INSPECTOR GENERAL
1014 N STREET SE SUITE 100
WASHINGTON NAVY YARD DC 20374-5006

IN REPLY REFER TO:

3820
Ser 00/1394
22 AUG 2001

From: Naval Inspector General
To: Assistant to the Secretary of Defense (Intelligence Oversight)
Via: (1) Judge Advocate General
(2) General Counsel
(3) Under Secretary of the Navy

Subj: DEPARTMENT OF THE NAVY QUARTERLY INTELLIGENCE OVERSIGHT REPORT

Ref: (a) Executive Order 12333 of 4 Dec 81
(b) DOD Directive 5240.1 of 25 Apr 88
(c) DOD Directive 5240.1-R of 7 Dec 82
(d) SECNAVINST 3820.3D


Encl: (1) Summary and Reports of Oversight Activities Conducted
by Components Reporting to the Naval Inspector General

1. Per references (a) through (d), this report is submitted for the period 1 May 2001 through 31 July 2001. Reference (d) tasks ten Navy commanders and the Deputy Naval Inspector General for Marine Corps Matters to report to the Naval Inspector General (NAVIG) their Intelligence Oversight (IO) efforts throughout the Navy and Marine Corps. These reports are forwarded as enclosure (1).

2. Summary of IO reports received this quarter: Most of the commands continued training during this reporting period, and inspections were conducted by: Commander, Naval Security Group Command, Commander, Naval Reserve Intelligence Command (CNRIC), Commander, Naval Reserve Security Group Command, Naval Criminal Investigative Service (NCIS), Marine Corps, and Commander, Naval Special Warfare Command.

3. Note of interest for this reporting period. A CNRIC naval reservist inquired about a legal research, computer database (Lexis-Nexis) that carries reservists' names and their unit names. This database is operated by a civilian corporation and is primarily used by legal professionals. CNRIC believes this is an IO issue and an operational security risk for those reservists venturing overseas. Another concern is the Department of the Navy Promotion messages, which lists the naval reservists by name and by the last four of their social security number. Issue under review by NAVIG-N2B.

4. During this reporting period, NAVIG received no reports from Navy or Marine Corps intelligence components or activities that raise questions of legality or propriety.


MICHAEL D. HASKINS

Copy to: CNO, VCNO, DNIGMC, DNI (N2), UNSECNAV (ASP) EFF

1105

SUMMARY AND REPORTS OF OVERSIGHT ACTIVITIES
CONDUCTED BY
COMPONENTS REPORTING TO THE NAVAL INSPECTOR GENERAL

Enclosure (1)

The following is a summary of component commands inspected for Intelligence Oversight during this past quarter:

COMNAVSECGRU

Naval Security Group Activity, Groton, CT
Naval Security Group Detachment, Brunswick, ME
Naval Security Group Activity, Winter Harbor, ME
Naval Security Support Detachment Two, Winter Harbor, ME
(See IO inspection summary.)

COMNAVRESINTCOM

RIA 3, New Orleans, LA

- ☐ NR JICCEN
- ☐ NR NAVOINTEL
- ☐ NR DIAHQ 1482
- ☐ NR ONI 0682
- ☐ NR IVTU 0109

RIA 9, Chicago, IL

- ☐ NR EUCOMJAC 0366
- ☐ NR JFIC 0492
- ☐ NR JICTRANS 0169
- ☐ NR ONI 1992
- ☐ NR IVTU 0113
- ☐ NR IVTU 0114

RIA 16, Willow Grove, PA

- ☐ NR DIAHQ 0593
- ☐ NR DIAHQ 0492
- ☐ NR JFIC 0393
- ☐ NR IVTU 0104

Marine Corps (On-site surveys)

HQ, Marine Forces Reserves

4th Marine Div

4th Marine Aircraft Wing

4th Force Service Support Group, New Orleans, LA

Marine Security Guard Battalion, Quantico, VA

COMNAVRESSECGRU

RCAC South

NCIS

NCIS Northwest Field Office



DEPARTMENT OF THE NAVY

COMMANDER IN CHIEF
UNITED STATES PACIFIC FLEET
250 MAKALAPA DRIVE
PEARL HARBOR, HAWAII 96860-3131

IN REPLY REFER TO:

3820

Ser N00IG/1438

13 Jul 01

From: Commander in Chief, U.S. Pacific Fleet
To: Naval Inspector General

Subj: CY01 SECOND QUARTER INTELLIGENCE OVERSIGHT REPORT

Ref: (a) SECNAVINST 3820.3D
(b) CNO WASHINGTON DC 252203Z SEP 98 (NAVOP 009/98)

1. This report is provided in accordance with reference (a)
2. During the second quarter of calendar year 2001, no intelligence activity believed to violate U.S. law, Executive Order, Presidential directive, or applicable DoD or DoN policies was reported to CINCPACFLT.
3. No intelligence oversight inspections were conducted during the quarter. However, program compliance is continually monitored. Discrepancies will be reported. These practices are considered to be in consonance with reference (b).

(b)(6),(b)(7)(C)

Fleet Inspector General



DEPARTMENT OF THE NAVY

COMMANDER IN CHIEF
U. S. ATLANTIC FLEET
1562 MITSCHER AVENUE SUITE 250
NORFOLK, VA. 23551-2487

3800
N2/016180
19 July 01

From: Commander in Chief, U.S. Atlantic Fleet (N2M)
To: Naval Inspector General (NIG-23)

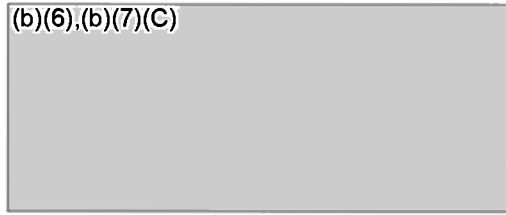
Subj: INTELLIGENCE OVERSIGHT QUARTERLY REPORT

Ref: (a) SECNAVINST 3820.3D
(b) CINCLANTFLTINST 5041.9B


Encl: (1) Intelligence Oversight Report Second Quarter CY-01

1. Per references (a) and (b), enclosure (1) is forwarded:

(b)(6),(b)(7)(C)

A large rectangular area of the document is redacted, indicated by a solid gray box. The redaction covers the majority of the lower half of the page, starting below the first list item and extending to the right margin.

(b)(2),(b)(6),(b)(7)(C)

A large rectangular area at the bottom of the page is redacted, indicated by a solid gray box. The redaction covers the bottom right portion of the page, starting below the second list item and extending to the right margin.

1. Subject report for 2nd quarter CY-01 is provided in accordance with reference (a):

- a. Illegal Intelligence Activities. No illegal intelligence activity or activity contrary to reference (a) was noted or reported by this command or its subordinates during reporting period.

- b. Significant oversight activities.

- (1) Scheduled Intelligence oversight Inspections: Navy Inspector General conducted assist visit for CINCLANTFLT on 19 April.

- (2) Command oversight training and/or inspections:

- (a) Training: Zero personnel trained.

- (b) Inspections: N/A/

- c. Suggestions for improvement: Change to semi-annual reporting.

(b)(2),(b)(6),(b)(7)(C)



DEPARTMENT OF THE NAVY

COMMANDER IN CHIEF
UNITED STATES NAVAL FORCES, EUROPE
PSC 802,
FPO AE 09499-0151

3820

Ser N2SSO/05-01

28 Jun 01

From: Commander in Chief, U.S. Naval Forces, Europe
To: Naval Inspector General (ATTN: NIG-00G), Washington Navy
Yard, Washington D.C. 20374-2001

Subj: CY-01 SECOND QUARTER INTELLIGENCE OVERSIGHT (I/O) REPORT

Ref: (a) SECNAVINST 3820.3D
(b) CINCUSNAVEUR ltr 3820 Ser N23/03-01 dtd 2 Apr 01

1. This report is submitted in accordance with reference (a).
Reference (b) was CINCUSNAVEUR CY-01 First quarter report.

2. Basic Findings. We are not aware of any intelligence activity in the USNAVEUR area of responsibility during this reporting period which could be construed as illegal, questionable, or contrary either to the strictures of EO 12863 or reference (a). There have been no reported instances of fraud, waste, abuse, standards of conducts or ethics violations, financial misconduct or conflicts of interest, which have impacted Intelligence operations.

3. CURRENT STATUS AND UNDERTAKINGS.

a. CINCUSNAVEUR (N2), and ONI SPINTCOMM CINCUSNAVEUR. Continued to use I/O reading material for orientation of all incoming Intelligence Division personnel. I/O indoctrination provided to four newly reported personnel assigned to Intelligence Division.

b. COMSIXTHFLT. I/O training is included as part of SSO check-in/indoctrinations. I/O indoctrination provided to fifteen newly reported personnel reporting to Intelligence Division.

c. COMMANDER TASK FORCE SIX SEVEN/SIX NINE. SSO Naples provided initial I/O indoctrinations to twenty-two newly reported personnel assigned to commands supported.

Subj: CY-01 SECOND QUARTER INTELLIGENCE OVERSIGHT (I/O) REPORT

d. TACTICAL SUPPORT CENTER SIGONELLA. I/O training is included as part of SSO check-in/indoctrinations. I/O indoctrination provided to one newly reported personnel.

e. FAIRECONRON TWO (VQ-2). I/O policies and security awareness continues to be emphasized during all officer meetings, quarters, crew briefings and SSO indoctrinations. Documented reindoctrinations were conducted for CRC's-1 through 7 prior to deployment. Eighteen individuals were indoctrinated and introduced to intelligence oversight procedures.

f. CROF SOUDA BAY CRETE. I/O training is included as part of SSO check-in/indoctrinations. I/O indoctrination provided to four newly reported personnel this quarter.

4. THEATER SUMMARY. The following recapitulates intelligence subordinate units which are subject to I/O Program requirements:

- (1) Commander in Chief, U.S. Naval Forces Europe (CINCUSNAVEUR N-2).
- (2) Commander Sixth Fleet (N2).
- (3) Commander Task Force SIX SEVEN (CTF 67 N2).
- (4) Commander Task Force SIX NINE (CTF 69 N2).
- (5) Fleet Air Reconnaissance Squadron TWO (VQ-2).
- (6) Tactical Support Center Sigonella, Sicily.
- (7) Consolidated Reconnaissance Operations Facility (CROF), Souda Bay, Crete.

All organizations listed above have the capability to collect, retain and/or disseminate intelligence within the meaning of reference (a). Each conducts and complies with its I/O responsibilities as enumerated in reference (a).

5. Commands inspected this quarter: None - Based on IDTC reductions, CINCUSNAVEUR will monitor and report but not inspect subject program compliance for subordinates.

(b)(6),(b)(7)(C)

Fleet Inspector General

JUL -26' 01 (THU) 14:02

NAVCENT LEGAL

(b)(2)

P. 002



DEPARTMENT OF THE NAVY
COMMANDER, U.S. NAVAL FORCES CENTRAL COMMAND
FPO AE 09501-6008

IN REPLY TO:

3820

Ser 01/ 660

26 Jul 01

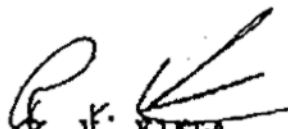
From: Commander, U.S. Naval Forces Central Command
To: Navy Inspector General (NIG-00G)

Subj: FY-01 THIRD QUARTER INTELLIGENCE OVERSIGHT REPORT

Ref: (a) SECNAVINST 3820.3D
(b) Executive Order 12333
(c) Executive Order 12863

1. This quarterly report of Intelligence Oversight (IO) is submitted in accordance with reference (a).

2. We are not aware of any intelligence activity in the CENTCOM area of responsibility during this reporting period, which could be constructed as illegal, questionable, or contrary to references (a) through (c).


R. V. RIKLA
Chief of Staff

Copy to:
USCENTCOM (CCJ2-CSB)

EFF

1113



DEPARTMENT OF THE NAVY
OFFICE OF NAVAL INTELLIGENCE
4251 SUITLAND ROAD
WASHINGTON, D.C. 20395-5720

IN REPLY REFER TO

3820

Ser OCA1/0023

12 Jul 01

From: Commander, Office of Naval Intelligence
To: Naval Inspector General

Subj: INTELLIGENCE OVERSIGHT (IO) QUARTERLY REPORT

Ref: (a) SECNAVINST 3820.3D
(b) DoDDIR 5240.1-R
(c) ONI ltr 3820 Ser ONI-OCA1/0012 of 12 Apr 01

Encl: (1) 30 copies of ONI IO Training Video Compact Disc (CD)
(2) 30 copies of DIA IO Training Video Compact Disc (CD)

1. This is a consolidated Director of Naval Intelligence (CNO (N2)) and Office of Naval Intelligence (ONI) IO Quarterly Report for the period 1 April through 30 June 2001.

