

Message (Digitally Signed)

From: Rood, John C HON OSD OUSD POLICY (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]
Sent: 9/12/2019 2:57:53 PM
To: Sendak, Catherine E SES (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]; Wheelbarger, Kathryn L SES OSD OUSD POLICY (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]; Cooper, Laura K SES OSD OUSD POLICY (US) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]
CC: (b) (6); (b) (6) CIV OSD OUSD POLICY (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]; MAJ USARMY OSD OUSD POLICY (US) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]; (b) (6) CIV OSD OUSD POLICY (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]; OSD Pentagon OUSD Policy List ISA FO [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]; (b) (6) OSD Pentagon OUSD Policy List USDP MAs and SAs [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]; (b) (6) CIV OSD OUSD POLICY (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]; (b) (6) CIV OSD OUSD POLICY (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]
Subject: RE: Update -- USAI funds available for obligation
Attachments: smime.p7s

Very good news. I heard the same from Comptroller. Please move out with DSCA to get the funds obligated.--John--

-----Original Message-----

From: Sendak, Catherine E SES (USA) (b) (6) >
Sent: Thursday, September 12, 2019 10:26 AM
To: Rood, John C HON OSD OUSD POLICY (USA) (b) (6) >; Wheelbarger, Kathryn L SES OSD OUSD POLICY (USA) (b) (6); Cooper, Laura K SES OSD OUSD POLICY (US) (b) (6)
CC: (b) (6) CIV OSD OUSD POLICY (USA) (b) (6); (b) (6) MAJ USARMY OSD OUSD POLICY (US) <(b) (6)>; (b) (6) OSD Pentagon OUSD Policy List ISA FO (b) (6); (b) (6) OSD Pentagon OUSD Policy List USDP MAs and SAs (b) (6); (b) (6) CIV OSD OUSD POLICY (USA) (b) (6); (b) (6) CIV OSD OUSD POLICY (USA) (b) (6)
Subject: Update -- USAI funds available for obligation
Importance: High

Good morning John/Katie --

We have good news on Ukraine -- OGC and Comptroller informed us earlier this morning, they received an apportionment from OMB releasing the Ukraine Security Assistance Initiative (USAI) funding. (b) (5) At this point, there is no explanation on the reason and DSCA is moving to rapidly implement cases it had on hold. The team will push to obligate maximum funds before the end of fiscal year. This news is very timely, in advance of the SecDef call with Ukrainian MinDef Zagorodnyk on Monday, September 16.

Please let us know if you have any further questions and we will keep you posted as we get more information. Thank you!

Best,

Katie

Catherine Sendak
Principal Director
Russia, Ukraine, Eurasia
(b) (6)

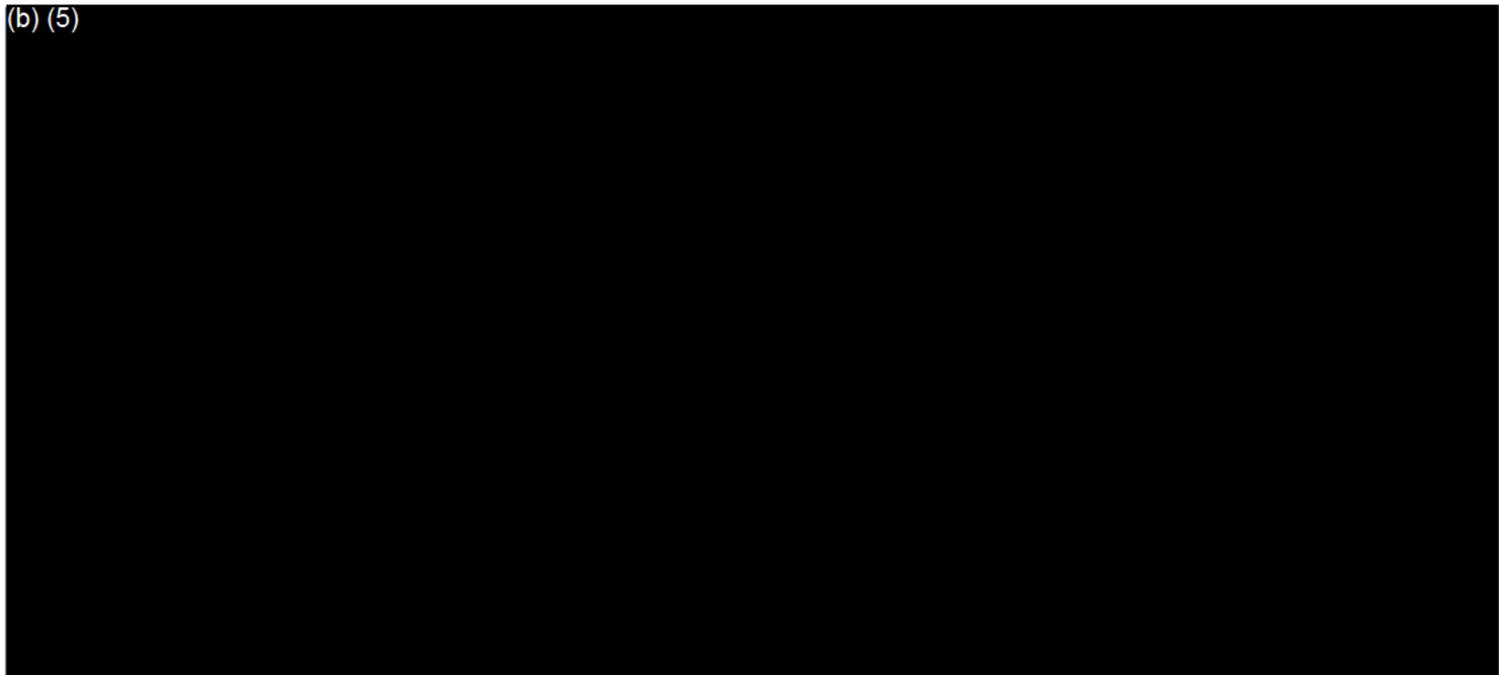
Message

From: Rood, John C HON OSD OUSD POLICY (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]
Sent: 9/25/2019 10:16:14 PM
To: (b) (6) Col USAF OSD OUSD POLICY (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]; (b) (6) CAPT USN OSD OUSD POLICY (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6) L]; (b) (6) LtCol USMC OSD OUSD POLICY (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]; Billingslea, Rachel E (Richmond) SES OSD OUSD POLICY (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]; Trulio, David V SES OSD OUSD POLICY (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]; (b) (6) CIV OSD OUSD POLICY (US) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]; (b) (6) CIV OSD OUSD POLICY (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]; (b) (6) COL USARMY OSD OUSD POLICY (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]; (b) (6) CIV OSD OUSD POLICY (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]; (b) (6) CIV OSD OUSD POLICY (US) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]
Subject: FW: Background on Ukraine for SecDef Thursday press conference
Attachments: USAI FY19 Tranche 2 letters - USDP Signed.pdf

From: Cooper, Laura K SES OSD OUSD POLICY (US)
Sent: Wednesday, September 25, 2019 6:16:07 PM (UTC-05:00) Eastern Time (US & Canada)
To: Rood, John C HON OSD OUSD POLICY (USA); Wheelbarger, Kathryn L SES OSD OUSD POLICY (USA); Anderson, James H HON OSD OUSD POLICY (USA)
Cc: OSD Pentagon OUSD Policy List USDP MAs and SAs; OSD Pentagon OUSD Policy List ISA FO; Trulio, David V SES OSD OUSD POLICY (USA); Sendak, Catherine E SES (USA); (b) (6) CIV OSD OUSD POLICY (USA); OSD Pentagon OUSD Policy List ISA RUE UKR
Subject: Background on Ukraine for SecDef Thursday press conference

John and Katie -

(b) (5)



(b) (5)



Best,
Laura

Laura K. Cooper
Deputy Assistant Secretary of Defense
Russia, Ukraine, Eurasia
Office of the Under Secretary of Defense for Policy
(b) (6)



UNDER SECRETARY OF DEFENSE
2000 DEFENSE PENTAGON
WASHINGTON D.C 20301-2000

MAY 23 2019

POLICY

The Honorable Richard C. Shelby
Chairman
Committee on Appropriations
United States Senate
Washington, DC 20510

Dear Mr. Chairman:

On behalf of the Secretary of Defense, and in coordination with the Secretary of State, I have certified that the Government of Ukraine has taken substantial actions to make defense institutional reforms for the purposes of decreasing corruption, increasing accountability, and sustaining improvements of combat capability enabled by U.S. assistance. An assessment of the actions taken by Ukraine, the remaining areas in need of defense institutional reform, and the methodology used to evaluate this reform are included in this letter. Furthermore, now that this defense institutional reform has occurred, we will use the authority provided by section 1250 of the National Defense Authorization Act (NDAA) for Fiscal Year 2016 (Public Law 114-92), as amended most recently by section 1246 of the John S. McCain NDAA for Fiscal Year 2019 (Public Law 115-232), to support programs in Ukraine further. Implementation of this further support will begin no sooner than 15 days following this notification. This authority will be used to provide appropriate security assistance, including training, equipment, and logistics support, supplies, and services, to the military and other security forces of the Government of Ukraine.

Pursuant to Section 9013 of the Department of Defense (DoD) Appropriations Act, 2019 (division A of Public Law 115-245), we are notifying the committees of this obligation.

Figures provided in this notification reflect estimated quantities and values. These figures may change based on the final price and availability of individual items, but the overall cost will not exceed \$125 million, and the quantity of items will remain consistent with the stated nature and scope of the program.

The primary methodology used to inform this certification was persistent U.S. engagement with Ukraine, including, but not limited to: 1) the Secretary's meetings with Minister of Defense Poltorak; 2) a visit to Kyiv by the U.S. Deputy Assistant Secretary of Defense for Russia, Ukraine, Eurasia; 3) Lieutenant General (Retired) Keith Dayton's bilateral consultations with and participation in Ukraine's Defense Reform Advisory Board in his role as U.S. Senior Defense Advisor on Ukraine; 4) former Secretary of the Navy Dr. Donald Winter's visit to Kyiv in his role a U.S. Senior Defense Industry Advisor; 5) senior level engagements led by the Department of State, including the U.S.-Ukraine Strategic Partnership Commission; 6) U.S. European Command's efforts through the Multinational Joint Commission on Ukraine; 7) the Joint Multinational Training Group – Ukraine training program; and 8) other advisory efforts through the Ministry of Defense Advisors Program, Defense Governance and Management



Team, Cooperative Technology Security Dialogue, and the U.S. Embassy in Kyiv and U.S. Mission to NATO in Brussels.

Through these engagements, the United States has effectively helped Ukraine advance institutional reforms through a number of substantial actions to align Ukraine's defense enterprise more closely with NATO standards and principles. The Ukrainian Government adopted legislation to authorize the Ministry of Defense to conduct direct procurement from international manufacturers, including through the Foreign Military Sales program. Furthermore, to strengthen civilian control of the military, the ministry is making progress toward increasing civilian staff, as most prominently illustrated by the fact that the Minister of Defense is now a civilian. Minister Poltorak also initiated an ambitious program to reform the command and control system in line with Euro-Atlantic principles, which will further strengthen civilian control, and to separate force generation from force employment functions, which will improve the management of Ukraine's forces. Lastly, Ukraine committed in writing to defense industry reforms and requested a Senior Defense Industry Advisor to improve the ability of Ukraine's domestic industry to provide critical material to the Ukrainian armed forces and transform the state-owned enterprise.

Substantial progress has been made on defense reform since 2014, but there remain areas that require significant attention. Although Ukraine has made a commitment to defense industry reforms, increased transparency in acquisition and budgeting will require a sustained effort. DoD is supporting Ukraine with the development of a transformation plan to bring its industry in line with global best practices, which will likely be a multi-year effort. The implementation of a modern human resources management system is another area that still requires attention. Moreover, Ukraine, with U.S. advice and mentoring, continues to mature its processes and procedures to ensure technology security, proper accountability, and end-use controls for U.S.-provided equipment. The United States remains committed to assisting with the implementation of these reforms to bolster Ukraine's ability to defend its territorial integrity in support of a secure and democratic Ukraine.

This notification is provided to meet the requirements of section 1250 of the NDAA for Fiscal Year 2016, as amended. Descriptions of the programs and associated training are enclosed. I am sending identical letters to the other congressional defense committees, the Senate Committee on Foreign Relations, and the House Committee on Foreign Affairs.

Sincerely,



John C. Rood

Enclosures:
As Stated

cc:
The Honorable Patrick J. Leahy
Vice Chairman



UNDER SECRETARY OF DEFENSE
2000 DEFENSE PENTAGON
WASHINGTON, D.C. 20301-2000

MAY 23 2019

POLICY

The Honorable Richard C. Shelby
Chairman
Subcommittee of Defense
Committee on Appropriations
United States Senate
Washington, DC 20510

Dear Mr. Chairman:

I am writing to notify you that the Secretary of Defense, in coordination with the Secretary of State, has certified that the Government of Ukraine has taken substantial actions to make defense institutional reforms. An assessment of the actions taken by Ukraine, the On behalf of the Secretary of Defense, and in coordination with the Secretary of State, I have certified that the Government of Ukraine has taken substantial actions to make defense institutional reforms for the purposes of decreasing corruption, increasing accountability, and sustaining improvements of combat capability enabled by U.S. assistance. An assessment of the actions taken by Ukraine, the remaining areas in need of defense institutional reform, and the methodology used to evaluate this reform are included in this letter. Furthermore, now that this defense institutional reform has occurred, we will use the authority provided by section 1250 of the National Defense Authorization Act (NDAA) for Fiscal Year 2016 (Public Law 114-92), as amended most recently by section 1246 of the John S. McCain NDAA for Fiscal Year 2019 (Public Law 115-232), to support programs in Ukraine further. Implementation of this further support will begin no sooner than 15 days following this notification. This authority will be used to provide appropriate security assistance, including training, equipment, and logistics support, supplies, and services, to the military and other security forces of the Government of Ukraine.

