

**SECDEF VISIT TO CHINA**  
11 - 15 July 2000  
**EVENT SCENARIO**

**EVENT:** Working lunch with Mayor XU Kuangdi  
**DATE and TIME:** Friday, 14 July 2000, 1215 - 1400  
**LOCATION:** Old Jinjiang Hotel, Small Auditorium  
**UNIFORM:** CLASS A's  
**PARTICIPANTS:** US side (15 total) (see attached list on page 2)

Chinese side (12 total)

Mayor XU Kuangdi (phonetic: SHYOO Kwahng dee)  
Gen and Madame XIONG Guangkai  
(phonetic: SHYONG Gwangkai)  
Gen and Mrs. ZHANG Lizhi, Shanghai Garrison Commisar  
(phonetic: JAHNG Lee jzur)  
Director ZHOU Mingwei, Shanghai Foreign Affairs Office  
(phonetic: JOE Ming way)  
others (See attached list on page 2)

**GREETED BY:** Director ZHOU Mingwei, Shanghai Foreign Affairs Office

**PRESS:** Photo shoot for first five minutes of meeting

**SITE OFFICER:**

(b)(6)

**SCENARIO:**

At 1215, the Secretary, Mrs. Cohen, and delegation will be greeted at the door by Director Zhou and be led to a reception room to meet Mayor XU Kuangdi. The Mayor and the Secretary will be seated at the far end of the room, with the US delegation will seated on the left side, in protocol order filling the first row and then starting at the head of the second row of seats. A press photo opportunity will be scheduled for the first five minutes of the meeting.

At the end of the 30-minute meeting, Mayor Xu will present a gift to Secretary Cohen and a separate gift for Mrs. Cohen, and the Secretary should immediately reciprocate. Mayor Xu will then lead the delegation across the lobby into the dining room for lunch. Chinese staff will seat the delegation at one of two large round tables. In the course of the meal, the Mayor may stand up at his place to make a toast. Following the Mayor's toast, the Secretary will rise to make a return toast.

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While the Mayor speaks some English, members of the delegation should remember to pause frequently for interpretation. At the end of the luncheon, the Mayor will escort the delegation to the lobby, shake hands with the Secretary and say goodbye. The delegation will then be escorted back to the waiting motorcade.

At 1400, Mrs. Cohen (b)(6) will meet their control officer, Christie Ho, and leave in a separate vehicle for the Shanghai Museum or a tour of old Shanghai.

At 1400, The Secretary and remaining delegation members will depart in motorcade for Pudong, Shanghai International Convention Center.

**US-SIDE LUNCH PARTICIPANTS**

Secretary and Mrs. Cohen  
Ambassador Joseph Prueher  
Robert Tyrer  
Kenneth Bacon  
Stanley Roth  
Kenneth Lieberthal  
VADM Gregory Johnson  
Fred Smith  
Consul General Henry Levine  
(b)(6)

Col John Corbett  
Peter Roe, US Consulate (notetaker)  
Vicki Segal, Interpreter

**CHINESE-SIDE LUNCH PARTICIPANTS**

Mayor XU Guangdi  
General XIONG Guangkai and Madame SHOU Ruili  
MG ZHAN Maohai and Madame CHEN Lichun  
General ZHANG Lizhi and Madame ZHANG Cuilin  
Director ZHOU Mingwei  
MG GONG Xianfu  
Sr. Col XU Junping  
Col. LIU Yunlai  
LTC LI Ji

**SECDEF VISIT TO CHINA**

11 - 15 July 2000

**EVENT SCENARIO**

**EVENT:** Meeting with ARATS Chairman and former Shanghai Mayor Wang Daohan

**DATE and TIME:** Friday, 14 July 2000, 1430-1515

**LOCATION:** International Convention Center, Pudong

**UNIFORM:** CLASS A's

**PARTICIPANTS:** US side (15 total)  
(see attached list)

Chinese side

Chairman WANG Daohan, ARATS

(phonetic pronunciation: WAHNG Daow hahn)

Mr. ZHOU Mingwei, Director, Shanghai Foreign Affairs Office

(phonetic pronunciation: JOE Ming way)

Others TBD

**GREETED BY:** Site officer Sherry Hong

**PRESS:** photo opportunity for first 5 minutes of meeting

**SITE OFFICER:** (b)(6)

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**SCENARIO:**

At 1420, Secretary and delegation will arrive at the International Convention Center. Site officer Sherry Hong will meet the Secretary at the entrance and escort him and delegation members to a 7<sup>th</sup> floor holding room for a short period of downtime.