2. Enclosure (1) contains video CD copies of the IO training video we produced in 1998. Enclosure (2) contains video CD copies of an IO training video previously produced by the Defense Intelligence Agency. The video CDs are setup to play on PCs with Real Player or Microsoft Media software, or on a DVD player. Additional video CDs may be obtained through our point of contact, (b)(2),(b)(6)

3. To enhance our internal IO training program, we now have both our ONI produced video and the DIA produced video hyper-linked as streaming video on our ONI IG SCI home page. Additionally, we have created documentation software that will allow us to conduct annual training using the streaming video while recording time viewed and date for those who watch it. Those viewing the video will only receive credit for the annual requirement by watching the entire video. We plan to use this method to record annual training for our employees using SCI workstations. For the others, we plan to provide copies of the unclassified Video CD, enclosure (1), for viewing via individual PCs and using signature sheets to record the training.

EFF

1114

Subj: INTELLIGENCE OVERSIGHT (IO) QUARTERLY REPORT

4. No CNO(N2) or ONI incidents or activities which would raise any question of legality or propriety under the provisions of references (a) and (b) have come to the attention of the undersigned since the submission of the last report, reference (c).

(b)(6),(b)(7)(C)

By direction

Copy to:
CNO(N2J)



DEPARTMENT OF THE NAVY
HEADQUARTERS, NAVAL SECURITY GROUP COMMAND
9800 SAVAGE ROAD, SUITE 6585
FORT GEORGE G. MEADE MD 20755-6585

3820
Ser N00IG/548
6 Jul 01

From: Inspector General, Naval Security Group Command
To: Naval Inspector General
Subj: NAVAL SECURITY GROUP COMMAND INTELLIGENCE OVERSIGHT
EFFORTS FOR THE PERIOD ENDING JUNE 2001
Ref: (a) SECNAVINST 3820.3D
Encl: (1) Summary of Intelligence Oversight Inspections
(2) Corrective actions taken to deficiencies reported in
last quarterly report

1. This quarterly report of intelligence oversight (IO) within the Naval Security Group Command is submitted in accordance with reference (a).

2. The inspection team noted no deviations from the guidance provided by reference (a). The inspections consisted of an interview with the IO Manager, a review of program documentation, a review of individual training records and random interviews with command personnel.

3. The Naval Security Group Command conducted four IO inspections during this quarter. Enclosure (1) includes a summary of the inspections of Naval Security Group Activity, Groton, Naval Security Group Detachment Brunswick, Naval Security Group Support Detachment Two, and Naval Security Group Activity Winter Harbor. Enclosure (2) includes a listing of actions taken on deficiencies noted in the last quarterly report. Any deficiencies cited in enclosure (1) will be addressed in the next quarterly report.

(L)(C),(b)(7)(C)

Copy to:
CNO (N2)

INTELLIGENCE OVERSIGHT PROGRAM MANAGEMENT AT NSGA GROTON

INSPECTION DATES: 11 - 15 JUNE 2001

OUTCOME: ONE INFORMAL FINDING

The Executive Officer functions as the Command Intelligence Oversight (IO) Program Manager and is assisted by a program coordinator within the N1 Department. The Command also has a Command instruction on Conduct and Oversight of Intelligence Activities that outline the responsibilities of the Command IO Officer and the requirements for the Command Training Officer to document IO training.

Personnel checking onboard the command are introduced to IO as part of the command indoctrination process. The Assistant IO Program Manager requires all newly assigned personnel to view a video presentation on IO. Upon completion of this briefing, personnel sign required documentation that is annotated in the command-training database. The Program Coordinator also keeps a hardcopy record of the initial training.

IO training continues at the command through Plan of the Day notes, USSID 18 presentations at GMT and during the month of November during security standdown. Command personnel also carry IO guidelines (white cards) on their identification badges.

The NSGAGRTINST 3820.1 (Conduct and Oversight of Intelligence Activities) tasks the IO Officer to conduct "periodic spot check" interviews to ensure command personnel remain current and understand IO information. Documentation of these spot checks is required to be in individual training records. However, there was no indication that these spot checks were being conducted by the IO Program Managers.

In summary, initial and annual IO training are being conducted at the command as required by governing instructions. Documentation of this training is kept in the command-training database as well as in the IO Program Managers folder. The command is not in compliance with their own local instruction that requires periodic spot check interviews to ensure personnel are knowledgeable of IO requirements.

INTELLIGENCE OVERSIGHT PROGRAM MANAGEMENT AT NSGDET BRUNSWICK

INSPECTION DATES: 18 - 19 JUNE 2001

OUTCOME: NO FINDINGS

The Detachment has appointed an Intelligence Oversight (IO) Program Coordinator who is designated in writing. IO is addressed in a number of forums throughout the year. All personnel are trained annually per applicable governing documents. Additionally, IO is covered on a quarterly basis to ensure that personnel deployed during the annual presentation are provided with the mandated annual training. All personnel review USSID 18 biannually. All personnel interviewed displayed a thorough knowledge of IO. IO training at NSGD Brunswick is being effectively conducted in accordance with applicable directives and instructions.

INTELLIGENCE OVERSIGHT PROGRAM MANAGEMENT AT NSGA WINTER HARBOR

INSPECTION DATES: 20 - 22 JUNE 2001

OUTCOME: NO FINDINGS

NSGA Winter Harbor has an appointed IO Program Coordinator who is properly designated in writing. Due to cessation of all cryptologic operations in September 2000 and the planned decommissioning of the site in January 2002, personnel (primarily in support roles) are no longer provided with IO training. IO training was previously provided annually in the years since the last CNSG IG inspection per applicable governing documents. Additionally, all personnel were provided with the requisite training in September 2000, just prior to the closure of the remaining cryptologic operations.

INTELLIGENCE OVERSIGHT PROGRAM MANAGEMENT AT NSGS DETACHMENT TWO

INSPECTION DATES: 20 - 22 JUNE 2001

OUTCOME: NO FINDINGS

Naval Security Group Support Detachment (DET) Two at Winter Harbor has an appointed IO Program Coordinator who is designated in writing. The DET uses the applicable Naval Information Warfare Activity (their parent command) instruction on IO as their guide. All personnel are trained annually per applicable governing documents. Additionally, IO is covered at least biannually to ensure that those personnel who were deployed during the year are provided with the mandated annual training. All personnel in the DET also review USSID 18 biannually. Overall it was determined that IO training at NSGS DET Two is being effectively conducted in accordance with applicable directives and instructions.

INTELLIGENCE OVERSIGHT PROGRAM MANAGEMENT AT NSGA BAHRAIN

INSPECTION DATES: 13 - 16 FEBRUARY 2001

OUTCOME: ONE FORMAL FINDING

The inspection of NSGA Bahrain revealed that the command has taken little to no action on the Intelligence Oversight program since the inspection by the Assistant Secretary of Defense for Intelligence Oversight on 23 January 2000. Although command personnel were familiar with the provisions of Executive Order 12333, SECNAVINST 3820.3D, NAVSECGRUINST 3820.1C and USSID 18 governing Intelligence Oversight (IO), annual training has not been conducted. The only exposure to IO at the command is a block of instruction on the Operational Job Qualification Requirements (JQR) that command members must complete.

NSGA Bahrain has re-energized their command intelligence oversight program. An IO Program Manager has been designated in writing and has been included in the command check-in process. IO training has been added to the command annual training plan and a training database has been established to track all training, including IO training.

The Inspector General will re-inspect the IO and other programs in January 2002.

INTELLIGENCE OVERSIGHT PROGRA MANAGEMENT AT NSGA PEARL HARBOR

INSPECTION DATES: 5 - 9 MARCH 2001

OUTCOME: ONE INFORMAL FINDING

The inspection of NSGA Pearl Harbor established that the command had a very effective Intelligence Oversight (IO) program. Being a Direct Support Command places an extra burden on the command to ensure that individuals who are deployed during the IO training are provided the training when they return from sea. The command presents IO training three times a year to cover deployers and also provided video presentation to their personnel for make up training. The one area that was cited as being deficient was their documentation of IO training. Their command IO instruction, dated 1998, requires the IO officer to "ensure documentation of IO training is accurate and completely maintained".

As a result of the informal finding during the inspection, IO training has recently been added to the command-wide training department database. The command is now able to maintain a more accurate record of scheduled and administered IO training. The IO Program Coordinator will ensure that the proper information is provided to the Training Department Head who in turn will ensure that the information is properly documented in the database.



DEPARTMENT OF THE NAVY
NAVAL SPECIAL WARFARE COMMAND
2000 TRIDENT WAY
SAN DIEGO, CALIFORNIA 92155-5599

5800
Ser. N2/0589
30 Jul 01

From: Commander, Naval Special Warfare Command
To: Chief of Naval Operations (Navy Inspector General)
Subj: QUARTERLY INTELLIGENCE OVERSIGHT REPORT - 3Q, FY01
Ref: (a) SECNAVINST 3820.3D

1. Per reference (a), the following subject report is submitted:

a. No intelligence or counterintelligence activity that was illegal, improper, or contrary to laws and directives came to the attention of this command this quarter.

2. Intelligence oversight inspections scheduled for 3Q and 4Q FY01:

NAVSPECWARGRU 2	AUG
NAVSPECWARDEVGRU	AUG
SEAL TEAM 3	SEP 01
NAVSPECWARUNIT 3	TBD
(Rescheduled due to THREATCON Level in AOR)	

(b)(2),(b)(6),(b)(7)(C)

(b)(6),(b)(7)(C)

By direction

Copy to:
COMNAVSPECWARGRU ONE
COMNAVSPECWARGRU TWO
COMSPECBOATRON ONE
COMSPECBOATRON TWO
COMNAVSPWARDEVGRU



DEPARTMENT OF THE NAVY
COMMANDER, NAVAL RESERVE INTELLIGENCE COMMAND
NAVAL AIR STATION, JOINT RESERVE BASE
1550 DOOLITTLE AVE
FT WORTH TEXAS 76127-1550

3820
Ser N001/330
25 Jun 01

From: Commander, Naval Reserve Intelligence Command
To: Office of the Navy Inspector General (Attn: OOG)

Subj: QUARTERLY INTELLIGENCE OVERSIGHT REPORT FOR 3RD QUARTER FY-01

Ref: (a) SECNAVINST 3820.3D

1. Per reference (a), the subject report is forwarded for the period 1 April to 30 June 2001.
2. The following Intelligence Oversight (IO) inspections were conducted during this quarter:

COMMAND

INSPECTION DATE

RIA 03; New Orleans, LA

5-6 May 2001

Unit(s): NR JICCEN
NR NAVOINTEL
NR DIAHQ 1482
NR ONI 0682
NR IVTU 0109

RIA 09; Chicago, IL

12-13 May 2001

Unit(s): NR EUCOMJAC 0366
NR JFIC 0492
NR JICTRANS 0169
NR ONI 1992
NR IVTU 0113
NR IVTU 0114

RIA 16; Willow Grove, PA

23-24 June 2001

Unit(s): NR DIAHQ 0593
NR DIAHQ 0602
NR JFIC 0393
NR IVTU 0104

3. During this quarter, the Deputy Senior Inspector and/or the Staff Judge Advocate/Intelligence Oversight Program Area Evaluator disseminated a pocket-sized Intelligence Oversight training handout that includes the text(s) of, among other legal authorities, the United States Constitution, the *Posse Comitatus Act*, and the applicable portions of Department of Defense (DoD) and the Department of the Navy (DoN) instructions implementing the Intelligence Oversight laws. Further, during each of the above listed Reserve Intelligence Area Intelligence Oversight Inspections or Quality Improvement Assist Visits, the Staff Judge Advocate (SJA)/Intelligence Oversight Inspection (Quality Improvement Assist Visit) Evaluator conducted Interactive Intelligence Oversight training to enhance the understanding of personnel within the specific Reserve Intelligence Area being visited; and to ensure personnel understood the purposes and practical value of Intelligence Oversight laws.

Subj: QUARTERLY INTELLIGENCE OVERSIGHT REPORT FOR 3RD QUARTER FY-01

4. Other than the special training initiative and information or product dissemination described in the preceding paragraph and presented to the SECNAV IG staff in April 2001, which the then, Deputy Senior Inspector (b)(6),(b)(7)(C) believes would increase the overall effectiveness of the NRIC Intelligence Oversight training program and compliment the federal or U.S. Government and DoD/DoN message(s) to "protect the constitutional rights of U.S. persons" on one of the two "new" Intelligence Oversight posters that the SECNAV IG staff unveiled at the 12-13 October 2000 ATSD-IO Intelligence Oversight Conference, there are no further recommendations for improvements to the current Intelligence Oversight system.

