



Foundational Learning



Workflow Learning



Performance Learning

Lesson 6: Small Business Authorities



Apply a Small Business Senior Leader perspective to participate in the development, refinement, and implementation of small business authorities

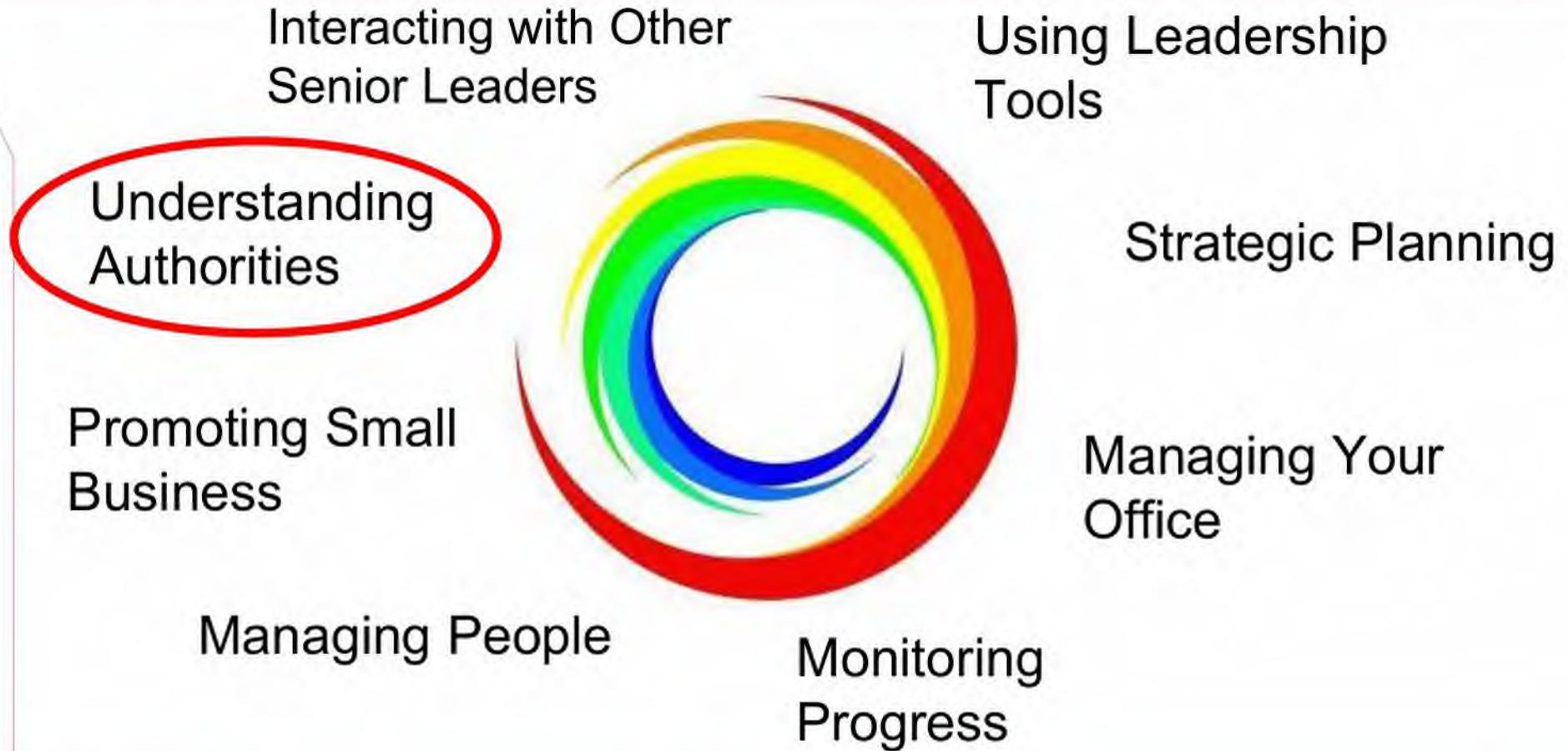


- Identify and describe the origins of the authorities that regulate small-business-related activities Describe the process by which small business authorities are initiated, developed, reviewed, approved, and implemented Review potential authorities to determine possible implications for Offices of Small Business Programs (OSBPs) Develop an implementation plan for potential new authorities





