

All redactions in this document utilize exemptions (b)(6) and (b)(7(C)



UNIFORMED SERVICES UNIVERSITY OF THE HEALTH SCIENCES

4301 JONES BRIDGE ROAD BETHESDA, MARYLAND 20814-4712 www.usuhs.mil



Office of the President

13 September 2013

MEMORANDUM FOR (b)(6),(b)(7)(C) MC, USA
DEPUTY DIRECTOR, MILITARY MEDICAL OPERATIONS

SUBJECT: Appointment as Inquiry Officer

- 2. To the extent possible you will obtain sworn statements from the individuals you interview and use DA Form 2823 (Sworn Statement). A Memorandum for Record format is acceptable for interviews that do not provide such information. You will interview all witnesses one-on-one, in person, unless it is not practical, and then, you may do it telephonically.
- 3. The focus of this inquiry is to determine the following:
- a. What was the University's history and relationship with LTC John Hagmann, MC, USA (Ret.), the principle instructor/stakeholder of DMI?
- b. What is the history between the University and DMI, specifically the University's involvement with the OEMS course?
- c. Was the OEMS course properly funded? Did participation in the course comply with Government travel, fiscal, and ethical regulations? Were government employees (to include students) and government facilities properly used by DMI? If not, what did USUHS personnel know about these activities?
- d. At any time, did LTC Hagmann and the MEM department subvert laws and regulations to provide the course?

Learning to Care for Those in Harm's Way

- e. Did LTC Hagmann and/or the instructors of DMI use improper teaching methods, or commit misconduct, illegal acts, medical malpractice, dereliction of standards of care, or other wrongdoing during the OEMS course?
 - f. Were USUHS or other participants in the OEMS course harmed or placed in harm's way?
- 4. There is an ongoing criminal investigation. You will coordinate with LTC (b)(6),(b)(as the liaison with investigative agencies and he will provide the proper coordination. LTC (b)(6),(b)(7) will assist you with the report and will review for legal sufficiency.

5. Submit a final written report with findings of fact, conclusions, and recommendations to me NLT 18 October 2013. Submit any requests for modification of this suspense, or the scope of your investigation to me, through LTC (b)(6),(b)(7)

(b)(6),(b)(7)(C)

Charles L. Rice, MD President

Subject initiation of 15-6

- On this date I met with Mr. and Mr. and Mr. and Mr. at 1400 to discuss my appointment as the IO for a 15-6 investigation at the request of Dr. Charles Rice, USU President. Specifically whether conflicts exist that would preclude me from being the IO.
- The appointment letter dated 13 September was briefly explained. Mr. It then described, and
 provided written guidance in support of, a pattern of potential legal and ethical violations by
 members of the university while engaged in the administration of and or participation in the
 Operational Emergency Medicine Skills course.
- 3. Mr. provided an initial list of individuals to interview and asked if I knew or had a relationship with any of the following people, specifically Col (La) and COL (La) I replied that I did not know either Col (La) or COL (La) but I did know and was friends with her husband (La) (La) I stated that I knew COL (La) (La) Dr (La) (COL (La) and Dr Hagmann. I stated that I had taken the OEMS course as an MS1.

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h. i.	(b)(6),(b)(7)(C)
j.	**
k.	*** Dr. John Hagmann(DMI)

- 4. I added that to better understand the history of the relationship of the university with OEM and Dr Hagmann that I should talk with Dr (A) (MEM) agreed and added Dr (A) to the list. I asked if LTC (A) (MEM) should be interviewed and Mr (A) stated that I could interview anyone I felt may be important to the investigations.
- The meeting closed with instructions to contact LTC (b)(6) (b) to obtain all of the documents that were provided to DCIS while deciding top proceed with a criminal investigation are being considered.
 (b)(6)(b)(7)(C)
- 6. POC for this Memorandum is the undersigned