5. During the past reporting quarter, one question of procedure and protocol was raised by a Naval Reservist member of the Naval Reserve Intelligence Command. This Naval Reservist raised an issue that transcends the arenas of Operational Security (OPSEC) and Intelligence Oversight (IO). It is respectfully submitted that this issue area may require the promulgation and issuance of guidance by competent, higher Navy authority. Stated succinctly, the issue is quoted, in pertinent part, and paraphrased as well, thusly, "...the legal research, computer database system known as Lexis-Nexis lists Naval Reservists and the Naval Reservists Unit name on their system. Additionally, Department of the Navy promotion messages are issued with the Naval Reservists name and the last four numbers of his or her social security number as well as the individual Naval Reservists designator", for all who may be interested to access or to track them. Similarly, "this information can be found "on-line" by anyone who may wish to "download" the information from the respective database. It would be extremely easy to put this information in a subsequent, newly created database and cause havoc for anyone traveling overseas." This OPSEC/IO area may present an "area of OPSEC/IO vulnerability" especially for Naval Reserve Intelligence personnel and other Department of Defense intelligence professional(s) venturing overseas pursuant to Navy orders. Therefore, guidance from competent, higher Navy authority concerning the proper handling and resolution of the identified issue is respectfully solicited.

6. The point of contact for Intelligence Oversight matters within this Command is the Staff Judge Advocate (SJA)/Intelligence Oversight Program Evaluator,

(b)(2),(b)(6),(b)(7)(C)



J. MANZELMANN

Copy to:
COMNAVRESFOR IG
ONI (ONI-OCA)
COMNAVRESINTCOM (N00I/N00L)



UNITED STATES MARINE CORPS
HEADQUARTERS UNITED STATES MARINE CORPS
2 NAVY ANNEX
WASHINGTON, DC 20380-1775

IN REPLY REFER TO:
3800
IGO
12 July 01

From: Deputy Naval Inspector General for Marine Corps
Matters/Inspector General of the Marine Corps
To: Naval Inspector General (NIG-00G1)
Subj: QUARTERLY INTELLIGENCE OVERSIGHT REPORT FOR THE THIRD
QUARTER, FISCAL YEAR 2001
Ref: (a) SECNAVINST 3820.3D
(b) MCO 3800.2A

1. Per the references, the following information is provided:

a. During the subject period no intelligence or counterintelligence activities were discovered or reported which were believed to be questionable, illegal, improper, or contrary to applicable law, statutes, directives, or policies.

b. Significant intelligence oversight activity during the reporting period includes issuance by the IGMC of policy guidance to all commands and activities establishing procedures for review and approval of Proper Use Statements wherein U.S. territory is imaged by Marine Corps tactical air reconnaissance assets (Manned and Unmanned).

c. Highlights of general intelligence oversight activity during the reporting period include on-site surveys of the Headquarters, Marine Forces Reserve, 4th Marine Division, 4th Marine Aircraft Wing, and the 4th Force Service Support Group, New Orleans, Louisiana, as well as the Marine Security Guard Battalion, Quantico, Virginia. The Director of the Oversight Division and the Counsel to the IGMC attended the Intelligence Law Workshop at the Army Judge Advocate General's School, Charlottesville, VA from 4-7 June.

d. Marine Corps commands and support activities conducted required annual training, refresher training, and inspections during the reporting period.

~~FOR OFFICIAL USE ONLY~~

EFF

1126

Subj: QUARTERLY INTELLIGENCE OVERSIGHT REPORT FOR THE THIRD
QUARTER, FISCAL YEAR 2001

2. Please direct any inquiries to the undersigned at commercial
(b)(2)

(b)(6),(b)(7)(C)

By direction

Copy to:
CMC (IO)
COMMARFORPAC (INSP)
COMMARFORLANT (G-7)
COMMARFORRES (G-7)
CO, MCIA
CO, MSG, BN
CO, MARSPTBN

(b)(2),(b)(6),(b)(7)(C)



DEPARTMENT OF THE NAVY
HEADQUARTERS, NAVAL RESERVE SECURITY GROUP COMMAND
1550 HALSEY AVENUE
NAVAL AIR STATION
JOINT RESERVE BASE
FORT WORTH TX 76127-1550

3820
Ser N1/170
12 Jul 01

From: Commander, Naval Reserve Security Group Command
To: Office of the Navy Inspector General (NIC-00G1)

Subj: QUARTERLY INTELLIGENCE OVERSIGHT (IO) REPORT

Ref: (a) SECNAVINST 3820.3D
(b) Executive Order 12333

1. Per reference (a), subject report is submitted for the period April through June 2001.
2. During this reporting period IO inspections were conducted at the following units:

<u>Unit</u>	<u>Date</u>
RCAC South	June 2001

3. NAVRESSECGRU periodically inspects subordinate units to:

- Ensure compliance with governing directives.
- Verify that proper documentation is maintained.
- Examine all personnel to ensure they fully understand the requirements of references (a) and (b).

4. No recommendations for improvement were identified during this reporting period.

(b)(6),(b)(7)(C)

By direction

Copy to:
COMNAVAIRESFOR (N9)

EFF

1128
TOTAL P.01



DEPARTMENT OF THE NAVY
HEADQUARTERS
NAVAL CRIMINAL INVESTIGATIVE SERVICE
WASHINGTON NAVY YARD BLDG 111
716 SICARD STREET SE
WASHINGTON DC 20388-5380

5370
Ser 006/1U0025
16 August 2001

From: Director, Naval Criminal Investigative Service
To: Naval Inspector General (Attn: NIG-OOG)
Subj: QUARTERLY INTELLIGENCE OVERSIGHT MATTERS
Ref: (a) SECNAVINST 3820.3d, Conduct and Oversight of
Intelligence Activities within DoN

1. This quarterly report is submitted in accordance with reference (a), covering the period 1 April 2001 through 30 June 2001.
2. NCIS Headquarters conducted inspections of the following field office components during this quarter:
NCIS European Field Office - April 2001
NCIS Northwest Field Office - May 2001
3. NCIS Field Office Managers completed mandated quarterly inspections and visits of subordinate components which included supervisory review of intelligence/counterintelligence operational activities.
4. No other discrepancies or improprieties on the part of NCIS personnel relating to the mandates and guidelines cited in reference (a) were noted or reported this quarter.

(b)(6),(b)(7)(C)

By direction



DEPARTMENT OF THE NAVY
NAVAL INSPECTOR GENERAL
1014 N STREET SE SUITE 100
WASHINGTON NAVY YARD DC 20374-5006

IN REPLY REFER TO:
3820
Ser N2/0646
13 MAY 2002


From: Naval Inspector General
To: Assistant to the Secretary of Defense (Intelligence Oversight)
Subj: DEPARTMENT OF THE NAVY QUARTERLY INTELLIGENCE OVERSIGHT REPORT
Ref: (a) Executive Order 12333 of 4 Dec 81
(b) DoD Directive 5240.1 of 25 Apr 88
(c) DoD Regulation 5240.1-R of 7 Dec 82
(d) SECNAVINST 3820.3D
Encl: (1) Office of Naval Intelligence (ONI) Oversight Report of 12 Apr 02
(2) Listing of Inspections Conducted by Components

1. Per references (a) through (d), this report is submitted for the period 1 January-31 March 2002. Reference (d) tasks ten Navy commanders and the DNIGMC to report to the Naval Inspector General (NAVIG) their Intelligence Oversight (IO) efforts throughout the Navy and Marine Corps. Notable interest item is forwarded as enclosure (1). Inspections conducted this past quarter are listed in enclosure (2).

2. **Summary of IO reports received this quarter:** Most of the commands continued training during this reporting period, and inspections were conducted by: Commander, Naval Reserve Intelligence Command (CNRIC), Deputy Naval Inspector for Marine Corps Matters (DNIGMC) and Naval Criminal Investigative Service (NCIS).

3. **Note of interest for this reporting period.** In the aftermath of September 11th, the U.S. Coast Guard intelligence element was made a member of the Intelligence Community (IC). Traditionally, the element has focused its collection efforts against law enforcement and investigative information; however, this contradicts IO policy applicable to IC members. Due to their close working relationship, ONI IG and Staff Judge Advocate are providing their expertise to help the Coast Guard intelligence element's IO program (see enclosure (1)).

4. During this reporting period, NAVIG received no reports from Navy or Marine Corps intelligence components or activities that raise questions of legality or propriety.


MICHAEL D. HASKINS

Copy to:
UNSECNAV, UNSECNAV (ASP), CNO, VCNO, DOGC, JAG, DNIGMC, DNI (N2)

EFF

1130



DEPARTMENT OF THE NAVY
OFFICE OF NAVAL INTELLIGENCE
4251 SUITLAND ROAD
WASHINGTON, D.C. 20395-5720

IN REPLY REFER TO

3820
Ser OCA1/0013
12 Apr 02

From: Commander, Office of Naval Intelligence
To: Naval Inspector General

Subj: INTELLIGENCE OVERSIGHT (IO) QUARTERLY REPORT

Ref: (a) SECNAVINST 3820.3D
(b) DoDDIR 5240.1-R
(c) ONI ltr 3820 Ser ONI-OCA1/0003 of 15 Jan 02

1. This is a consolidated Director of Naval Intelligence (CNO (N2)) and Office of Naval Intelligence (ONI) IO Quarterly Report for the period 1 January through 31 March 2002.

2. Annual IO training for the CNO(N2) staff which was extended until January 2002 has been completed.

3. Members of the staff of the ONI Inspector General and Staff Judge Advocate are assisting members of the U.S. Coast Guard with IO policy suggestions as the NFIP Coast Guard intelligence element is being developed. The element was authorized by amendment of the Security Act of 1947.

4. No CNO(N2) or ONI incidents or activities which would raise any question of legality or propriety under the provisions of references (a) and (b) have come to the attention of the undersigned since the submission of the last report, reference (c).

(b)(6),(b)(7)(C)

By direction

Copy to:
CNO(N2J)

ENCLOSURE (1)

EFF

1131

The following is a summary of component commands inspected for Intelligence Oversight during the period 1 January-31 March 2002:

NAVINGEN

NCIS HQ

COMNAVSECGRU

NSGA PENSACOLA

NSGA MENWITH HILL

NSGD FOUR MOLESWORTH

NSGD DIGBY

CNRIC

RIA 5

RIA 4

DNIGMC

HQ, Marine Forces Pacific Pearl Harbor

III MEF Units Okinawa and Iwakuni

HQ Marine Forces Reserve New Orleans

NCIS

NCIS Northeast Field Office

NCIS Hawaii Field Office

NCIS Gulf Coast Field Office



DEPARTMENT OF THE NAVY
NAVAL INSPECTOR GENERAL
1254 9TH STREET SE
WASHINGTON NAVY YARD DC 20374-5006

IN REPLY REFER TO:

3820
Ser N2/0173
31 JANUARY 2006

From: Naval Inspector General
To: Assistant to the Secretary of Defense (Intelligence Oversight)
Subj: DEPARTMENT OF THE NAVY QUARTERLY INTELLIGENCE OVERSIGHT REPORT
Ref: (a) Executive Order 12333
(b) DoD Directive 5240.1
(c) DoD Regulation 5240.1-R
(d) SECNAVINST 3820.3E
Encl: (1) List of Inspections Conducted by Components

1. Per references (a) through (d), this report is submitted for the period 01 October through 31 December 2005. Reference (d) requires Department of the Navy (DON) Intelligence Components, including the Deputy Naval Inspector General for Marine Corps Matters (DNIGMC), to provide a quarterly report to the Naval Inspector General (NAVINSGEN) on their Intelligence Oversight (IO) efforts, to include information on subordinates' programs and training as well as any issues/incidents.
2. During this reporting period, NAVINSGEN received no reports from Navy or Marine Corps intelligence components or activities that raise questions of legality or propriety.
3. Significant activities this quarter: Inspections were conducted by the Office of the Naval Inspector General, Fleet Forces Command, Naval Special Warfare Command, Naval Reserve Intelligence Command, Naval Security Group Reserve, the Naval Criminal Investigative Service, and the Office of the Inspector General of the Marine Corps. Enclosure (1) lists inspections conducted.
4. Actions and/or recommendations: None.