Small Business Senior Leader Leadership Cycle



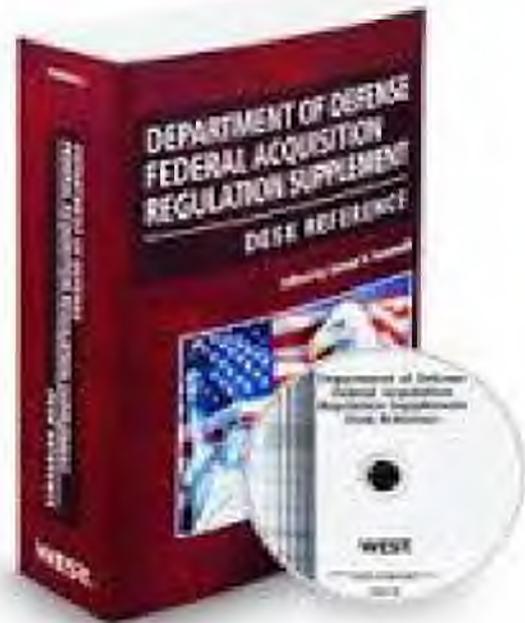


- What kinds of changes have you been part of and what was your role? Did you initiate changes, review proposed changes, interpret proposed or final changes, participate on committees, etc.? What was the most significant challenge you faced involving a change to an authority? How did you resolve it?

Changing Authorities



Main Sources of Authorities



Select Agencies Supplements

National Defense Authorization Act (NDAA)



OSD calls for proposals for NDAA



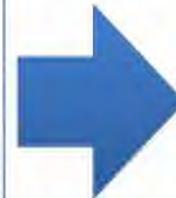
Small Business Leaders, SBPs, and others make suggestions