COL, MC/

(b)(6) (b) Memorandum for Record

- 24 July 2013 Notification by (b)(6) immediate call to USU attorney, (b)(6),(b)
- 24 July 1600 Conference call with Dean and Leadership; students protected; plan to suspend relationship with DMI initiated.
- 25 July 0700 Meeting with Dean and MAJ (1846) to review meeting with Dr. Hagman,
- 25 July 0830 Meeting with Dr. Hagman; MAJ (15) COL (25) in attendance; notified thru Dr. (26) of Potential faculty student inappropriate interactions; Dr. Hagman begins to clear our gear.
- 25 July 0900 Students notified that due to unforeseen circumstances the OEMS course was suspended; all students then interviewed by OSA, Associate Dean for Faculty and Commandant.
- 25 July 1100 MEM faculty notified of above; immediate plans made for contingency course for students.
- 25 July 1400 Meeting with Dr. Rice and selected leaders to include PAO, JAG, External Affairs and Scholar in Residence; immediate discussion on way ahead.
- 25 July 1700 Notified by Dr. Rice of potential irregularities; COL That to return from tdy as Vice Chair of Education to assist in planning and fill in gaps on OEMS.
- 29 July 0700 Met with Dean to discuss new course of action for medical student training; discussed meeting with students.
- 29 July 0800 Student training begins; BLS and ACLS. Discussions cleared with OSA, COL (b)(6), re applicability of training...concurred.
 - 29 July 1300 Myself, Dean and Brigade commander meet with students to discuss events and course of action as SOM leadership.
 - 29 July 1300 All students who attended offsite courses directed to see EHOS to be risk stratified for blood and fluid precautions; training for entire class coordinated for 5 August 8, 2013 at 1300.
 - 30 July 0800 Students briefed by attorney on rights applicable to a DCIS investigation.
 - 1 August 1300 Meeting with Dr. Rice and leadership to review events and course of action; COL (INC.) addresses initial questions on OEMS.
 - 2 August 1300 Call with Seal Team 3 PA to briefly discuss suspension of relationship with DMI; discussed context of advanced procedures with first year students.

5 August 1300 Full class addressed by Dean and myself on current events and plan of action; students notified of ongoing DCIS investigation. Students told of potential inappropriate faculty student interactions.

O: What is DMI?

A:

- Deployment Medicine International; a commercial entity that provides operational medicine training to local, state, and federal entities, as well as national and international companies.
- 2. Website at www.deploymentmedicine.com.
- 3. US Federal customers (from website) include:
- 4. Course sponsors (from website) include:
 - a. American Academy of Family Physicians (AAFP)
 - b. Warrior Training Alliance (Raytheon)
 - c. Service Disabled Veteran Owned Small Business (SDVOSB)
- 5. Accreditations (from website) include:
 - a. Association for Assessment and Accreditation of Laboratory Animal Care International (AAALAC)
 - b. Continuing Education Coordinating Board for Emergency Medical Services (CECBEMS)
- 6. Agencies approving training (from DMI brochure) include:
 - a. DoD Veterinary Services Activity (DODVSA)
 - b. US Army Forces Command (FORSCOM)
 - c. US Department of Agriculture (research certification)
 - d. US Navy Bureau of Medicine and Surgery (8UMED)
 - e. Medical Officer of the US Marine Corps

Q: What is connection between DMI and USU?

A:

- 1. Course fees for students were waived as an official gift to the University
- Student-volunteers participated in the advanced portion of the Operational and Emergency Medical Skills (OEMS) course to obtain advanced training to become leaders for instruction of the entire medical school class the following month
- 3. Student-volunteers were on official duty orders during the training
- 4. The OEMS course is part of the USU medical school curriculum
- 5. The DMI Course Director is:
 - a. NOT a faculty member currently
 - i. Assistant professor 1986-2000
 - b. A retired US Army physician
 - c. A USU alumnus
 - d. Licensed in VA, NOT in MD, NC, WA, CO

Q: How has the OEMS curriculum oversight been carried out (content review, core faculty presence, and formal student feedback)?

A:

- 1. East year, course goals and objectives integrated within 4-year horizontal MEM curriculum reform initiative.
- 2. No faculty presence, either prior or during training experience.

3.	Student feedback
	w long have the OEMS components (advanced and basic) been a part of the USU curriculum and nany students have participated in the past?
1. 2.	the class, thus going to the off-site training as part of the OEMS course
	ve there ever been any concerns with the content of the course or conduct of instructors? dent from last year raised issue of teaching style
	w did the allegations surface? dent participants approached University leadership
	w did University leadership respond (include timeline)?
1 1	Same evening, MEM leadership brings allegations to School of Medicine and University leadership as well as the Office of General Counsel. Decision made to terminate course and expel DMI course instructors from campus to avoid interaction of instructors and students Early 25 July students are assembled and informed course is canceled Individual voluntary interviews conducted by Brigade with students Naval Criminal Investigative Service (NCIS) contacted and arrived AM of 25 July to begin student interviews Chaplain notified Mental health support personnel notified Chair and Faculty of MEM addressed students
Q; W:	hat are students doing now in place of OEMS Course?