Pursuant to Section 9013 of the Department of Defense (DoD) Appropriations Act, 2019 (division A of Public Law 115-245), we are notifying the committees of this obligation.

Figures provided in this notification reflect estimated quantities and values. These figures may change based on the final price and availability of individual items, but the overall cost will not exceed \$125 million, and the quantity of items will remain consistent with the stated nature and scope of the program.

The primary methodology used to inform this certification was persistent U.S. engagement with Ukraine, including, but not limited to: 1) the Secretary's meetings with Minister of Defense Poltorak; 2) a visit to Kyiv by the U.S. Deputy Assistant Secretary of Defense for Russia, Ukraine, Eurasia; 3) Lieutenant General (Retired) Keith Dayton's bilateral consultations with and participation in Ukraine's Defense Reform Advisory Board in his role as U.S. Senior Defense Advisor on Ukraine; 4) former Secretary of the Navy Dr. Donald Winter's visit to Kyiv in his role a U.S. Senior Defense Industry Advisor; 5) senior level engagements led by the Department of State, including the U.S.-Ukraine Strategic Partnership Commission; 6)

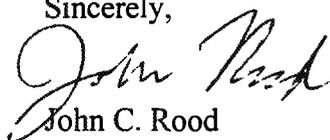


U.S. European Command's efforts through the Multinational Joint Commission on Ukraine; 7) the Joint Multinational Training Group – Ukraine training program; and 8) other advisory efforts through the Ministry of Defense Advisors Program, Defense Governance and Management Team, Cooperative Technology Security Dialogue, and the U.S. Embassy in Kyiv and U.S. Mission to NATO in Brussels.

Through these engagements, the United States has effectively helped Ukraine advance institutional reforms through a number of substantial actions to align Ukraine's defense enterprise more closely with NATO standards and principles. The Ukrainian Government adopted legislation to authorize the Ministry of Defense to conduct direct procurement from international manufacturers, including through the Foreign Military Sales program. Furthermore, to strengthen civilian control of the military, the ministry is making progress toward increasing civilian staff, as most prominently illustrated by the fact that the Minister of Defense is now a civilian. Minister Poltorak also initiated an ambitious program to reform the command and control system in line with Euro-Atlantic principles, which will further strengthen civilian control, and to separate force generation from force employment functions, which will improve the management of Ukraine's forces. Lastly, Ukraine committed in writing to defense industry reforms and requested a Senior Defense Industry Advisor to improve the ability of Ukraine's domestic industry to provide critical material to the Ukrainian armed forces and transform the state-owned enterprise.

Although substantial progress has been made on defense reform since 2014, there remain areas that require significant attention. Although Ukraine has made a commitment to defense industry reforms, increased transparency in acquisition and budgeting will require a sustained effort. DoD is supporting Ukraine with the development of a transformation plan to bring its industry in line with global best practices, which will likely be a multi-year effort. The implementation of a modern human resources management system is another area that still requires attention. Moreover, Ukraine, with U.S. advice and mentoring, continues to mature its processes and procedures to ensure technology security, proper accountability, and end-use controls for U.S.-provided equipment. The United States remains committed to assisting with the implementation of these reforms to bolster Ukraine's ability to defend its territorial integrity in support of a secure and democratic Ukraine.

This notification is provided to meet the requirements of section 1250 of the NDAA for Fiscal Year 2016, as amended. Descriptions of the programs and associated training are enclosed. I am sending identical letters to the other congressional defense committees, the Senate Committee on Foreign Relations, and the House Committee on Foreign Affairs.

Sincerely,

John C. Rood

Enclosures:
As Stated

cc:
The Honorable Richard J. Durbin
Vice Chairman



UNDER SECRETARY OF DEFENSE
2000 DEFENSE PENTAGON
WASHINGTON, D.C. 20301-2000

MAY 23 2019

POLICY

The Honorable Adam Smith
Chairman
Committee on Armed Services
U.S. House of Representatives
Washington, DC 20515

Dear Mr. Chairman:

On behalf of the Secretary of Defense, and in coordination with the Secretary of State, I have certified that the Government of Ukraine has taken substantial actions to make defense institutional reforms for the purposes of decreasing corruption, increasing accountability, and sustaining improvements of combat capability enabled by U.S. assistance. An assessment of the actions taken by Ukraine, the remaining areas in need of defense institutional reform, and the methodology used to evaluate this reform are included in this letter. Furthermore, now that this defense institutional reform has occurred, we will use the authority provided by section 1250 of the National Defense Authorization Act (NDAA) for Fiscal Year 2016 (Public Law 114-92), as amended most recently by section 1246 of the John S. McCain NDAA for Fiscal Year 2019 (Public Law 115-232), to support programs in Ukraine further. Implementation of this further support will begin no sooner than 15 days following this notification. This authority will be used to provide appropriate security assistance, including training, equipment, and logistics support, supplies, and services, to the military and other security forces of the Government of Ukraine.

Pursuant to Section 9013 of the Department of Defense (DoD) Appropriations Act, 2019 (division A of Public Law 115-245), we are notifying the committees of this obligation.

Figures provided in this notification reflect estimated quantities and values. These figures may change based on the final price and availability of individual items, but the overall cost will not exceed \$125 million, and the quantity of items will remain consistent with the stated nature and scope of the program.

The primary methodology used to inform this certification was persistent U.S. engagement with Ukraine, including, but not limited to: 1) the Secretary's meetings with Minister of Defense Poltorak; 2) a visit to Kyiv by the U.S. Deputy Assistant Secretary of Defense for Russia, Ukraine, Eurasia; 3) Lieutenant General (Retired) Keith Dayton's bilateral consultations with and participation in Ukraine's Defense Reform Advisory Board in his role as U.S. Senior Defense Advisor on Ukraine; 4) former Secretary of the Navy Dr. Donald Winter's visit to Kyiv in his role as U.S. Senior Defense Industry Advisor; 5) senior level engagements led by the Department of State, including the U.S.-Ukraine Strategic Partnership Commission; 6) U.S. European Command's efforts through the Multinational Joint Commission on Ukraine; 7) the Joint Multinational Training Group – Ukraine training program; and 8) other advisory efforts through the Ministry of Defense Advisors Program, Defense Governance and Management Team, Cooperative Technology Security Dialogue, and the U.S. Embassy in Kyiv and U.S. Mission to NATO in Brussels.

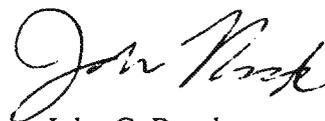


Through these engagements, the United States has effectively helped Ukraine advance institutional reforms through a number of substantial actions to align Ukraine's defense enterprise more closely with NATO standards and principles. The Ukrainian Government adopted legislation to authorize the Ministry of Defense to conduct direct procurement from international manufacturers, including through the Foreign Military Sales program. Furthermore, to strengthen civilian control of the military, the ministry is making progress toward increasing civilian staff, as most prominently illustrated by the fact that the Minister of Defense is now a civilian. Minister Poltorak also initiated an ambitious program to reform the command and control system in line with Euro-Atlantic principles, which will further strengthen civilian control, and to separate force generation from force employment functions, which will improve the management of Ukraine's forces. Lastly, Ukraine committed in writing to defense industry reforms and requested a Senior Defense Industry Advisor to improve the ability of Ukraine's domestic industry to provide critical material to the Ukrainian armed forces and transform the state-owned enterprise.

Although substantial progress has been made on defense reform since 2014, there remain areas that require significant attention. Although Ukraine has made a commitment to defense industry reforms, increased transparency in acquisition and budgeting will require a sustained effort. DoD is supporting Ukraine with the development of a transformation plan to bring its industry in line with global best practices, which will likely be a multi-year effort. The implementation of a modern human resources management system is another area that still requires attention. Moreover, Ukraine, with U.S. advice and mentoring, continues to mature its processes and procedures to ensure technology security, proper accountability, and end-use controls for U.S.-provided equipment. The United States remains committed to assisting with the implementation of these reforms to bolster Ukraine's ability to defend its territorial integrity in support of a secure and democratic Ukraine.

This notification is provided to meet the requirements of section 1250 of the NDAA for Fiscal Year 2016, as amended. Descriptions of the programs and associated training are enclosed. I am sending identical letters to the other congressional defense committees, the Senate Committee on Foreign Relations, and the House Committee on Foreign Affairs.

Sincerely,



John C. Rood

Enclosures:
As Stated

cc:
The Honorable William M. "Mac" Thornberry
Ranking Member



POLICY

UNDER SECRETARY OF DEFENSE
2000 DEFENSE PENTAGON
WASHINGTON, D.C. 20301-2000

MAY 23 2019

The Honorable James M. Inhofe
Chairman
Committee on Armed Services
United States Senate
Washington, DC 20510

Dear Mr. Chairman:

On behalf of the Secretary of Defense, and in coordination with the Secretary of State, I have certified that the Government of Ukraine has taken substantial actions to make defense institutional reforms for the purposes of decreasing corruption, increasing accountability, and sustaining improvements of combat capability enabled by U.S. assistance. An assessment of the actions taken by Ukraine, the remaining areas in need of defense institutional reform, and the methodology used to evaluate this reform are included in this letter. Furthermore, now that this defense institutional reform has occurred, we will use the authority provided by section 1250 of the National Defense Authorization Act (NDAA) for Fiscal Year 2016 (Public Law 114-92), as amended most recently by section 1246 of the John S. McCain NDAA for Fiscal Year 2019 (Public Law 115-232), to support programs in Ukraine further. Implementation of this further support will begin no sooner than 15 days following this notification. This authority will be used to provide appropriate security assistance, including training, equipment, and logistics support, supplies, and services, to the military and other security forces of the Government of Ukraine.

Pursuant to Section 9013 of the Department of Defense (DoD) Appropriations Act, 2019 (division A of Public Law 115-245), we are notifying the committees of this obligation.

Figures provided in this notification reflect estimated quantities and values. These figures may change based on the final price and availability of individual items, but the overall cost will not exceed \$125 million, and the quantity of items will remain consistent with the stated nature and scope of the program.

The primary methodology used to inform this certification was persistent U.S. engagement with Ukraine, including, but not limited to: 1) the Secretary's meetings with Minister of Defense Poltorak; 2) a visit to Kyiv by the U.S. Deputy Assistant Secretary of Defense for Russia, Ukraine, Eurasia; 3) Lieutenant General (Retired) Keith Dayton's bilateral consultations with and participation in Ukraine's Defense Reform Advisory Board in his role as U.S. Senior Defense Advisor on Ukraine; 4) former Secretary of the Navy Dr. Donald Winter's visit to Kyiv in his role as U.S. Senior Defense Industry Advisor; 5) senior level engagements led by the Department of State, including the U.S.-Ukraine Strategic Partnership Commission; 6) U.S. European Command's efforts through the Multinational Joint Commission on Ukraine; 7) the Joint Multinational Training Group – Ukraine training program; and 8) other advisory efforts through the Ministry of Defense Advisors Program, Defense Governance and Management Team, Cooperative Technology Security Dialogue, and the U.S. Embassy in Kyiv and U.S. Mission to NATO in Brussels.



Through these engagements, the United States has effectively helped Ukraine advance institutional reforms through a number of substantial actions to align Ukraine's defense enterprise more closely with NATO standards and principles. The Ukrainian Government adopted legislation to authorize the Ministry of Defense to conduct direct procurement from international manufacturers, including through the Foreign Military Sales program. Furthermore, to strengthen civilian control of the military, the ministry is making progress toward increasing civilian staff, as most prominently illustrated by the fact that the Minister of Defense is now a civilian. Minister Poltorak also initiated an ambitious program to reform the command and control system in line with Euro-Atlantic principles, which will further strengthen civilian control, and to separate force generation from force employment functions, which will improve the management of Ukraine's forces. Lastly, Ukraine committed in writing to defense industry reforms and requested a Senior Defense Industry Advisor to improve the ability of Ukraine's domestic industry to provide critical material to the Ukrainian armed forces and transform the state-owned enterprise.

Although substantial progress has been made on defense reform since 2014, there remain areas that require significant attention. Although Ukraine has made a commitment to defense industry reforms, increased transparency in acquisition and budgeting will require a sustained effort. DoD is supporting Ukraine with the development of a transformation plan to bring its industry in line with global best practices, which will likely be a multi-year effort. The implementation of a modern human resources management system is another area that still requires attention. Moreover, Ukraine, with U.S. advice and mentoring, continues to mature its processes and procedures to ensure technology security, proper accountability, and end-use controls for U.S.-provided equipment. The United States remains committed to assisting with the implementation of these reforms to bolster Ukraine's ability to defend its territorial integrity in support of a secure and democratic Ukraine.