(b)(6),(b)(7)(C)

by direction

Copy to:
CNO (N2, N09J)
DNIGMC
DOGC
OUSN (ASP/I)

Intelligence Oversight inspections conducted during the period 01 October-31 December 2005:

NAVAL INSPECTOR GENERAL

Commander, Naval Special Warfare Command (San Diego, CA)

COMMANDER, FLEET FORCES COMMAND

Commander, Naval Strike and Air Warfare Center (Fallon, NV)

COMMANDER, NAVAL SPECIAL WARFARE COMMAND

Special Boat (SPECBOAT) Team Twelve (San Diego, CA)

COMMANDER, NAVAL RESERVE INTELLIGENCE COMMAND

Reserve Intelligence Area Nine (Great Lakes, IL)

Naval Reserve (NR) Office of Naval Intelligence Unit 1992

NR U.S. European Command (EUCOM) Unit 0366

NR Joint Intelligence Center-Transportation (JICTRANS) Unit 0169

COMMANDER, NAVAL RESERVE SECURITY GROUP

Naval Security Group Reserve (NSGR) Minneapolis, MN

NSGR Ogden, UT

NSGR San Diego, CA

NAVAL CRIMINAL INVESTIGATIVE SERVICE

NCIS Hawaii Field Office (Pearl Harbor, HI)

NCIS Europe Field Office (Naples, IT)

NCIS Headquarters Administrative and Logistics Directorate (Washington, DC)

DEPUTY NAVAL INSPECTOR GENERAL FOR MARINE CORPS MATTERS

11 Marine Expeditionary Unit (MEU) (Camp Pendleton, CA)

Marine Corps Intelligence Activity (MCIA) (Quantico, VA)



DEPARTMENT OF THE NAVY
NAVAL INSPECTOR GENERAL
1254 9TH STREET SE
WASHINGTON NAVY YARD DC 20374-5006

IN REPLY REFER TO:

3820
Ser N2/1025
8 AUG 2005

From: Naval Inspector General
To: Assistant to the Secretary of Defense (Intelligence Oversight)

Subj: DEPARTMENT OF THE NAVY QUARTERLY INTELLIGENCE OVERSIGHT REPORT

Ref: (a) Executive Order 12333
(b) DoD Directive 5240.1
(c) DoD Regulation 5240.1-R
(d) SECNAVINST 3820.3D

Encl: (1) List of Intelligence Oversight Inspections Conducted (01 Apr 05 - 30 Jun 05)

1. Per references (a) through (d), this report is submitted for the period 01 April - 30 June 2005. Reference (d) requires DoN Echelon II commands and the Deputy Naval Inspector General for Marine Corps Matters (DNIGMC) to provide a quarterly report to the Naval Inspector General (NAVINSGEN) on their respective Intelligence Oversight (IO) efforts, to include information on subordinates' programs and training as well as any issues/incidents.

2. During this reporting period, NAVINSGEN received the following two reports of interest from Navy or Marine Corps intelligence components/activities:

a. Naval Reserve Intelligence Command is presently reviewing the activity of a former Direct Commission naval reservist in Iraq. In 2003, prior to receiving military intelligence officer training, individual was sent to Baghdad area (Iraq) in support of his civilian employer. While working in Baghdad between late 2003 and early 2004, the individual represented himself as a naval intelligence reservist to several military units in Iraq and offered to do "intelligence work." Representation was made on member's own initiative and was without supporting orders from or the knowledge of his affiliated reserve command (in CONUS). As a result of his actions, four USA and USAF commands reportedly used him to briefly work on select force protection and security issues. Member has since returned to CONUS and also resigned his commission. Of note, on 20 June 05, Naval Reserve Intelligence Command sent formal queries to cognizant USA brigade and USAF wing commanders in an effort to follow up as to whether nature of work at respective units involved any potential Intelligence Oversight issues. Final assessment will be provided upon completion of review.

b. In June 05, a detainee at the Interrogation Facility, Al Asad, Iraq, claimed that he was beaten while being interrogated by HUMINT Exploitation Team 10, II Marine Expeditionary Force (MEF). Criminal Investigations Division, 2nd Military Police Battalion, Multinational Forces West subsequently conducted an investigation and found the allegation to be unsubstantiated.

Subj: DEPARTMENT OF THE NAVY QUARTERLY INTELLIGENCE OVERSIGHT REPORT

3. **Significant activities this quarter:** Inspections were conducted by: Office of the Naval Inspector General, Office of the Inspector General of the Marine Corps, Office of Naval Intelligence, U.S. Pacific Fleet, Naval Special Warfare Command, Naval Criminal Investigative Service (Headquarters), Naval Security Group Reserve, and Naval Reserve Intelligence Command. Enclosure (1) pertains.

4. **Actions and/or recommendations:** None.

(b)(6), (b)(7)(C)



Copy to:
UNSECNAV (ASP/I)
CNO (N09, N2)
DOGC
JAG
DNIGMC
CNRIC

Department of the Navy Intelligence Oversight inspections conducted (01 Apr - 30 Jun 2005):

NAVAL INSPECTOR GENERAL

ONI

Office of Naval Intelligence

ONI Det Newport, RI

ONI HUMINT Office, Boston, MA

COMMANDER, NAVAL SPECIAL WARFARE COMMAND

SEAL Team TWO

COMNAVSPECWARCEN

COMMANDER, NAVAL RESERVE INTELLIGENCE COMMAND

RIA FIFTEEN, Norfolk, VA

NR IVTU 0206

NR SACT 0107

NR NSW INTEL TWO

NR NMTC 0186

NR NCIS 0986

NR JRIP 0186

NR JFIC 0286

NR CFPC OPINTEL 0186

NR AFSOUTH INTEL 0124

RIA EIGHTEEN, Fort Devens, MA

NR IVTU 0101

NR ONI 0397

NR DIAHQ 0797

NR NCIS 0297

NR JICCENT 0597

RIA NINETEEN, Washington, DC

NR IVTU 0106

NR NGA 0166

NR JMIC DET 0966

NR ONI 0566

NR DIAHQ 0166

NR NCISHQ 0166

NR ONI 0466

NR CNO INTEL 0166

NR DIAHQ 0466

NR OSD TECH TRANS 0166

COMMANDER, NAVAL RESERVE SECURITY GROUP

NSGR Fort Dix

NSGR Orlando

NSGR St. Louis

Enclosure (1)

EFF

1137

NAVAL CRIMINAL INVESTIGATIVE SERVICE

NCIS Middle East Field Office

NCIS Norfolk Field Office

NCISHQ Personnel Operations and Services Department

NCISHQ Office of Military Support

Commander, U.S. Pacific Fleet

USS TARAWA

ELATKWEPCOL Whidbey Island, WA

Deputy, Naval Inspector General for Marine Corps Matters

I MEF

II MEF

Marine Corps Air Bases West

Marine Forces North



DEPARTMENT OF THE NAVY
NAVAL INSPECTOR GENERAL
1254 9TH STREET SE
WASHINGTON NAVY YARD DC 20374-5006

IN REPLY REFER TO:

3820
Ser N2/1578
10 NOV 2005

From: Naval Inspector General
To: Assistant to the Secretary of Defense (Intelligence Oversight)

Subj: DEPARTMENT OF THE NAVY QUARTERLY INTELLIGENCE OVERSIGHT REPORT

Ref: (a) Executive Order 12333
(b) DoD Directive 5240.1
(c) DoD Regulation 5240.1-R
(d) SECNAVINST 3820.3E
(e) NAVINSGEN ltr 3820 Ser N2/1025 of 08 Aug 05
(f) CNRIC (CAPT Timothy Riggins) email of 11 Oct 05 (NOTAL)

Encl: (1) List of Inspections Conducted by Components

1. Per references (a) through (d), this report is submitted for the period 01 July-30 September 2005. Reference (d) requires DoN intelligence components, including Echelon II commands and the Deputy Naval Inspector General for Marine Corps Matters (DNIGMC), to provide a quarterly report to the Naval Inspector General (NAVINSGEN) on their Intelligence Oversight (IO) efforts to include information on subordinates' programs and training as well as any issues/incidents.

2. During this reporting period, NAVINSGEN received no reports from Navy or Marine Corps intelligence components or activities that raise questions of legality or propriety.

3. Significant activities this quarter:

a. Inspections were conducted by: the Office of the Naval Inspector General; the Office of Naval Intelligence; Naval Special Warfare Command; Naval Reserve Intelligence Command; Naval Reserve Security Group; the Naval Criminal Investigative Service; and the Deputy Inspector General for Marine Corps Matters. Enclosure (1) lists inspections conducted.

b. The new Navy Intelligence Oversight Instruction, SECNAVINST 3820.3E, was approved on 21 Sep 05. The instruction is available at http://www.ig.navy.mil/Directives/SECNAVINST_3820_3E.pdf.

c. The Naval Security Group Command was resubordinated to Commander, Naval Network Warfare Command on 01 October 2005. Commander, Fleet Forces Command through Commander, Naval Network Warfare Command, is now responsible for review of their Intelligence Oversight Program.

d. Amplifying significant activities reported during the previous quarter (reference (e) pertains), Commander, Naval Reserve Intelligence Command (CNRIC) reported that an investigation into a possible Procedure 15 violation was completed and no evidence of

Subj: DEPARTMENT OF THE NAVY QUARTERLY INTELLIGENCE OVERSIGHT REPORT

inappropriate activity was found (reference (I) pertains). CNRIC contacted a U.S. Army Military Intelligence Brigade and U.S. Air Force units in Baghdad about the unauthorized service of a Naval Reserve Ensign at their commands in 2003-2004. The U.S. Army MI Brigade which employed the servicemember stated that during his time with them, the Ensign performed no collection, retention, or dissemination of information on U.S. persons. The U.S. Air Force units who allegedly employed the Ensign stated that they had no recollection of his service with them and could provide no further information.

4. Actions and/or recommendations: An item of interest was reported by the Naval Criminal Investigative Service (NCIS).

a. **Background:** An NCIS Headquarters review of a joint FBI/NCIS joint counterespionage operation revealed that an NCIS asset had conducted undisclosed participation in a U.S. organization (Procedure 10). Upon further inquiry by NCIS, the FBI claimed that pursuant to FBI manuals no prior FBI authorization for participation in the U.S. organization was required. However, this activity falls within the DoD definition of undisclosed participation in a U.S. organization. In accordance with Procedure 10 of DoD 5240.1-R, this case is being forwarded to Director, NCIS, for review and authorization of NCIS asset participation in the U.S. organization.

b. **Recommendation:** This incident reveals differences that may exist among different Federal agencies regarding appropriate Intelligence Oversight procedures, particularly in the area of interagency operations. ATSD/IO should consider addressing this issue as part of a Federal inter-departmental review of Intelligence Oversight issues.


JILL VINES LOFTUS
Deputy

Copy to:
UNSECNAV (ASP/I)
CNO (N09, N2, N3IO)
DOGC
JAG
DNIGMC
NCIS (DDO, EAD/CI)
CFEC (N2)

Intelligence Oversight inspections conducted during the period 01 August - 31 October 2005:

NAVAL INSPECTOR GENERAL

Fleet Forces Command, Norfolk, Virginia

Naval Special Warfare Command, San Diego, California

Office of Naval Intelligence

Special Security Office Jacksonville, Jacksonville, Florida

COMMANDER, NAVAL SPECIAL WARFARE COMMAND

SEAL Team EIGHT

SDV Team TWO

COMMANDER, NAVAL RESERVE INTELLIGENCE COMMAND

Reserve Intelligence Activity THREE, New Orleans, Louisiana units -

NR VTU INTELLIGENCE 0109

NR DIA HQ 1482

NR OFFICE OF NAVINTEL 0682

NR NAVOCEANO INTEL 0182

NR JNTINTELCENTCTLCOM 0382

COMMANDER, NAVAL RESERVE SECURITY GROUP

NSGR Fort Gordon, Georgia

NSGR Denver, Colorado

NAVAL CRIMINAL INVESTIGATIVE SERVICE

NCIS Northeast Field Office

Department of the Navy Central Adjudication Facility

NCIS Washington DC Field Office

Deputy Naval Inspector General for Marine Corps Matters

Marine Forces Europe

Marine Forces Strategic Command

Navy and Marine Corps Intelligence Training Center

American Embassy, Paris, France

(b)(2),(b)(6),(b)(7)(C)

Enclosure (1)

EFF

1141

3820
Ser N2

From: Naval Inspector General
To: Assistant to the Secretary of Defense (Intelligence Oversight)

Subj: DEPARTMENT OF THE NAVY QUARTERLY INTELLIGENCE OVERSIGHT REPORT

Ref: (a) Executive Order 12333
(b) DoD Directive 5240.1
(c) DoD Regulation 5240.1-R
(d) SECNAVINST 3820.3E

Encl: (1) List of Inspections Conducted by Components

1. Per references (a) through (d), this report is submitted for the period 1 January-31 March 2006. Reference (d) requires DoN Echelon II commands and the Deputy Naval Inspector General for Marine Corps Matters (DNIGMC) provide a quarterly report to the Naval Inspector General (NAVINSGEN) on their Intelligence Oversight (IO) efforts, to include information on subordinates' programs and training as well as any issues/incidents.

2. During this reporting period, NAVINSGEN received no reports from Navy or Marine Corps intelligence components or activities that raise questions of legality or propriety.

