



RESEARCH AND
ENGINEERING

(SATNF)

THE UNDER SECRETARY OF DEFENSE

WASHINGTON, D.C. 20301

82 AUG 1985

1307
Y102-1622
#5

Mr. John H. Gibbons
Director
Office of Technology Assessment
600 Pennsylvania Avenue, S.E.
Washington, DC 20510

Dear Mr. Gibbons:

I am responding to your August 16 letter to Deputy Secretary of Defense Taft regarding an Office of Technology Assessment Report on Anti-Satellite Weapons, Countermeasures, and Arms Control.

I regret that it appears that DoD has not been responsive to your requests; however, the fact is that the document you returned to us in July for formal classification review still had many of the classified passages as indicated in the preliminary classification review returned to you on 14 June 1985. Also since the document covered classified material affecting numerous Department of Defense components, the review has been time-consuming.

I am prepared to achieve a resolution of the remaining problems. I have appointed Colonel Joseph Cox, OSD ASAT program monitor, 695-7327, Room 5D136, the Pentagon, as the DoD single point of contact. He will lead a team that is ready to work with the OTA staff. The time to complete the review will be largely determined by the time it takes your staff to edit the passages that were marked in the formal security review that was transmitted to OTA on 16 August 1985 prior to meeting with Colonel Cox.

Page determined to be Unclassified
Reviewed Chief, RDD, WHS
IAW EO 13526, Section 3.5
Date: SEP 25 2019

Sincerely,

Donald A. Hicks

Office of the Secretary of Defense
Chief, RDD, ESD, WHS
Date: 15 SEP 2019 Authority: EO 13526 + 5 USC § 552
Declassify: X Deny in Full: _____
Declassify in Part: _____
Reason: FOIA 5 USC § 552 (b)(3); 10 USC § 424, (b)(3); P.L. 86-36/50 USC § 3605
MDR: 17 -M- 0814

17-M-0814

OSD #16296

MERS



RESEARCH AND
ENGINEERING

(S&TNF)

THE UNDER SECRETARY OF DEFENSE

WASHINGTON, D.C. 20301

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Reviewed Chief, RDD, WHS
IAW EO 13526, Section 3.5
Date: SEP 25 2019

21 AUG 1988

MEMORANDUM FOR ASSISTANT SECRETARY OF DEFENSE (COMMAND,
CONTROL, COMMUNICATIONS, AND INTELLIGENCE)
ASSISTANT SECRETARY OF THE ARMY (RESEARCH,
DEVELOPMENT, AND ACQUISITION)
ASSISTANT SECRETARY OF THE AIR FORCE (RESEARCH,
DEVELOPMENT, AND LOGISTICS)
ASSISTANT TO THE SECRETARY FOR ATOMIC ENERGY
DIRECTOR, DEFENSE ADVANCED RESEARCH PROJECTS
AGENCY
DIRECTOR, DEFENSE INTELLIGENCE AGENCY
DIRECTOR, NATIONAL SECURITY AGENCY
DIRECTOR, STRATEGIC DEFENSE INITIATIVE
ORGANIZATION

SUBJECT: Classification Review of the Office of Technology
Assessment's Report - Anti-Satellite Weapons,
Countermeasures, and Arms Control

The Office of Technology Assessment (OTA) is concerned with
the time involved for the classification review of their report
Anti-Satellite Weapons, Countermeasures, and Arms Control
(Attachment 1). I have informed the OTA (Attachment 2) for
the DEPARTMENT, the document covered classified material affecting
numerous DoD components and the time-consuming review was driven
by their failure to delete information that is classified.

In order to resolve this matter as expeditiously as
possible, I have named Colonel Joe Cox, 695-7327, Room 3D136,
OUSDRE (S&TNF/DS), as a team leader to work with the OTA staff. I
request the expertise of the following personnel to complete this
task:

Agency

Personnel

OASD (C3I)
Army (DACS)
Air Force (RDSD)
Air Force (RD-D)
ATSD (Atomic Energy)
D (DARPA)

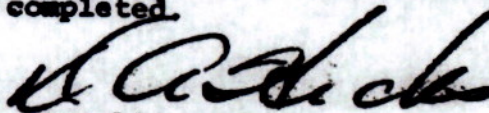
Lt Colonel R. Davis
Colonel E. Brandon
Lt Colonel D. Thomas
Major J. Rouge
Lt Colonel R. Davis
Major G. Losche

D (NSA)
D (SDIO)

Mr. C. Bridewell

DIA FOIA 5 USC 552 (b)(3); 10 USC 5424
NSA FOIA 5 USC 552 (b)(3); P.L. 86-36/50 USC 53605
OSD FOIA 5 USC 552 (b)(3); 10 USC 5424, (b)(3); P.L. 86-36/50 USC 53605

I envision that the team will work with the OTA every afternoon until the task is completed.