A:

		SWORN STATEMENT					
	For use of this form,	see AR 190-45; the proponent agenc	y is PMG.				
	***************************************	PRIVACY ACT STATEMENT					
AUTHORITY:		USC Section 2951; E.O. 9397 Section	al Security Number	(SSN).			
PRINCIPAL PURPOSE:	20 : 19 : 19 : 19 : 19 : 19 : 19 : 19 : 1						
ROUTINE USES:	agencies, prosecutors, courts, chil the Office of Personnel Manageme	or disclosed to federal, state, local, and diprotective services, victims, witnessent. Information provided may be use ministrative disciplinary actions, secutions.	ses, the Department of for determination	nt of Veterans Affairs, and ns regarding judicial or			
DISCLOSURE:	Disclosure of your SSN and other	information is voluntary.					
1, LOCATION		2. DATE (YYYYMMOD)	3. TIME	4 FILE NUMBER			
	niversity, Dept of MEM	2013/09/26	1430	1			
5. LAST NAME, FIRST N		5. SSN	10.000	7. GRADE/STATUS			
(b)(6) (b)				(b)(6),(b)(7			
8. ORGANIZATION OR A							
Uniformed Services U	niversity.						
9.							
(P) (P)		. WANT TO MAKE THE F	OLLOWING STATI	EMENT UNDER OATH:			
Medicine course. (b) this form for my review of investigation this way JAGMAN, and the AF broad question regardi provide a possible con that course in terms of Q Sir, what is the Univ A. John graduated in 1 that time the Section of Dean of which I was the students in operational his 4th year, so I knew Emergency Medicine, them very well. In Joh Medicine and he would General directed spec officer since the Vietna Q Sir, when was the la A. About 2 years ago. A. John was reassigned	wand at a later time he would take wand at a later time he would as and he responded that this is Command Directed Inquiries. In the relationship the Uniform text in which the Operational Funding it. The relationship with John 980 with the Charter class so he Operational Emergency Medice Chair. It was my charge to directly the time of his graduated At the time of his graduation, he is second year of residency I defen have been one of the resial project, I hand-picked him am War to command a division at time you spoke with him	Hagmann? the was a member of a small ground icine at USU was a stand-alone evelop a 4th year course that sairse titled Operational and Emer from USU he went to Ft Lewis he had married another charter of was sent to Ft Lewis to be the Gidents in that Department, Folio to be assigned to the 9th Infantial medical company. I thought	r format and ther y sworn stateme eral, the requirer in interviewing had with Dr. John evolved as well up of select indivinidependent sectisfied a specific gency Medicine, for his internship class student Chair of the Dep wing his gradua ry Division (test that highly of his epartment of Mil	in transcribe these notes into ant. I asked him what category ments of AR15-6, the Navy me was to attempt to answer a in Hagmann. This was needed to as the actual administration of viduals. I know him well. At a ction reporting directly to the eneed to educate MS-4 USU, and I had John as a student in p followed by a residency in and I knew both of partment of Emergency atton, as part of a Surgeon to bed) as the first Medical Corp m. Litary and Emergency			
Military Medicine to b John's academic schoo would serve first as Co University as a civilian 2006 having replaced	by the course of the summer of	ction of Operational and Emergrees spanning all 4 years. From the course under discussion. In ommandant and Brigade Committee to the Office of the Presidents I considered John a good friethat few else have, except perhaps and the considered John and John	1985-1990 I wa 1990 I came bac ander. I retired int (VP of Execu- end and colleagu- aps (La)(6). (I-	is a regular guest lecturer in its and from 1990 to 1994 in 1994, and returned to the tive Affairs) from 2004 to see of mine. Did the University treat Dr.			
3				PAGE 1 OF3 PAGES			
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USE THIS PAGE IF NEEDED. IF THIS PAGE IS NOT NEEDED, PLEASE PROCEED TO FINAL PAGE OF THIS FORM.							
STATEMENT OF	Dr Ch Char		TAKEN AT	USU, MEM	DATED 2	013/09/26	
9. STATEMENT	(Continued)		_				
be hard to get hi Q. How did Dr. A. That there ne was being estable multiple elective were sent on TE in that service; p it was easy to ne his assignment a summer experies professionally a wouldn't have ha Q. How was mile A. When I arrive I believed accoust consequences to L. L. to expla medical students University only number for the p once a week to " (cont) Q. Did John hav A. He didn't bav- off site compone to protect both ti policies and proc Q. Did John hav A. He didn't bav- off site compone to protect both ti policies and proc Q. Did John hav A. He didn't bav- off site compone to protect both ti policies and proc Q. Do you recall A. I do not; I did Q. Do you recall A. As Command in the command me, to the USU in the command me, to the USU in the command in	respected, but he also in to change his way of Hagmann become involved to be a post-MS-lished; therefore the Miss that differed for study order to operational prior service students eset those obligations, but Fort Lewis, he developed by the desired became tighter the thractive opportunity for the difference became tighter the thractive opportunity for the difference of the medical studin the implications of I is and the University. In the three difference on leave, the period they were to be a read the unit e-bulleting and the that course require to the to because for the Mister to that course require that "loosely admit to that course require that to that course require that "loosely admit to that course require that "loosely admit to that course require that "loosely admit to that course require the officers and to protect the mister that the content of the link thave anything to do an investigation into the and control line of the Brigade Commander, it would have been and control line of the Brigade Commander, it with the apparent core department involved icine. I don't know the our opinion be of the a Whoa"; if that was to be detailed prior consider the detailed prior considered the detailed the de	achieving that goal. In lived in the summer experience is summer experience ilitary Medicine Departments with and those was medical elements of could opt for other active experience John offer or prior service students hande was little real militare based upon my experience John offer or prior service students hande was little real militare based upon my experience of the rules we on pass, or on TDY or away from their MDW board." Trules as they pertained in his course, who ing TDY orders. That set the University, men plement current militarinistered travel and a be true. at post MS-1 year OE with the course for the course requested by considered student officiand then to the service to the course requested by considered the service of the course	He was incomperioned within the artment Christinent Christinent Christines such adably averaged, on cannot to expended when ary account artenances at U of this indicated to his sawore all processions of studies; that we specific or military accountable of the control of the c	redibly productive, offered by Military Medicine hairman, COLID- or military Medicine hairman, COLID- or military service, at service to experie has Jump School, I hailable. At some point for prior service structures and at Nack of military accorded that I put in place to medical students at status required the e. Further, students was when I left the tent officers must always when I left the tent officer	Medicine to po curriculum was reated a summer Non-prior servince the role opening School, I at after John's indents. Later, wittractive to the is of operation of dant? The summability. I have a system that we could be outsid officer to design were expected to the summability of	ist MS-1 students recognized as a respective school, a return to USU for when funding for University and medicine they of the MDW. There would protect be the mould protect be the way it's always and ant? The sistent Comman or officers in the mold by called not do that but eviloured in the comman or officers in the mold by called not do that but eviloured and the scademic leadure talking now are	the school imposed of perally ine played ite. Early on ollowing or the a otherwise the Dean oth the otherwise the ctronically asys been." Was not idents to imply the text of an isted that if those ary and idership in the school in the imply the text of an isted that if those ary and idership
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NITIALS OF PERS	SON MAKING STATEMEN	(b)(6),(b)(7)			PAGE	2 OF 3	PAGES

