

Department of Defense Response to USAI-Related FOIA Request

Key Points

- The Center for Public Integrity (CPI) filed a Freedom of Information Act (FOIA) lawsuit in federal district court against the Department of Defense (DoD) and the Office of Management and Budget (OMB) seeking certain communications relating to the Ukraine Security Assistance Initiative (USAI).
- Specifically, CPI seeks all USAI-related communications between the DoD comptroller's office and OMB, the Secretary of Defense, and the Deputy Secretary of Defense between April 2019 and November 19, 2019.
- On November 25, 2019, the court ordered DoD and OMB to produce at least half of the requested documents by Thursday, December 12, and the remainder by Friday, December 20.
- In compliance with the court's order, DoD produced 146 pages on December 12 and the following 146 pages today, December 20.
- This production is consistent with DoD's standard practice of invoking reasonably available exemptions under the FOIA statute.
- These exemptions include personal privacy, inter-agency and intra-agency deliberative process, attorney-client privilege, and sensitive foreign government information, among others.
- This production was coordinated with OMB and serves as the response to an identical request directed to OMB.

Message (Digitally Signed)

From: Chewning, Eric SES SD [/O=SD/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=CHEWNING, ERIC SES SDDD4]
Sent: 9/12/2019 10:24:19 AM
To: McCusker, Elaine A HON OSD OUSD C (USA) [/O=SD/OU=First Administrative Group/cn=Recipients/cn=(b) (6)]; Norquist, David HON SD [/O=SD/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=Norquist, David HON SD81d]
Subject: RE: USAI
Attachments: smime.p7s

thanks

From: McCusker, Elaine A HON OSD OUSD C (USA) (b) (6) >
Sent: Wednesday, September 11, 2019 9:51 PM
To: Norquist, David HON SD (b) (6) >
Cc: Chewning, Eric SES SD (b) (6) >
Subject: USAI

FYI, you may know this, but getting word from OMB that funds will be released for obligation starting tomorrow. No additional intel yet. Will advise.

EM

Message (Digitally Signed)

From: Chewning, Eric SES SD [/O=SD/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=CHEWNING, ERIC SES SDDD4]
Sent: 9/1/2019 7:46:38 PM
To: Lyons, David Brig Gen SD [/O=SD/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=Lyons, David BGen SDa2e]; Ney, Paul C Jr HON OSD OGC (USA) [/O=SD/OU=First Administrative Group/cn=Recipients/cn=(b) (6)]; McCusker, Elaine A HON OSD OUSD C (USA) [/O=SD/OU=First Administrative Group/cn=Recipients/cn=(b) (6)]
CC: Verga, Peter SES SD [/O=SD/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=Verga, Peter SES SD7ea]; Rood, John C HON OSD OUSD POLICY (USA) [/O=SD/OU=First Administrative Group/cn=Recipients/cn=(b) (6)]; Castle, Edwin S SES OSD OGC (USA) [/O=SD/OU=First Administrative Group/cn=Recipients/cn=(b) (6)]
Subject: Re: Ukraine
Attachments: smime.p7s

(b) (5)

Eric D. Chewning
Chief of Staff

From: "Lyons, David Brig Gen SD" <(b) (6)>
Date: Friday, August 30, 2019 at 8:25:20 PM
To: "Ney, Paul C Jr HON OSD OGC (USA)" <(b) (6)>, "McCusker, Elaine A HON OSD OUSD C (USA)" <(b) (6)>
Cc: "Chewning, Eric SES SD" <(b) (6)>, "Verga, Peter SES SD" <(b) (6)>, "Rood, John C HON OSD OUSD POLICY (USA)" <(b) (6)>, "Castle, Edwin S SES OSD OGC (USA)" <(b) (6)>
Subject: RE: Ukraine

(b) (5)

Thanks much...
VR,
Detroit

From: Ney, Paul C Jr HON OSD OGC (USA) <(b) (6)>
Sent: Friday, August 30, 2019 8:13 PM
To: McCusker, Elaine A HON OSD OUSD C (USA) <(b) (6)>
Cc: Chewning, Eric SES SD <(b) (6)>; Verga, Peter SES SD <(b) (6)>; Rood, John C HON OSD OUSD POLICY (USA) <(b) (6)>; Castle, Edwin S SES OSD OGC (USA) <(b) (6)>; Lyons, David Brig Gen SD <(b) (6)>
Subject: Re: Ukraine

(b) (5)

(b) (5)

Paul Ney
General Counsel
Department of Defense

(b) (6)

On Aug 30, 2019, at 7:11 PM, McCusker, Elaine A HON OSD OUSD C (USA)

(b) (6) > wrote:

(b) (5)

EM

-----Original Message-----

From: Chewning, Eric SES SD (b) (6) >

Sent: Friday, August 30, 2019 7:11 PM

To: McCusker, Elaine A HON OSD OUSD C (USA) (b) (6) >; Ney, Paul C Jr HON OSD OGC (USA) (b) (6) >; Verga, Peter SES SD

(b) (6) >; Rood, John C HON OSD OUSD POLICY (USA)

(b) (6) >

Cc: Castle, Edwin S SES OSD OGC (USA) <(b) (6) >; Lyons, David Brig Gen SD (b) (6) >

Subject: RE: Ukraine

(b) (5)

-----Original Message-----

From: McCusker, Elaine A HON OSD OUSD C (USA)

(b) (6) >

Sent: Friday, August 30, 2019 7:06 PM

To: Chewning, Eric SES SD (b) (6) >; Ney, Paul C Jr HON OSD OGC (USA) <(b) (6) >; Verga, Peter SES SD <(b) (6) >;

Rood, John C HON OSD OUSD POLICY (USA) <(b) (6) >

Cc: Castle, Edwin S SES OSD OGC (USA) <(b) (6) >; Lyons, David Brig Gen SD (b) (6) >

Subject: FW: Ukraine

(b) (5)

Wr,
EM

-----Original Message-----

From: Duffey, Michael P. EOP/OMB (b) (6)

Sent: Friday, August 30, 2019 7:04 PM

To: McCusker, Elaine A HON OSD OUSD C (USA) (b) (6)

Subject: Ukraine

(b) (5)

Message (Digitally Signed)

From: Chewning, Eric SES SD [/O=SD/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=CHEWNING, ERIC SES SDDD4]
Sent: 9/1/2019 1:33:48 PM
To: McCusker, Elaine A HON OSD OUSD C (USA) [/O=SD/OU=First Administrative Group/cn=Recipients/cn=(b) (6)]; Ney, Paul C Jr HON OSD OGC (USA) [/O=SD/OU=First Administrative Group/cn=Recipients/cn=(b) (6)]
CC: Verga, Peter SES SD [/O=SD/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=Verga, Peter SES SD7ea]; Rood, John C HON OSD OUSD POLICY (USA) [/O=SD/OU=First Administrative Group/cn=Recipients/cn=(b) (6)]; Castle, Edwin S SES OSD OGC (USA) [/O=SD/OU=First Administrative Group/cn=Recipients/cn=(b) (6)]; Lyons, David Brig Gen SD [/O=SD/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=Lyons, David BGen SDa2e]
Subject: RE: Ukraine
Attachments: smime.p7s

Hi All,

I spoke to the boss. (b) (5)

[REDACTED]

From: McCusker, Elaine A HON OSD OUSD C (USA) (b) (6)
Sent: Friday, August 30, 2019 8:28 PM
To: Ney, Paul C Jr HON OSD OGC (USA) (b) (6)
Cc: Chewning, Eric SES SD (b) (6) >; Verga, Peter SES SD (b) (6) >; Rood, John C HON OSD OUSD POLICY (USA) (b) (6) >; Castle, Edwin S SES OSD OGC (USA) (b) (6)
Lyons, David Brig Gen SD <(b) (6)>
Subject: Re: Ukraine

Paul

(b) (5)

[REDACTED]

[REDACTED]

[REDACTED]

We,
EM

From: "Ney, Paul C Jr HON OSD OGC (USA)" (b) (6)
Date: Friday, August 30, 2019 at 8:12:31 PM
To: "McCusker, Elaine A HON OSD OUSD C (USA)" (b) (6)
Cc: "Chewning, Eric SES SD" (b) (6) >, "Verga, Peter SES SD" (b) (6) >, "Rood, John C HON OSD OUSD POLICY (USA)" (b) (6) >, "Castle, Edwin S SES OSD OGC (USA)" (b) (6) >, "Lyons, David Brig Gen SD" (b) (6) >
Subject: Re: Ukraine

(b) (5)

[REDACTED]

[REDACTED]

(b) (5)

Paul Ney
General Counsel
Department of Defense

(b) (6)

On Aug 30, 2019, at 7:11 PM, McCusker, Elaine A HON OSD OUSD C (USA)

<(b) (6)> wrote:

(b) (5)

EM

-----Original Message-----

From: Chewning, Eric SES SD (b) (6) >

Sent: Friday, August 30, 2019 7:11 PM

To: McCusker, Elaine A HON OSD OUSD C (USA) (b) (6) >; Ney,
Paul C Jr HON OSD OGC (USA) (b) (6) >; Verga, Peter SES SD