This notification is provided to meet the requirements of section 1250 of the NDAA for Fiscal Year 2016, as amended. Descriptions of the programs and associated training are enclosed. I am sending identical letters to the other congressional defense committees, the Senate Committee on Foreign Relations, and the House Committee on Foreign Affairs.

Sincerely,



John C. Rood

Enclosures:
As Stated

cc:
The Honorable Jack Reed
Ranking Member



UNDER SECRETARY OF DEFENSE
2000 DEFENSE PENTAGON
WASHINGTON, D.C. 20301-2000

MAY 23 2019

POLICY

The Honorable Nita M. Lowey
Chairwoman
Committee on Appropriations
U.S. House of Representatives
Washington, DC 20515

Dear Madam Chairwoman:

On behalf of the Secretary of Defense, and in coordination with the Secretary of State, I have certified that the Government of Ukraine has taken substantial actions to make defense institutional reforms for the purposes of decreasing corruption, increasing accountability, and sustaining improvements of combat capability enabled by U.S. assistance. An assessment of the actions taken by Ukraine, the remaining areas in need of defense institutional reform, and the methodology used to evaluate this reform are included in this letter. Furthermore, now that this defense institutional reform has occurred, we will use the authority provided by section 1250 of the National Defense Authorization Act (NDAA) for Fiscal Year 2016 (Public Law 114-92), as amended most recently by section 1246 of the John S. McCain NDAA for Fiscal Year 2019 (Public Law 115-232), to support programs in Ukraine further. Implementation of this further support will begin no sooner than 15 days following this notification. This authority will be used to provide appropriate security assistance, including training, equipment, and logistics support, supplies, and services, to the military and other security forces of the Government of Ukraine.

Pursuant to Section 9013 of the Department of Defense (DoD) Appropriations Act, 2019 (division A of Public Law 115-245), we are notifying the committees of this obligation.

Figures provided in this notification reflect estimated quantities and values. These figures may change based on the final price and availability of individual items, but the overall cost will not exceed \$125 million, and the quantity of items will remain consistent with the stated nature and scope of the program.

The primary methodology used to inform this certification was persistent U.S. engagement with Ukraine, including, but not limited to: 1) the Secretary's meetings with Minister of Defense Poltorak; 2) a visit to Kyiv by the U.S. Deputy Assistant Secretary of Defense for Russia, Ukraine, Eurasia; 3) Lieutenant General (Retired) Keith Dayton's bilateral consultations with and participation in Ukraine's Defense Reform Advisory Board in his role as U.S. Senior Defense Advisor on Ukraine; 4) former Secretary of the Navy Dr. Donald Winter's visit to Kyiv in his role as U.S. Senior Defense Industry Advisor; 5) senior level engagements led by the Department of State, including the U.S.-Ukraine Strategic Partnership Commission; 6) U.S. European Command's efforts through the Multinational Joint Commission on Ukraine; 7) the Joint Multinational Training Group – Ukraine training program; and 8) other advisory efforts through the Ministry of Defense Advisors Program, Defense Governance and Management Team, Cooperative Technology Security Dialogue, and the U.S. Embassy in Kyiv and U.S. Mission to NATO in Brussels.



Through these engagements, the United States has effectively helped Ukraine advance institutional reforms through a number of substantial actions to align Ukraine's defense enterprise more closely with NATO standards and principles. The Ukrainian Government adopted legislation to authorize the Ministry of Defense to conduct direct procurement from international manufacturers, including through the Foreign Military Sales program. Furthermore, to strengthen civilian control of the military, the ministry is making progress toward increasing civilian staff, as most prominently illustrated by the fact that the Minister of Defense is now a civilian. Minister Poltorak also initiated an ambitious program to reform the command and control system in line with Euro-Atlantic principles, which will further strengthen civilian control, and to separate force generation from force employment functions, which will improve the management of Ukraine's forces. Lastly, Ukraine committed in writing to defense industry reforms and requested a Senior Defense Industry Advisor to improve the ability of Ukraine's domestic industry to provide critical material to the Ukrainian armed forces and transform the state-owned enterprise.

Although substantial progress has been made on defense reform since 2014, there remain areas that require significant attention. Although Ukraine has made a commitment to defense industry reforms, increased transparency in acquisition and budgeting will require a sustained effort. DoD is supporting Ukraine with the development of a transformation plan to bring its industry in line with global best practices, which will likely be a multi-year effort. The implementation of a modern human resources management system is another area that still requires attention. Moreover, Ukraine, with U.S. advice and mentoring, continues to mature its processes and procedures to ensure technology security, proper accountability, and end-use controls for U.S.-provided equipment. The United States remains committed to assisting with the implementation of these reforms to bolster Ukraine's ability to defend its territorial integrity in support of a secure and democratic Ukraine.

This notification is provided to meet the requirements of section 1250 of the NDAA for Fiscal Year 2016, as amended. Descriptions of the programs and associated training are enclosed. I am sending identical letters to the other congressional defense committees, the Senate Committee on Foreign Relations, and the House Committee on Foreign Affairs.

Sincerely,



John C. Rood

Enclosures:
As Stated

cc:
The Honorable Kay Granger
Ranking Member



POLICY

UNDER SECRETARY OF DEFENSE
2000 DEFENSE PENTAGON
WASHINGTON, D C 20301-2000

MAY 23 2019

The Honorable Peter J. Visclosky
Chairman
Subcommittee on Defense
Committee on Appropriations
U.S. House of Representatives
Washington, DC 20515

Dear Mr. Chairman:

On behalf of the Secretary of Defense, and in coordination with the Secretary of State, I have certified that the Government of Ukraine has taken substantial actions to make defense institutional reforms for the purposes of decreasing corruption, increasing accountability, and sustaining improvements of combat capability enabled by U.S. assistance. An assessment of the actions taken by Ukraine, the remaining areas in need of defense institutional reform, and the methodology used to evaluate this reform are included in this letter. Furthermore, now that this defense institutional reform has occurred, we will use the authority provided by section 1250 of the National Defense Authorization Act (NDAA) for Fiscal Year 2016 (Public Law 114-92), as amended most recently by section 1246 of the John S. McCain NDAA for Fiscal Year 2019 (Public Law 115-232), to support programs in Ukraine further. Implementation of this further support will begin no sooner than 15 days following this notification. This authority will be used to provide appropriate security assistance, including training, equipment, and logistics support, supplies, and services, to the military and other security forces of the Government of Ukraine.

Pursuant to Section 9013 of the Department of Defense (DoD) Appropriations Act, 2019 (division A of Public Law 115-245), we are notifying the committees of this obligation.

Figures provided in this notification reflect estimated quantities and values. These figures may change based on the final price and availability of individual items, but the overall cost will not exceed \$125 million, and the quantity of items will remain consistent with the stated nature and scope of the program.

The primary methodology used to inform this certification was persistent U.S. engagement with Ukraine, including, but not limited to: 1) the Secretary's meetings with Minister of Defense Poltorak; 2) a visit to Kyiv by the U.S. Deputy Assistant Secretary of Defense for Russia, Ukraine, Eurasia; 3) Lieutenant General (Retired) Keith Dayton's bilateral consultations with and participation in Ukraine's Defense Reform Advisory Board in his role as U.S. Senior Defense Advisor on Ukraine; 4) former Secretary of the Navy Dr. Donald Winter's visit to Kyiv in his role a U.S. Senior Defense Industry Advisor; 5) senior level engagements led by the Department of State, including the U.S.-Ukraine Strategic Partnership Commission; 6) U.S. European Command's efforts through the Multinational Joint Commission on Ukraine; 7) the Joint Multinational Training Group – Ukraine training program; and 8) other advisory efforts through the Ministry of Defense Advisors Program, Defense Governance and Management Team, Cooperative Technology Security Dialogue, and the U.S. Embassy in Kyiv and U.S. Mission to NATO in Brussels.



Through these engagements, the United States has effectively helped Ukraine advance institutional reforms through a number of substantial actions to align Ukraine's defense enterprise more closely with NATO standards and principles. The Ukrainian Government adopted legislation to authorize the Ministry of Defense to conduct direct procurement from international manufacturers, including through the Foreign Military Sales program. Furthermore, to strengthen civilian control of the military, the ministry is making progress toward increasing civilian staff, as most prominently illustrated by the fact that the Minister of Defense is now a civilian. Minister Poltorak also initiated an ambitious program to reform the command and control system in line with Euro-Atlantic principles, which will further strengthen civilian control, and to separate force generation from force employment functions, which will improve the management of Ukraine's forces. Lastly, Ukraine committed in writing to defense industry reforms and requested a Senior Defense Industry Advisor to improve the ability of Ukraine's domestic industry to provide critical material to the Ukrainian armed forces and transform the state-owned enterprise.

Although substantial progress has been made on defense reform since 2014, there remain areas that require significant attention. Although Ukraine has made a commitment to defense industry reforms, increased transparency in acquisition and budgeting will require a sustained effort. DoD is supporting Ukraine with the development of a transformation plan to bring its industry in line with global best practices, which will likely be a multi-year effort. The implementation of a modern human resources management system is another area that still requires attention. Moreover, Ukraine, with U.S. advice and mentoring, continues to mature its processes and procedures to ensure technology security, proper accountability, and end-use controls for U.S.-provided equipment. The United States remains committed to assisting with the implementation of these reforms to bolster Ukraine's ability to defend its territorial integrity in support of a secure and democratic Ukraine.

This notification is provided to meet the requirements of section 1250 of the NDAA for Fiscal Year 2016, as amended. Descriptions of the programs and associated training are enclosed. I am sending identical letters to the other congressional defense committees, the Senate Committee on Foreign Relations, and the House Committee on Foreign Affairs.

Sincerely,



John C. Rood

Enclosures:
As Stated

cc:
The Honorable Ken Calvert
Ranking Member



UNDER SECRETARY OF DEFENSE
2000 DEFENSE PENTAGON
WASHINGTON, D.C. 20301-2000

MAY 23 2019

POLICY

The Honorable Eliot L. Engel
Chairman
Committee on Foreign Affairs
U.S. House of Representatives
Washington, DC 20515

Dear Mr. Chairman:

On behalf of the Secretary of Defense, and in coordination with the Secretary of State, I have certified that the Government of Ukraine has taken substantial actions to make defense institutional reforms for the purposes of decreasing corruption, increasing accountability, and sustaining improvements of combat capability enabled by U.S. assistance. An assessment of the actions taken by Ukraine, the remaining areas in need of defense institutional reform, and the methodology used to evaluate this reform are included in this letter. Furthermore, now that this defense institutional reform has occurred, we will use the authority provided by section 1250 of the National Defense Authorization Act (NDAA) for Fiscal Year 2016 (Public Law 114-92), as amended most recently by section 1246 of the John S. McCain NDAA for Fiscal Year 2019 (Public Law 115-232), to support programs in Ukraine further. Implementation of this further support will begin no sooner than 15 days following this notification. This authority will be used to provide appropriate security assistance, including training, equipment, and logistics support, supplies, and services, to the military and other security forces of the Government of Ukraine.

Pursuant to Section 9013 of the Department of Defense (DoD) Appropriations Act, 2019 (division A of Public Law 115-245), we are notifying the committees of this obligation.

Figures provided in this notification reflect estimated quantities and values. These figures may change based on the final price and availability of individual items, but the overall cost will not exceed \$125 million, and the quantity of items will remain consistent with the stated nature and scope of the program.

The primary methodology used to inform this certification was persistent U.S. engagement with Ukraine, including, but not limited to: 1) the Secretary's meetings with Minister of Defense Poltorak; 2) a visit to Kyiv by the U.S. Deputy Assistant Secretary of Defense for Russia, Ukraine, Eurasia; 3) Lieutenant General (Retired) Keith Dayton's bilateral consultations with and participation in Ukraine's Defense Reform Advisory Board in his role as U.S. Senior Defense Advisor on Ukraine; 4) former Secretary of the Navy Dr. Donald Winter's visit to Kyiv in his role as a U.S. Senior Defense Industry Advisor; 5) senior level engagements led by the Department of State, including the U.S.-Ukraine Strategic Partnership Commission; 6) U.S. European Command's efforts through the Multinational Joint Commission on Ukraine; 7) the Joint Multinational Training Group – Ukraine training program; and 8) other advisory efforts through the Ministry of Defense Advisors Program, Defense Governance and Management Team, Cooperative Technology Security Dialogue, and the U.S. Embassy in Kyiv and U.S. Mission to NATO in Brussels.

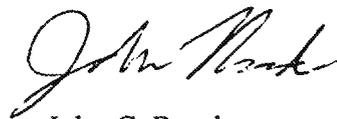


Through these engagements, the United States has effectively helped Ukraine advance institutional reforms through a number of substantial actions to align Ukraine's defense enterprise more closely with NATO standards and principles. The Ukrainian Government adopted legislation to authorize the Ministry of Defense to conduct direct procurement from international manufacturers, including through the Foreign Military Sales program. Furthermore, to strengthen civilian control of the military, the ministry is making progress toward increasing civilian staff, as most prominently illustrated by the fact that the Minister of Defense is now a civilian. Minister Poltorak also initiated an ambitious program to reform the command and control system in line with Euro-Atlantic principles, which will further strengthen civilian control, and to separate force generation from force employment functions, which will improve the management of Ukraine's forces. Lastly, Ukraine committed in writing to defense industry reforms and requested a Senior Defense Industry Advisor to improve the ability of Ukraine's domestic industry to provide critical material to the Ukrainian armed forces and transform the state-owned enterprise.