At 1430, FAO Director Zhou Mingwei will arrive at the holding room to escort the Secretary and meeting attendees to the meeting. In the meeting room, Wang Daohan will greet the Secretary at the center of the room. Press, including television, will be set up for a photo opportunity at this point.

Wang will then lead the delegation out to an adjacent enclosed viewing platform, for a panoramic view of the Bund across the Huangpu river. After a brief moment, Wang will lead the delegation back into the main meeting room to be seated at the opposite end of the room.

Once seated, there will be a five-minute photo opportunity and press will be cleared from the room. After opening pleasantries, Wang will discuss China's policy toward Taiwan. At the end of the meeting, a gift exchange will take place between the Secretary and Wang, which will be initiated by the Chinese side, and the meeting will end.

At 1515, the delegation will return to the motorcade waiting outside. Staff will have been pre-positioned and waiting in the motorcade before the end of the meeting. The motorcade will then proceed to the Shanghai Stock Exchange.

**US-SIDE MEETING PARTICIPANTS**

Secretary Cohen

Ambassador Joseph Prueher

(b)(3):10 USC §424,(b)(6)

VADM Gregory Johnson

(b)(3):10 USC §424,(b)(6)

**CHINESE-SIDE MEETING PARTICIPANTS**

Chairman WANG Daohan, ARATS

General XIONG Guangkai

MG ZHAN Maohai

MG ZHANG Lizhi

Director ZHOU Mingwei

MG GONG Xianfu

Sr. Col XU Junping

Col. LIU Yunlai

LTC LI Ji

Schedule for  
Visit to Shanghai Stock Exchange  
Friday, 14 July 1530-1710

- 1530 **Arrive Shanghai Stock Exchange for 1600 speech.**  
Met at front door by Stock Exchange President Tu Guangshao and Executive Vice President James Liu. Rich Gaffin, U.S. Consulate Site officer will accompany.
- 1531 Mr. Tu and Liu will lead SecDef and members of delegation to 7th floor meeting room.
- 1531 *Vicki Segal led by Randy Lawrence to floor of stock exchange.*
- 1535 **Brief meeting with Mr. Tu and Mr. Liu. Present with the Secretary in the meeting will be**  
Ambassador Joseph Prueher  
(b)(3):10 USC §424,(b)(6)  
VADM Gregory Johnson, Senior Military Assistant to SecDef  
(b)(3):10 USC §424,(b)(6)  
Chinese officials will be  
Major General Zhan Maohai  
Major General Zhang Lizhi  
Major General Gong Xianfu
- 1535 *Other members of Motorcade lead to large holding room by Major Kamphausen.*
- 1550 Gift Exchange. After Mr. Tu presents a gift and the Secretary reciprocates, the Secretary, the Ambassador, ASD Bacon, Mr. Tu, and staff aides remain while other members of the delegation are lead to the floor of the Exchange by James Liu and control officer Amy Celico. Members will be shown to reserved seating.
- 1550 *Other members of the Motorcade follow to floor at this time. Major Roy Kamphausen will direct.*
- 1555 Mr. Tu will lead the Secretary, the Ambassador, and ASD Bacon to the stage on the Stock Exchange Floor for the speech. Control Officer Rich Gaffin to accompany.

TBD

Mrs. Cohen (b)(6) will arrive at Pudong, Shanghai Stock Exchange at some point during the Stock Exchange Visit. Stock Exchange will provide a greeter for Mrs. Cohen. If they arrive while the Secretary is meeting on the 7th floor, they will be brought to the meeting. If the Secretary has already proceeded to the floor, they will be brought into the speech hall by the guest entrance. Mrs. Cohen will be seated in the front row, left of center aisle.

1600

**SecDef speech on the floor of Shanghai Stock Exchange**

The Secretary, the Ambassador, ASD Bacon and Mr. Tu will sit on the stage. Interpretation devices will be waiting on each chair. Mr. Tu will make introductory Chinese remarks, during which he will introduce both the Secretary and the Ambassador. The Ambassador will make introductory English remarks, and introduce the Secretary. Ambassador's remarks will note that Q&A will follow and that the press should not take part. All remarks will be simultaneously interpreted by Vicki Segal.

1620

Q&A: ASD Bacon will field questions from the floor. This session will be consecutively interpreted by Vicki Segal, who will come to the stage at the end of the speech. ASD Bacon will then draw the questions to a close after about 10 minutes and invite the press to head to the conference room.