(b) (6) >; Rood, John C HON OSD OUSD POLICY (USA)

(b) (6)

Cc: Castle, Edwin S SES OSD OGC (USA) (b) (6) >; Lyons, David Brig
Gen SD (b) (6)

Subject: RE: Ukraine

(b) (5)

-----Original Message-----

From: McCusker, Elaine A HON OSD OUSD C (USA)

(b) (6) >

Sent: Friday, August 30, 2019 7:06 PM

To: Chewning, Eric SES SD (b) (6) >; Ney, Paul C Jr HON OSD OGC
(USA) (b) (6) >; Verga, Peter SES SD (b) (6) >;

Rood, John C HON OSD OUSD POLICY (USA) (b) (6)

Cc: Castle, Edwin S SES OSD OGC (USA) (b) (6) >; Lyons,
David Brig Gen SD (b) (6) >

Subject: FW: Ukraine

(b) (5)

Wr,
EM

-----Original Message-----

From: Duffey, Michael P. EOP/OMB <(b) (6)>

Sent: Friday, August 30, 2019 7:04 PM

To: McCusker, Elaine A HON OSD OUSD C (USA) <(b) (6)>

Subject: Ukraine

(b) (5)

Message

From: Chewning, Eric SES SD [/O=SD/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=CHWNING, ERIC SES SDDD4]
Sent: 9/1/2019 1:20:07 PM
To: McCusker, Elaine A HON OSD OUSD C (USA) [/O=SD/OU=First Administrative Group/cn=Recipients/cn=(b) (6)]
Subject: Ukraine

Elaine,

I spoke to the boss. (b) (5)

Eric D. Chewning
Chief of Staff
Office of the Secretary of Defense
Pentagon, (b) (6)

(b) (6)

Mr. Russell Vought
Acting Director
Office of Management and Budget
725 17th St. NW
Washington, DC 20503

Dear Mr. Vought:

(b) (5)



Sincerely,

David L. Norquist

Message (Digitally Signed)

From: Chewning, Eric SES SD (b) (6)
Sent: 6/25/2019 10:15:07 AM
To: Rood, John C HON OSD OUSD POLICY (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]; McCusker, Elaine A HON OSD OUSD C (US) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]
CC: Baker, Phillip COL SD (b) (6); (b) (6) CAPT SD (b) (6); Verga, Peter SES SD (b) (6); (b) (6) LtCol USMC OSD OUSD C (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]; McAndrew, Anne J SES OSD OUSD C (US) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]; (b) (6) CIV OSD OUSD POLICY (US) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]; Cooper, Laura K SES OSD OUSD POLICY (US) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]; (b) (6) COL USARMY OSD OUSD POLICY (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]; (b) (6) Col USAF OSD OUSD POLICY (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]; Trulio, David V SES OSD OUSD POLICY (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]
Subject: RE: POTUS follow up
Attachments: smime.p7s

Thanks

From: Rood, John C HON OSD OUSD POLICY (USA) (b) (6)
Sent: Monday, June 24, 2019 11:12 PM
To: Chewning, Eric SES SD (b) (6); McCusker, Elaine A HON OSD OUSD C (US) (b) (6)
Cc: (b) (6) COL SD (b) (6); (b) (6) CAPT SD (b) (6); Verga, Peter SES SD (b) (6); (b) (6) LtCol USMC OSD OUSD C (USA) (b) (6); McAndrew, Anne J SES OSD OUSD C (US) (b) (6); (b) (6) CIV OSD OUSD POLICY (US) (b) (6); Cooper, Laura K SES OSD OUSD POLICY (US) (b) (6); (b) (6) COL USARMY OSD OUSD POLICY (USA) (b) (6); (b) (6) Col USAF OSD OUSD POLICY (USA) (b) (6); Trulio, David V SES OSD OUSD POLICY (USA) (b) (6)
Subject: RE: POTUS follow up

We're working to get the additional data to fill in the rest.

From: Chewning, Eric SES SD (b) (6) >
Sent: Monday, June 24, 2019 5:53 PM
To: McCusker, Elaine A HON OSD OUSD C (US) (b) (6) Rood, John C HON OSD OUSD POLICY (USA) (b) (6) >
Cc: (b) (6) COL SD (b) (6) > (b) (6) CAPT SD (b) (6) > Verga, Peter SES SD (b) (6) (b) (6) LtCol USMC OSD OUSD C (USA) <(b) (6)>; McAndrew, Anne J SES OSD OUSD C (US) (b) (6) >
Subject: RE: POTUS follow up

Thanks Elaine.
John, can policy fill in the gaps?

From: McCusker, Elaine A HON OSD OUSD C (US) (b) (6)
Sent: Monday, June 24, 2019 4:28 PM
To: Chewning, Eric SES SD (b) (6) >; Rood, John C HON OSD OUSD POLICY (USA) (b) (6)
Cc: (b) (6) COL SD (b) (6) >; (b) (6) CAPT SD (b) (6) >; Verga, Peter SES SD (b) (6); (b) (6) LtCol USMC OSD OUSD C (USA) (b) (6); McAndrew, Anne J SES OSD OUSD C (US) (b) (6)
Subject: RE: POTUS follow up

Eric

Attached paper we provided to OMB on Friday regarding similar question. It does not have info on U.S. firms or other NATO support to Ukraine, but answers the other basics as a start.

<<...>>

Vr

EM

From: Chewning, Eric SES SD (b) (6) >
Sent: Monday, June 24, 2019 3:47 PM
To: Rood, John C HON OSD OUSD POLICY (USA) (b) (6) >; McCusker, Elaine A HON OSD OUSD C (US) (b) (6) >
Cc: (b) (6) COL SD <(b) (6)> (b) (6) CAPT SD (b) (6) >; Verga, Peter SES SD (b) (6)
Subject: POTUS follow up

John and Elaine,

There was a follow up item (b) (5). Can you all provide additional detail on the recent security cooperation funds to Ukraine?

<https://dod.defense.gov/News/News-Releases/News-Release-View/Article/1879340/dod-announces-250m-to-ukraine/>

Specifically,

What was the funding used for? i.e., did it go to U.S. firms?

Who funded it?

What do other NATO members spend to support Ukraine?

Eric D. Chewning

Chief of Staff

Office of the Secretary of Defense

Pentagon, (b) [REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

From: McCusker, Elaine A HON OSD OUSD C (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]

Sent: 9/5/2019 12:29:15 PM

To: Ney, Paul C Jr HON OSD OGC (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]; Chewning, Eric SES SD (b) (6); Verga, Peter SES SD (b) (6)

CC: Hood, Robert R HON OSD OASD LA (US) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]; Castle, Edwin S SES OSD OGC (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]
Castle, William S SES OSD OGC (US) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]; McAndrew, Anne J SES OSD OUSD C (US) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]; Dilworth, Monique L SES OSD OUSD C (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)] (b) (6) Col USMC OSD OUSD C (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)] (b) (6) Col USAF OSD OUSD C (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]; Rood, John C HON OSD OUSD POLICY (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]; Hooper, Charles W LTG USARMY DSCA FO (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]

Subject: Ukraine

Attachments: Copy of USAI Award Matrix_31 Augst_2019_working copy.xlsx; smime.p7s

OMB intends to send us another footnote today with direction to continuing holding until Friday.

Normally would not send you this level of detail, but given the situation, providing the below assessment for background on the increasing risk of execution. Thanks to the DSCA team for continued excellent responsiveness on this.

Wr,
EM

(b) (5)

- (b) (5) [REDACTED]
(b) (5) [REDACTED]
- [REDACTED]
[REDACTED]
- [REDACTED]
[REDACTED]
[REDACTED]

FY 2019 USAI Tracker_as of 31 August 2019

Notes:

(b)(5) -- EOP

¹- Commitment Date: Date represents the absolute latest date in which the commitment document needs to be entered in the accounting system to initiate procurement packages and forwarded to the contracting office for action.

²- Obligation Date: Date represents the projected award date for contracts and government credit card purchases.