Although substantial progress has been made on defense reform since 2014, there remain areas that require significant attention. Although Ukraine has made a commitment to defense industry reforms, increased transparency in acquisition and budgeting will require a sustained effort. DoD is supporting Ukraine with the development of a transformation plan to bring its industry in line with global best practices, which will likely be a multi-year effort. The implementation of a modern human resources management system is another area that still requires attention. Moreover, Ukraine, with U.S. advice and mentoring, continues to mature its processes and procedures to ensure technology security, proper accountability, and end-use controls for U.S.-provided equipment. The United States remains committed to assisting with the implementation of these reforms to bolster Ukraine's ability to defend its territorial integrity in support of a secure and democratic Ukraine.

This notification is provided to meet the requirements of section 1250 of the NDAA for Fiscal Year 2016, as amended. Descriptions of the programs and associated training are enclosed. I am sending identical letters to the congressional defense committees and the Senate Committee on Foreign Relations.

Sincerely,



John C. Rood

Enclosures:
As Stated

cc:
The Honorable Michael McCaul
Ranking Member



POLICY

UNDER SECRETARY OF DEFENSE
2000 DEFENSE PENTAGON
WASHINGTON, D.C. 20301-2000

MAY 23 2019

The Honorable James E. Risch
Chairman
Committee on Foreign Relations
United States Senate
Washington, DC 20510

Dear Mr. Chairman:

On behalf of the Secretary of Defense, and in coordination with the Secretary of State, I have certified that the Government of Ukraine has taken substantial actions to make defense institutional reforms for the purposes of decreasing corruption, increasing accountability, and sustaining improvements of combat capability enabled by U.S. assistance. An assessment of the actions taken by Ukraine, the remaining areas in need of defense institutional reform, and the methodology used to evaluate this reform are included in this letter. Furthermore, now that this defense institutional reform has occurred, we will use the authority provided by section 1250 of the National Defense Authorization Act (NDAA) for Fiscal Year 2016 (Public Law 114-92), as amended most recently by section 1246 of the John S. McCain NDAA for Fiscal Year 2019 (Public Law 115-232), to support programs in Ukraine further. Implementation of this further support will begin no sooner than 15 days following this notification. This authority will be used to provide appropriate security assistance, including training, equipment, and logistics support, supplies, and services, to the military and other security forces of the Government of Ukraine.

Pursuant to Section 9013 of the Department of Defense (DoD) Appropriations Act, 2019 (division A of Public Law 115-245), we are notifying the committees of this obligation.

Figures provided in this notification reflect estimated quantities and values. These figures may change based on the final price and availability of individual items, but the overall cost will not exceed \$125 million, and the quantity of items will remain consistent with the stated nature and scope of the program.

The primary methodology used to inform this certification was persistent U.S. engagement with Ukraine, including, but not limited to: 1) the Secretary's meetings with Minister of Defense Poltorak; 2) a visit to Kyiv by the U.S. Deputy Assistant Secretary of Defense for Russia, Ukraine, Eurasia; 3) Lieutenant General (Retired) Keith Dayton's bilateral consultations with and participation in Ukraine's Defense Reform Advisory Board in his role as U.S. Senior Defense Advisor on Ukraine; 4) former Secretary of the Navy Dr. Donald Winter's visit to Kyiv in his role as a U.S. Senior Defense Industry Advisor; 5) senior level engagements led by the Department of State, including the U.S.-Ukraine Strategic Partnership Commission; 6) U.S. European Command's efforts through the Multinational Joint Commission on Ukraine; 7) the Joint Multinational Training Group – Ukraine training program; and 8) other advisory efforts through the Ministry of Defense Advisors Program, Defense Governance and Management Team, Cooperative Technology Security Dialogue, and the U.S. Embassy in Kyiv and U.S. Mission to NATO in Brussels.

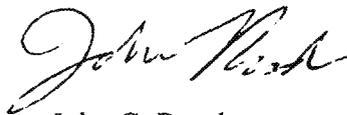


Through these engagements, the United States has effectively helped Ukraine advance institutional reforms through a number of substantial actions to align Ukraine's defense enterprise more closely with NATO standards and principles. The Ukrainian Government adopted legislation to authorize the Ministry of Defense to conduct direct procurement from international manufacturers, including through the Foreign Military Sales program. Furthermore, to strengthen civilian control of the military, the ministry is making progress toward increasing civilian staff, as most prominently illustrated by the fact that the Minister of Defense is now a civilian. Minister Poltorak also initiated an ambitious program to reform the command and control system in line with Euro-Atlantic principles, which will further strengthen civilian control, and to separate force generation from force employment functions, which will improve the management of Ukraine's forces. Lastly, Ukraine committed in writing to defense industry reforms and requested a Senior Defense Industry Advisor to improve the ability of Ukraine's domestic industry to provide critical material to the Ukrainian armed forces and transform the state-owned enterprise.

Although substantial progress has been made on defense reform since 2014, there remain areas that require significant attention. Although Ukraine has made a commitment to defense industry reforms, increased transparency in acquisition and budgeting will require a sustained effort. DoD is supporting Ukraine with the development of a transformation plan to bring its industry in line with global best practices, which will likely be a multi-year effort. The implementation of a modern human resources management system is another area that still requires attention. Moreover, Ukraine, with U.S. advice and mentoring, continues to mature its processes and procedures to ensure technology security, proper accountability, and end-use controls for U.S.-provided equipment. The United States remains committed to assisting with the implementation of these reforms to bolster Ukraine's ability to defend its territorial integrity in support of a secure and democratic Ukraine.

This notification is provided to meet the requirements of section 1250 of the NDAA for Fiscal Year 2016, as amended. Descriptions of the programs and associated training are enclosed. I am sending identical letters to the congressional defense committees and the House Committee on Foreign Affairs.

Sincerely,



John C. Rood

Enclosures:
As Stated

cc:
The Honorable Robert Menendez
Ranking Member

Message

From: Rood, John C HON OSD OUSD POLICY (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]
Sent: 9/25/2019 4:22:36 PM
To: (b) (6) Col USAF OSD OUSD POLICY (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]; CAPT USN OSD OUSD POLICY (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]; LtCol USMC OSD OUSD POLICY (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]; Billingslea, Rachel E (Richmond) SES OSD OUSD POLICY (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]; Trulio, David V SES OSD OUSD POLICY (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]; (b) (6) CIV OSD OUSD POLICY (US) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]; CIV OSD OUSD POLICY (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]; COL USARMY OSD OUSD POLICY (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]; CIV OSD OUSD POLICY (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]; CIV OSD OUSD POLICY (US) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)];
Subject: FW: SecDef Trip Item: "Pentagon chief says he emphasized anti-corruption in call with Ukrainian counterpart" (Reuters)

From: Hoffman, Jonathan R SES OSD OSD (USA)
Sent: Wednesday, September 25, 2019 12:22:35 PM (UTC-05:00) Eastern Time (US & Canada)
To: Eric SES SD Chewing; Johnson, Justin SES SD; Rood, John C HON OSD OUSD POLICY (USA); Hood, Robert R HON OSD OASD LA (US); (b) (6); Wheelbarger, Kathryn L SES OSD OUSD POLICY (USA)
Subject: Fwd: SecDef Trip Item: "Pentagon chief says he emphasized anti-corruption in call with Ukrainian counterpart" (Reuters)

For awareness.

Subject: SecDef Trip Item: "Pentagon chief says he emphasized anti-corruption in call with Ukrainian counterpart" (Reuters)

Pentagon chief says he emphasized anti-corruption in call with Ukrainian counterpart
Reuters | Idrees Ali

Norfolk, VA - U.S. Defense Secretary Mark Esper said on Wednesday that he had told his Ukrainian counterpart in a recent call that it was important for Kiev to deal with corruption, but declined to comment on a dramatic political showdown in Washington that threatens President Donald Trump's presidency.

Democrats in the U.S. House of Representatives on Tuesday launched a formal impeachment inquiry into President Donald Trump, accusing him of seeking foreign help to smear Democratic rival Joe Biden ahead of next year's election.

Esper told reporters that he had spoken with his Ukrainian counterpart a week or two ago and

told him it was important for Ukraine to deal with corruption. He said he also emphasized the need for European allies to carry the burden.

Asked whether a White House decision to withhold military aid to Ukraine had harmed national security, Esper said: "I'm not going to get into any of that right now... As I've said on many occasions, I'm trying to keep (the Pentagon) out of politics and obviously that is all the news today."

(b) (6)

Office of the Assistant to the Secretary of Defense for Public Affairs
Media Analysis (OMNITEC Solutions, Inc.)

Desk: (b) (6)

Administration officials were instructed to tell lawmakers that the delays were part of an “interagency process” but to give them no additional information — a pattern that continued for nearly two months, until the White House released the funds on the night of Sept. 11.

Trump’s order to withhold aid to Ukraine a week before his July 25 call with Volodymyr Zelensky is likely to raise questions about the motivation for his decision and fuel suspicions on Capitol Hill that Trump sought to leverage congressionally approved aid to damage a political rival. The revelation comes as lawmakers clash with the White House over a related whistleblower complaint made by an intelligence official alarmed by Trump’s actions — and as House Speaker Nancy Pelosi (D-Calif.) is said to be [exploring](#) whether it’s time to allow impeachment proceedings.

What Trump has said about his Ukraine conversations

After initially declining to discuss his conversations with Ukraine, President Trump on Sept. 22 appeared to acknowledge the two leaders discussed Joe Biden. (JM Rieger/The Washington Post)

Trump denies explicitly tying U.S. military aid to demand for Ukrainian probe of Biden

Republican senators on the Senate Appropriations Committee said Sept. 12 that the aid to Ukraine had been held up while the Trump administration explored whether Zelensky, the country’s new president, was pro-Russian or pro-Western. They said the White House decided to release the aid after Sen. Richard J. Durbin (D-Ill.) threatened to freeze \$5 billion in Pentagon funding for next year unless the money for 2019 was distributed. One senior administration official said Monday that Trump’s decision to hold back the funds was based on his concerns about there being “a lot of corruption in Ukraine” and that the determination to release the money was motivated by the fiscal year’s looming close on Sept. 30.

There was concern within the administration that if they did not spend the money, they would run afoul of the law, this official said, noting that, eventually, Trump gave the OMB’s acting director, Russell Vought, permission to release the money. The official emphatically denied that there was any link between blocking the aid and pressing Zelensky into investigating the Bidens, stating: “It had nothing to do with a quid pro quo.” But on Capitol Hill, Democrats were calling for an investigation of what they viewed as potential “extortion,” as Sen. Robert Menendez (N.J.), the Senate Foreign Relations Committee’s ranking Democrat, put it Monday. Trump, he said, is trying to “reshape American foreign policy” to advance his personal and political goals. “I don’t think it really matters . . . whether the president explicitly told the Ukrainians that they wouldn’t get their security aid if they didn’t interfere in the 2020 elections,” said Sen. Chris Murphy (D-Conn.). “There is an implicit threat in every demand that a United States president makes of a foreign power. . . . That foreign country knows that if they don’t do it, there are likely to be consequences.”

Trump administration again pushes limits of authority in shielding whistleblower complaint from Congress

Trump on Monday repeated his denial of doing anything improper and insisted that his July 25 conversation with Zelensky was “a perfect phone call.” He also hinted that he may release a transcript of it.

White House spokesman Hogan Gidley rejected claims that Trump was engaged in a quid pro quo. “But because the media wants this story to be true so badly, they’ll once again manufacture a frenzy and drive ignorant, fake stories to attack this president,” Gidley said.

It appears the Ukrainian leader came away from the discussion with a different impression. Murphy, who spoke with Zelensky during an early September visit to Ukraine, said Monday that the Ukrainian president “directly” expressed concerns at their meeting that “the aid that was being cut off to Ukraine by the president was a consequence” of his unwillingness to launch an investigation into the Bidens.

Hunter Biden served for nearly five years on the board of Burisma, Ukraine’s largest private gas company, whose owner came under scrutiny by Ukrainian prosecutors for possible abuse of power and unlawful enrichment. Hunter Biden was not accused of any wrongdoing in the investigation. As vice president, Joe Biden pressured Ukraine to fire its top prosecutor, Viktor Shokin, who Biden and other Western officials said was not sufficiently pursuing corruption cases — at one point, threatening to withhold \$1 billion in loan guarantees. At the time, the investigation into Burisma was dormant, according to former Ukrainian and U.S. officials.

Trump's allies have frequently said he has been better about distributing military aid, and specifically lethal aid, to Ukraine than his predecessor. Yet according to Democratic and Republican aides, no administration has withheld funds as long and as mysteriously as the Trump administration did this year since the United States began helping Ukraine fend off Russian-backed separatists in the country's eastern provinces.

Congressional officials were notified twice this year, on Feb. 28 and again on May 23, that the administration intended to release large tranches of military aid to Ukraine. Congress approved two large pots of military aid for Ukraine during fiscal 2019: \$250 million, to be managed by the Pentagon, for equipment such as sniper rifles, counter-artillery radar systems, ammunition and grenade launchers; and \$141 million, to be funneled through the State Department, for maritime security, NATO interoperability and various initiatives to help Ukraine's military fend off Russian aggression.

Despite those notifications, the money was not transmitted until this month.

According to administration officials, discussions about Ukrainian aid began in June. Withholding aid from foreign governments is something the president has frequently requested, such as with Central American countries when he believed they were not doing their part to help the United States with immigrants amassing at the southern border.