3. Significant activities this quarter:

- a. Inspections were conducted by: Office of the Naval Inspector General; Commander, Pacific Fleet; Commander, U.S. Forces Europe; the Office of Naval Intelligence; Naval Special Warfare Command; the Naval Criminal Investigative Service, and the Deputy Naval Inspector General for the Marine Corps. Enclosure (1) lists inspections conducted.
- b. The Marine Corps suspended reporting requirements for the Marine Corps Strategic Command due to evaluation of the mission and personnel shortages at the command. The requirements may be reinstated upon reevaluation of the mission and the need for reporting.
- c. The Office of the Deputy Naval Inspector General for Marine Corps Matters inspected the Marine Corps Special Operations Command (MARSOC), a new component of the U.S. Special Operations Command, this quarter in preparation for the command's activation.

4. Actions and/or recommendations: None.

(b)(6),(b)(7)(C)

Copy to:
UNSECNAV (ASP/I)
CNO (N09, N2)
DOGC
JAG
DNIGMC

Intelligence Oversight inspections conducted during the period
01 January -31 March 2006:

NAVAL INSPECTOR GENERAL

Commander, Naval Reserve Intelligence Command
Commander, U.S. Pacific Fleet

Commander, US Pacific Fleet

APSPAC OSD Honolulu, HI
COMSUBPAC Pearl Harbor, HI
COMNAVFORKOREA
COMLOGWESTPAC
COMNAVFORJAPAN Yokosuka, Japan
COMSEVENTHFLT

Commander, US Naval Forces Europe

CROF Souda Bay, Crete

Office of Naval Intelligence

ONI-352 Detachment San Diego, CA
ONI FASSO San Diego, CA
ONI FASSO Norfolk, VA

COMMANDER, NAVAL SPECIAL WARFARE COMMAND

SEAL Team TEN
COMNAVSPECWARGRU TWO

NAVAL CRIMINAL INVESTIGATIVE SERVICE

NCIS Europe Field Office

Deputy, Naval Inspector General for Marine Corps Matters

1st Marine Air Wing, Okinawa, Japan
3rd Marine Logistics Group, Okinawa, Japan
3rd Marine Expeditionary Force, Okinawa, Japan
Marine Corps Air Station, Iwakuni, Japan
Marine Air Detachment, China Lake, Nevada
Marine Special Operations Command

Enclosure (1)

EFF

1144



DEPARTMENT OF THE NAVY
NAVAL INSPECTOR GENERAL
1254 9TH STREET SE
WASHINGTON NAVY YARD DC 20374-5006

IN REPLY REFER TO:

3820
Ser N2/1222
7 Aug 2006

From: Naval Inspector General
To: Assistant to the Secretary of Defense (Intelligence Oversight)
Subj: DEPARTMENT OF THE NAVY QUARTERLY INTELLIGENCE OVERSIGHT REPORT
Ref: (a) Executive Order 12333
(b) DoD Directive 5240.1
(c) DoD Regulation 5240.1-R
(d) SECNAVINST 3820.3E

Encl: (1) Intelligence Oversight Inspections Conducted by DoN Intelligence Components (1 April - 30 June 2006)

1. Per references (a) through (d), this report is submitted for the period 1 April through 30 June 2006. Reference (d) requires Department of the Navy (DoN) Intelligence Components, including the Deputy Naval Inspector General for Marine Corps Matters (DNIGMC), to provide a quarterly report to the Naval Inspector General (NAVINSGEN) on their Intelligence Oversight (IO) efforts, to include information on subordinates' programs and training as well as any issues/incidents.

2. During this reporting period, NAVINSGEN received no reports from Navy or Marine Corps intelligence components or activities that raise questions of legality or propriety.

3. Significant activities this quarter:

a. Inspections were conducted by the Office of the Naval Inspector General; Naval Special Warfare Command; Commander, Navy Reserve Intelligence Command; Commander Naval Reserve Security Group; the Naval Criminal Investigative Service; and the Deputy Naval Inspector General for Marine Corps Matters. Enclosure (1) lists inspections conducted. (Note: To date, NAVINSGEN has not received the quarterly input from Commander, U.S. Naval Forces Central Command. Upon receipt, that information will be included in the next quarterly report.)

(b)(2),(b)(6),(b)(7)(C)

EFF

1145

**Intelligence Oversight Inspections Conducted by DON Intelligence
Components**

(1 April - 30 June 2006)

NAVAL RESERVE SECURITY GROUP

NR NIOC Minneapolis
NR NIOC Ogden
NR NIOC Fort Gordon
NR NIOC Detroit
NR NIOC Washington
NR NIOC St. Louis
NR NIOC Medina

NAVAL CRIMINAL INVESTIGATIVE SERVICE

NCIS Central Field Office (Pensacola, FL)
NCISHQ Counterintelligence Directorate (Washington, DC)

INSPECTOR GENERAL OF THE MARINE CORPS

Tactical Exercise Control Group (29 Palms, CA)
Company L & Company B, National Security Agency (Ft. Meade, MD)
Counterintelligence/Security Detachment at HMX-1 (Quantico, VA)

Intelligence Oversight Inspections Conducted by DON Intelligence
Components

(1 April - 30 June 2006)

NAVAL INSPECTOR GENERAL

Commander, Naval Security Group Reserve
(Fort George G. Meade, MD)

COMMANDER, NAVAL SPECIAL WARFARE COMMAND

SEAL Team SEVEN (San Diego, CA)

COMMANDER, NAVAL RESERVE INTELLIGENCE COMMAND

Reserve Intelligence Area Thirteen (Jacksonville, FL)

NR CENTCOM 0174

NR NAVCENT 0174

NR NCIS 1274

NR SOCOM 0208

NR DIAHQ 0208

NR JICSOUTH 0174

NR JICCEN 0274

NR IVTU 0208

Reserve Intelligence Area Five (Denver, CO)

NR ONI 1071

NR USSTRATCOM 0388

NR JICPAC 0571

NR ONI 0287

NR NORCOM 0122

NR IVTU 0118

Reserve Intelligence Area Four (San Diego, CA)

NR ONI 0194

NR NAVCENT 0394

NR NCIS 2794

NR CPFI 0194

NR CPFI 0419

NR IVTU 0121

NR DIAHQ 1194

NR FITCPAC 0194

NR NSAWC INTEL 0294

NR NSW INTEL-1

NR STRATJIC 0219

Reserve Intelligence Area Six (Fort Worth, TX)

NR JICCEN 0470

NR JICPAC 1070

NR IVTU 0111

NR PACFLT 0270

NR JICSOUTH 0270

Encl (1)

Subj: DEPARTMENT OF THE NAVY QUARTERLY INTELLIGENCE OVERSIGHT REPORT

4. Actions and/or recommendations: None.

(b)(6),(b)(7)(C)

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CNO (N2, N09J)
DOGC
DJAG
DNIGMC



DEPARTMENT OF THE NAVY
NAVAL INSPECTOR GENERAL
1254 9TH STREET SE
WASHINGTON NAVY YARD DC 20374-5006

IN REPLY REFER TO:

3820
Ser N2/1788
6 Nov 2006

From: Naval Inspector General

To: Assistant to the Secretary of Defense for Intelligence Oversight

Subj: DEPARTMENT OF THE NAVY QUARTERLY INTELLIGENCE OVERSIGHT REPORT

Ref: (a) Executive Order 12333
(b) DoD Directive 5240.1
(c) DoD Regulation 5240.1-R
(d) SECNAVINST 3820.3E

Encl: (1) Intelligence Oversight Inspections Conducted by DoN Intelligence Components
(01 July 2006 – 30 September 2006)

1. Per references (a) through (d), this report is submitted for the period 01 July – 30 September 2006. Reference (d) requires DoN Echelon II commands and the Deputy Naval Inspector General for Marine Corps Matters (DNIGMC) to provide a quarterly report to the Naval Inspector General (NAVINGEN) on their Intelligence Oversight (IO) efforts, to include information on subordinates' programs and training as well as any issues and/or incidents.

2. During this reporting period, NAVINGEN received no reports from Navy or Marine Corps intelligence components or activities that raise questions of legality or impropriety.

3. **Significant activities this quarter:** Inspections were conducted by: Commander, U.S. Pacific Fleet; Commander, Naval Special Warfare Command; Commander, Naval Reserve Intelligence Command; Commander, Naval Security Group Reserve; the Naval Criminal Investigative Service; and the Deputy Naval Inspector General for the Marine Corps. Enclosure (1) lists inspections conducted.

4. **Actions and/or recommendations:** None.

(b)(6),(b)(7)(C)

By direction

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CNO (N09, N2)
DOGC
DJAG
DNIGMC

EFF

1149

Intelligence Oversight Inspections Conducted by
DoN Intelligence Components

(01 July 2006 - 30 September 2006)

COMMANDER, U.S. PACIFIC FLEET

Commander, U.S. Third Fleet (COMTHIRDFLT) (San Diego, CA)
Commander, U.S. Pacific Fleet Detachment Intelligence Readiness Cell
(COMPACFLT DET INTEL READINESS CELL) (San Diego, CA)
Commander, U.S. Pacific Fleet Maritime Homeland Defense Detachment, Alaska
(COMPACFLT MHLDT ALASKA) (Juneau, AK)
- Formerly Commander, U.S. Naval Forces Alaska

COMMANDER, NAVAL SPECIAL WARFARE COMMAND

Special Boat Team TWO ZERO (Norfolk, VA)

COMMANDER, NAVAL RESERVE INTELLIGENCE COMMAND

Reserve Intelligence Area (RIA) FOURTEEN, Marietta, GA
NR DIAHQ 1567
NR EUCOM JAC 0167
NR CNE-C6F 0167
NR ONI 2109
NR NAVCENT 0267
NR IVTU 0108

CNRIC HQ, Fort Worth, TX
NR EUCOM JAC 0430 (Molesworth, UK)

COMMANDER, NAVAL SECURITY GROUP RESERVE

NR Navy Information Operations Command (NIOC) Denver, CO
NR NIOC Detroit, MI
NR NIOC Ft Gordon, GA
NR NIOC San Diego, CA

NAVAL CRIMINAL INVESTIGATIVE SERVICE

NCIS HQ - *Intelligence and Criminal Investigations Directorates* (Washington, DC)
NCIS Field Office Southwest (San Diego, CA)
NCIS Field Office Marine Corps West (Camp Pendleton, CA)

DEPUTY, NAVAL INSPECTOR GENERAL FOR MARINE CORPS MATTERS
(conducted with ATSD(IO))

Defense Attaché and Regional Security Offices:

Pakistan
Afghanistan
Ethiopia
Djibouti

Also, various USMC tactical units located in the CENTCOM AOR
(unit names and locations omitted due to security considerations)

Enclosure (1)

EFF

1150



DEPARTMENT OF THE NAVY
NAVAL INSPECTOR GENERAL
1254 9TH STREET SE
WASHINGTON NAVY YARD DC 20374-5006

IN REPLY REFER TO:
3820
Ser N2/ 0165
1 Feb 2007

From: Naval Inspector General
To: Assistant to the Secretary of Defense (Intelligence Oversight)
Subj: DEPARTMENT OF THE NAVY QUARTERLY INTELLIGENCE OVERSIGHT REPORT

Ref: (a) Executive Order 12333
(b) DoD Directive 5240.1
(c) DoD Regulation 5240.1-R
(d) SECNAVINST 3820.3E
(e) ATSD/IO memo (unser) of 08 Dec 06

Encl: (1) Intelligence Oversight Inspections Conducted by DoN Intelligence Components
(01 October 2006 – 31 December 2006)

1. Per references (a) through (e), this report is submitted for the period 01 October-31 December 2006. Reference (d) requires that DoN Echelon II commands and the Deputy Naval Inspector General for Marine Corps Matters (DNIGMC) provide a quarterly report to the Naval Inspector General (NAVINSGEN) on their Intelligence Oversight (IO) efforts, to include information on subordinates' programs and training as well as any issues/incidents. Reference (e) directs reporting of additional information in support of Congressional tasking.

2. During this reporting period, no reports were received from Navy or Marine Corps intelligence components or activities of either:

- a. Substantiated violations of law, regulation, or policy; or
- b. Activities that raise questions of legality or propriety.

No Procedure 15 inquiries are under Department of the Navy review at this time.

3. Significant activities this quarter:

a. Inspections were conducted by: Commander, Naval Security Group Reserve, Naval Criminal Investigative Service, and Deputy Naval Inspector General for Marine Corps Matters. Enclosure (1) lists inspections conducted.

b. No trends from inspections conducted this quarter were observed. Commands inspected were compliant with policy and regulation.


c. There were no department-level changes to the Navy's Intelligence Oversight Programs this quarter.

d. There were no department-level changes to published Navy directives or policies concerning intelligence, counterintelligence, or intelligence-related activities.