(b)(5) -- EOP

Case ID	Item Procured	Commitment Date (Entered into Accounting System)	Acquisition Plan	Amount	Obligation Date (Contracts award, purchase made)
QG-B-URA	(b)(3) 10 USC 130c	19-Aug-19 19-Aug-19 19-Aug-19 19-Aug-19 19-Aug-19 26-Aug-19 19-Aug-19	(b) (5)		26-Aug-19 26-Aug-19 26-Aug-19 26-Aug-19 26-Aug-19 2-Sep-19 20-Sep-19
QG-B-UAB A01		19-Aug-19 19-Aug-19 19-Aug-19 19-Aug-19			30-Aug-19 30-Aug-19 30-Aug-19 30-Aug-19
QG-B-UAD A01		19-Aug-19			30-Aug-19
QG-B-UBI		19-Aug-19 19-Aug-19 19-Aug-19 19-Aug-19 26-Aug-19 9-Sep-19 9-Sep-19 26-Aug-19			26-Aug-19 26-Aug-19 26-Aug-19 26-Aug-19 27-Sep-19 13-Sep-19 13-Sep-19 2-Sep-19
QG-B-UBA		19-Aug-19 19-Aug-19			20-Sep-19 20-Sep-19
QG-B-UBE		26-Aug-19 26-Aug-19			2-Sep-19 2-Sep-19
QG-B-UBB		26-Aug-19			2-Sep-19
QG-B-UBD		26-Aug-19			2-Sep-19
QG-P-LBB		21-Aug-19 21-Aug-19 21-Aug-19			28-Aug-19 28-Aug-19 28-Aug-19

Case ID	Item Procured	Commitment Date (Entered into Accounting System)	Acquisition Plan	Amount	Obligation Date (Contracts award, purchase made)
	(b)(3) 10 USC 130c	21-Aug-19 21-Aug-19	(b) (5)		28-Aug-19 28-Aug-19
QG-B-MBA		12-Sep-19			15-Sep-19
QG-B-MBB		12-Sep-19			15-Sep-19
QG-B-MBC		12-Sep-19			15-Sep-19
QG-B-UBB		5-Sep-19			11-Sep-19
QG-B-UBC		2-Sep-19 12-Sep-19			6-Sep-19 15-Sep-19
QG-B-UBG		2-Sep-19			6-Sep-19
QG-B-UBH		2-Sep-19 12-Sep-19 2-Sep-19			6-Sep-19 15-Sep-19 6-Sep-19
QG-B-UBJ		12-Sep-19			15-Sep-19
QG-B-UBL		2-Sep-19 12-Sep-19 2-Sep-19			6-Sep-19 15-Sep-19 6-Sep-19
TOTAL REQUIREMENT			Grand Total	\$ 168,612,863	

Message (Digitally Signed)

From: McCusker, Elaine A HON OSD OUSD C (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]
Sent: 8/21/2019 1:28:06 PM
To: Rood, John C HON OSD OUSD POLICY (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]; Ney, Paul C Jr HON OSD OGC (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]
CC: Verga, Peter SES SD (b) (6); Chewning, Eric SES SD (b) (6); Lyons, David Brig Gen SD (b) (6); Cacci, Ralph A SES (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]; McAndrew, Anne J SES OSD OUSD C (US) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]; Dilworth, Monique L SES OSD OUSD C (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]; Castle, William S SES OSD OGC (US) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]; Castle, Edwin S SES OSD OGC (US) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]; Moxley, William E SES OSD OGC (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]; (b) (6) Lt Col USAF OSD OUSD C (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]; (b) (6) Col USMC OSD OUSD C (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]; Norquist, David HON SD (b) (6)
Subject: Ukraine Update
Attachments: USAI Funds; USAI; FY19 USAI Cases_08202019_1421.pptx; smime.p7s

John, Paul, Eric, Pete

Good morning. Last night, we received another footnote - pasted below - from OMB directing no further obligations on Ukraine funding until August 26, 2019.

(b) (5)
[Redacted]

(b) (5)
[Redacted]

[Redacted]

I expect another call with OMB later this morning but wanted to make sure you were in the loop on the latest.

Also just received word that HAC-D traveled to Ukraine earlier this month and has sent us an RFI regarding funds being held up.

Vr,
Elaine

OMB 8/20/19 Footnote:
Amounts apportioned, but not yet obligated as of the date of this reapportionment, for the Ukraine Security Assistance Initiative (Initiative) are not available for obligation until August 26, 2019, to allow for an interagency process to determine the best use of such funds. DOD may continue its planning and casework for the Initiative during this period.

Message

From: Duffey, Michael P. EOP/OMB (b) (6)
Sent: 8/7/2019 4:53:44 PM
To: McCusker, Elaine A HON OSD OUSD C (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]
CC: McAndrew, Anne J SES OSD OUSD C (US) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]; Sandy, Mark S. EOP/OMB (b) (6)
Subject: RE: Footnote

I am tracking the issue. Thanks for confirming.

Sincerely,
Mike

Mike Duffey
Associate Director for National Security Programs
Office of Management and Budget
The White House
(b) (6)

-----Original Message-----

From: McCusker, Elaine A HON OSD OUSD C (USA) (b) (6)
Sent: Wednesday, August 7, 2019 10:48 AM
To: Duffey, Michael P. EOP/OMB <(b) (6)>
Cc: McAndrew, Anne J SES OSD OUSD C (US) (b) (6); Sandy, Mark S. EOP/OMB (b) (6)
Subject: RE: Footnote

Mike

I think you are tracking that there were some additional developments last night the team is working.

EM

-----Original Message-----

From: Duffey, Michael P. EOP/OMB (b) (6)
Sent: Tuesday, August 6, 2019 3:46 PM
To: McCusker, Elaine A HON OSD OUSD C (USA) (b) (6)
Cc: McAndrew, Anne J SES OSD OUSD C (US) (b) (6); Sandy, Mark S. EOP/OMB (b) (6)
Subject: RE: Footnote

Just to close the loop, I connected with Anne and Monique today, and they said DSCA was good.

Thanks.

Sincerely,
Mike

Mike Duffey
Associate Director for National Security Programs Office of Management and Budget The White House
(b) (6)

-----Original Message-----

From: McCusker, Elaine A HON OSD OUSD C (USA) (b) (6)
Sent: Tuesday, August 6, 2019 9:02 AM
To: Duffey, Michael P. EOP/OMB (b) (6)
Cc: McAndrew, Anne J SES OSD OUSD C (US) (b) (6); Sandy, Mark S. EOP/OMB (b) (6)
Subject: Re: Footnote

Mike

who did you talk to yesterday to confirm (b) (5)?

EM

> On Aug 6, 2019, at 5:39 AM, Duffey, Michael P. EOP/OMB (b) (6) wrote:
>
> Elaine - I intend to extend the footnote on Ukraine assistance funding for an additional week through next Monday. (b) (5)
>
> Please let me know if you foresee any concerns. Else, our team will issue the below footnote this morning:
>
> Amounts apportioned, but not yet obligated as of the date of this reapportionment, for the Ukraine Security Assistance Initiative (Initiative) are not available for obligation until August 12th, 2019, to allow for an interagency process to determine the best use of such funds. Based on OMB's communication with DOD on August 5th, 2019, OMB understands from the Department that this brief pause in obligations will not preclude DOD's timely execution of the final policy direction. DOD may continue its planning and casework for the Initiative during this period.

Message

From: Duffey, Michael P. EOP/OMB (b) (6)
Sent: 8/6/2019 1:48:56 PM
To: McCusker, Elaine A HON OSD OUSD C (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]
CC: McAndrew, Anne J SES OSD OUSD C (US) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]; Sandy, Mark S. EOP/OMB (b) (6)
Subject: RE: Footnote

Good catch (b) (5). Who is it best to speak with regarding the execution?

Thanks.

Sincerely,
Mike

Mike Duffey
Associate Director for National Security Programs
Office of Management and Budget
The White House
(b) (6)

-----Original Message-----

From: McCusker, Elaine A HON OSD OUSD C (USA) (b) (6) >
Sent: Tuesday, August 6, 2019 9:02 AM
To: Duffey, Michael P. EOP/OMB (b) (6) >
Cc: McAndrew, Anne J SES OSD OUSD C (US) (b) (6) >; Sandy, Mark S. EOP/OMB (b) (6) >
Subject: Re: Footnote

Mike

Who did you talk to yesterday to confirm (b) (5)?

EM

> On Aug 6, 2019, at 5:39 AM, Duffey, Michael P. EOP/OMB (b) (6) > wrote:
>
> Elaine - I intend to extend the footnote on Ukraine assistance funding for an additional week through next Monday. (b) (5)
>
> Please let me know if you foresee any concerns. Else, our team will issue the below footnote this morning:
>
> Amounts apportioned, but not yet obligated as of the date of this reapportionment, for the Ukraine Security Assistance Initiative (Initiative) are not available for obligation until August 12th, 2019, to allow for an interagency process to determine the best use of such funds. Based on OMB's communication with DOD on August 5th, 2019, OMB understands from the Department that this brief pause in obligations will not preclude DOD's timely execution of the final policy direction. DOD may continue its planning and casework for the Initiative during this period.

Message

From: Duffey, Michael P. EOP/OMB (b) (6)]
Sent: 9/7/2019 1:38:16 AM
To: McCusker, Elaine A HON OSD OUSD C (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]
Subject: Re: USAI

(b) (5)

Sent from my iPhone

> On Sep 6, 2019, at 9:33 PM, McCusker, Elaine A HON OSD OUSD C (USA) (b) (6) >
wrote:
>
> Are we free to execute as of midnight tonight?
>
> EM

Message

From: Duffey, Michael P. EOP/OMB (b) (6)]
Sent: 9/12/2019 11:14:08 AM
To: McCusker, Elaine A HON OSD OUSD C (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN(b) (6)
Subject: RE: Footnote

Elaine – I just signed the apportionment. My team will be transmitting to your team momentarily.