OSBP and DoD components submit proposals



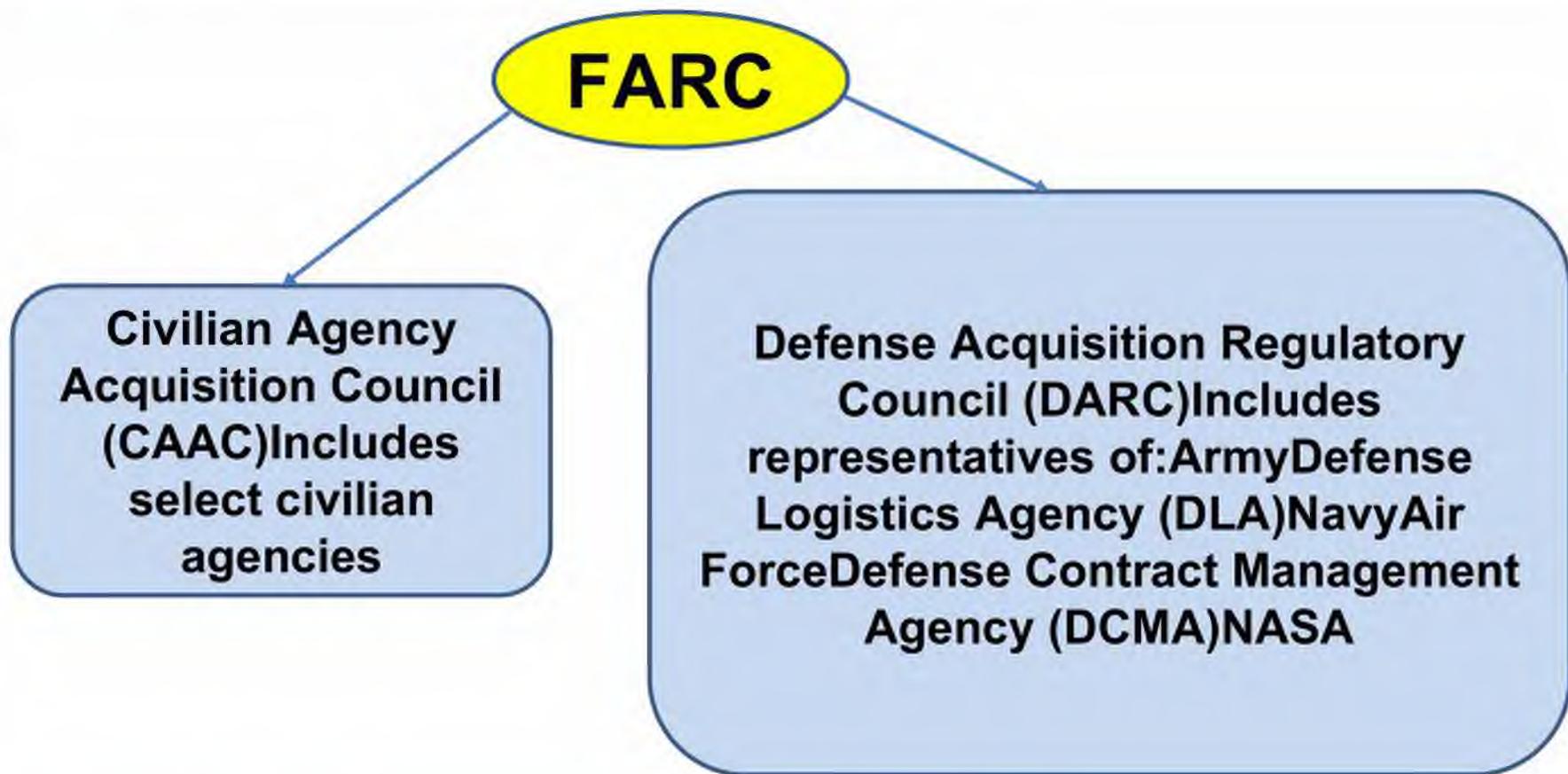
OLC reviews proposals



OMB and Services review proposals



Proposals are submitted to Congress



Changing the FAR: Case Process

A FAR case is established and the FARC's Small Business Team prepares a Draft Rule



DARC and CAAC review



GSA legal review



OFPP review



OIRA clearance



Released for public comment



Draft goes through full second review



FAR Final Rule published



Changing the DFARS: Case Process

A DFARS case is established and the DFARS Small Business Team prepares a Draft Rule



DARC review



OFPP review



OIRA clearance



Released for public comment



Draft goes through full second review



DFARS Final Rule published





6.1: Reviewing and Implementing Small Business Regulatory Changes

As Small Business Senior Leaders, you will:

- Propose and develop regulatory changes
- Review regulatory changes and determine implications
- Communicate with key stakeholders about changes
- Support successful implementation of changes





Activity 6.1 Reviewing and Implementing Small Business Regulatory Changes

**Class Primer: Rothe Case
Example Part A: Proposed
regulatory changes Part B: FAR or
DFARS case**



Rothe Case:
Constitutionality of
Federal Contracting
Programs for Minority-
Owned and Other Small
Businesses



Consider the tools and resources we have reviewed.
Which ones would be applicable for this activity?

- SWOT/PESTLE Stakeholder Mapping Cialdini's Principles of Persuasion Risk Analysis and Risk Management
- Gap Analysis Oz Principle SBI (Situation, Behavior, Impact) Perceptual Positions



Activity 6.1 Reviewing and Implementing Small Business Regulatory Changes

**Part A: Review proposed regulatory changes for potential implications
Formulate a plan to support successful implementation**



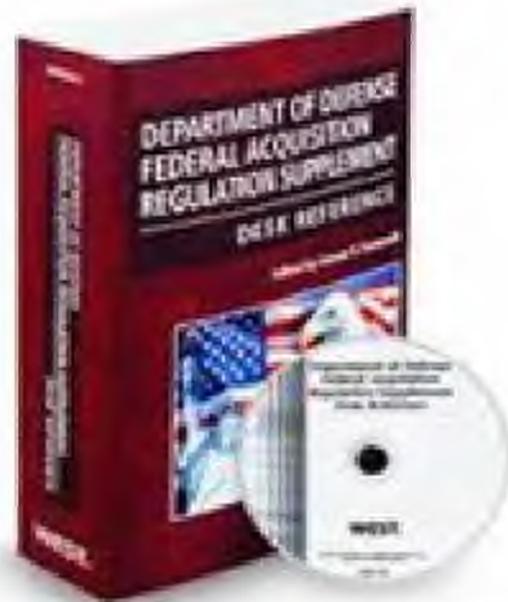
1. What are the key impacts? Who are the internal and external stakeholders and what do they need to know about the proposed regulatory changes? What changes would need to be made to acquisition planning? How would the proposed regulatory change affect goal achievement, reporting, and monitoring? What major questions need to be answered to ensure successful implementation of the proposed regulatory change? How can OSBPs and Small Business Senior Leaders support successful implementation of the proposed regulatory change?





Activity 6.1 Reviewing and Implementing Small Business Regulatory Changes

Part B: Identify and analyze an active FAR or DFARS case that could impact your duties and responsibilities as a senior leader



Activity 6.1, Part B Debrief

- What are the implications of the proposed case? How could you, as a Small Business Senior Leader, support successful implementation?



- Governing authorities are a cornerstone of small business. Understanding the regulatory process is essential to being able to effect change. Small Business Senior Leaders can be the agents for change to move small business forward.



Initial Small Business Senior Leader Roles in Regulatory Change: Propose, Review, and Identify Implications



Sources for Regulatory Changes

Regulatory Change Process



Small Business Senior Leader Support for Successful Implementation





- Why is it important for Small Business Senior Leaders to be proactive about regulatory changes? What steps can you take now to be more prepared to support the implementation of regulatory changes? What other insights do you have from the lesson?