STATEMENT OF	(b)(6),(b)(7)(C)	TAKEN AT	USU, MEM	DATED 2013/09/26
STATEMENT	(Continued)			
With regard to When did the OL(b) A. 16. So, that was bean's Office of bligation on the rovides informs. The University of the University	to the AMA's policy on student get published? 999. n't in place in 1994, and the or the medical student Comme academic part of the Unitation to the Command struity currently doesn't have state corrected. I would be intuined it received. to funding these outside expressed knowledge of waivingulations would be "stupid," received be interested to further are way anything you would like to asy for junior staff to develope funding for elements the become easy to justify disresip needs to recognize this needs of this approach, and ring.	refore was not a standard averand. This document now soversity to formally include acture as to what an outside the policy, your thoughts? erested to see if the LCME periences would you common the fact of "tuition waive" absent it might not appear so to a fact they do not have the aut is for USU to accept gifts be add? The period of th	railable to Course I tands as a policy er appropriate inform body considers "ap had asked about th ent on your knowle vever, as a general at a legal finding th a student who does thority to "accept g oth within existing ude regarding limit " is scarce. When o be "dumb rules th ission-oriented jur	is issue during visits since 1999, and, if it
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ORGANIZATIO	M/M	(b)(6	NC 11	day of October. 201-
	NOR ADDRESS Services University	(b)(6	NC 11	on Administering Oath)