Sincerely,
Mike

Mike Duffey
Associate Director for National Security Programs
Office of Management and Budget
The White House
(b) (6)

From: Duffey, Michael P. EOP/OMB (b) (6) >
Sent: Wednesday, September 11, 2019 9:52 PM
To: McCusker, Elaine A HON OSD OUSD C (USA) (b) (6) >
Subject: Re: Footnote

(b) (5)

Still waiting for my staff to send me apportionment. Hoping to sign tonight yet.

Glad to have this behind us.

Sent from my iPhone

On Sep 11, 2019, at 9:48 PM, McCusker, Elaine A HON OSD OUSD C (USA)
<(b) (6)> wrote:

Copy. What happened? Thanks.

EM

From: "Duffey, Michael P. EOP/OMB" <(b) (6)>
Date: Wednesday, September 11, 2019 at 7:30:37 PM
To: "McCusker, Elaine A HON OSD OUSD C (USA)" (b) (6) >
Subject: RE: Footnote

Elaine - I will be issuing an apportionment this evening to immediately release all USAI funds for obligation.

I will alert you as soon as I have signed the apportionment.

Thank you

Sincerely,
Mike

Mike Duffey
Associate Director for National Security Programs
Office of Management and Budget
The White House

-----Original Message-----

From: McCusker, Elaine A HON OSD OUSD C (USA) (b) (6) >
Sent: Wednesday, September 11, 2019 5:36 PM
To: Duffey, Michael P. EOP/OMB <(b) (6)>
Subject: RE: Footnote

Copy. We are continuing to do everything we can across the enterprise to position as I expect you all know. (b) (5)

[REDACTED]

EM

-----Original Message-----

From: Duffey, Michael P. EOP/OMB (b) (6) >
Sent: Wednesday, September 11, 2019 3:54 AM
To: McCusker, Elaine A HON OSD OUSD C (USA) <(b) (6)>
Subject: Footnote

Elaine - We are extending through thurs.

Mike

Message

From: Duffey, Michael P. EOP/OMB (b) (6)
Sent: 9/11/2019 11:30:29 PM
To: McCusker, Elaine A HON OSD OUSD C (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN (b) (6)]
Subject: RE: Footnote

Elaine - I will be issuing an apportionment this evening to immediately release all USAI funds for obligation.

I will alert you as soon as I have signed the apportionment.

Thank you.

Sincerely,
Mike

Mike Duffey
Associate Director for National Security Programs
Office of Management and Budget
The White House

-----Original Message-----

From: McCusker, Elaine A HON OSD OUSD C (USA) (b) (6)
Sent: Wednesday, September 11, 2019 5:36 PM
To: Duffey, Michael P. EOP/OMB (b) (6)
Subject: RE: Footnote

Copy. We are continuing to do everything we can across the enterprise to position as I expect you all know. (b) (5)

[REDACTED]

EM

-----Original Message-----

From: Duffey, Michael P. EOP/OMB (b) (6)
Sent: Wednesday, September 11, 2019 3:54 AM
To: McCusker, Elaine A HON OSD OUSD C (USA) (b) (6)
Subject: Footnote

Elaine - We are extending through thurs.

Mike

Message (Digitally Signed)

From: Chewning, Eric SES SD (b) (6)
Sent: 9/1/2019 7:52:56 PM
To: McCusker, Elaine A HON OSD OUSD C (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)
Subject: Re: SD COA
Attachments: smime.p7s

(b) (5)



Eric D. Chewning
Chief of Staff

From: "McCusker, Elaine A HON OSD OUSD C (USA)" (b) (6) >
Date: Sunday, September 1, 2019 at 3:48:38 PM
To: "Chewning, Eric SES SD" (b) (6)
Subject: RE: SD COA

Eric

(b) (5)



EM

Message

From: Duffey, Michael P. EOP/OMB (b) (6)
Sent: 8/29/2019 10:14:10 PM
To: McCusker, Elaine A HON OSD OUSD C (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN(b) (6)]
Subject: FW: Agreed TPs

Elaine – (b) (5)

Hoping we can still catch up on (b) (6) this evening. My cell is (b) (6) or (b) (6) if I am not at my desk.

Sincerely,
Mike

Mike Duffey
Associate Director for National Security Programs
Office of Management and Budget
The White House
(b) (6)

From: Paoletta, Mark R. EOP/OMB <(b) (6)>
Sent: Thursday, August 29, 2019 3:57 PM
To: 'Castle, Edwin S SES OSD OGC (USA)' (b) (6); Ney, Paul C Jr HON OSD OGC (USA) (b) (6)
Cc: Duffey, Michael P. EOP/OMB (b) (6); McKiver, Charlie E. EOP/OMB (b) (6) >
Subject: Agreed TPs

Gents, thanks for your help. Here are TPs we agreed upon. Let me know if you have any questions. Mark

(b) (5)



Mark R. Paoletta
General Counsel
Office of Management & Budget
(b) (6)

Message

From: Duffey, Michael P. EOP/OMB (b) (6)
Sent: 8/27/2019 5:25:05 PM
To: McCusker, Elaine A HON OSD OUSD C (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]
Subject: RE: USAI Letter to OMB (Revised)

Thanks for the heads up

Sincerely,
Mike

Mike Duffey
Associate Director for National Security Programs
Office of Management and Budget
The White House
(b) (6)

-----Original Message-----

From: McCusker, Elaine A HON OSD OUSD C (USA) (b) (6) >
Sent: Tuesday, August 27, 2019 1:01 PM
To: Duffey, Michael P. EOP/OMB (b) (6) >
Subject: FW: USAI Letter to OMB (Revised)
Importance: High

Mike

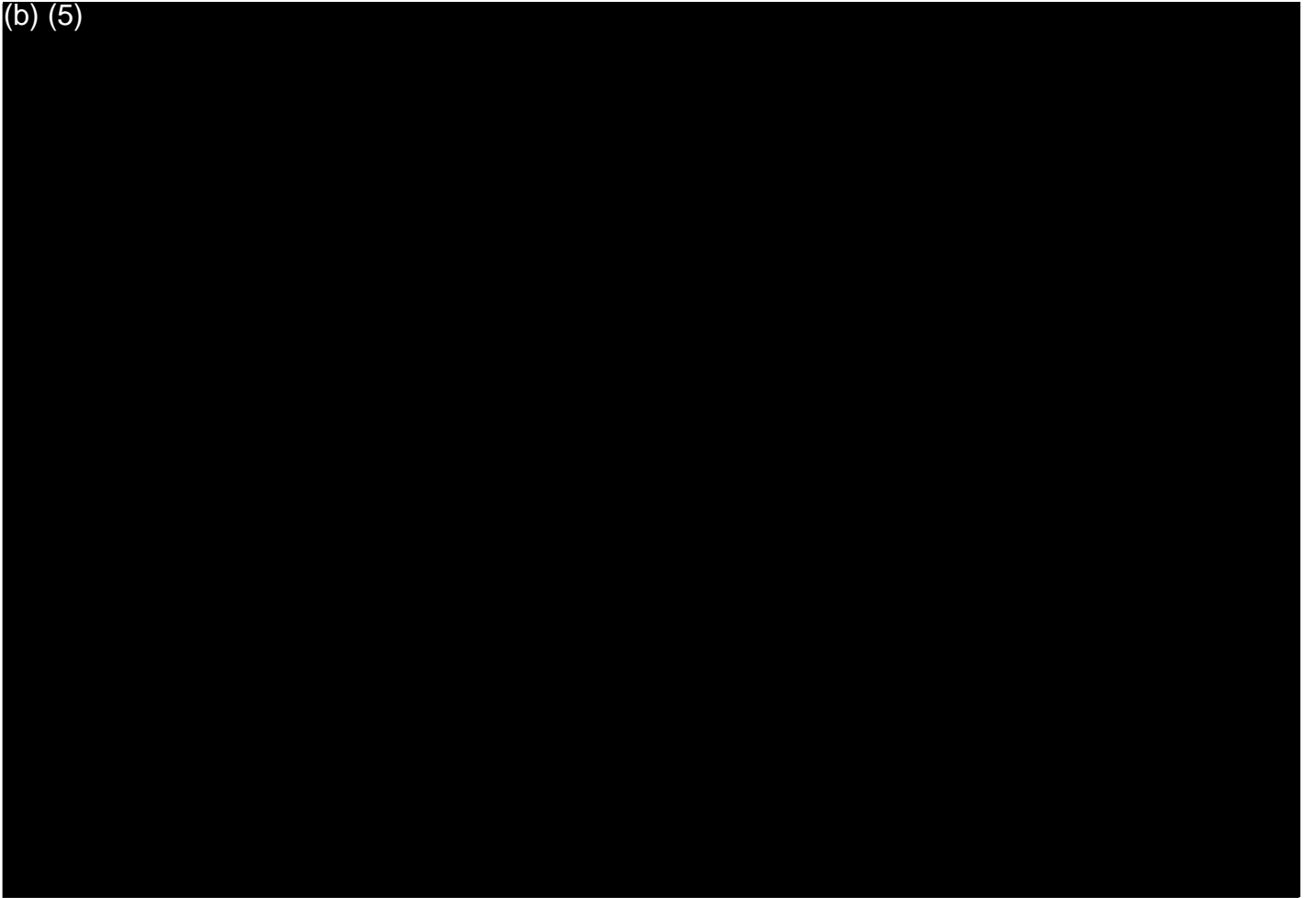
FYI - attached draft we are teeing up for DSD review.