1630

Secretary greets a few prominent guests at the direction of Mr. Tu.

1630

Press is lead from the room to the press conference site by Joe Wierich and Teresa Wilkin.

1635

Mr. Tu escorts the secretary to the 2nd floor press conference room. Richard Gaffin, Control Officer, will accompany.

Other members of motorcade follow and wait outside of conference room. Major Kamphausen will lead.

1640

**Press Conference at Shanghai Stock Exchange, 2nd floor conference room**

Approximately 25 Chinese, American and third country reporters participate. The Secretary, ASD Bacon, and Ms. Segal will be on the stage.

1710

Press Conference concludes. Rich Gaffin leads Secretary, delegation, and members of motorcade to exit. Mr. Tu will be at the bottom of the escalator to escort the Secretary to the door and bid him farewell.

1713

**Departs Stock Exchange for Portman Ritz-Carlton.**

**SECDEF VISIT TO CHINA**  
**11 - 15 July 2000**  
**EVENT SCENARIO**

**EVENT:** Arrival at Shanghai Stock Exchange and Meeting with Exchange President

**DATE and TIME:** Friday, 14 July 2000, 1530 - 1600

**LOCATION:** Shanghai Stock Exchange, 528 South Pudong Road

**UNIFORM:** CLASS A's

**GREETED BY:** Stock Exchange Managing Director, Mr. TU Guangshao  
Phonetic Pronunciation: TOO Gwahng shaow  
Executive Vice President, Mr. James Liu  
Phonetic Pronunciation: LYOO

**PARTICIPANTS:** Secretary Cohen, Mr. Tu, Mr. Liu, Major General Gong Xianfu, Major General Zhan Maohai, Major General Zhang, Lizhi, the U.S. delegation and representatives of the Embassy and Consulate.

**PRESS:** None

**SITE OFFICERS:** (b)(6)

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**SCENARIO:**

Secretary will be met at front door of the Stock Exchange by his Chinese hosts, Managing Director TU Guangshao and Executive Vice President James LIU. Hosts will lead Secretary to a holding room just above the Stock Exchange Floor.

The Secretary and Mr. Tu will exchange pleasantries for approximately 15 minutes.

At the end of pleasantries, the Secretary will present Mr. Tu with a gift. Mr. Tu will reciprocate. After gift exchange, members of delegation will be led to Stock Exchange Floor. The Secretary, the Ambassador, and Mr. Tu will remain for a few moments to prepare for the speech.

Just before 1600, Secretary and the Ambassador will be led onto Stock Exchange Floor by Managing Director TU.

**BIOS: Tu, Guangshao:** Mr. Tu holds two titles concurrently. He is President of the Shanghai Stock exchange as well as Secretary General of the China Securities Regulatory Commission. He has held the position of President since 1997. He was Secretary General from 1995-1997, then resumed that role again at the end of last year. Mr. Tu's has a degree in Economics, and has served as a government bureaucrat and regulator since 1984, including a stint at the People's Bank of China. It has been rumored for

more than a year that Mr. Tu would leave his post at the Exchange, but despite the fact that he is again Secretary General, a successor has not been named.

Mr. Tu has been to the States several times, most recently to make a presentation at Harvard University about China's capital markets. Mr. Tu speaks English moderately well, and we have found him to be a very affable interlocutor.

(b)(6)



**History of the Exchange:** Like most of the buildings surrounding it in the Pudong Liujiazui financial district, the stock exchange is newly built, completed in December of 1997. The building, with a hollow center, was designed to resemble an ancient Chinese coin. The trading floor is the largest in Asia and can accommodate 1300 traders. All trading is done electronically through a trading system provided by Hewlett-Packard

The Shanghai Securities Exchanges was established in 1990. It is one of two exchanges in China. A-Shares, reserved for domestic investors, and B-shares for foreigners are traded on the exchange. While the exchange is small by international standards (capitalization of \$193 billion at the end of 1999), its size belies its key role as a catalyst for reform. China's fledgling capital markets play an increasingly important role in meeting the goals of ownership diversification, privatization, enterprise reform, and valuing assets on a market basis. Nearly 20 million individuals own shares in the market, and playing the market is a popular activity among local Shanghainese. The A-share market has done particularly well in 2000, hitting several record highs, while the U.S. dollar denominated B-share market has remained unattractive to international institutional investors because of illiquidity. The At the end of 1999, 460 companies were listed on the exchange