Former national security adviser John Bolton wanted to release the money to Ukraine because he thought it would help the country while curtailing Russian aggression. But Trump has said he was primarily concerned with corruption.

"It's very important to talk about corruption," Trump told reporters. "If you don't talk about corruption, why would you give money to a country that you think is corrupt? Besides Bolton, several other administration officials said they did not know why the aid was being canceled or why a meeting was not being scheduled. The decision was communicated to State and Defense officials on July 18, officials familiar with the meeting said.

By mid-August, lawmakers were acutely aware that the OMB had assumed all decision-making authority from the Defense and State departments, and was delaying the distribution of the aid through a series of short-term notices. Several congressional officials questioned whether the OMB had the legal authority to direct federal agencies not to spend money that Congress had already authorized, aides said.

Spokespeople for the Pentagon and the State Department declined to comment.

Mid-August is also when a whistleblower from the intelligence community filed a complaint regarding Trump and Ukraine to Intelligence Community Inspector General Michael Atkinson. Atkinson informed the House and Senate intelligence committees of the complaint's existence Sept. 9 — the same day three House committees launched an investigation to determine whether Trump and his lawyer, Rudolph W. Giuliani, had improperly pushed Ukraine to investigate the Bidens.

Capitol Hill has not been briefed on the details of the whistleblower complaint, on orders of the acting director of national intelligence, Joseph Maguire, who after consulting with the Justice Department and the White House declined to transmit the complaint to lawmakers. On Thursday, Maguire is set to testify publicly before the House Intelligence Committee and in a closed session before the Senate Intelligence Committee.

Shane Harris, Anne Gearan and Paul Sonne contributed to this report.

Message

From: Rood, John C HON OSD OUSD POLICY (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]
Sent: 8/30/2019 3:29:31 PM
To: (b) (6) Col USAF OSD OUSD POLICY (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)] CAPT USN OSD OUSD POLICY (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)] LtCol USMC OSD OUSD POLICY (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]; Billingslea, Rachel E (Richmond) SES OSD OUSD POLICY (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]; Trulio, David V SES OSD OUSD POLICY (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)] CIV OSD OUSD POLICY (US) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)] CIV OSD OUSD POLICY (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)] CIV OSD OUSD POLICY (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)] CIV OSD OUSD POLICY (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]
Subject: FW: SIPR Tipper

From: (b) (6) COL USARMY OSD OUSD POLICY (USA)
Sent: Friday, August 30, 2019 11:29:30 AM (UTC-05:00) Eastern Time (US & Canada)
To: Rood, John C HON OSD OUSD POLICY (USA)
Subject: SIPR Tipper

Sir,

I sent you three products on SIPR.

- 1) TP Card, re: Afghanistan - same card sent by (b) (6)
- 2) TP Card, re: USAI - (b) (5)
- 3) USAI RAH

(b) (5)

Standing by for any further direction.

V/R,

(b) (6)

Very Respectfully,

COL (b) (6)
Senior Military Assistant
Under Secretary of Defense for Policy
Comm: (b) (6)

(b) (6)

(b) (6)

Message

From: Rood, John C HON OSD OUSD POLICY (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]
Sent: 8/26/2019 9:41:21 PM
To: (b) (6) Col USAF OSD OUSD POLICY (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)] CAPT USN OSD OUSD POLICY (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)] LtCol USMC OSD OUSD POLICY (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]; Billingslea, Rachel E (Richmond) SES OSD OUSD POLICY (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]; Trulio, David V SES OSD OUSD POLICY (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]; (b) (6) CIV OSD OUSD POLICY (US) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)] CIV OSD OUSD POLICY (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)] CIV OSD OUSD POLICY (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)] COL USARMY OSD OUSD POLICY (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)] CIV OSD OUSD POLICY (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]
Subject: FW: USAI

From: Wheelbarger, Kathryn L SES OSD OUSD POLICY (USA)
Sent: Monday, August 26, 2019 5:41:18 PM (UTC-05:00) Eastern Time (US & Canada)
To: Rood, John C HON OSD OUSD POLICY (USA)
Cc: OSD Pentagon OUSD Policy List ISA FO; OSD Pentagon OUSD Policy List ISA ME FO; Cooper, Laura K SES OSD OUSD POLICY (US); Sendak, Catherine E SES (USA) (b) (6) CIV OSD OUSD POLICY (USA)
Subject: USAI

John,

For your awareness, L3's Government Relations team reached out to ISA today flagging concerns regarding the hold on assistance to Ukraine. L3 mentioned that they already connected with their Ukrainian contacts, as well as those in the building and at OMB. Tania Hanna, the VP for GR, contacted U/S Lord and will likely reach out to Eric Chewning, if she hasn't already. (b) (5)

Best,

Katie

Message

From: Rood, John C HON OSD OUSD POLICY (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/(b) (6)]
Sent: 8/16/2019 6:25:12 PM
To: (b) (6) Col USAF OSD OUSD POLICY (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/C(b) (6)] CAPT USN OSD OUSD POLICY (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/C(b) (6)] LtCol USMC OSD OUSD POLICY (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/C(b) (6)]; Billingslea, Rachel E (Richmond) CIV OSD OUSD POLICY (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)] Trulio, David V SES OSD OUSD POLICY (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/C(b) (6)] CIV OSD OUSD POLICY (US) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/C(b) (6)] CIV OSD OUSD POLICY (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/C(b) (6)] CIV OSD OUSD POLICY (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/C(b) (6)] COL USARMY OSD OUSD POLICY (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/C(b) (6)] CIV OSD OUSD POLICY (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]
Subject: FW: Ukraine Assistance Funding

From: Esper, Mark HON SD
Sent: Friday, August 16, 2019 2:24:24 PM (UTC-05:00) Eastern Time (US & Canada)
To: Rood, John C HON OSD OUSD POLICY (USA); Chewning, Eric D SES OSD OUSD ATL (USA); Norquist, David HON SD; Johnson, Justin SES SD
Cc: McCusker, Elaine A HON OSD OUSD C (USA); OSD Pentagon OUSD Policy List USDP MAs and SAs
Subject: Re: Ukraine Assistance Funding

Got it. Thanks

MTE

Sent from Mobile Device

From: "Rood, John C HON OSD OUSD POLICY (USA)" (b) (6)
Date: Friday, August 16, 2019 at 1:09:32 PM
To: "Esper, Mark HON SD" (b) (6) >, "Chewning, Eric D SES OSD OUSD ATL (USA)" (b) (6), "Norquist, David HON SD" (b) (6), "Johnson, Justin SES SD" (b) (6)
Cc: "McCusker, Elaine A HON OSD OUSD C (USA)" (b) (6), "OSD Pentagon OUSD Policy List USDP MAs and SAs" (b) (6)
Subject: RE: Ukraine Assistance Funding

Sec. Esper:

Below is a response from Elaine McCusker in the Comptroller's office to your question about apportionment. The email below has the key info. Please let me know if you have any other questions.--John--

From: McCusker, Elaine A HON OSD OUSD C (USA) (b) (6)
Sent: Friday, August 16, 2019 12:48 PM
To: Rood, John C HON OSD OUSD POLICY (USA) (b) (6)
Subject: RE: Ukraine Assistance Funding

John

We are not currently under apportionment restriction, but (b) (5) which we did last night. Info attached (power point doc) was also provided to OMB specific to the requirement below.

Attached is the previous apportionment direction we had from OMB, which is how they control funds release to departments and agencies. (An apportionment is an "OMB-approved plan to use budgetary resources - 31 U.S.C. 1513(b); Executive Order 11541.")

We received two consecutive obligation "pauses" like the one attached. I told OMB late last week (b) (5)

Wr,

EM

From: Duffey, Michael P. EOP/OMB (b) (6)
Sent: Monday, August 12, 2019 6:54 PM
To: McCusker, Elaine A HON OSD OUSD C (USA) (b) (6)
Cc: Ney, Paul C Jr HON OSD OGC (USA) (b) (6); Paoletta, Mark R. EOP/OMB (b) (6)
Subject: USAI Funds

Elaine – per our conversation this evening, DoD is authorized to proceed with all processes necessary to obligate the previously discussed \$61 million of funds in connection with the Ukraine Security Assistance Initiative. (b) (5) Please provide us by Thursday (Aug 15th) with an estimated time frame for each contract or grant, specifically including the expected date of obligation for each of those contracts/grants. (b) (5)

Thank you.

Sincerely,

Mike

Mike Duffey

Associate Director for National Security Programs

Office of Management and Budget

The White House

(b) (6)

From: Esper, Mark HON SD (b) (6)

Sent: Friday, August 16, 2019 11:58 AM

To: Chewning, Eric D SES OSD OUSD ATL (USA) (b) (6); Norquist, David HON SD

(b) (6); Rood, John C HON OSD OUSD POLICY (USA) (b) (6); Johnson, Justin SES SD (b) (6)

Subject: Ukraine Assistance Funding

John, (b) (5)

Please let me know soonest, as well as any other relevant facts.

Thanks

MTE

Mark T. Esper, Ph.D.

Secretary of Defense

Message

From: Rood, John C HON OSD OUSD POLICY (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]
Sent: 8/12/2019 3:48:15 PM
To: (b) (6) Col USAF OSD OUSD POLICY (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]; CAPT USN OSD OUSD POLICY (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]; LtCol USMC OSD OUSD POLICY (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]; Billingslea, Rachel E (Richmond) CIV OSD OUSD POLICY (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]; Trulio, David V SES OSD OUSD POLICY (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]; (b) (6) CIV OSD OUSD POLICY (US) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]; CIV OSD OUSD POLICY (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]; CIV OSD OUSD POLICY (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]; COL USARMY OSD OUSD POLICY (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]; CIV OSD OUSD POLICY (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)];
Subject: FW: UKRAINE SECURITY ASSISTANCE INITIATIVE (USAI) FUNDS UPDATE 08122019
Importance: High

From: Hooper, Charles W LTG USARMY DSCA FO (USA)
Sent: Monday, August 12, 2019 11:48:10 AM (UTC-05:00) Eastern Time (US & Canada)
To: Trulio, David V SES OSD OUSD POLICY (USA); Wheelbarger, Kathryn L SES OSD OUSD POLICY (USA); Sendak, Catherine E SES (USA); Cooper, Laura K SES OSD OUSD POLICY (US); Anderson, James H HON OSD OUSD POLICY (USA); Rood, John C HON OSD OUSD POLICY (USA)
Cc: Hooper, Charles W LTG USARMY DSCA FO (USA); Kausner, Gregory M SES DSCA FO (USA); Harding, J Aaron SES DSCA DBO (USA); (b) (6) CIV DSCA DBO (USA); (b) (6) CAPT USN DSCA EUCOM IRT ((b) (6) CIV DSCA EUCOM IRT (USA); (b) (6) CDR USN DSCA EUCOM IRT (USA); DSCA NCR FO List Correspondence; OSD Pentagon OUSD Policy List ISA FO; OSD Pentagon OUSD Policy List USDP MAs and SAs; (b) (6) MAJ USARMY DSCA FO (USA)
Subject: UKRAINE SECURITY ASSISTANCE INITIATIVE (USAI) FUNDS UPDATE 08122019

David, James, Katie, Catie and Laura,

BLUF: (b) (5)
[Redacted]

(b) (5)

Respectfully always,
Hoop

Charles W. Hooper
Lieutenant General, USA
Director
Defense Security Cooperation Agency

(b) [REDACTED]

(c) [REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

TRANSPARENCY, RESPONSIVENESS, INTEGRITY, COMMITMENT

"In any moment of decision, the best thing you can do is the right thing, the next best thing is the wrong thing, and the worst thing you can do is nothing."

President Theodore Roosevelt

Message (Digitally Signed)

From: Rood, John C HON OSD OUSD POLICY (USA) [/O=SD/OU=FIRST ADMINISTRATIVE GROUP/CN=RECIPIENTS/CN=(b) (6)]
Sent: 8/31/2019 4:12:22 PM
To: Esper, Mark HON SD [/O=SD/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/(b) (6)]
CC: Chewning, Eric D SES OSD OUSD ATL (USA) [/O=SD/OU=First Administrative Group/cn=Recipients/cn=(b) (6)] Norquist, David HON SD [/O=SD/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/(b) (6)]
Subject: Re: A few follow ups from yesterday
Attachments: smime.p7s

Mr. Secretary:

Thanks for the feedback. Look forward to hearing more on Tuesday. Hope you get to enjoy part of the weekend.-John-

Sent from my iPhone

> On Aug 31, 2019, at 8:06 AM, Esper, Mark HON SD <m.esper@sd.mil> wrote:

> 1. John: (b) (5). We can follow up in person on Tuesday

> 2. Eric: (b) (5)

> 3. David/John: (b) (5) Zelensky (b) (5)
(b) (5) USAI (b) (5)

> 4. David: (b) (5)

> 5. Eric: (b) (5)

> Thanks

> MTE

> Mark T. Esper, Ph.D.
> Secretary of Defense

Message (Digitally Signed)

From: Rood, John C HON OSD OUSD POLICY (USA) [/O=SD/OU=FIRST ADMINISTRATIVE GROUP/CN=RECIPIENTS/CN=(b) (6)]
Sent: 8/16/2019 5:07:28 PM
To: (b) (6) COL SD [/O=SD/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/(b) (6)]; Verga, Peter SES SD [/O=SD/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/(b) (6) CAPT SD [/O=SD/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/(b) (6)] COL SD [/O=SD/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/(b) (6)]
Subject: FW: Ukraine Assistance Funding
Attachments: Copy of INC 2019-19-07 PA_97-0100 2019 8-6-2019.xlsx; USAI Contracting Info_16Aug 19 0800.pptx; smime.p7s

Should have copied you on the note below.—John--

From: Rood, John C HON OSD OUSD POLICY (USA)
Sent: Friday, August 16, 2019 1:07 PM
To: 'Esper, Mark HON SD' (b) (6); Chewning, Eric D SES OSD OUSD ATL (USA) (b) (6); Norquist, David HON SD (b) (6); Johnson, Justin SES SD (b) (6)
Cc: McCusker, Elaine A HON OSD OUSD C (US) (b) (6) OSD Pentagon OUSD Policy List USDP MAs and SAs (b) (6)
Subject: RE: Ukraine Assistance Funding

Sec. Esper:

Below is a response from Elaine McCusker in the Comptroller's office to your question about apportionment. The email below has the key info. Please let me know if you have any other questions.--John--

From: McCusker, Elaine A HON OSD OUSD C (USA) (b) (6)
Sent: Friday, August 16, 2019 12:48 PM
To: Rood, John C HON OSD OUSD POLICY (USA) (b) (6)
Subject: RE: Ukraine Assistance Funding

John

We are not currently under apportionment restriction, (b) (5) which we did last night. Info attached (power point doc) was also provided to OMB specific to the requirement below.