EM

Hon. Russell Vought
Acting Director
Office of Management and Budget
725 17th St. NW
Washington, DC 20503

Dear Mr. Vought,

(b) (5)



Sincerely,

[Deputy Secretary of Defense]

Message

From: Duffey, Michael P. EOP/OMB (b) (6)
Sent: 8/27/2019 6:25:35 PM
To: McCusker, Elaine A HON OSD OUSD C (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)
Subject: RE: USAI Letter to OMB (Revised)

Signing the footnote now

Sincerely,
Mike

Mike Duffey
Associate Director for National Security Programs
Office of Management and Budget
The White House
(b) (6)

-----Original Message-----

From: McCusker, Elaine A HON OSD OUSD C (USA) (b) (6)
Sent: Tuesday, August 27, 2019 1:01 PM
To: Duffey, Michael P. EOP/OMB (b) (6) >
Subject: FW: USAI Letter to OMB (Revised)
Importance: High

Mike

FYI - attached draft we are teeing up for DSD review.

EM

Message

From: Duffey, Michael P. EOP/OMB (b) (6)
Sent: 8/19/2019 10:53:59 PM
To: McCusker, Elaine A HON OSD OUSD C (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]
Subject: Re: Any news?

Thanks for the clarification Elaine. Our GC is reviewing the (b) (5) I expect they will have an input soonest.

Sent from my iPhone

On Aug 19, 2019, at 5:55 PM, McCusker, Elaine A HON OSD OUSD C (USA)

<(b) (6)> wrote:

Mike

Copy. To be clear, in this case, (b) (5)

(b) (5)

EM

From: Duffey, Michael P. EOP/OMB (b) (6) >
Sent: Monday, August 19, 2019 5:16 PM
To: McCusker, Elaine A HON OSD OUSD C (USA) (b) (6)
Subject: RE: Any news?

Saw that. Thanks for the reminder. We have no interest in delaying any action up until just before the obligation event occurs and want those processes to proceed.

Sincerely,
Mike

Mike Duffey
Associate Director for National Security Programs
Office of Management and Budget
The White House
(b) (6)

From: McCusker, Elaine A HON OSD OUSD C (USA) (b) (6) >
Sent: Monday, August 19, 2019 12:51 PM
To: Duffey, Michael P. EOP/OMB (b) (6)
Subject: RE: Any news?

Mike

Reminder, per the notes with the slide/table, the funds go into the system today to initiate transactions and obligate.

EM

From: Duffey, Michael P. EOP/OMB (b) (6)
Sent: Monday, August 19, 2019 12:21 PM
To: McCusker, Elaine A HON OSD OUSD C (USA) (b) (6) >
Subject: RE: Any news?

Elaine – closing the loop on this, (b) (5)
(b) (5)

Sincerely,
Mike

Mike Duffey
Associate Director for National Security Programs
Office of Management and Budget
The White House
(b) (6)

From: McCusker, Elaine A HON OSD OUSD C (USA) (b) (6)
Sent: Saturday, August 17, 2019 2:59 PM
To: Duffey, Michael P. EOP/OMB <(b) (6)>
Subject: Re: Any news?

Thanks

From: "Duffey, Michael P. EOP/OMB" (b) (6) >
Date: Saturday, August 17, 2019 at 2:38:03 PM
To: "McCusker, Elaine A HON OSD OUSD C (USA)" <(b) (6)>
Subject: Re: Any news?

I should probably confirm that since this is on everyone's radar. Will try to do so today

Sent from my iPhone

On Aug 17, 2019, at 2:35 PM, McCusker, Elaine A HON OSD OUSD C (USA)
<(b) (6)> wrote:

Copy

From: "Duffey, Michael P. EOP/OMB" (b) (6) >
Date: Saturday, August 17, 2019 at 2:19:51 PM
To: "McCusker, Elaine A HON OSD OUSD C (USA)"
<(b) (6)>
Subject: Re: Any news?

(b) (5)

Sent from my iPhone

On Aug 17, 2019, at 2:05 PM, McCusker, Elaine A HON OSD OUSD C (USA)

(b) (6) > wrote:

So are we good to proceed with the \$61M cases on Monday?

From: "Duffey, Michael P. EOP/OMB"

(b) (6) >

Date: Saturday, August 17, 2019 at 1:34:42 PM

To: "McCusker, Elaine A HON OSD OUSD C (USA)"

<(b) (6) >

Subject: Re: Any news?

Sounds like Ukraine was not discussed.

Sent from my iPhone

On Aug 17, 2019, at 11:39 AM, McCusker, Elaine A HON OSD

OUSDC (USA) <(b) (6) > wrote:

Not yet.

From: "Duffey, Michael P. EOP/OMB"

<(b) (6) >

Date: Saturday, August 17, 2019 at 9:55:49 AM

To: "McCusker, Elaine A HON OSD OUSD C

(USA)" (b) (6) >

Subject: RE: Any news?

No – still trying to get a read out. Have you heard anything on the Afghanistan piece?

Sincerely,

Mike

Mike Duffey

Associate Director for National Security Programs

Office of Management and Budget

The White House

From: McCusker, Elaine A HON OSD OUSD C (USA)
(b) (6) >
Sent: Saturday, August 17, 2019 8:00 AM
To: Duffey, Michael P. EOP/OMB
<(b) (6)>
Subject: Any news?

On the meeting?

EM

From: "Duffey, Michael P. EOP/OMB"
<(b) (6)>
Date: Thursday, August 15, 2019 at 7:59:54 PM
To: "McCusker, Elaine A HON OSD OUSD C (USA)" <(b) (6)>
Subject: Re: Slide

That works. Thx

Sent from my iPhone

> On zombie luAug 15, 2019, at 7:52 PM,
McCusker, Elaine A HON OSD OUSD C (USA)
<(b) (6)> wrote:
>
> Mike
>
> Hey, I got tied up so didn't get the data to you we
discussed. First thing tomorrow ok?
>
> EM

Message

From: Duffey, Michael P. EOP/OMB [(b) (6)]
Sent: 8/12/2019 9:10:01 PM
To: McCusker, Elaine A HON OSD OUSD C (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]
Subject: Re: Apportionment

Working this now

Sent from my iPhone

> On Aug 12, 2019, at 4:34 PM, McCusker, Elaine A HON OSD OUSD C (USA) [(b) (6)] >
wrote:

>
> Mike

> [(b) (5)]

[REDACTED]

[REDACTED]

[REDACTED]

[illegible]

DOI: 10.1002/for

>> _____
>>
>>
>> -----Original Message-----
>> From: McCusker, Elaine A HON OSD OUSD C (USA) (b) (6) >
>> Sent: Friday, August 9, 2019 5:32 PM
>> To: Duffey, Michael P. EOP/OMB (b) (6); Sandy, Mark S. EOP/OMB
>> (b) (6) >
>> Cc: Dilworth, Monique L SES OSD OUSD C (USA) (b) (6); Ney, Paul C Jr HON OSD
>> OGC (USA) <(b) (6)>
>> Subject: USAI
>>
>> Mike, Mark
>>
>> Attached info on the USAI execution. Number 5 has the general info on the steps - (b) (5)
>> [REDACTED]
>> [REDACTED]
>> [REDACTED]
>> [REDACTED]
>>
>> EM
>>

Message

From: Sandy, Mark S. EOP/OMB [(b) (6)]
Sent: 7/30/2019 7:02:22 PM
To: McCusker, Elaine A HON OSD OUSD C (US) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]
Subject: RE: USAI

Thanks, that's helpful.

From: McCusker, Elaine A HON OSD OUSD C (US) [mailto:(b) (6)]
Sent: Tuesday, July 30, 2019 2:06 PM
To: Sandy, Mark S. EOP/OMB (b) (6) >
Subject: RE: USAI

Mark

I got what I think I am going to get and it is not what we would consider a spend plan. See SIPR.

EM

From: Sandy, Mark S. EOP/OMB (b) (6) >
Sent: Tuesday, July 30, 2019 1:51 PM
To: McCusker, Elaine A HON OSD OUSD C (US) (b) (6)
Subject: USAI

Elaine,

When do you anticipate receiving a USAI spend plan from DSCA?

Thanks,
Mark

From: McCusker, Elaine A HON OSD OUSD C (US) [mailto:(b) (6)]
Sent: Thursday, July 25, 2019 6:51 PM
To: Sandy, Mark S. EOP/OMB (b) (6) >
Subject: RE: Draft Apportionment Footnote

I am just breaking free now and got some feedback from our GC. I think we are good.