**SECDEF VISIT TO CHINA**  
11 - 15 July 2000  
EVENT SCENARIO

**EVENT:** Speech on Floor of Shanghai Stock Exchange

**DATE and TIME:** Friday, 14 July 2000, 1600 - 1630

**LOCATION:** Shanghai Stock Exchange, 528 South Pudong Road

**UNIFORM:** CLASS A's

**PARTICIPANTS:** Secretary Cohen  
Audience: US delegation and Consulate representatives  
PRC delegation from Beijing  
Shanghai Garrison and Municipal Government officials  
Chinese CEOs of companies listed on Stock Exchange  
American business community in Shanghai  
Local Chinese security and economic scholars  
Stock Exchange executives and traders

**PRESS:** U.S., Chinese, and third country press invited to speech.

**SITE OFFICERS:** (b)(6)

**SCENARIO:**

At 1600, President TU will make welcoming remarks to the Secretary and audience. The Ambassador will then introduce the Secretary. The Secretary will give his speech.

After the speech, there will be a 10 minute Q&A session for audience participants. Press will be present, but will not be permitted to ask questions. This Q&A session will be moderated by ASD Bacon.

After the conclusion of Q&A, the Secretary may take approximately 5 minutes to greet members of the audience, introduced to him by President Tu. During this time, the press will be led to press conference room.

After greeting audience members, the Secretary and ASD Bacon will be led by Mr. Tu and control officer Gaffin to the press conference room on the second floor of the Stock Exchange.

**SECDEF VISIT TO CHINA**

11 - 15 July 2000

**EVENT SCENARIO**

**EVENT:** Press Conference at Shanghai Stock Exchange

**DATE and TIME:** Friday, 14 July 2000, 1640-1710

**LOCATION:** Shanghai Stock Exchange, 2nd Floor Conference Room

**UNIFORM:** CLASS A's

**PARTICIPANTS:** Secretary Cohen, ASD Bacon on stage.  
Audience: Mrs. Cohen and US delegation members, press

**PRESS:** Open press from China, US, and third countries

**SITE OFFICERS:** (b)(6)

B6

**SCENARIO:**

Press is pre-positioned. Secretary and ASD Bacon will be led into the conference room by Stock Exchange Managing Director TU Guangshao and are seated at table on stage in front of room. Mrs. Cohen, accompanied by Ambassador Prueher and other US delegation members, will arrive with the Secretary and be seated in the audience. ASD Bacon will offer brief welcoming remarks. Secretary Cohen may then choose to deliver a prepared statement. ASD Bacon will then open the floor to questions from the press.

After 30 minutes, the conference will end. The Secretary, Mrs. Cohen and the delegation will be escorted out of the conference room by site officer Rich Gaffin. After descending an escalator, the party will say goodbye to Director TU in the main lobby, proceed out of the building to their waiting motorcade and return to Portman Ritz-Carlton Hotel.

**SECDEF VISIT TO CHINA**  
11 - 15 July 2000

**EVENT SCENARIO**

**EVENT:** Arrival at Portman Ritz-Carlton

**DATE and TIME:** Friday, 14 July 2000, 1740

**LOCATION:** Portman Ritz-Carlton Front Entrance

**UNIFORM:** CLASS A's

**PARTICIPANTS:** Secretary and Mrs. Cohen  
US delegation

**GREETED BY:** Mark DeConcinis, Hotel General Manager  
(pronounced Day-kohn-CHEE-nis)  
Alan Hepburn, Hotel Manager

**PRESS:** No press, only hotel photo opportunity on the pavement outside the hotel

**SITE OFFICER:** (b)(6)

B6

**SCENARIO:**

Secretary and Mrs. Cohen disembark from the limousine and are greeted at the entrance of the hotel by Mr. DeConcinis and Mr. Hepburn.

Hotel cameras may be present for the greeting. After brief greetings, Secretary and Mrs. Cohen, will be shown to their Suite by Special Agent Cardella.

Delegation members and staff with room assignments on the 41st Floor will accompany Secretary and Mrs. Cohen. Room keys will be in the doors.

Delegation members and staff with room assignments on other floors (40, 39, 38) will proceed by elevator to the 3rd floor for check-in formalities. Signs directing members and staff to the check-in room will be posted.