Attached is the previous apportionment direction we had from OMB, which is how they control funds release to departments and agencies. (An apportionment is an "OMB-approved plan to use budgetary resources - 31 U.S.C. 1513(b); Executive Order 11541.")

We received two consecutive obligation "pauses" like the one attached. (b) (5)

Wr,

EM

From: Duffey, Michael P. EOP/OMB (b) (6)
Sent: Monday, August 12, 2019 6:54 PM
To: McCusker, Elaine A HON OSD OUSD C (USA) (b) (6) >
Cc: Ney, Paul C Jr HON OSD OGC (USA) (b) (6); Paoletta, Mark R. EOP/OMB (b) (6) >
Subject: USAI Funds

Elaine – per our conversation this evening, DoD is authorized to proceed with all processes necessary to obligate the previously discussed \$61 million of funds in connection with the Ukraine Security Assistance Initiative. (b) (5). Please provide us by Thursday (Aug 15th) with an estimated time frame for each contract or grant, specifically including the expected date of obligation for each of those contracts/grants. (b) (5)

Thank you.

Sincerely,
Mike

Mike Duffey
Associate Director for National Security Programs
Office of Management and Budget
The White House
(b) (6)

From: Esper, Mark HON SD (b) (6)
Sent: Friday, August 16, 2019 11:58 AM
To: Chewning, Eric D SES OSD OUSD ATL (USA) (b) (6); Norquist, David HON SD (b) (6); Rood, John C HON OSD OUSD POLICY (USA) (b) (6); Johnson, Justin SES SD (b) (6)
Subject: Ukraine Assistance Funding

John, (b) (5)

Please let me know soonest, as well as any other relevant facts.

Thanks
MTE

Mark T. Esper, Ph.D.
Secretary of Defense

Message (Digitally Signed)

From: Hooper, Charles W LTG USARMY DSCA FO (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]
Sent: 9/30/2019 8:37:41 PM
To: (b) (6) CAPT USN DSCA EUCOM IRT (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]
CC: Kausner, Gregory M SES DSCA FO (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)] Hizon, Michele H SES DSCA DSA (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]
Harding, J Aaron SES DSCA DBO (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)] DSCA NCR FO List Correspondence [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)] CIV DSCA EUCOM IRT (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)] CIV OSD OUSD POLICY (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)] CIV DSCA DBO (US) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)] CIV DSCA FO (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]
Subject: RE: DASD Laura Cooper Visit to DSCA
Attachments: smime.p7s

Thanks (b) (6) I would like to participate, if possible...

CWH

Charles W. Hooper
Lieutenant General, USA
Director
Defense Security Cooperation Agency

(b) (6)

TRANSPARENCY, RESPONSIVENESS, INTEGRITY, COMMITMENT

"In any moment of decision, the best thing you can do is the right thing, the next best thing is the wrong thing, and the worst thing you can do is nothing."

President Theodore Roosevelt

From: (b) (6) CAPT USN DSCA EUCOM IRT (USA) (b) (6)
Sent: Monday, September 30, 2019 1:34 PM
To: Hooper, Charles W LTG USARMY DSCA FO (USA) (b) (6)
Cc: Kausner, Gregory M SES DSCA FO (USA) (b) (6) Hizon, Michele H SES DSCA DSA (USA) (b) (6) Harding, J Aaron SES DSCA DBO (USA) (b) (6) CAPT USN DSCA EUCOM IRT (USA) (b) (6) DSCA NCR FO List Correspondence (b) (6) CIV DSCA EUCOM IRT (USA) (b) (6) CIV OSD OUSD POLICY (USA) (b) (6) CIV DSCA DBO (US) (b) (6) CIV DSCA FO (USA) (b) (6)
Subject: DASD Laura Cooper Visit to DSCA

Sir,

BLUF: NO action required. DASD Laura Cooper has requested to visit DSCA spaces on Thursday, October 3 at 1600 to say "thank you" to the personnel involved with the Ukraine Security Assistance Initiative (USAI) program, particularly the last one to two months. This is a not to interfere basis but all are welcome to join DASD Cooper, schedule permitting. It has been asked that this event be kept close hold to surprise the USAI team with DASD Cooper's presence and words.

BACKGROUND:

1. This morning, after Stand-up, I received a phone call from OSD(P) Ukraine Desk Officer (b) (6) asking "when is a good time for DASD Cooper to come by and say 'thank you' to the DSCA personnel who have worked the USAI portfolio the last one to two months".
2. Based on schedules, it was determined that Thursday, October 3 at 1600 would work for DASD Cooper's schedule. You also have availability at that time.
3. (b) (6) passed to me that there is no requirement for DSCA leadership to be present and it is a not to interfere basis for DASD Coopers' drive by at DSCA but all are welcome to attend.
4. The entire length of time for DASD Cooper in DSCA spaces is not expected to exceed 30 minutes.

INTENTIONS:

1. EUCOM IRT will reserve a parking space for DASD Cooper and be positioned in the lobby at 1550 to escort her to/from the EUCOM IRT spaces.
2. EUCOM IRT will work closely with DBO to ensure the appropriate DBO personnel are in the IRT spaces for the visit/words from DASD Cooper.

I'm standing by if you have any questions or need additional information.

V/r
(b) (6)

(b) (6)
CAPT (b) (6)
EUCOM IRT Lead
Defense Security Cooperation Agency

(b) (6)

Appointment

From: Hooper, Charles W LTG USARMY DSCA FO (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]

Sent: 9/12/2019 8:54:26 PM

To: Hooper, Charles W LTG USARMY DSCA FO (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)] Dilworth, Monique L SES OSD OUSD C (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)] Bricker, Julie R SES OSD OUSD C (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)] (b) (6) CIV DSCA DBO (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)] (b) (6) CIV OSD OUSD C (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)] (b) (6) Fisher, Vincent L SES OSD OUSD C (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)] McAndrew, Anne J SES OSD OUSD C (US) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)] Benjamin, Michael A SES OSD OUSD C (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)] Harding, J Aaron SES DSCA DBO (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)] (b) (6) CIV OSD OUSD C (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)] Relyea, William J SES OSD OUSD C (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)] (b) (6) CIV DSCA DBO (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)] COL USARMY DSCA FO (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)] T USN DSCA (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)] CTR OSD OUSD C (US) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)] TR OSD OUSD C (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)] (b) (6) CIV DSCA OGC (US) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)] CIV DSCA EUCOM IRT (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)] (b) (6) CDR USN DSCA EUCOM IRT (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)] LTC USARMY DSCA FO (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)] MAJ USARMY DSCA FO (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]

Subject: FW: USAI Execution Call w/ OSD Deputy Comptroller
Location: TBD

Start: 9/13/2019 2:00:00 PM
End: 9/13/2019 2:30:00 PM
Show Time As: Busy

-----Original Appointment-----

From: Hooper, Charles W LTG USARMY DSCA FO (USA)
Sent: Thursday, September 12, 2019 4:51 PM
To: Hooper, Charles W LTG USARMY DSCA FO (USA); Bricker, Julie R SES OSD OUSD C (USA); (b) (6); (b) (6); (b) (6) CIV DSCA DBO (USA); (b) (6) CIV OSD OUSD C (USA); (b) (6) SES OSD OUSD C (USA);

McAndrew, Anne J SES OSD OUSD C (US); Benjamin, Michael A SES OSD OUSD C (USA); Harding, J Aaron SES DSCA DBO (USA); (b) (6) CIV OSD OUSD C (USA); Relyea, William J SES OSD OUSD C (USA); (b) (6) (b) (6) CIV DSCA DBO (USA); (b) (6) COL USARMY DSCA FO (USA); (b) (6) (b) (6) LT USN DSCA (USA); (b) (6) CTR OSD OUSD C (US); (b) (6) CTR OSD OUSD C (USA); (b) (6) CIV DSCA OGC (US); (b) (6) CIV DSCA EUCOM IRT (USA); (b) (6) CDR USN DSCA EUCOM IRT (USA); (b) (6) LTC USARMY DSCA FO (USA); (b) (6) MAJ USARMY DSCA FO (USA)
Subject: USAI Execution Call w/ OSD Deputy Comptroller
When: Friday, September 13, 2019 10:00 AM-10:30 AM (UTC-05:00) Eastern Time (US & Canada).
Where: TBD

Please use (b) (6)

Thanks,
(b) (6)

-----Original Appointment-----
From: Hooper, Charles W LTG USARMY DSCA FO (USA)
Sent: Thursday, September 12, 2019 4:45 PM
To: Hooper, Charles W LTG USARMY DSCA FO (USA); Harding, J Aaron SES DSCA DBO (USA); (b) (6) (b) (6) CIV DSCA DBO (USA); (b) (6) CIV DSCA OGC (US); (b) (6) CIV DSCA EUCOM IRT (USA); (b) (6) CDR USN DSCA EUCOM IRT (USA); (b) (6) CIV DSCA DBO (USA); (b) (6) COL USARMY DSCA FO (USA); (b) (6) LTC USARMY DSCA FO (USA); (b) (6) MAJ USARMY DSCA FO (USA)
Subject: USAI Execution Call w/ SD Deputy Comptroller
When: Friday, September 13, 2019 10:00 AM-10:30 AM (UTC-05:00) Eastern Time (US & Canada).
Where: TBD

Message (Digitally Signed)

From: Hooper, Charles W LTG USARMY DSCA FO (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]
Sent: 9/11/2019 7:55:08 PM
To: McAndrew, Anne J SES OSD OUSD C (US) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]
CC: Dilworth, Monique L SES OSD OUSD C (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)] Kausner, Gregory M SES DSCA FO (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)] COL USARMY DSCA FO (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)] (b) (6) CIV DSCA FO (US) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)] Harding, J Aaron SES DSCA DBO (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)] CIV DSCA DBO (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)] LTC USARMY DSCA FO (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)] McCusker, Elaine A HON OSD OUSD C (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]
Subject: Army POC
Attachments: smime.p7s

Anne,

Thank you for the phone call. As promised, below is the entry point for discussion with the Army on USAI. I have alerted LTG Daly to expect your call.

Respectfully,

Hoop

LTG Edward Daly
Deputy Commanding General, USAMC
(b) (6)

Respectfully always,

Hoop

Charles W. Hooper
Lieutenant General, USA
Director
Defense Security Cooperation Agency
(b) (6)

TRANSPARENCY, RESPONSIVENESS, INTEGRITY, COMMITMENT

"In any moment of decision, the best thing you can do is the right thing, the next best thing is the wrong thing, and the worst thing you can do is nothing."

President Theodore Roosevelt

Message (Digitally Signed)

From: Hooper, Charles W LTG USARMY DSCA FO (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]
Sent: 9/5/2019 5:50:24 PM
To: McCusker, Elaine A HON OSD OUSD C (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]
CC: Ney, Paul C Jr HON OSD OGC (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]; Chewning, Eric SES SD (b) (6); Verga, Peter SES SD (b) (6); Hood, Robert R HON OSD OASD LA (US) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]; Castle, Edwin S SES OSD OGC (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]; Castle, William S SES OSD OGC (US) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]; McAndrew, Anne J SES OSD OUSD C (US) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]; Dilworth, Monique L SES OSD OUSD C (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]; Col USMC OSD OUSD C (USA) (b) (6) Col USAF OSD OUSD C (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]; Rood, John C HON OSD OUSD POLICY (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]
Subject: Re: Ukraine
Attachments: smime.p7s

Elaine,

Tracking...