EM

From: Sandy, Mark S. EOP/OMB (b) (6) >
Sent: Thursday, July 25, 2019 4:43 PM
To: McCusker, Elaine A HON OSD OUSD C (US) (b) (6)
Subject: RE: Draft Apportionment Footnote

Elaine,

I understand our respective OGC's connected this afternoon, and I need to complete the apportionment this afternoon, so please let me know if you want to discuss before I transmit soon.

Thanks,
Mark

From: Sandy, Mark S. EOP/OMB
Sent: Thursday, July 25, 2019 1:13 PM
To: 'McCusker, Elaine A HON OSD OUSD C (US)' <(b) (6)>
Cc: Walsh, Heather V. EOP/OMB <(b) (6)>
Subject: Draft Apportionment Footnote

Elaine,

Please share with your OGC and advise of any concerns today. (b) (5)
[REDACTED]

Thanks,
Mark

Amounts apportioned, but not yet obligated as of the date of this reapportionment, for the Ukraine Security Assistance Initiative (Initiative) are not available for obligation until August 5, 2019, to allow for an interagency process to determine the best use of such funds. Based on OMB's communication with DOD on July 25, 2019, OMB understands from the Department that this brief pause in obligations will not preclude DOD's timely execution of the final policy direction. DOD may continue its planning and casework for the Initiative during this period.

Message

From: Duffey, Michael P. EOP/OMB (b) (6)
Sent: 7/25/2019 3:03:40 PM
To: David.Norquist (b) (6); McCusker, Elaine A HON OSD OUSD C (US) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]; eric.chewning (b) (6)
CC: Sandy, Mark S. EOP/OMB (b) (6)
Subject: Ukraine Foreign Assistance

David/Elaine/Eric:

Based on guidance I have received and in light of the Administration's plan to review assistance to Ukraine, including the Ukraine Security Assistance Initiative, please hold off on any additional DoD obligations of these funds, pending direction from that process. I understand that DOD will continue its planning and casework during this period and that this brief pause in obligations will not preclude DOD's timely execution of the final policy direction.

We intend to formalize the pause with an apportionment footnote to be provided later today.

Given the sensitive nature of the request, I appreciate your keeping that information closely held to those who need to know to execute the direction. Please let me know if you have any questions.

Sincerely,
Mike

Mike Duffey
Associate Director for National Security Programs
Office of Management and Budget
The White House
(b) (6)

Message

From: Duffey, Michael P. EOP/OMB (b) (6)
Sent: 7/2/2019 3:21:18 PM
To: McCusker, Elaine A HON OSD OUSD C (US) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN(b) (6)
Subject: Ukraine Funding Follow-up

Hello Elaine – I am following up on the question we traded e-mails on regarding the funding for the Ukraine Security Assistance Initiative (USAI). (b) (5)

Can you catch me up on the status of that question? He shared a breakdown of what the funding was paying for that I had not seen yet. Could you send that to me if you have it?

Happy to connect by phone this afternoon or tomorrow if easier. Thanks!

Sincerely,
Mike

Mike Duffey
Associate Director for National Security Programs
Office of Management and Budget
The White House
(b) (6)

Message

From: Chewning, Eric SES SD (b) (6)
Sent: 6/24/2019 9:55:52 PM
To: McCusker, Elaine A HON OSD OUSD C (US) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]
Subject: FW: POTUS follow up

I assume it has been obligated, is that right?

From: Blair, Robert (b) (6) >
Sent: Monday, June 24, 2019 5:55 PM
To: Chewning, Eric SES SD (b) (6) >
Subject: Re: POTUS follow up

Thanks. (b) (5)

Sent from my iPhone

On Jun 24, 2019, at 5:53 PM, Chewning, Eric SES SD (b) (6) > wrote:

Rob, here is what I had off the shelf

From: McCusker, Elaine A HON OSD OUSD C (US) (b) (6) >
Sent: Monday, June 24, 2019 4:28 PM
To: Chewning, Eric SES SD <(b) (6)>; Rood, John C HON OSD OUSD POLICY (USA) (b) (6) >
Cc: (b) (6) COL SD (b) (6) >; (b) (6) CAPT SD <(b) (6)>; Verga, Peter SES SD (b) (6) >; (b) (6) LtCol USMC OSD OUSD C (USA) (b) (6) >; McAndrew, Anne J SES OSD OUSD C (US) (b) (6) >
Subject: RE: POTUS follow up

Eric

Attached paper we provided to OMB on Friday regarding similar question. It does not have info on U.S. firms or other NATO support to Ukraine, but answers the other basics as a start.

<<...>>

Vr

EM

From: Chewning, Eric SES SD (b) (6) >
Sent: Monday, June 24, 2019 3:47 PM
To: Rood, John C HON OSD OUSD POLICY (USA) (b) (6) >; McCusker, Elaine A HON OSD OUSD C (US) (b) (6)
Cc: (b) (6) COL SD (b) (6) (b) (6) CAPT SD (b) (6) >;

Verga, Peter SES SD (b) (6)

Subject: POTUS follow up

John and Elaine,

There was a follow up item (b) (5). Can you all provide additional detail on the recent security cooperation funds to Ukraine?

<https://dod.defense.gov/News/News-Releases/News-Release-View/Article/1879340/dod-announces-250m-to-ukraine/>

Specifically,

What was the funding used for? i.e., did it go to U.S. firms?

Who funded it?

What do other NATO members spend to support Ukraine?

Eric D. Chewning

Chief of Staff

Office of the Secretary of Defense

Pentagon, (b)

<USAI One pager_20June2019.docx>

Message

From: Duffey, Michael P. EOP/OMB (b) (6)
Sent: 6/20/2019 6:53:33 PM
To: McCusker, Elaine A HON OSD OUSD C (US) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN(b) (6)
CC: Sandy, Mark S. EOP/OMB (b) (6)
Subject: RE: Pentagon to send \$250M in weapons to Ukraine

Ok, thanks for the quick turn!

Sincerely,
Mike

Mike Duffey
Associate Director for National Security Programs
Office of Management and Budget
The White House

From: McCusker, Elaine A HON OSD OUSD C (US) <(b) (6)>
Sent: Thursday, June 20, 2019 2:45 PM
To: Duffey, Michael P. EOP/OMB (b) (6) >
Cc: Sandy, Mark S. EOP/OMB (b) (6) >
Subject: RE: Pentagon to send \$250M in weapons to Ukraine

It did not, but I handed Mark an info paper. Also attached here.

EM

From: Duffey, Michael P. EOP/OMB (b) (6) >
Sent: Thursday, June 20, 2019 2:33 PM
To: McCusker, Elaine A HON OSD OUSD C (US) (b) (6) >
Cc: Sandy, Mark S. EOP/OMB <(b) (6)>
Subject: Re: Pentagon to send \$250M in weapons to Ukraine

Elaine - hope the meeting went well. Did this topic come up?

Mike

Sent from my iPhone

On Jun 19, 2019, at 8:00 PM, McCusker, Elaine A HON OSD OUSD C (US) (b) (6) > wrote:

Copy, will get you a paper in the morning.

EM

On Jun 19, 2019, at 6:37 PM, Duffey, Michael P. EOP/OMB (b) (6) > wrote:

Elaine –

The President has asked about this funding release, and I have been tasked to follow-up with someone over there to get more detail. Do you have insight on this funding?

Thanks,
Mike

>>[<<https://www.washingtonexaminer.com/policy/defense-national-security/pentagon-to-send-250m-in-weapons-to-ukraine](https://www.washingtonexaminer.com/policy/defense-national-security/pentagon-to-send-250m-in-weapons-to-ukraine)<<

Pentagon to send \$250M in weapons to Ukraine

by Russ Read
| June 19, 2019 01:17 PM

The Department of Defense plans to send \$250 million in military equipment to Ukraine to assist in building up the country's military capabilities as it continues to counter Russian-backed forces in its eastern provinces.

The aid package will include sniper rifles, grenade launchers, and counter-artillery radars for the Ukrainian Navy, special operations forces, and land troops. Electronic warfare detection equipment, night vision technology, and military medical equipment will also be included. This additional equipment brings total U.S. security assistance to Ukraine to \$1.5 billion since 2014, according to the Pentagon.

"I think the provision of security assistance to Ukraine is vitally important. I think it has had an impact both psychologically as well as militarily on the professionalization and the capacity of the Ukrainian forces," Kurt Volker, the U.S. special representative for Ukraine, told the Senate Foreign Relations Committee at a Tuesday hearing.

"I think it's also important that Ukraine reciprocate with foreign military purchases from us as well, and I know that they intend to do so."

The assistance comes at a pivotal moment for Ukraine's newly minted president, Volodymyr Zelensky, a popular comedian who won a landslide victory in April. Zelensky has made ending the Russian-backed insurrection in Ukraine's eastern Donbas region his top political priority. The conflict remains in an uneasy stalemate following international attempts to broker a ceasefire. Tensions spiked in November when Russian forces captured and detained 24 sailors following an attack on three Ukrainian vessels.