Subj: DEPARTMENT OF THE NAVY QUARTERLY INTELLIGENCE OVERSIGHT REPORT

4. Actions and/or recommendations: None.

(b)(6),(b)(7)(C)



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CNO (N09, N2)
DOGC
JAG
DNIGMC

Intelligence Oversight Inspections Conducted by
DoN Intelligence Components

(01 October 2006 – 31 December 2006)

COMMANDER, NAVAL RESERVE SECURITY GROUP

NR Navy Information Operations Command (NIOC) Pensacola, FL

NR NIOC Devens, MA

NR NIOC Naval Station Norfolk, VA.

NR NIOC Ft. Gordon, GA

NR NIOC Orlando, FL

NR NIOC North Island, CA

NR NIOC Washington, DC

NR NIOC Ft. Lewis, WA

NR NIOC Medina, TX

NAVAL CRIMINAL INVESTIGATIVE SERVICE

NCIS Field Office (NCISFO) Singapore

NCIS HQ – *Counterintelligence Directorate* (Washington, DC)

NCIS HQ – *Counterterrorism Directorate* (Washington, DC)

DEPUTY NAVAL INSPECTOR GENERAL FOR MARINE CORPS MATTERS

United States Marine Corps Forces, South (MARFORSOUTH) – G2 (Miami, FL)

Enclosure (1)



DEPARTMENT OF THE NAVY
NAVAL INSPECTOR GENERAL
1254 9TH STREET SE
WASHINGTON NAVY YARD DC 20374-5006

IN REPLY REFER TO:
3820
Ser N2/ 1416
31 Oct 07

From: Naval Inspector General
To: Assistant to the Secretary of Defense (Intelligence Oversight)
Subj: DEPARTMENT OF THE NAVY QUARTERLY INTELLIGENCE OVERSIGHT REPORT

Ref: (a) Executive Order 12333
(b) DoD Directive 5240.1
(c) DoD Regulation 5240.1-R
(d) SECNAVINST 3820.3E
(e) ATSD/IO memo (unser) of 08 Dec 06
(f) NAVINSGEN ltr N2/0661 of 10 May 07

Encl: (1) E-mail (b)(6),(b)(7)(C) NCIS, dtd 17 October 2007
(2) Intelligence Oversight Inspections Conducted by DoN Intelligence Components
(01 July 2007 - 30 September 2007)

1. Per references (a) through (e), this report is submitted for the period 01 July -30 September 2007.

2. During this reporting period, no reports were received from Navy or Marine Corps intelligence components or activities of substantiated violations of law, regulation, or policy.

3. No new reports of activities that raised questions of legality or propriety were received this quarter. (b)(7)(A)

(b)(7)(A)

4. There are no Procedure 15 inquiries under review by the Department of the Navy at this time.

5. Significant activities this quarter:

a. Intelligence Oversight inspections were conducted this quarter by: Commander, U.S. Pacific Fleet (COMPACFLT); Commander, Navy Reserve Intelligence Command; the Office of Naval Intelligence; Naval Criminal Investigative Service (NCIS); and the Deputy Naval Inspector General for Marine Corps Matters (DNIGMC). Enclosure (2) lists inspections conducted.

Subj: DEPARTMENT OF THE NAVY QUARTERLY INTELLIGENCE OVERSIGHT REPORT


b. No trends from inspections conducted this quarter were observed. Commands inspected were compliant with policy and regulation.

c. There were no department-level changes to the Navy's Intelligence Oversight Programs this quarter.

d. There were no department-level changes to published Navy directives or policies concerning intelligence, counterintelligence, or intelligence-related activities.

6. Actions and/or recommendations: None.

(b)(6),(b)(7)(C)



Copy to:
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CNO (N09, N2)
DOGC
JAG
DNIGMC

(b)(6),(b)(7)(C)

From: (b)(6),(b)(7)(C)
Sent: Wednesday, October 17, 2007 18:07
To: (b)(6),(b)(7)(C)
Cc: (b)(6),(b)(7)(C)
Subject: RE: OPEN CASE

(b)(6),(b)(7)(C)

Sorry about the delay getting back to you- with my transition and changing offices, it took me a while to get on the right systems to research this.

(b)(7)(A)

(b)(7)(A) This is an open and ongoing investigation- although the main subject (b)(6),(b)(7)(C) pled guilty and has been sentenced, (b)(7)(A)


(b)(7)(A)

(b)(6),(b)(7)(A),(b)(7)(C)

I don't know how much background you have on the case, but you can get a pretty good overview from open press reporting (San Diego Union Tribune, 06OCT07). (b)(6),(b)(7)(A),(b)(7)(C)

(b)(7)(A)

(b)(6),(b)(7)(A),(b)(7)(C)



Due in part to the security issues identified during the course of this investigation, CG IMEF ordered a series of security stand-downs for command element personnel, to include Security Manager refresher training, SSO refresher training, OPSEC training, IO training, and NCIS CI/CE briefs. The stand-downs occurred on 24MAY07, 31MAY07, and 01JUN07 and 35% of the command element reportedly attended.

As a criminal investigation into the compromise of classified material, IO issues were secondary/tertiary considerations. According to the desk officers, the IMEF and NCIS SJAs discussed IO for charges/prosecution, but it was decided IO was an administrative element subordinate to the criminal offenses (plus, there may have been a remedy issue). I'm not sure if there was any language indicating IO violations in the record of trial.

(b)(7)(A)



I will discuss with NCIS Deputy IG (b)(6) (b)(7)(C) and if you and/or your legal staffs need to review pertinent Reports of Investigation, I'm sure we can accommodate or meet in person to identify specific areas of interest. Please feel free to contact me if you need more details or information.

(b)(6),
(b)(7)(C) I'll try to give you a call tomorrow.

Regards,

(b)(2),(b)(6),(b)(7)(C)

From: (b)(6),(b)(7)(C)
Sent: Wed 10/17/2007 11:12 AM
To: (b)(6),(b)(7)(C)
Subject: FW: OPEN CASE

(b)(6),(b)(7)(C) sorry about the telephone tag. I assume your move to the Intel Directorate was something you wanted. I would still like to connect with the IG folks and maybe visit you as well at your location.

With regard to the open case, bottom line is that we are looking to finally determine if there is anything in the case that has Intel Oversight concerns? Due to the Crim investigation, a lot is close hold but there was a concern about the potential? (b)(6),(b)(7)(C) I would appreciate it if you could have your IO folks take a look and let me know if there is a concern. I only heard bits and pieces and based on that, I included him in my quarterly report to NAVINSGEN as a potential concern. (b)(6),(b)(7)(C) is just trying to close the loop.

Unfortunately, I need to try and get an answer ASAP so please see what you can do. When we talk, I will coordinate a good date to come over.

Looking forward to hearing from you.

(b)(6),(b)(7)(C)

**Intelligence Oversight Inspections Conducted by
DoN Intelligence Components**

(01 July 2007 – 30 September 2007)

COMMANDER, U.S. PACIFIC FLEET

COMPATRECFORC7F/C5F

PATRECONFORSEVENTHFLT DET KADENA JA

COMAFLOATRAGRUWESTPAC YOKOSUKA JA

COMMANDER, NAVY RESERVE INTELLIGENCE COMMAND

RIA NORTHWEST, Bangor, WA

NR JICPAC 1589

NR ONI 0922

NR PACSUBFOR

NR CPF 0322

NR NCIS 2422

NR IVTU 0152

OFFICE OF NAVAL INTELLIGENCE

ONI FASSO Groton, CT

NAVAL CRIMINAL INVESTIGATIVE SERVICE

Far East Field Offices (Japan, Korea)

HQ Intelligence Directorate

HQ Criminal Investigations Directorate

HQ Financial Management/Planning and Evaluation Directorate

DEPUTY NAVAL INSPECTOR GENERAL FOR MARINE CORPS MATTERS

11th Marine Expeditionary Unit

Marine Special Operations Command

G-2 Marine Forces Europe

Enclosure (2)



DEPARTMENT OF THE NAVY
NAVAL INSPECTOR GENERAL
1254 9TH STREET SE
WASHINGTON NAVY YARD DC 20374-5006

IN REPLY REFER TO:

3820

Ser N2/ 0146

5 Feb 08

From: Naval Inspector General
To: Assistant to the Secretary of Defense (Intelligence Oversight)
Subj: DEPARTMENT OF THE NAVY QUARTERLY INTELLIGENCE OVERSIGHT REPORT

Ref: (a) Executive Order 12333
(b) DoD Directive 5240.1
(c) DoD Regulation 5240.1-R
(d) SECNAVINST 3820.3E
(e) ATSD/IO memo (unser) of 08 Dec 06
(f) NAVINSGEN ltr N2/0661 of 10 May 07
(g) NAVINSGEN ltr N2/1416 of 31 Oct 07

Encl: (1) Intelligence Oversight Inspections Conducted by DoN Intelligence Components
(01 October 2007 – 31 December 2007)

1. Per references (a) through (e), this report is submitted for the period 01 October -31 December 2007.

2. The Naval Criminal Investigative Service continues to conduct an investigation of the issue reported in refs (f) and (g).

3. No new reports of activities that raised questions of legality or propriety were received this quarter. A regular legal review of a counterintelligence operation by NCIS determined that a Director NCIS authorization for undisclosed participation in a U.S. organization had lapsed. Director's authorization for continuation of the undisclosed participation was subsequently obtained.

4. There are no Procedure 15 inquiries under review by the Department of the Navy at this time.

5. Significant activities this quarter:

a. Intelligence Oversight inspections were conducted this quarter by: Commander, Naval Special Warfare Command, the Naval Criminal Investigative Service, and the Deputy Naval Inspector General for Marine Corps Matters. Enclosure (1) lists inspections conducted.

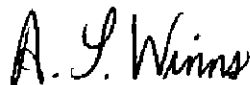
b. No trends from inspections conducted this quarter were observed. Commands inspected were compliant with policy and regulation.

c. The NAVINSGEN Director for Intelligence and Special Access Programs Oversight and her Deputy attended a NORTHCOM-sponsored Intelligence Oversight conference in El Paso, TX in December 2007.

d. There were no department-level changes to the Navy's Intelligence Oversight Programs this quarter.

e. There were no department-level changes to published Navy directives or policies concerning intelligence, counterintelligence, or intelligence-related activities.

6. Actions and/or recommendations: None.



A. L. WINNS

Copy to:
UNSECNAV (ASP/T)
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DOGC
JAG
DNIGMC

Intelligence Oversight Inspections Conducted by
DoN Intelligence Components

(01 October 2007 – 31 December 2007)

COMMANDER, NAVAL SPECIAL WARFARE COMMAND
SPECBOAT TEAM TWELVE

NAVAL CRIMINAL INVESTIGATIVE SERVICE

Singapore Field Office
Southwest Field Office
Southeast Field Office

DEPUTY NAVAL INSPECTOR GENERAL FOR MARINE CORPS MATTERS

Marine Corps Embassy Security Group, Quantico, VA
Marine Special Operations Command, Camp LeJeune, NC

Enclosure (1)



DEPARTMENT OF THE NAVY
NAVAL INSPECTOR GENERAL
1254 9TH STREET SE
WASHINGTON NAVY YARD DC 20374-5006

IN REPLY REFER TO:

3820
Ser N2/ 0661
10 May 07

From: Naval Inspector General

To: Assistant to the Secretary of Defense (Intelligence Oversight)

Subj: DEPARTMENT OF THE NAVY QUARTERLY INTELLIGENCE OVERSIGHT REPORT

Ref: (a) Executive Order 12333
(b) DoD Directive 5240.1
(c) DoD Regulation 5240.1-R
(d) SECNAVINST 3820.3E
(e) ATSD/IO memo (unser) of 08 Dec 06

Encl: (1) Intelligence Oversight Inspections Conducted by DoN Intelligence Components
(01 January 2007 – 31 March 2007)

1. Per references (a) through (e), this report is submitted for the period 01 January-31 March 2007. Reference (d) requires that DoN Echelon II commands and the Deputy Naval Inspector General for Marine Corps Matters (DNIGMC) provide a quarterly report to the Naval Inspector General (NAVINSGEN) on their Intelligence Oversight (IO) efforts, to include information on subordinates' programs and training as well as any issues/incidents. Reference (e) directs reporting of additional information in support of Congressional tasking.

2. During this reporting period, no reports were received from Navy or Marine Corps intelligence components or activities of substantiated violations of law, regulation, or policy.