ORGANIZATION OR ADDRESS

INITIALS OF PERSON MAKING STATEMENT

3 PAGES

(Authority To Administer Oaths)

PAGE 3 OF



UNIFORMED SERVICES UNIVERSITY OF THE HEALTH SCIENCES

ARMED FORCES RADIOBIOLOGY RESEARCH INSTITUTE 8901 WISCONSIN AVENUE, BUILDING 42 BETHESDA, MARYLAND 20889-5603



AFRRI-MMO

2 OCT 2013

MEMORANDUM FOR RECORD

SUBJECT: TELEPHONE INTERVIEW WITH (b)(6),(b)(7)(C)

- Reference: Appointment as Investigating officer dated 13 September 2013
- Purpose: To provide information to the President of the University regarding the administration and operation of the Operational Emergency Medicine procedures course operated by Deployment Medicine International and Dr. John Hagmann.
- Format: This MFR will be typed in a question and answer format. It will be reviewed for accuracy and truthfulness by (b)(6),(b)(7) It was provided without coercion and is true and factual.
- 4. On this date at approximately 1400 I contacted (b)(6),(b)(7 at his home telephonically. I introduced myself as the duly appointed investigating officer charged with looking into the administration and operation of the Operational Emergency Medicine course.
- 5. Q. Sir, What is your knowledge of an investigation regarding Dr John Hagmann while you were assigned as Chair, Department of Military Emergency Medicine.
 - A. The investigation had to do with Laryngeal Anesthesia in the posterior pharynx and using dental mirrors visualizing the vocal cords. The Investigating Officer was (b) (b) Chief of Infectious Diseases at WRAMC. He was appointed because Dr Hagmann was and Active Duty LTC at that time. Dr (b) was more concerned about the additional procedure of restricting fluids in the students (taking OEM) and then monitoring them for hypovolemia, increasing specific gravity of urine etc. At the time the approval level was at the department level. A curriculum subcommittee was reviewing what was done and it stuck in my mind that using anesthesia and then viewing the vocal cords was the extent, the students would then intubate a manikin. I don't recall the hypovolemia procedure. Dr Hagmann retired shortly after that I'm not sure of the date perhaps in 95 or 96.

O. How did it end up as a 15-6 then?

A (b) Dr (b) wanted something done to LTC Hagmann and the General Counsel, (b)(6) (b)(7) said you can't do that and if you're accusing an Active Duty officer of misconduct it had better come from a formal investigation. So.(b)(6),(b)(was appointed.

O. Do you have any information about the outcome of that investigation? Did Dr / interview you?

A. Yes he did but I don't recall the content or the outcome. I found out later after b had retired that John was using a website to advertise a course he was selling. He was using USU resources to advance his own program; he purloined USU course material for that.

Q. Why didn't he receive a faculty appointment?

A. Even though he wasn't "guilty" in the 15-6 his OER wasn't going to be good. I had to ride herd over him. He kept toying with things, playing fast and loose while here. He tried to get Army Medical Material command and Telemedicine to source some of his adventures. I had many heated exchanges with him. I told him that I could not recommend him for any job but he needed to find one. I told him he would get a fair and balanced OER but it isn't good. I think that's what led him to retire. I took away all of his responsibility. I got a call after he had retired that was from the lab animal medicine section asking if Dr Hagmann still worked at USU because he was ordering animals through HMJ with an "adjunct professor title". I called Lab

(b)(6 (HMJ President) and asked him about it. He said that he didn't authorize this at all. This was around 97-99 sometime. He was trying to get back into the university then.

Q He came back to the University in the past few years with the DMI course and was teaching again? Do you have any ideas how we could not have lost the corporate memory?

A. People don't document the adverse events; He didn't get a faculty position when it came up for renewal. I wouldn't have allowed that because of his behavior and the Department Chair has that authority and responsibility. Faculty appointment could be a minimum requirement for exposure to students and to prevent loss of corporate knowledge.

Q Do you feel that Dr Hagmann could unduly influence people.

A. He could but it had less effect on me because I had known him since he was a student.

Thank you sir Nothing follows

(b)(6),(b)(7)(C)

(b)(6),(b)(7)(C)