"We must become Icelanders in football, Israelis in defending our native land, Japanese in technology," Zelensky said during his swearing-in speech in May.

"Our first task is to achieve a ceasefire in Donbas."

Volker told senators the United States should continue to add maritime and air defense capabilities to the Ukrainian arsenal as Russia continues to pose a threat in the Black Sea.

"I think it's important that NATO stand up to make clear that all of us have an interest in the freedom of navigation, the open access, the economic development of the region, and the security of the region," he said.

The U.S. created the European Deterrence Initiative in 2014 in response to Russia's annexation of Ukraine's Crimea territory. Congress authorized the sale of lethal aid to Ukraine that year, but the Obama administration did not sign off on the provision due to concerns that offensive weapons could escalate tensions. U.S. support was limited to non-lethal aid until President Trump reversed the policy in 2017, though his administration proposed a 10% cut to the fund in this year's budget proposal.

Rick Berger, a former Senate Budget Committee staffer who studies defense budgets for the American Enterprise Institute, told the *Washington Examiner* there is broad bipartisan support for continuing aid to Ukraine. He expects Congress will increase Ukrainian military support, saying there is minimal concern regarding escalation on Capitol Hill.

"These aid packages are very well tailored to the things that, by and large, the Ukrainian military actually needs," Berger said. "Congress cares about Ukraine in a bipartisan fashion, and I wouldn't be surprised if [aid] goes up again in this year's" National Defense Authorization Act.

The fate of next year's defense budget remains unclear, however, as congressional leaders wrangle over the federal budget as a whole.

Message

From: Duffey, Michael P. EOP/OMB (b) (6)
Sent: 6/20/2019 12:01:50 AM
To: McCusker, Elaine A HON OSD OUSD C (US) [/O=EAS/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]
CC: Sandy, Mark S. EOP/OMB (b) (6)
Subject: Re: Pentagon to send \$250M in weapons to Ukraine

Thx

Sent from my iPhone

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Elaine –

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Mike

<https://www.washingtonexaminer.com/policy/defense-national-security/pentagon-to-send-250m-in-weapons-to-ukraine>

Pentagon to send \$250M in weapons to Ukraine

by [Russ Read](#)
| June 19, 2019 01:17 PM

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The fate of next year's defense budget remains unclear, however, as congressional leaders wrangle over the federal budget as a whole.

Message (Digitally Signed)

From: Norquist, David HON SD (b) (6)
Sent: 10/1/2019 11:13:23 AM
To: McCusker, Elaine A HON OSD OUSD C (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]
Subject: Re: Year End
Attachments: smime.p7s

Got it. Thanks and well done. Stopped by to visit and the team seemed in good spirits.

Sent from Mobile Device

From: "McCusker, Elaine A HON OSD OUSD C (USA)" (b) (6) >
Date: Monday, September 30, 2019 at 8:00:32 PM
To: "Norquist, David HON SD" (b) (6) >
Subject: Year End

David

FYI - as I know you are still the CFO at heart:

Team obligated all of the \$2.5B Section 284.

USAI is coming in at about \$214.8M, so \$35.2M short. This money will be reappropriated as 19/20 money under the CR.

Got the final committee approval for the \$165M for AF/AFCENT (b) (5) this afternoon, along with a few other lower dollar items, so that funding is moving tonight as well.

A few other last minute sporty items that the team is handling with their usual expertise and humor.

Vr,
EM

Message (Digitally Signed)

From: McCusker, Elaine A HON OSD OUSD C (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]
Sent: 9/12/2019 9:51:06 PM
To: Norquist, David HON SD (b) (6)
CC: Lyons, David Brig Gen SD (b) (6); Chewning, Eric SES SD (b) (6)
Subject: Ukraine
Attachments: smime.p7s

David

Just an FYI - we are getting a lot of interest and questions from the Hill (both House and Senate) today on our projected obligations for USAI now that funds are moving.

HAC/SAC are completing the draft CR and seriously contemplating rescinding and re-appropriating some of the FY 2019 funds to be available during the CR period. I will talk with OMB and then try to provide a reliable estimate tomorrow.

Vr,
EM

Message (Digitally Signed)

From: McCusker, Elaine A HON OSD OUSD C (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]
Sent: 9/12/2019 5:18:04 PM
To: Duffey, Michael P. EOP/OMB (b) (6)
CC: Sandy, Mark S. EOP/OMB (b) (6)
Subject: RE: Ukraine TPs
Attachments: smime.p7s

Very helpful. Thanks.

EM

From: Duffey, Michael P. EOP/OMB (b) (6) >
Sent: Thursday, September 12, 2019 12:47 PM
To: McCusker, Elaine A HON OSD OUSD C (USA) (b) (6) >
Cc: Sandy, Mark S. EOP/OMB (b) (6) >
Subject: Ukraine TPs

Elaine (b) (5)

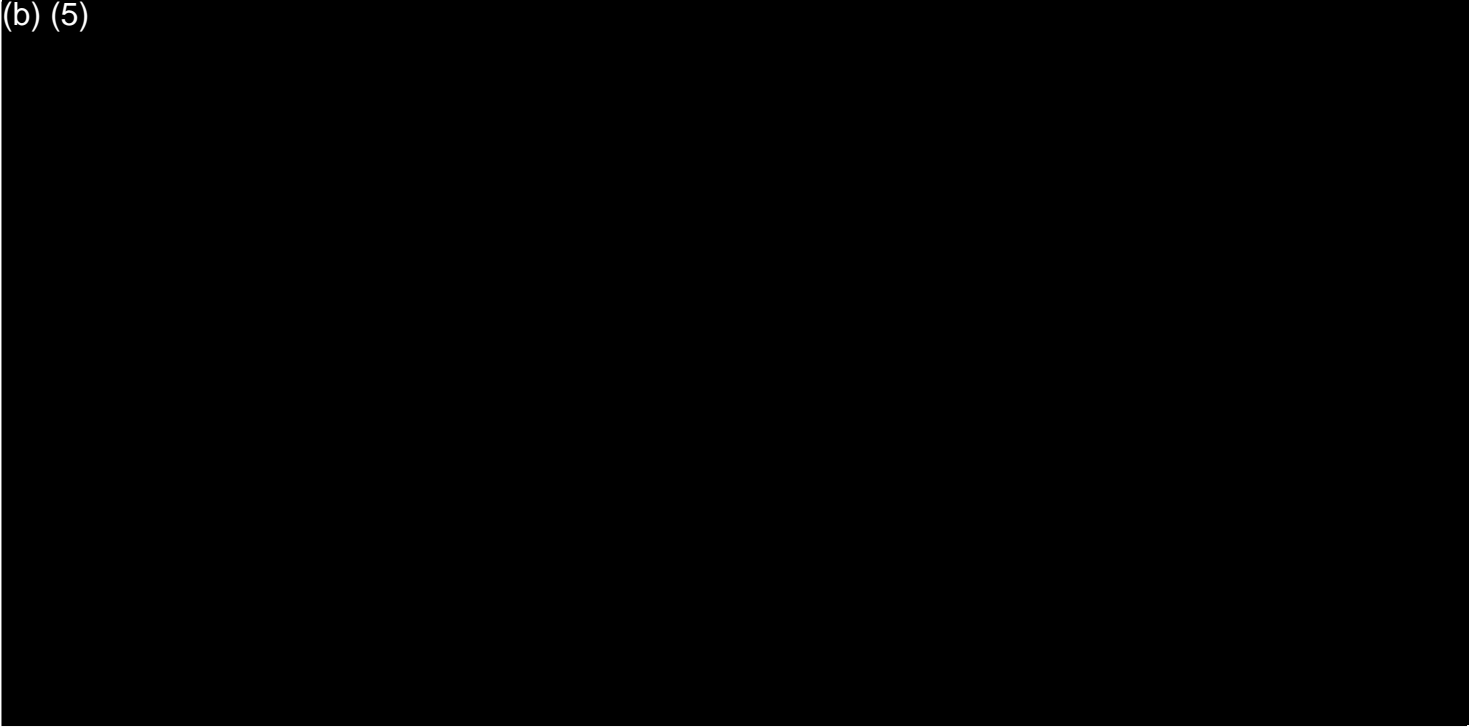
(b) (5)



Sincerely,
Mike

Mike Duffey
Associate Director for National Security Programs
Office of Management and Budget
The White House
(b) (6)

(b) (5)



Message (Digitally Signed)

From: McCusker, Elaine A HON OSD OUSD C (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]
Sent: 9/12/2019 1:53:07 AM
To: Duffey, Michael P. EOP/OMB (b) (6)
Subject: Re: Footnote
Attachments: smime.p7s

Copy. Agree!

From: "Duffey, Michael P. EOP/OMB" (b) (6) >
Date: Wednesday, September 11, 2019 at 9:51:55 PM
To: "McCusker, Elaine A HON OSD OUSD C (USA)" (b) (6) >
Subject: Re: Footnote

(b) (5)

Still waiting for my staff to send me apportionment. Hoping to sign tonight yet.

Glad to have this behind us.