3. Two reports of activities that raised questions of legality or propriety were received this quarter.

a. A U.S. Pacific Fleet airborne reconnaissance squadron (VAQ-133) reported that in October 2006, at the request of the squadron administrative officer, a member of the squadron's Intelligence Division reviewed the "MySpace.com" website pages of a VAQ-133 Sailor who was absent without leave (AWOL). The VAQ-133 Intelligence Officer recognized the potential for an Intelligence Oversight violation and called the Office of the Naval Inspector General for guidance. NAVINSGEN advised the squadron Intelligence Officer that, if needed, the Naval Criminal Investigative Service is responsible for investigative matters related to potential criminal or counterintelligence activities of Department of the Navy personnel. Commander, Pacific Fleet Intelligence Oversight staff reviewed the case and based on their guidance, refresher Intelligence Oversight training was provided for all VAQ-133 personnel.

(b)(7)(A)

Subj: DEPARTMENT OF THE NAVY QUARTERLY INTELLIGENCE OVERSIGHT REPORT

(b)(7)(A)

4. There are no Procedure 15 inquiries under review by the Department of the Navy at this time.

5. Significant activities this quarter:

a. The Office of the Naval Inspector General inspected the Commander, U.S. Fleet Forces Command (CFFC) Intelligence Oversight program. CFFC was found to be fully compliant with E.O. 12333 and its implementing DOD and Navy directives. Relevant business processes were found to be well thought-out, efficient, and sound. As well, inspections were conducted by: Commander, U.S. Pacific Fleet (COMPACFLT), Naval Criminal Investigative Service (NCIS), and the Deputy Naval Inspector General for Marine Corps Matters (DNIGMC). Enclosure (1) lists inspections conducted.

b. No trends from inspections conducted this quarter were observed. Commands inspected were compliant with policy and regulation.

c. There were no department-level changes to the Navy's Intelligence Oversight Programs this quarter.

d. There were no department-level changes to published Navy directives or policies concerning intelligence, counterintelligence, or intelligence-related activities.

6. Actions and/or recommendations: None.

(b)(6),(b)(7)(C)

Copy to:
UNSECNAV (ASP/I)
CNO (N09, N2)
DOGC
JAG
DNIGMC

Intelligence Oversight Inspections Conducted by
DoN Intelligence Components

(01 January 2007 – 31 March 2007)

OFFICE OF THE NAVAL INSPECTOR GENERAL

Commander, U.S. Fleet Forces Command (CFFC) – Norfolk, VA

COMMANDER, U.S. PACIFIC FLEET

Afloat Training Group Pacific (ATG PAC) – San Diego, CA

Helicopter Antisubmarine Squadron (Light) FOUR ONE (HSL-41) – NAS North Island, CA

NAVAL CRIMINAL INVESTIGATIVE SERVICE

Contingency Response Field Office – Glynnco, GA

Marine Corps West Field Office – Camp Pendleton, CA

NCIS HQ – *Administration and Logistics Directorate* (Washington, DC)

NCIS HQ – *Operational Support Directorate* (Washington, DC)

DEPUTY NAVAL INSPECTOR GENERAL FOR MARINE CORPS MATTERS

Third Marine Division – Okinawa, Japan

First Marine Air Wing – Okinawa, Japan

Marine Forces Pacific – Okinawa, Japan

Intelligence Support Battalion – Quantico, VA

United States Marine Forces, Central Command (MARFORCENT) – Tampa, FL

Enclosure (1)

EFF

1165



DEPARTMENT OF THE NAVY
NAVAL INSPECTOR GENERAL
1254 9TH STREET SE
WASHINGTON NAVY YARD DC 20374-5006

IN REPLY REFER TO:

3820
Ser N2/ 1063
7 Aug 07

From: Naval Inspector General
To: Assistant to the Secretary of Defense (Intelligence Oversight)
Subj: DEPARTMENT OF THE NAVY QUARTERLY INTELLIGENCE OVERSIGHT REPORT

Ref: (a) Executive Order 12333
(b) DoD Directive 5240.1
(c) DoD Regulation 5240.1-R
(d) SECNAVINST 3820.3E
(e) ATSD/IO memo (unser) of 08 Dec 06
(f) NAVINSGEN ltr N2/0661 of 10 May 07

Encl: (1) Intelligence Oversight Inspections Conducted by DoN Intelligence Components
(01 April 2007 – 30 June 2007)

1. Per references (a) through (e), this report is submitted for the period 01 April -30 June 2007. Reference (d) requires that DoN Echelon II commands and the Deputy Naval Inspector General for Marine Corps Matters (DNIGMC) provide a quarterly report to the Naval Inspector General (NAVINSGEN) on their Intelligence Oversight (IO) efforts, to include information on subordinates' programs and training as well as any issues/incidents. Reference (e) directs reporting of additional information in support of Congressional tasking.
2. During this reporting period, no reports were received from Navy or Marine Corps intelligence components or activities of substantiated violations of law, regulation, or policy.
3. No reports of activities that raised questions of legality or propriety were received this quarter. The Deputy Investigator General for Marine Corps Matters has no update at this time to the possible violation reported in reference (f), paragraph 3b.
4. There are no Procedure 15 inquiries under review by the Department of the Navy at this time.
5. Significant activities this quarter:
 - a. Intelligence Oversight inspections were conducted this quarter by: Commander, U.S. Fleet Forces Command (CFFC); Commander, U.S. Pacific Fleet (COMPACFLT); Naval Special Warfare Command; Commander, Navy Reserve Intelligence Command; Naval Criminal Investigative Service (NCIS); and the Deputy Naval Inspector General for Marine Corps Matters (DNIGMC). Enclosure (1) lists inspections conducted.
 - b. No trends from inspections conducted this quarter were observed. Commands inspected were compliant with policy and regulation.


Subj: DEPARTMENT OF THE NAVY QUARTERLY INTELLIGENCE OVERSIGHT REPORT

c. There were no department-level changes to the Navy's Intelligence Oversight Programs this quarter.

d. There were no department-level changes to published Navy directives or policies concerning intelligence, counterintelligence, or intelligence-related activities.

6. Actions and/or recommendations: None.

(b)(6),(b)(7)(C)



Copy to:
UNSECNAV (ASP/I)
CNO (N09, N2)
DOGC
JAG
DNIGMC

Intelligence Oversight Inspections Conducted by
DoN Intelligence Components
(01 April 2007 – 30 June 2007)

COMMANDER, U.S. FLEET FORCES COMMAND

Naval Network Warfare Command, Norfolk, VA

Naval Expeditionary Combat Command, Little Creek, VA

COMMANDER, U.S. PACIFIC FLEET

VAQRON ONE TWO NINE

ELATKWEPSOL, Whidbey Island, WA

COMVAQWINGPAC, Whidbey Island, WA

COMPATRECONWING TEN

COMPATRECONWING TWO

AFLOATRAGRUMIDPAC, Pearl Harbor, HI

NAVY SPECIAL WARFARE COMMAND

Naval Special Warfare Group THREE

COMMANDER, NAVY RESERVE INTELLIGENCE COMMAND

RIA SE NOLA, Millington, TN

NR NAVOPINTEL 0182

NR DIAHQ 1482

NR ONI DET 0882

RIA MW, Great Lakes, IL

NR ONI 1992

NR EUCOM JAC 0366

NR JICTRANS 0169

NR JICPAC 0178

NR IVTU 113

RIA SIXTEEN, Willow Grove, PA

NR DIAHQ 0593

NR ONI 2393

NR JICCENT 0597

NR ONI 0397

NR IVTU 101

NAVAL CRIMINAL INVESTIGATIVE SERVICE

Middle East Field Office, Bahrain

Office of Special Projects (re-inspection)

Information Technology Directorate (NCISHQ)

DEPUTY NAVAL INSPECTOR GENERAL FOR MARINE CORPS MATTERS

3rd MAW, Camp Pendleton, CA

1st Counterintelligence/HUMINT Company, Camp Pendleton, CA

Enclosure (1)



~~SECRET~~
DEPARTMENT OF THE NAVY
NAVAL INSPECTOR GENERAL
1254 9TH STREET SE
WASHINGTON NAVY YARD DC 20374-5006

IN REPLY REFER TO:

3820
Ser N2 0489
6 May 08

~~SECRET//NOFORN~~ Unclassified upon removal of Enclosure (1)

From: Naval Inspector General

To: Assistant to the Secretary of Defense (Intelligence Oversight)

Subj: DEPARTMENT OF THE NAVY QUARTERLY INTELLIGENCE OVERSIGHT REPORT
FIRST QUARTER CY 2008

Ref: (a) Executive Order 12333
(b) DoD Directive 5240.1
(c) DoD Regulation 5240.1-R
(d) SECNAVINST 3820.3E
(e) ATSD/IO memo (UNSER) of 08 Dec 06
(f) NAVINSGEN Ltr N2/0661 of 10 May 07
(g) NAVINSGEN Ltr N2/1416 of 31 Oct 07
(h) Office of the Director of National Intelligence General Counsel Ltr of 05 Feb 08

Encl: (1) CMC-IGO 3800 of 15 Apr 08 (U)
(2) List of Inspections Conducted by Components

1. Per references (a) through (e), this report is submitted for the period 01 January -31 March 2008.

2. The Naval Criminal Investigative Service and the Office of the Inspector General of the Marine Corps continue to conduct an investigation of the issue reported in refs (f) and (g). Enclosure (1) updates the progress of the investigation.

3. No new reports of activities that raised questions of legality or propriety were received this quarter.

4. There is one Procedure 15 inquiry under review by the Department of the Navy at this time (see Paragraph 5.e. below). The Office of the Naval Inspector General is reviewing an Office of Naval Intelligence Inspector General investigation concerning a Foreign Intelligence-Sharing Agreement concluded in 2005.

5. Significant activities this quarter:

a. Intelligence Oversight inspections were conducted this quarter by the Office of the Naval Inspector General, Naval Special Warfare Command, the Naval Criminal Investigative Service, and the Deputy Naval Inspector General for Marine Corps Matters. Enclosure (2) lists inspections conducted.

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Subj: DEPARTMENT OF THE NAVY QUARTERLY INTELLIGENCE OVERSIGHT REPORT
FIRST QUARTER CY 2008.

b. No trends from inspections conducted this quarter were observed. Commands inspected were compliant with policy and regulation.

c. The Office of the Naval Inspector General reviewed draft revisions of SECNAV Instructions 5430.7 and 5000.34, which provide Department of the Navy guidance on the oversight of intelligence policy and management. Staffing of revisions to these instructions is ongoing, and there were no department-level changes to the Navy's Intelligence Oversight programs this quarter.

d. There were no department-level changes to published Navy directives or policies concerning intelligence, counterintelligence, or intelligence-related activities.

e. In response to a request from the General Counsel of the Office of the Director of National Intelligence (ref (h)), the Office of the Naval Inspector General is reviewing two issues potentially concerning Intelligence Oversight which were considered for reporting, but not subsequently reported, to the Intelligence Oversight Board.

6. Actions and/or recommendations: None.


A. L. WINNS

Copy to:
UNSECNAV (ASP/I)
CNO (N09, N2)
DGC
DJAG
USMC IG

~~SECRETNOFORN~~

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DEPARTMENT OF THE NAVY
DEPUTY NAVAL INSPECTOR GENERAL FOR MARINE CORPS MATTERS/
INSPECTOR GENERAL OF THE MARINE CORPS
WASHINGTON, D.C. 20380-1775

IN REPLY REFER TO:
3800
CMC-IGO
15 Apr 08

From: Inspector General of the Marine Corps
To: Naval Inspector General (N2)

Subj: QUARTERLY INTELLIGENCE OVERSIGHT REPORT FOR THE SECOND QUARTER,
FISCAL YEAR 2008 (U/~~FOUO~~)

Ref: (a) Executive Order 12333
(b) DoD Directive 5240.1-R
(c) SECNAVINST 3820.3E
(d) MCO 3800.2B
(e) IGMCMC: QUARTERLY INTELLIGENCE OVERSIGHT REPORT FOR THE SECOND
QUARTER, FISCAL YEAR 2008
(f) (b)(1),(b)(6),(b)(7)(C)

1. (U/~~FOUO~~) Standards and Definitions:

a. (U/~~FOUO~~) Reference (a) stipulates that certain activities of intelligence components that affect U.S. persons be governed by procedures issued by the agency head and approved by the Attorney General.

b. (U/~~FOUO~~) References (b) through (d) set forth procedures governing the activities of DoD intelligence components and personnel that affect United States Persons.

c. (U/~~FOUO~~) Reference (d) is primarily directed at intelligence components in the Marine Corps and governs all activities undertaken by such components. It also applies to the following:

(1) (U/~~FOUO~~) The Marine Corps total force regarding the participation in intelligence activities by any component or person.

(2) (U/~~FOUO~~) All military and civilian personnel assigned or attached to intelligence components on a permanent or temporary basis, regardless of specialty or job function.

(3) (U/~~FOUO~~) Contractors or consultants if they are involved in activities subject to the requirements of reference (a).