Respectfully,

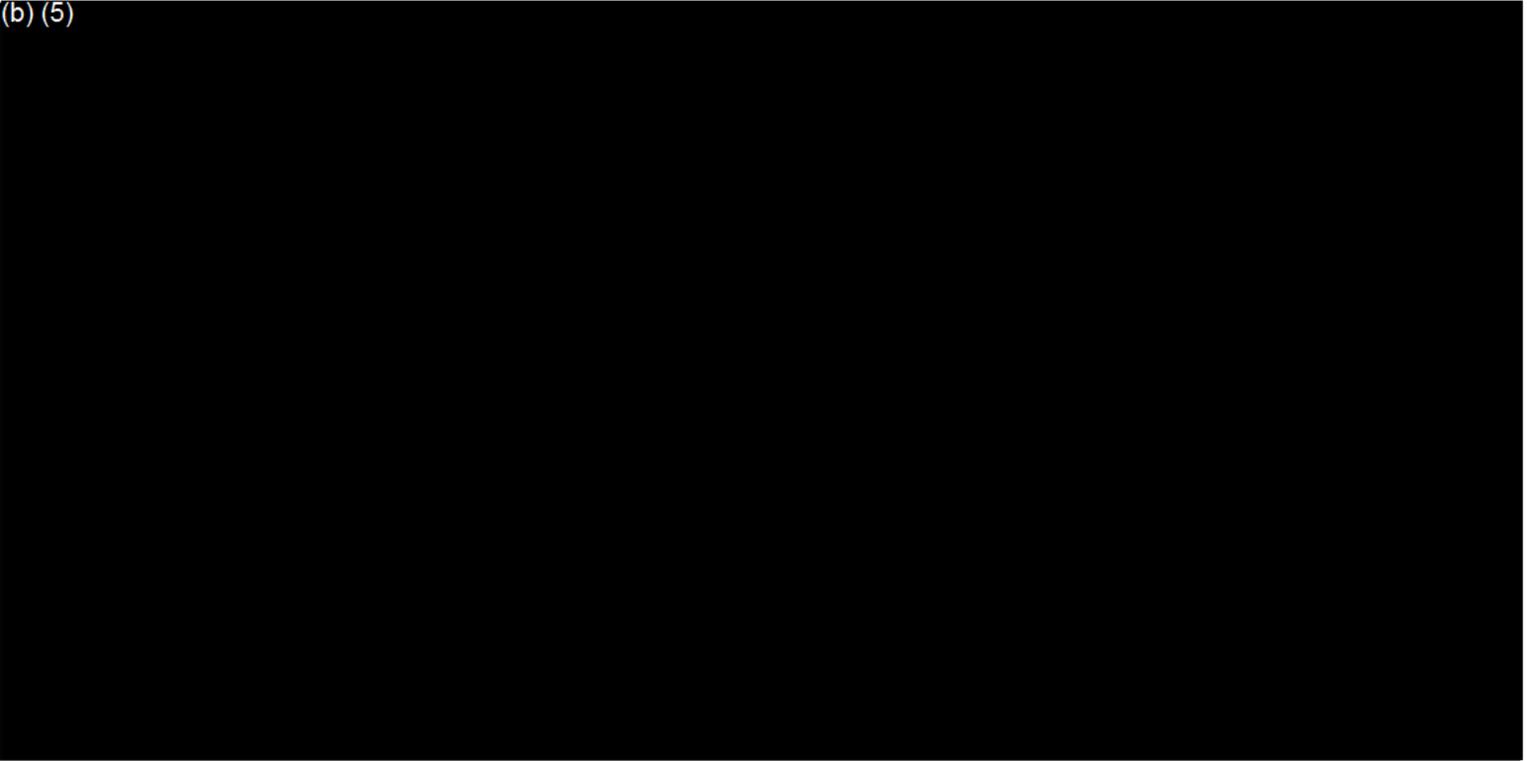
Hoop

Charles W. Hooper
Lieutenant General, US Army
Director
Defense Security Cooperation Agency

> On Sep 5, 2019, at 08:29, McCusker, Elaine A HON OSD OUSD C (USA) (b) (6) wrote:
>
> Good morning.
>
> OMB intends to send us another footnote today with direction to continuing holding until Friday.
>
> Normally would not send you this level of detail, but given the situation, providing the below assessment for background on the increasing risk of execution. Thanks to the DSCA team for continued excellent responsiveness on this.
>
> Wr,
> EM

(b) (5)

(b) (5)



Message (Digitally Signed)

From: Hooper, Charles W LTG USARMY DSCA FO (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]
Sent: 9/13/2019 8:31:01 PM
To: 'Daly, Edward M LTG USARMY USAMC (USA)' (b) (6); 'Morley, Francis D RADM USN (USA)' (b) (6)
CC: Castiglione-Cataldo, Ann (Cataldo) SES USARMY HQDA ASA ALT (USA) (b) (6); 'Kausner, Gregory M SES DSCA FO (USA)' (b) (6); Harding, J Aaron SES DSCA DBO (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]; Hizon, Michele H SES DSCA DSA (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]; (b) (6) CIV DSCA EUCOM IRT (USA) (b) (6); (b) (6) CIV DSCA DBO (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]; (b) (6) CIV DSCA DBO (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]; (b) (6) CDR USN DSCA EUCOM IRT (USA) (b) (6); (b) (6) COL USARMY DSCA FO (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]; (b) (6) CIV DSCA FO (USA) (b) (6); (b) (6) LTC USARMY DSCA FO (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]; (b) (6) COL USARMY HQDA DCS G-4 (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]; (b) (6) CIV USN NAVY IPO (USA) (b) (6); (b) (6) Hooper, Charles W LTG USARMY DSCA FO (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]
Subject: Ukraine Security Assistance Initiative (USAI) FY19 Implementation--Follow-Up
Attachments: 20190913 USAI Award Matrix Update.xlsx; smime.p7s

Ed and Spanky,

BLUF: Just closing the loop and following up on your great support to implement the remaining USAI cases. I would like to pass on thanks and appreciation from the OSD Comptroller the OSD senior leadership!

(b) (5)

(b) (5)



Thanks again to all of you for the quick turn.

One team!

Respectfully always,

Hoop

Charles W. Hooper
Lieutenant General, USA
Director
Defense Security Cooperation Agency

(b) (6)



TRANSPARENCY, RESPONSIVENESS, INTEGRITY, COMMITMENT

"In any moment of decision, the best thing you can do is the right thing, the next best thing is the wrong thing, and the worst thing you can do is nothing."

President Theodore Roosevelt

FY 2019 USAI Tracker Cases Impacted_as of 13 September 2019 (OMB Hold Removed on 12 September)

Notes: (b) (5)

- Commitment Date: Date represents the absolute latest date in which the commitment document needs to be entered in the accounting system to initiate procurement packages and forwarded to the contracting office for action.

- Obligation Date: Date represents the projected award date for contracts and government credit card purchases.

(b) (5)

Case ID	Item Procured	Planned Commitment Date (Entered into Accounting System)	Acquisition Plan	Amount	Planned Obligation Date (Contracts award, purchase date)
	(b)(3) 10 USC 130c	(b) (5)			
QG-B-BAA A02		19-Sep-19			26-Sep-19
QG-B-MAA A02		19-Sep-19			26-Sep-19
QG-B-MBA		12-Sep-19			15-Sep-19
QG-B-MBB		12-Sep-19			15-Sep-19
QG-B-MBC		12-Sep-19 19-Aug-19			15-Sep-19 30-Aug-19
QG-B-UAB A01		19-Aug-19 19-Aug-19 19-Aug-19 19-Aug-19			30-Aug-19 30-Aug-19 30-Aug-19 30-Aug-19
QG-B-UAD A01		19-Aug-19			30-Aug-19
QG-B-UBA		19-Aug-19 19-Aug-19			20-Sep-19 20-Sep-19
QG-B-UBB		26-Aug-19			11-Sep-19
QG-B-UBC		2-Sep-19 12-Sep-19			6-Sep-19 15-Sep-19
QG-B-UBD		26-Aug-19			2-Sep-19
QG-B-UBE		26-Aug-19 26-Aug-19			2-Sep-19 2-Sep-19
QG-B-UBG		2-Sep-19			6-Sep-19

(b)(3) 10 USC 130c

QG-P-LCF

TOTAL REQUIREMENT
As of September 13, four cases are

2 Army cases / 2 Navy cases
7 Army cases / 1 Navy cases
10 Army cases / 5 Navy cases

26-Aug-19

not listed above)

(b) (5)

13-Sep-19

2-Sep-19

14%
7%
74%
5%
88%

Message (Digitally Signed)

From: Hooper, Charles W LTG USARMY DSCA FO (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN (b) (6)]
Sent: 9/11/2019 6:50:28 PM
To: Daly, Edward M LTG USARMY USAMC (USA) (b) (6)
CC: Hooper, Charles W LTG USARMY DSCA FO (USA) (b) (6); Kausner, Gregory M SES DSCA FO (USA) (b) (6); Harding, J Aaron SES DSCA DBO (USA) (b) (6); Hizon, Michele H SES DSCA DSA (USA) (b) (6); CIV DSCA EUCOM IRT (USA) (b) (6); CIV DSCA DBO (USA) (b) (6); CIV DSCA DBO (USA) (b) (6); CDR USN DSCA EUCOM IRT (USA) (b) (6); COL USARMY DSCA FO (USA) (b) (6); CIV DSCA FO (US) (b) (6); LTC USARMY DSCA FO (USA) (b) (6); COL USARMY HQDA DCS G-4 (USA) (b) (6)
Subject: Ukraine Security Assistance Initiative (USAI) FY19 Ongoing Fiscal Hold and Impacts
Attachments: Army CECOM Flowchart.pdf; 20190911 USAI Award Matrix.xlsx; 20190911 Ukraine Security Assistance Initiative Update.docx; smime.p7s

Importance: High

Ed,

BLUF: Thank you for the quick phone call today. I appreciate you taking the time to discuss (b) (5)

BACKGROUND:

- (b) (5)
-
-
-

WAY AHEAD:

(b) (5)

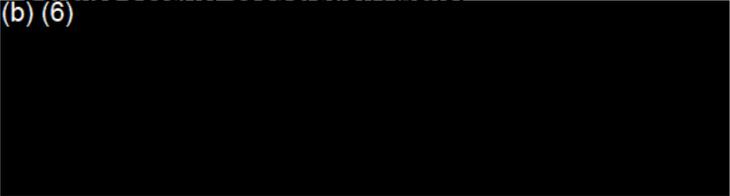
Great talking to you!

Respectfully always,

Hoop

Charles W. Hooper
Lieutenant General, USA
Director
Defense Security Cooperation Agency

(b) (6)

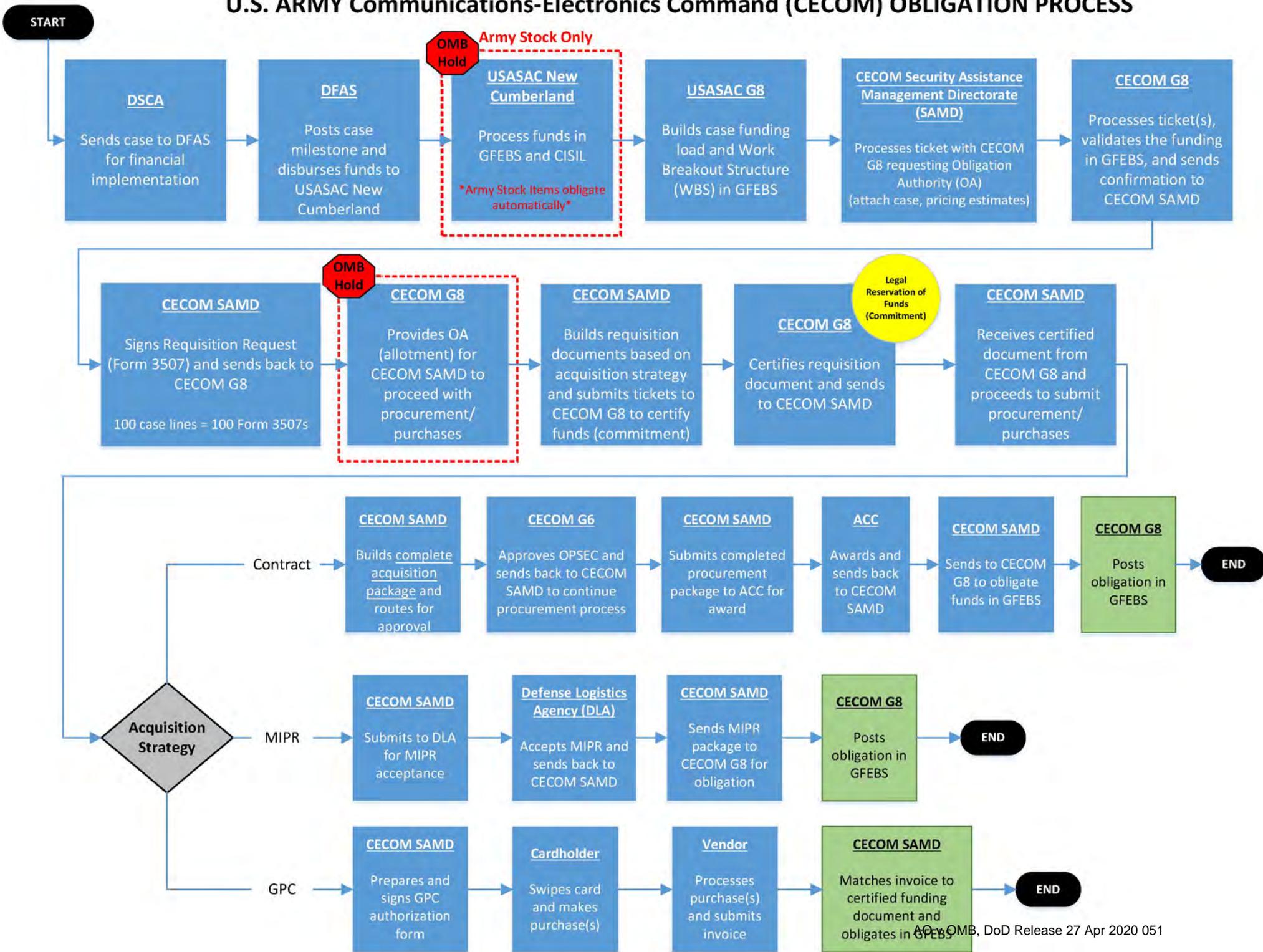


TRANSPARENCY, RESPONSIVENESS, INTEGRITY, COMMITMENT

"In any moment of decision, the best thing you can do is the right thing, the next best thing is the wrong thing, and the worst thing you can do is nothing."