Donald A. Hicks

Attachments

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Reviewed Chief, RDD, WHS
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Date: SEP 25 2019

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JOHN G. PATEL, STAFF
JOHN G. PATEL, STAFF

Congress of the United States AUG 20 PM 5:49
OFFICE OF TECHNOLOGY ASSESSMENT
Washington, DC 20310 THE SECRETARY OF DEFENSE

JOHN H. GIBBONS
DIRECTOR

August 16, 1985

The Honorable William H. Taft, IV
Deputy Secretary of Defense
Department of Defense
Room 3E944, The Pentagon
Washington, D.C. 20301

Page determined to be Unclassified
Reviewed Chief, RDD, WHS
IAW EO 13526, Section 3.5
Date: SEP 25 2019

Dear Mr. Taft:

Despite repeated attempts, I have been unable to reach you by telephone to follow up on my letter to you of August 1, 1985. Today I was informed that the OSD Directorate for Freedom of Information and Security Review has completed its formal classification review of the OTA report Antisatellite Weapons, Countermeasures, and Arms Control. That office has identified numerous passages which it believes should be classified but about which OTA has further questions.

Therefore, as my initial letter to you requesting classification review (May 3, 1985, copy enclosed) indicated, it will be necessary to determine exactly what is classified about the passages still in question so compromise language can be developed which conveys essential information without breaching security. OTA has found that this can be accomplished best by meeting directly with individuals who fully understand the classification guidelines and who have sufficient authority to agree to compromise language. To date, the individuals with whom we have dealt regarding the ASAT report have not had such knowledge or authority.

As you know, to reach the current stage of classification review has already taken DOD in excess of three months. During this time, the process seems to have been greatly complicated by the fact that the ASAT report is being reviewed by the OSD Directorate for Freedom of Information and Security Review with OTA. I strongly request that you expedite the conclusion of this review by designating a single point of contact who has decision-making authority and can work with OTA staff to achieve rapid resolution of the remaining problems.

Sincerely,


John H. Gibbons

Enclosures: Letter from Dr. John Gibbons to Hon. William Taft, May 3, 1985
Letter from Mr. Donald Latham to Dr. John Gibbons, July 14, 1985
Memorandum to the Assistant Secretary of Defense (G3I) from Robert J. Monahan, August 15, 1985
Letter from Lt. Col. Randolph Davis to Mr. Richard Balbello

cc: Chairman Sen. Aspin, House Committee on Armed Services

16296

Attachment I



THE UNDER SECRETARY OF DEFENSE

WASHINGTON, D.C. 20301

RESEARCH AND
ENGINEERING

(S&TNE)

23 AUG 1985

Mr. John H. Gibbons
Director
Office of Technology Assessment
600 Pennsylvania Avenue, S.E.
Washington, DC 20510

Dear Mr. Gibbons:

I am responding to your August 16 letter to Deputy Secretary of Defense Taft regarding an Office of Technology Assessment Report on Anti-Satellite Weapons, Countermeasures, and Arms Control.

I regret that it appears that DoD has not been responsive to your requests; however, the fact is that the document you returned to us in July for formal classification review still had many of the classified passages as indicated in the preliminary classification review returned to you on 14 June 1985. Also since the document covered classified material affecting numerous Department of Defense components, the review has been time-consuming.

I am prepared to achieve a resolution of the remaining problems. I have appointed Colonel Joseph Cox, OSD AGM program monitor, 695-7327, Room 3D136, the Pentagon, as the DoD single point of contact. He will lead a team that is ready to work with the OTA staff. The time to complete the review will be largely determined by the time it takes your staff to edit the passages that were marked in the formal security review that was transmitted to OTA on 16 August 1985 prior to meeting with Colonel Cox.