(4) (U/~~FOUO~~) Non-intelligence units and staffs when they are used for intelligence purposes and to personnel conducting intelligence activities as an additional duty, whether or not assigned or attached to an intelligence component.

Subj: QUARTERLY INTELLIGENCE OVERSIGHT REPORT FOR THE SECOND QUARTER,
FISCAL YEAR 2008 (U)

(a) (U/~~FOUO~~) Reference (d) states the collection, retention, and dissemination of information concerning U.S. persons by Marine Corps intelligence components will be governed by the requirements set forth in references (a), (b), (c) and (d).

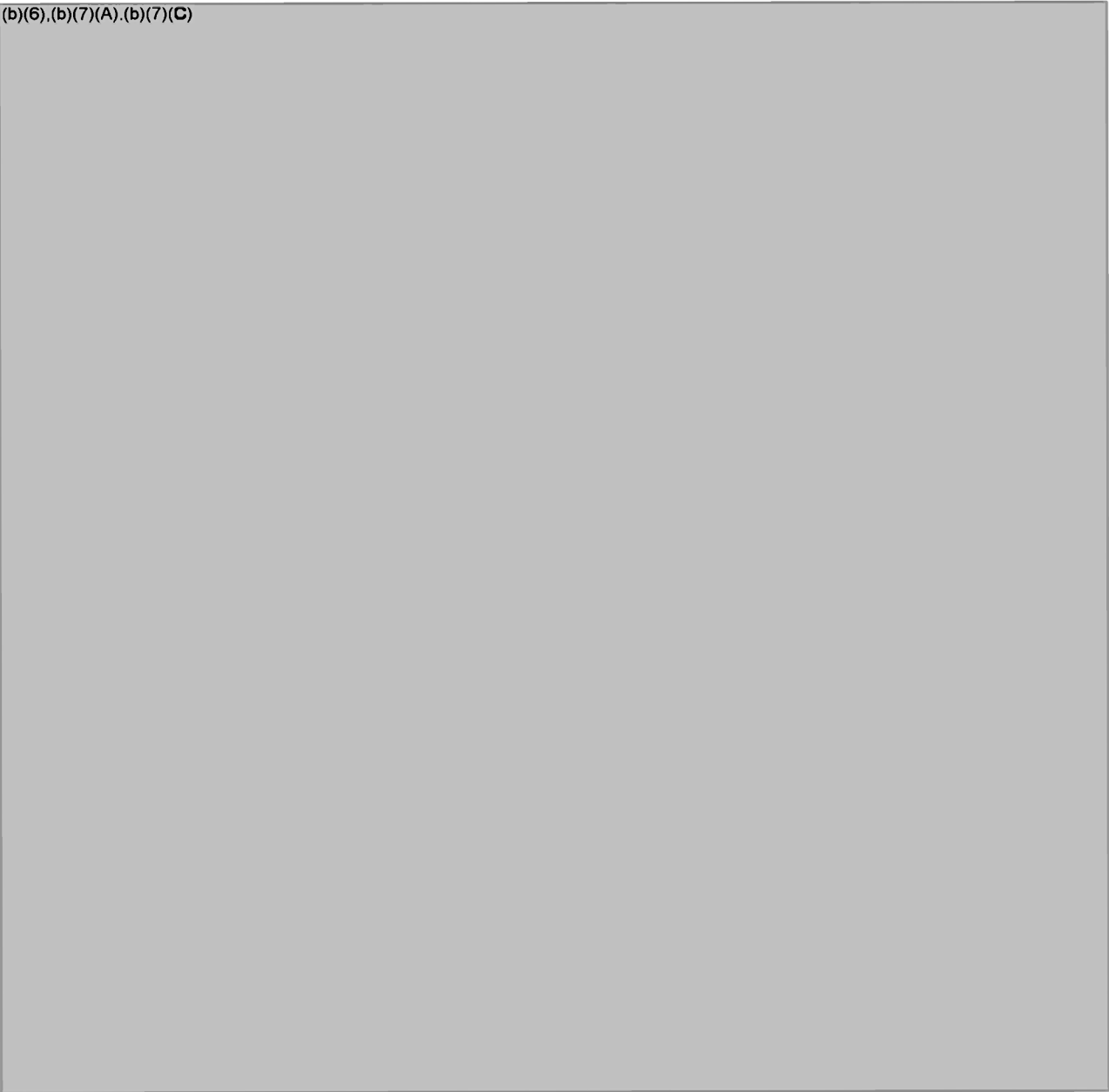
(b) (U/~~FOUO~~) U.S. Person is defined as a citizen of the U.S.; an alien known by the intelligence agency concerned to be a permanent resident alien; an unincorporated association substantially composed of U.S. citizens or permanent resident aliens; or for a corporation incorporated in the U.S., except for a corporation directed and controlled by a foreign government or governments.

(c) (U/~~FOUO~~) Questionable activity is defined as any conduct that constitutes, or is related to, an intelligence activity that may violate U.S. laws, statutes, Executive Orders, Presidential directives, applicable DoD directives, and DON or other service policies.

(b)(6),(b)(7)(A),(b)(7)(C)

Subj: QUARTERLY INTELLIGENCE OVERSIGHT REPORT FOR THE SECOND QUARTER,
FISCAL YEAR 2008 (U/~~FOUO~~)


(b)(6),(b)(7)(A),(b)(7)(C)



3. (U/~~FOUO~~) Discussion and Findings:


a. (U/~~FOUO~~) In order for a violation to occur the Marine participating in the questionable activity must fall within the parameters outlined in references (b) through (d) as an Intelligence component or person. Reference (d) is primarily directed at intelligence components in the Marine Corps; it also includes the Marine Corps total force regarding the participation in intelligence activities by any component or person. (b)(7)(A)

(b)(6),(b)(7)(A),(b)(7)(C)

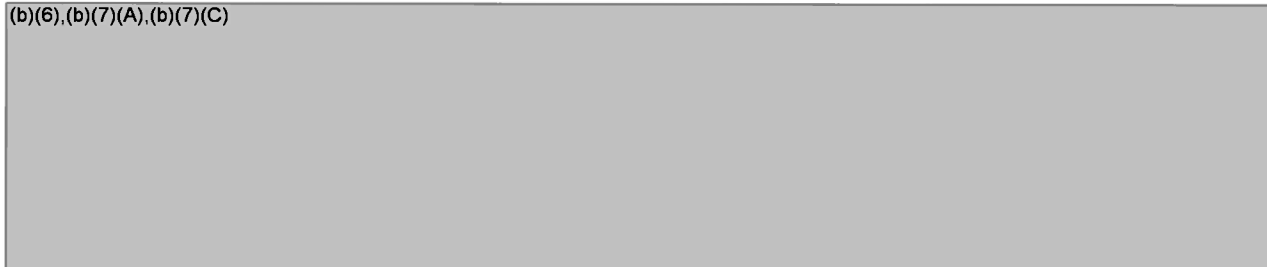


Subj: QUARTERLY INTELLIGENCE OVERSIGHT REPORT FOR THE SECOND QUARTER,
FISCAL YEAR 2008 (U/~~FOUO~~)

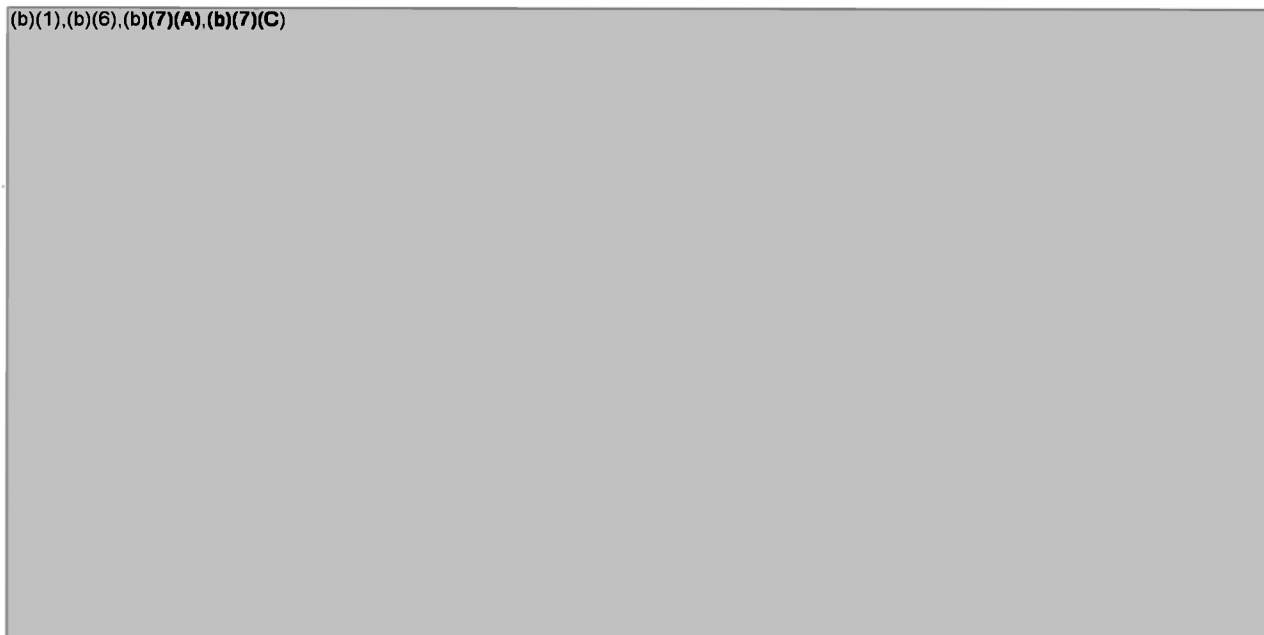
(b)(6),(b)(7)(A),(b)(7)(C)



(b)(6),(b)(7)(A),(b)(7)(C)



(b)(1),(b)(6),(b)(7)(A),(b)(7)(C)



(b)(7)(A)




(b)(1),(b)(7)(A)




4. (U/~~FOUO~~) Summary:

(b)(1),(b)(7)(A)




Subj: QUARTERLY INTELLIGENCE OVERSIGHT REPORT FOR THE SECOND QUARTER,
FISCAL YEAR 2008 (U//~~FOUO~~)

(b)(1),(b)(7)(A)




b. (U) There is a connection to US NORTHCOM with regard to potential Intelligence Oversight violations which need to be determined by the appropriate investigative activity. It is unknown if any of this USPERS information was used in a criminal proceeding at this time. NCIS is pursuing this matter. A legal opinion should be obtained to make a final determination.

(b)(6),(b)(7)(A),(b)(7)(C)

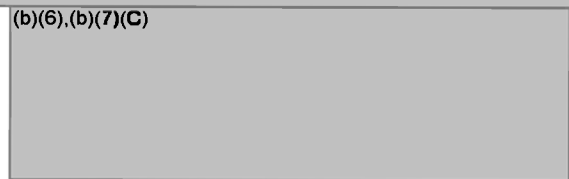


d. (U) SCIF Security Review. IGMCM will conduct a SCIF assessment of major USMC sites to examine physical security procedures, access controls and information and personnel security procedures to determine vulnerabilities and provide recommendations to mitigate future violations. This will be in concert with additional training during future site visits and inspections.

(b)(2),(b)(6),(b)(7)(C)



(b)(6),(b)(7)(C)



29Mar07

Memorandum For The Record

From: (b)(6),(b)(7)(C)

To: Inspector General, I Marine Expeditionary Force

Subj: Possible Intelligence Oversight Violations (U)

Ref: (a) (U) (b)(6),(b)(7)(C)

(b) (U) DoD 5200.1-R, "Information Security Program" Jan 97

(c) (U) DoD 5200.1-R, "Procedures Governing the Activities of DoD Intelligence Components that Affect United States Persons"
25 Apr 88

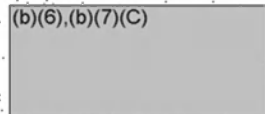
(d) (U) MCO 3800.2B, "Oversight of Intelligence Activities", 30 Apr 04

Encl: (b)(1),(b)(6),(b)(7)(A),(b)(7)(C)

(b)(1),(b)(6),(b)(7)(A),(b)(7)(C)

2. (U) The undersigned will assist with any internal investigation, if needed. Actual emails are maintained in the IMEF Secure Compartmented Information Facility (SCIF) due to their classification.

(b)(6),(b)(7)(C)



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**Intelligence Oversight Inspections Conducted by
DoN Intelligence Components**

(01 January 2008 – 31 March 2008)

Office of the Naval Inspector General

Commander, Naval Forces Central Command
Commander, Naval Forces Europe
Naval Criminal Investigative Service

Naval Special Warfare Command

Naval Special Warfare Group ONE

Naval Criminal Investigative Service

Contingency Response Field Office
Carolinas Field Office

Inspector General of the Marine Corps

3rd Marine Air Wing

Enclosure (2)

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