President Theodore Roosevelt

U.S. ARMY Communications-Electronics Command (CECOM) OBLIGATION PROCESS



FY 2019 USAI Tracker_as of 11 September 2019 (Hold until 13 September)

Notes: (b) (5)

* Commitment Date: Date represents the absolute latest date in which the commitment document needs to be entered in the accounting system to initiate procurement packages and forwarded to the contracting office for action.

* Obligation Date: Date represents the projected award date for contracts and government credit card purchases.

(b) (5)

Case ID	Item Procured	Planned Commitment Date (Entered into Accounting System)	Acquisition Plan	Amount	Planned Obligation Date (Contracts award, purchase made)
QG-B-BAA A02	(b)(3) 10 USC 130c	19-Sep-19	(b) (5)		26-Sep-19
QG-B-MAA A02		19-Sep-19			26-Sep-19
QG-B-MBA		12-Sep-19			15-Sep-19
QG-B-MBB		12-Sep-19			15-Sep-19
QG-B-MBC		12-Sep-19 19-Aug-19			15-Sep-19 30-Aug-19
QG-B-UAB A01		19-Aug-19			30-Aug-19
		19-Aug-19			30-Aug-19
		19-Aug-19			30-Aug-19
		19-Aug-19			30-Aug-19
QG-B-UAD A01		19-Aug-19			30-Aug-19
QG-B-UBA		19-Aug-19 19-Aug-19			20-Sep-19 20-Sep-19
QG-B-UBB		26-Aug-19			11-Sep-19
QG-B-UBC		2-Sep-19 12-Sep-19			6-Sep-19 15-Sep-19
QG-B-UBD		26-Aug-19			2-Sep-19
QG-B-UBE		26-Aug-19 26-Aug-19			2-Sep-19 2-Sep-19
QG-B-UBG	2-Sep-19	6-Sep-19			
QG-B-UBH	2-Sep-19	6-Sep-19			

(b)(3) 10 USC 130c

		12-Sep-19 2-Sep-19		15-Sep-19 6-Sep-19
QG-B-UBI		19-Aug-19 19-Aug-19 19-Aug-19 19-Aug-19 26-Aug-19 9-Sep-19 9-Sep-19 26-Aug-19		26-Aug-19 26-Aug-19 26-Aug-19 26-Aug-19 27-Sep-19 13-Sep-19 13-Sep-19 2-Sep-19
QG-B-UBJ		12-Sep-19		15-Sep-19
QG-B-UBL		2-Sep-19 12-Sep-19 2-Sep-19		6-Sep-19 15-Sep-19 6-Sep-19
QG-B-URA		19-Aug-19 19-Aug-19 19-Aug-19 19-Aug-19 19-Aug-19 26-Aug-19 19-Aug-19		26-Aug-19 26-Aug-19 26-Aug-19 26-Aug-19 26-Aug-19 2-Sep-19 20-Sep-19
QG-P-GCA		30-Aug-19		6-Sep-19
QG-P-LBA		4-Sep-19 4-Sep-19 4-Sep-19 4-Sep-19 4-Sep-19		13-Sep-19 13-Sep-19 13-Sep-19 13-Sep-19 13-Sep-19 13-Sep-19
QG-P-LBB		21-Aug-19 21-Aug-19 21-Aug-19 21-Aug-19 21-Aug-19		23-Sep-19 28-Aug-19 9-Sep-19 9-Sep-19 23-Sep-19
QG-P-LBC		10-Sep-19		20-Sep-19
QG-P-LBD		26-Aug-19 13-Sep-19 13-Sep-19 13-Sep-19		2-Sep-19 15-Sep-19 15-Sep-19 15-Sep-19 15-Sep-19
QG-P-LCD		10-Sep-19		15-Sep-19
QG-P-LCE		30-Aug-19 30-Aug-19 30-Aug-19		13-Sep-19 13-Sep-19 13-Sep-19 13-Sep-19

(b) (5)

QG-P-LCF

(b)(3) 10 USC 130c

26-Aug-19

(b) (5)

2-Sep-19

TOTAL REQUIREMENT

As of September 11, 16 cases are not executable and 10 still are if available today.

12 Army cases / 4 Navy cases

6 Army cases / 4 Navy cases

(Cases in this category are not listed a

14%

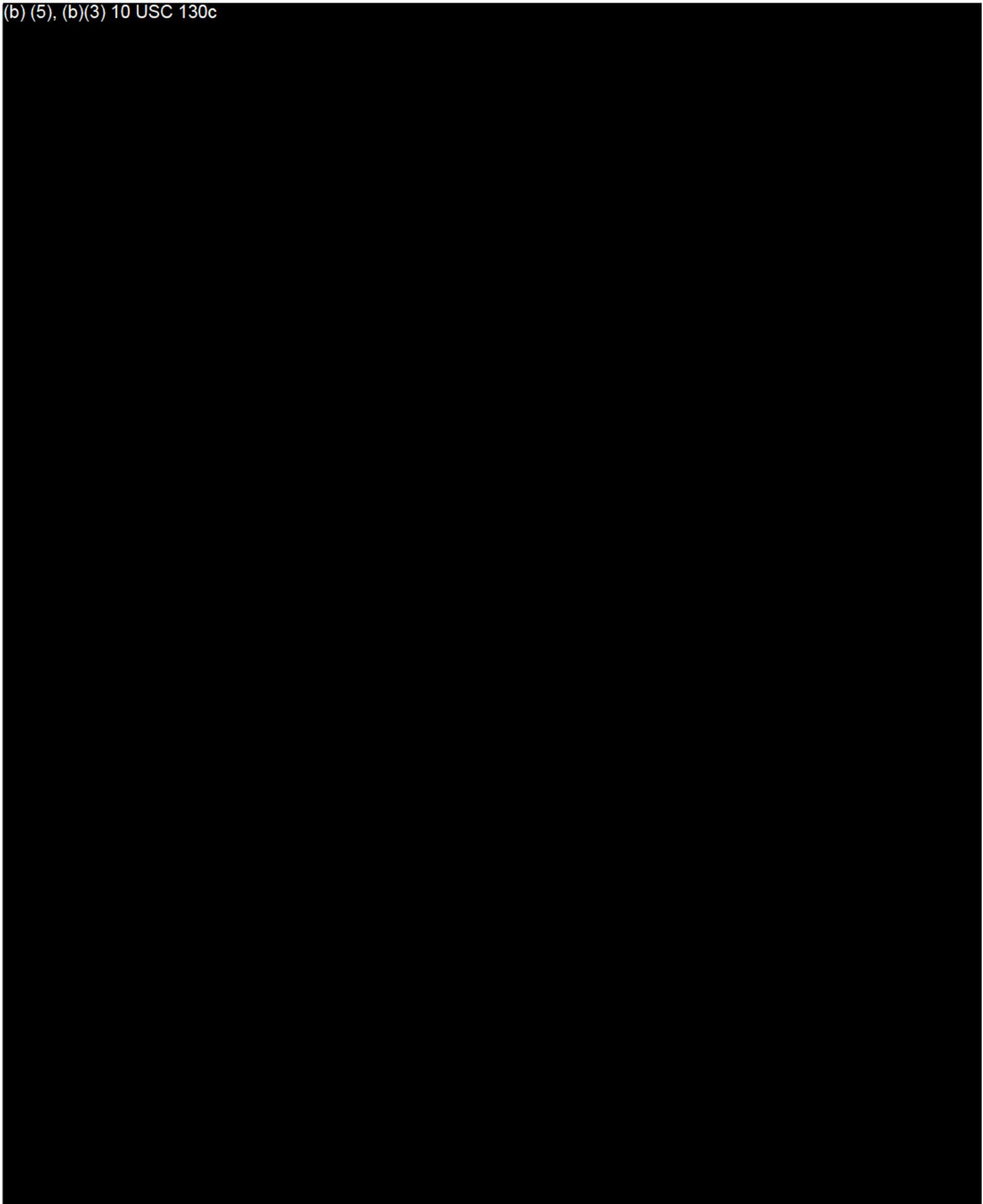
49%

34%

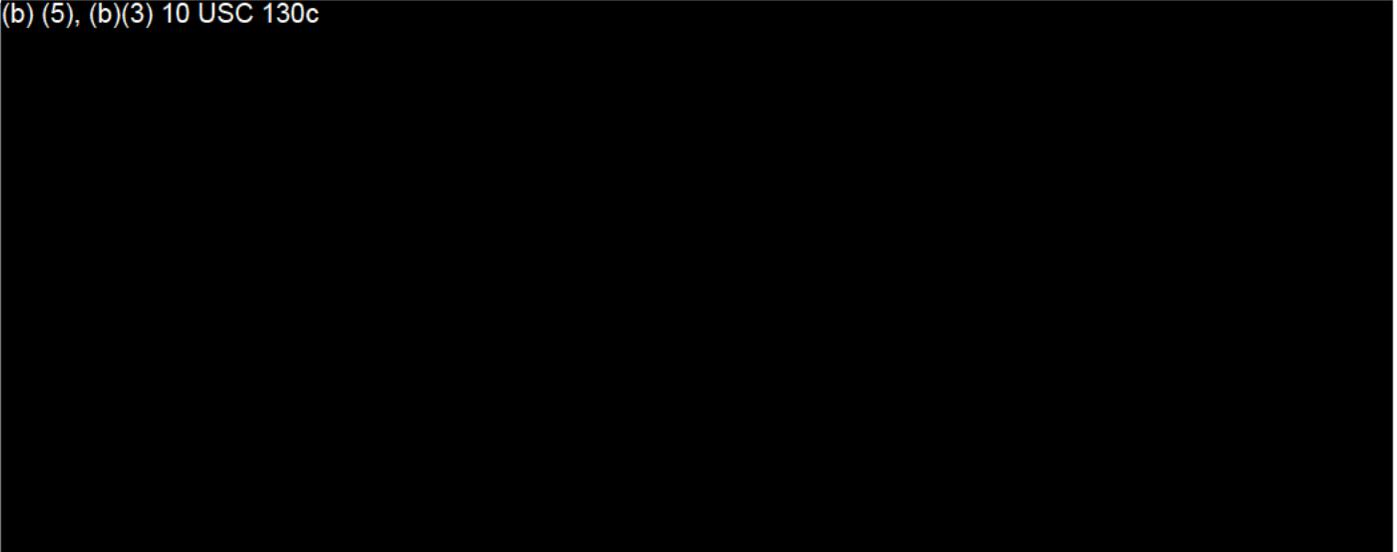
3%

Ukraine Security Assistance Initiative (USAI) FY2019

(b) (5), (b)(3) 10 USC 130c



(b) (5), (b)(3) 10 USC 130c



Message (Digitally Signed)

From: Hooper, Charles W LTG USARMY DSCA FO (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]
Sent: 7/30/2019 12:30:35 PM
To: Dayton, Keith LTG (Ret) CIV (b) (6)
Subject: RE: Ukraine
Attachments: smime.p7s

Keith,

So sorry for the delayed response. As always, I am on the run....

I am very disappointed that you will not be in-country for my visit. I was actually looking forward to "walking the ground" with you to leverage your insight, gain a firsthand appreciation for the situation as well as the personalities. PLEASE let's find the time to talk before the trip. To your point, I want the visit to be focused, relevant and impactful to add value to our efforts to support the new administration.

Let me know what works for you. How is everything else. You have everything you need from us?

Best,

Hoop

Charles W. Hooper
Lieutenant General, USA
Director
Defense Security Cooperation Agency

(b) (6)

TRANSPARENCY, RESPONSIVENESS, INTEGRITY, COMMITMENT

"In any moment of decision, the best thing you can do is the right thing, the next best thing is the wrong thing, and the worst thing you can do is nothing."

President Theodore Roosevelt

From: Dayton, Keith LTG (Ret) CIV (b) (6)
Sent: Friday, July 26, 2019 4:14 AM
To: Hooper, Charles W LTG USARMY DSCA FO (USA) (b) (6)
Subject: [Non-DoD Source] Ukraine

Hoop

I understand that you are planning a visit to Kyiv the first week of September. Looks like we will miss each other...I expect to be in Kyiv 9-13 September for a variety of meetings, to include a Marshall Center seminar.

As your itinerary firms up, pls let me know and I would love to give you some thoughts about the people with whom you will meet. (b) (5)

(b) (5)

Anyway, let me know how I can be of assistance. I am delighted you are making the trip, and (b) (5)
(b) (5) Once they meet you, they will be charmed.

All best, old friend
Keith