Sincerely,

Donald A. Hicks

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Date: SEP 25 2019

SECRETARY OF DEFENSE ROUTING SLIP		ACT COPY	INFO COPY			ACT COPY	INFO COPY
OFFICE OF THE SECRETARY OF DEFENSE					MILITARY DEPARTMENTS		
SECRETARY OF DEFENSE					SECRETARY OF THE ARMY		
DEPUTY SECRETARY OF DEFENSE					SECRETARY OF THE NAVY		
EXECUTIVE SECRETARY					SECRETARY OF THE AIR FORCE		
PROTOCOL					JOINT CHIEFS OF STAFF		
					CHAIRMAN		
UNDER SECRETARY FOR POLICY					DIRECTOR, JOINT STAFF		
DUSD (Policy)					DEFENSE AGENCIES		
ASD (International Security Affairs)					DEF ADV RSCH PROJ AGENCY		
ASD (International Security Policy)					DEFENSE AUDIOVISUAL AGENCY		
UNDER SECRETARY FOR RESEARCH & ENGR					DEFENSE COMMUNICATIONS AGENCY		
ASD (Research & Technology)					DEFENSE CONTRACT AUDIT AGENCY		
ASD (Acquisition & Logistics)					DEFENSE INTELLIGENCE AGENCY		
ASD (C3I)					DEFENSE INVESTIGATIVE SERVICE		
ASD (Comptroller)					DEFENSE LEGAL SERVICES AGENCY		
DASD (Administration)					DEFENSE LOGISTICS AGENCY		
ASD (Force Management & Personnel)					DEFENSE MAPPING AGENCY		
ASD (Health Affairs)					DEFENSE NUCLEAR AGENCY		
ASD (Legislative Affairs)					DEFENSE SECURITY ASSISTANCE AGENCY		
ASD (Public Affairs)					NSA/CENTRAL SECURITY SERVICE		
ASD (Reserve Affairs)					STRATEGIC DEFENSE INITIATIVE ORG		
GENERAL COUNSEL							
DIRECTOR, COMPLEX TEST & EVALUATION							
DIC, PROGRAM ANALYSIS & EVALUATION					INSPECTOR GENERAL		
TYPE OF ACTION REQUIRED							
PREPARE REPLY FOR SEC OF DEF SIGNATURE		COMMENTS AND/OR RECOMMENDATIONS					
PREPARE REPLY FOR DEP SEC OF DEF SIGNATURE		INFORMATION AND RETENTION					
REPLY DIRECT (Forward copy of reply for Sec of Def records)		COORDINATE REPLY WITH <i>LA, CIE</i>					
APPROPRIATE ACTION							
REMARKS							
<i>Per Col Shahan, signature responsibility changed to USOKE.</i>							
Page determined to be Unclassified Reviewed Chief, RDD, WHS IAW EO 13526, Section 3.5 Date: SEP 25 2019							
ACTION DUE DATE (YYMMDD)		ROUTING DATE (YYMMDD)		OSD CONTROL NUMBER			
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Congress of the United States AUG 20 PM 5 49
 OFFICE OF TECHNOLOGY ASSESSMENT
 WASHINGTON, DC 20570 OFFICE OF THE SECRETARY OF DEFENSE

JOHN H. GIBBONS
 DIRECTOR

August 16, 1985

The Honorable William H. Taft, IV
 Deputy Secretary of Defense
 Department of Defense
 Room 3E944, The Pentagon
 Washington, D.C. 20301

Page determined to be Unclassified
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 Date: SEP 25 2019

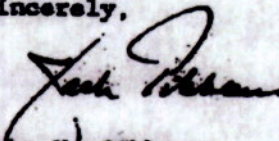
Dear Mr. Taft:

Despite repeated attempts, I have been unable to reach you by telephone to follow up on my letter to you of August 1, 1985. Today I was informed that the OSD Directorate for Freedom of Information and Security Review has completed its formal classification review of the OTA report Antisatellite Weapons, Countermeasures, and Arms Control. That office has identified numerous passages which it believes should be classified but about which OTA has further questions.

Therefore, as my initial letter to you requesting classification review (May 3, 1985, copy enclosed) indicated, it will be necessary to determine exactly what is classified about the passages still in question so compromise language can be developed which conveys essential information without breaching security. OTA has found that this can be accomplished best by meeting directly with individuals who fully understand the classification guidelines and who have sufficient authority to agree to compromise language. To date, the individuals with whom we have dealt regarding the ASAT report have not had such knowledge or authority.

As you know, to reach the current stage of classification review has already taken DOD in excess of three months. During this time, the process seems to have been poorly organized, apparently involving multiple layers of review and the resulting confusion has been a major obstacle to progress with OTA. It strongly recommends that you expedite the conclusion of this review by designating a single point of contact who has decision responsibility and can work with OTA staff to achieve rapid resolution of the remaining problems.