**Historic Tour of Shanghai**  
**Friday, 14 July, 1350-1540**

**Purpose:** See historic and modern Shanghai by car and foot, as weather and time permit.

**Contact:** Tess Johnston, perhaps the leading expert on Shanghai's pre-Chinese Revolution architecture.

**Scenario:** Tess will lead you on a tour of one or more of the "four Shanghais," depending on time and preference. Could begin with an optional lecture at the Old China Hand Reading Room, then proceed to:

French Concession

Drive through the streets of Old Frenchtown. Tess points out old villas, stops by a few of them, and relates a bit of their history and anecdotes. Then proceed to Old Town.

Old Town (Cheng Huang Miao)

Drive around the Old Town on the circular road as Tess points out historic and interesting buildings. Optional stop by outdoor antique market. Then drive to waterfront.

The Bund and Suzhou Creek, Consular Row Area

Drive to Friendship Store parking lot and debark. Walk down the Bund as Tess identifies old buildings. Then walk the historic area along Suzhou Creek, as she points out Consular Row, the Astor House, Broadway Mansions, old Post Office, Capitol theatre, etc. Then return to bus via a walk down Museum Road (Huqiu Lu) and view old buildings. Then drive through:

The Hongkou District

View old Jewish ghetto area, Ward Road Jail, Yangpu Bridge, the International Ship Terminal, and the Bund.

**SECDEF VISIT TO CHINA**

11 - 15 July 2000

**EVENT SCENARIO**

**EVENT:** Small dinner with US and Chinese business community

**DATE and TIME:** Friday, 14 July 2000, 1900-2100

**LOCATION:** Portman Ritz-Carlton Hotel, 4th Floor Marble Hall 2

**UNIFORM:** Coat and Tie

**PARTICIPANTS:** Invitation list attached

**GREETED BY:** US Consul General Henry Levine

**PRESS:** None

**SITE OFFICER:** (b)(6) B6

**SCENARIO:**

The Secretary and Mrs. Cohen will act as hosts for this dinner, with participants culled from the Chinese government, US delegation, as well as Shanghai's Chinese and American business community elite.

At 1900, guests will arrive at the Portman and will be offered drinks at a bar stationed outside the Marble Hall 2. The Secretary and Mrs. Cohen will arrive in the Marble Hall and be greeted by CG Levine. The CG will introduce guests individually to the Secretary and Mrs. Cohen as people enjoy cocktails. Guests will then be seated in their pre-arranged seats among round tables. Once all guests are seated, Secretary Cohen will offer a toast and possibly introductory remarks from a podium before dinner begins. An interpreter will be seated behind the Secretary throughout dinner. The Secretary and Mrs. Cohen may feel free to walk around to each table throughout the meal.

At 2100, the dinner will end and the Secretary and Mrs. Cohen will be escorted back to their hotel room after their guests depart.

**SECDEF VISIT TO CHINA**  
11 - 15 July 2000**EVENT SCENARIO**

**EVENT:** Departure from Portman Ritz-Carlton

**DATE and TIME:** Saturday, 15 July 2000, 0740

**LOCATION:** Portman Ritz-Carlton

**UNIFORM:** CLASS A's

**PARTICIPANTS:** Secretary and Mrs. Cohen  
US delegation

**GREETED BY:** Henry Levine, US Consul General  
Mark DeConcinis, Hotel General Manager  
(pronounced Day-kohn-CHEE-nis)  
Shanghai Marine Security Guard Detachment

**PRESS:** None planned; U.S. Consulate photographer present

**SITE OFFICER:** (b)(6) *TS-6*

**SCENARIO:**

Secretary and Mrs. Cohen will be met on their floor (41st) by CG Levine at 0735 and escorted to the Ground Floor. Master Sergeant Danzot of the Marine Security Guard Detachment in Shanghai will greet the Secretary as he exits the elevators and escort him to a quiet corner of the lobby for a photo opportunity with the six-member Detachment. Afterward, Mr. DeConcinis will escort the Secretary and Mrs. Cohen to the front entrance and say farewell. The Secretary and Mrs. Cohen will exit the hotel via the main door to the waiting motorcade. Other delegation and staff will already have boarded vehicles. Once the Secretary and Mrs. Cohen are in their limo, the motorcade will depart the hotel for Hong Qiao airport.

Check out for delegation members and staff will be conducted on the 3rd floor, in the same room as the check-in, between 0630 and 0730. Signs will be posted.

Delegation members and staff with rooms paid by the Ministry of Defense will still need to settle the incidental expense portion (phone, minibar, room service) of their room bills.

Money conversion (Renminbi to U.S. dollars) will be conducted in the Consulate Control Room (#4005) from 0600 to 0730.