Sincerely,


 John H. Gibbons

Enclosures: Letter from Dr. John Gibbons to Hon. William Taft, May 3, 1985
 Letter from Mr. Donald Latham to Dr. John Gibbons, July 14, 1985
 Memorandum to the Assistant Secretary of Defense (C3I) from Robert J. Monahan, August 15, 1985
 Letter from Lt. Col. Randolph Davis to Mr. Richard Dalbello

cc: Chairman Les Aspin, House Committee on Armed Services

16296

WASHINGTON, DC 20510

May 3, 1985

JOHN H. GARDNER
DIRECTOR

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JOHN W. STEVENS

Page determined to be Unclassified
Reviewed Chief, RDD, WHS
IAW EO 13526, Section 3.5
Date: SEP 25 2019

Dear Mr. Tate:

The Office of Technology Assessment has prepared a draft of a report on Anti-Satellite Weapons, Countermeasures, and Arms Control, in response to requests from the House Armed Services Committee and the Senate Foreign Relations Committee. It is the wish of these committees, and our intention, that the report be published as an unclassified document, with a separate classified annex containing certain supporting data and explanations. To this end, we have prepared a text which we believe to be unclassified. It is accompanied by a number of classified footnotes, which will go into the classified annex.

We request that the Department of Defense review this draft, and advise us on whether the text is indeed unclassified, and whether the footnotes are indeed properly classified. We ask that this review be conducted as expeditiously as possible. In order to facilitate the review process, four copies of the draft are enclosed.

I would appreciate receiving within the next two or three days your estimate of how long it will take the Defense Department to carry out this review.

In the event that your review identifies passages in the text which should be modified in order to avoid classified information, or footnotes which appear to be improperly classified, it would be most helpful to us to receive back a copy of our draft text with specific problems clearly identified. It would also be helpful for our staff to meet on a classified basis with the individuals who had identified the problems in order to work out ways of conveying the essential information without compromising security. In the interests of saving time, we would be happy to hear directly from the office or offices to whom you delegate the task of actual review.

For your information, we are requesting concurrent classification reviews from the other agencies which gave us access to classified information: the Department of Energy, the Central Intelligence Agency, and the U.S. Arms Control & Disarmament Agency.

The OTA point of contact to receive comments and to respond to any questions is the Project Director, Tom Karas. His telephone number is 226-2026. We greatly appreciate your assistance in this matter.

Yours truly,

John H. Gibbons
John H. Gibbons

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Reviewed Chief, RDD, WHS
IAW EO 13526, Section 3.5
Date: SEP 25 2019

~~SECRET~~

ASSISTANT SECRETARY OF DEFENSE

WASHINGTON, D.C. 20301-3040



COMMAND, CONTROL,
COMMUNICATIONS
AND
INTELLIGENCE

14 JUN 1985

Action

Date Rec'd

Info

Sig of

Draft due

Mr. John H. Gibbons
Director, Office of Technology Assessment
680 Pennsylvania Avenue, S.E.
Washington, D.C. 20510

Dear Mr. Gibbons:

A preliminary classification review of the OTA draft report on Anti-Satellite Weapons, Countermeasures, and Arms Control has been completed as requested in your 3 May 1985 letter to Deputy Secretary Taft. Classification problems are indicated in the enclosed comment page and marked-up copy of the report. We understand that members of your staff will be meeting with technical experts in the DoD to work out ways of conveying essential information without compromising security. OTA will also be incorporating changes to the draft recommended by the Department of Energy, the Central Intelligence Agency and the U.S. Arms Control and Disarmament Agency. We look forward, therefore, to receiving your final draft that incorporates these changes so that it may be referred to our Security Review Office for final DoD clearance.

The DoD was not requested to perform a substantive technical review of the draft and our classification review should not be considered as commentary on the substance of the report. However, the draft contains a number of significant technical errors. Also, individuals in the DoD who reviewed the draft have expressed particular concerns that some suggestions in the report, if taken seriously, could benefit the Soviet Union in lieu of the United States due to the closed nature of Soviet society, and that difficulties in verification from a U.S. perspective are not fully discussed.

Sincerely,

Donald C. Latham

Donald C. Latham

DECLASSIFIED IN FULL
Authority: EO 13526
Chief, Records & Declass Div, WHS
Date: SEP 25 2019

Enclosures
As stated

UPON REMOVAL OF ATTACHMENTS THIS
DOCUMENT BECOMES UNCLASSIFIED

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PUBLIC AFFAIRS

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OFFICE OF THE ASSISTANT SECRETARY OF DEFENSE
WASHINGTON, D.C. 20301-1400

AUG 15 1985


Ref: 85-T-1477/1507

MEMORANDUM FOR THE ASSISTANT SECRETARY OF DEFENSE (C-1)
ATTN: Lt. Colonel Randolph R. Davis

SUBJECT: OTA Report, Antisatellite Weapons, Countermasures,
and Arms Control, Chapters 2, 3, 5, 6, and 7;
and Chapter 4

Subject to the deletions in red pencil brackets in the attached copies of the subject documents, we have no objection to their public release.

Again, the Office of the Assistant Secretary of Defense (International Security Policy) has "... noted so many distortions in the description of the policy material that comment seems necessary. The report, which is supposed to be an objective analysis of ASAT arms control, in fact reflects the authors' biases on the subject rather strongly; it consistently overstates the potential benefits and understates the potential risks to U.S. national security of the arms control regimes it discusses."


Robert J. McMahon
Deputy Director, Freedom of Information
and Security Review

Attachment

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Authority: EO 13526
Chief, Records & Declass Div, WHS
Date: SEP 25 2019

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FROM CLASSIFIED ENCLOSURE

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OFFICE OF THE ASSISTANT SECRETARY OF DEFENSE

WASHINGTON, D.C. 20301

COMMAND CONTROL,
COMMUNICATIONS
AND
INTELLIGENCE

16 AUG 1985

Mr. Richard DalBello
Congressional Office of Technology Assessment
600 Pennsylvania Avenue, S.E.
Washington, DC 20510

Dear Mr. DalBello:

Chapters 2-7 for the OTA report on ASAT Weapons, Countermeasures and Arms Control have been reviewed by our Directorate for Freedom of Information and Security Review. There is no objection to public release from a classification standpoint, subject to deletions in red pencil brackets shown on the enclosed copy. Please note the comment concerning the report given in the second paragraph of Security Review's attached memorandum.

Sincerely,

Randolph A. Davis
Randolph A. Davis, Lt Col, USAF

Enclosure 1
As Stated

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Authority: EO 13526
Chief, Records & Declass Div, WHS
Date: SEP 25 2019

Do not remove or attachments
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OFFICE OF THE UNDER SECRETARY OF DEFENSE

WASHINGTON, D C 20301

RESEARCH AND
ENGINEERING

(S&T/F)

COVER BRIEF

21 AUG 1985

TO: UNDER SECRETARY OF DEFENSE FOR RESEARCH AND ENGINEERING

FROM: DEPUTY UNDER SECRETARY (STRATEGIC AND THEATER NUCLEAR FORCES)

SUBJECT: OTA Report Anti-Satellite Weapons, Countermeasures, and Arms Control

DISCUSSION: We have been attempting to "work with" OTA since 3 May 1985 to assist them in publishing an unclassified document. In turn, they returned the 14 June 1985 pre-classification review assessment with many of the same classified passages and are now requesting that we go over point-by-point the 16 August 1985 formal classification review again. This document is far reaching and we will have to accomplish a time-consuming review for classification. While we can appoint a single point-of-contact for this particular effort, support from numerous DoD agencies will be required for the next step in the review.

RECOMMENDATION: That you sign the letter to Mr. Gibbons at TAB A and that you sign the memorandum requesting other agency support at TAB B.

COMMENTS: See Attached

OSD (C3I)

21 AUG 1985

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Reviewed Chief, RDD, WHS
IAW EO 13526, Section 3.5
Date: SEP 25 2019

Prepared by: Colonel J. Cox, USAF, DS, X57327

MRS

OSD 16294



OFFICE OF THE UNDER SECRETARY OF DEFENSE

WASHINGTON D C 20301

21 AUG 75

COVER BRIEF

TO: UNDER SECRETARY OF DEFENSE FOR RESEARCH AND ENGINEERING

FROM: DEPUTY UNDER SECRETARY (STRATEGIC AND THEATER NUCLEAR FORCES)

SUBJECT: OTA Report Anti-Satellite Weapons, Countermeasures, and Arms Control

DISCUSSION: We have been attempting to "work with" OTA since 3 May 1985 to assist them in publishing an unclassified document. In turn, they returned the 14 June 1985 pre-classification review assessment with many of the same classified passages and are now requesting that we go over point-by-point the 16 August 1985 formal classification review again. This document is far reaching and we will have to accomplish a time-consuming review for classification. While we can appoint a single point-of-contact for this particular effort, support from numerous DoD agencies will be required for the next step in the review.

RECOMMENDATION: That you sign the letter to Mr. Gibbons at TAB A and that you sign the memorandum requesting other agency support at TAB B.

COORDINATION OFFICE: 05/01/2011 *Handwritten signature* 05/01/2011 4:48 PM (2)

OSD (C3I)

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IAW EO 13526, Section 3.5
Date: SEP 25 2019

Prepared by: Colonel J. Cox, USAF, DS, X57327