Sent from my iPhone

On Sep 11, 2019, at 9:48 PM, McCusker, Elaine A HON OSD OUSD C (USA) (b) (6) > wrote:

Copy. What happened? Thanks.

EM

From: "Duffey, Michael P. EOP/OMB" (b) (6) >
Date: Wednesday, September 11, 2019 at 7:30:37 PM
To: "McCusker, Elaine A HON OSD OUSD C (USA)" <(b) (6)>
Subject: RE: Footnote

Elaine - I will be issuing an apportionment this evening to immediately release all USAI funds for obligation.

I will alert you as soon as I have signed the apportionment.

Thank you.

Sincerely,
Mike

Mike Duffey
Associate Director for National Security Programs
Office of Management and Budget

The White House

-----Original Message-----

From: McCusker, Elaine A HON OSD OUSD C (USA) <(b) (6)>
Sent: Wednesday, September 11, 2019 5:36 PM
To: Duffey, Michael P. EOP/OMB <(b) (6)>
Subject: RE: Footnote

Copy. We are continuing to do everything we can across the enterprise to position as I expect you all know. (b) (5)

[REDACTED]

EM

-----Original Message-----

From: Duffey, Michael P. EOP/OMB (b) (6) >
Sent: Wednesday, September 11, 2019 3:54 AM
To: McCusker, Elaine A HON OSD OUSD C (USA) (b) (6) >
Subject: Footnote

Elaine - We are extending through thurs.

Mike

Message (Digitally Signed)

From: McCusker, Elaine A HON OSD OUSD C (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]
Sent: 9/12/2019 11:18:33 AM
To: Duffey, Michael P. EOP/OMB (b) (6)
Subject: Re: Footnote
Attachments: smime.p7s

Copy. We will move out.

From: "Duffey, Michael P. EOP/OMB" (b) (6)
Date: Thursday, September 12, 2019 at 7:14:13 AM
To: "McCusker, Elaine A HON OSD OUSD C (USA)" <(b) (6)>
Subject: RE: Footnote

Elaine – I just signed the apportionment. My team will be transmitting to your team momentarily.

Sincerely,

Mike

Mike Duffey

Associate Director for National Security Programs

Office of Management and Budget

The White House

(b) (6)

From: Duffey, Michael P. EOP/OMB <(b) (6)>
Sent: Wednesday, September 11, 2019 9:52 PM
To: McCusker, Elaine A HON OSD OUSD C (USA) <(b) (6)>
Subject: Re: Footnote

(b) (5)

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Mike Duffey
Associate Director for National Security Programs
Office of Management and Budget
The White House

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Sent: Wednesday, September 11, 2019 3:54 AM

To: McCusker, Elaine A HON OSD OUSD C (USA) (b) (6) >

Subject: Footnote

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Mike

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From: McCusker, Elaine A HON OSD OUSD C (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]
Sent: 9/10/2019 2:07:36 PM
To: Verga, Peter SES SD (b) (6)
CC: Ney, Paul C Jr HON OSD OGC (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]; Castle, Edwin S SES OSD OGC (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]
Castle, William S SES OSD OGC (US) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]
Subject: Ukraine
Attachments: smime.p7s

Pete

DSCA continues to struggle with the very detailed process map we have requested. They project getting it to us by COB today.

In the meantime, wanted to loop in GC on the specific question related to (b) (5)

Paul - believe we have answered the question on (b) (5)
Thanks.

Wr,
EM

Message (Digitally Signed)

From: McCusker, Elaine A HON OSD OUSD C (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]
Sent: 9/11/2019 12:34:50 AM
To: Duffey, Michael P. EOP/OMB (b) (6)
Subject: Re: USAI Status and Update Plan
Attachments: smime.p7s

(b) (5)

EM

From: "Duffey, Michael P. EOP/OMB" (b) (6) >
Date: Tuesday, September 10, 2019 at 7:51:23 PM
To: "McCusker, Elaine A HON OSD OUSD C (USA)" (b) (6) >
Cc: "Sandy, Mark S. EOP/OMB" (b) (6) >, "McAndrew, Anne J SES OSD OUSD C (US)" (b) (6) >, "Dilworth, Monique L SES OSD OUSD C (USA)" (b) (6) >, "Paoletta, Mark R. EOP/OMB" (b) (6) >, "Ney, Paul C Jr HON OSD OGC (USA)" (b) (6)
Subject: RE: USAI Status and Update Plan

Elaine,

Since we began this discussion on the Ukraine funds, OMB has been clear in its footnotes that DoD is authorized and funds are available to continue all processes up until an obligation event and, (b) (5)

(b) (5)

(b) (5)

(b) (5)

(b) (5)

(b) (5)

Sincerely,
Mike

Mike Duffey
Associate Director for National Security Programs
Office of Management and Budget
The White House

(b) (6)

-----Original Message-----

From: McCusker, Elaine A HON OSD OUSD C (USA) (b) (6)
Sent: Monday, September 9, 2019 7:43 AM
To: Duffey, Michael P. EOP/OMB <(b) (6)>
Cc: Sandy, Mark S. EOP/OMB <(b) (6)>; McAndrew, Anne J SES OSD OUSD C (US)
(b) (6) <(b) (6)>; Dilworth, Monique L SES OSD OUSD C (USA)
<(b) (6)>
Subject: USAI Status and Update Plan

Good morning.

(b) (5)

Wr,
Elaine

Message (Digitally Signed)

From: McCusker, Elaine A HON OSD OUSD C (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]
Sent: 9/7/2019 10:44:30 AM
To: Duffey, Michael P. EOP/OMB (b) (6)
Subject: Re: USAI
Attachments: smime.p7s

Did you see the summary and timeline update I sent on Thursday? (b) (5)

EM

From: "Duffey, Michael P. EOP/OMB" (b) (6) >
Date: Friday, September 6, 2019 at 10:26:11 PM
To: "McCusker, Elaine A HON OSD OUSD C (USA)" (b) (6) >
Subject: RE: USAI

(b) (5) you are free to proceed with all processes up to but not including obligation, as we have authorized in all of our footnotes to date.

Sincerely,
Mike

Mike Duffey
Associate Director for National Security Programs
Office of Management and Budget
The White House

-----Original Message-----

From: Duffey, Michael P. EOP/OMB (b) (6) >
Sent: Friday, September 6, 2019 9:35 PM
To: McCusker, Elaine A HON OSD OUSD C (USA) (b) (6) >
Subject: Re: USAI

(b) (5) I just received the updated foot note to extend through Tuesday.

Sent from my iPhone

> On Sep 6, 2019, at 9:33 PM, McCusker, Elaine A HON OSD OUSD C (USA)
<(b) (6)> wrote:
>
> Are we free to execute as of midnight tonight?
>
> EM

From: McCusker, Elaine A HON OSD OUSD C (USA) [/O=EASE/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]
Sent: 9/5/2019 1:54:14 PM
To: Duffey, Michael P. EOP/OMB (b) (6)
Subject: RE: Ukraine
Attachments: Copy of USAI Award Matrix_31 August_2019_working copy.xlsx; smime.p7s

(b) (5)

Message (Digitally Signed)

From: McCusker, Elaine A HON OSD OUSD C (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]
Sent: 9/1/2019 8:42:13 PM
To: Chewning, Eric SES SD (b) (6)
Subject: RE: SD COA
Attachments: smime.p7s

Copy. (b) (5)

Hope you get a little time off on what's left of this weekend.

EM

From: Chewning, Eric SES SD (b) (6) >
Sent: Sunday, September 1, 2019 3:53 PM
To: McCusker, Elaine A HON OSD OUSD C (USA) (b) (6) >
Subject: Re: SD COA

The Ukrainian PM speaks with VPOTUS on Tuesday. (b) (5)

Eric D. Chewning
Chief of Staff

From: "McCusker, Elaine A HON OSD OUSD C (USA)" (b) (6) >
Date: Sunday, September 1, 2019 at 3:48:38 PM
To: "Chewning, Eric SES SD" (b) (6) >
Subject: RE: SD COA

Eric

(b) (5)

EM

Message (Digitally Signed)

From: McCusker, Elaine A HON OSD OUSD C (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]
Sent: 8/29/2019 10:49:47 PM
To: Duffey, Michael P. EOP/OMB (b) (6)
Subject: RE: Agreed TPs
Attachments: smime.p7s

Mike

(b) (5)

Just got out of another long session on (b) (6) – things evolved again. Will try to reach you in a bit.

EM

From: Duffey, Michael P. EOP/OMB (b) (6) >
Sent: Thursday, August 29, 2019 6:14 PM
To: McCusker, Elaine A HON OSD OUSD C (USA) <(b) (6)>
Subject: FW: Agreed TPs

Elaine – (b) (5)

Hoping we can still catch up on (b) (6) this evening. My cell is (b) (6) or (b) (6) if I am not at my desk.

Sincerely,
Mike

Mike Duffey
Associate Director for National Security Programs
Office of Management and Budget
The White House
(b) (6)

From: Paoletta, Mark R. EOP/OMB (b) (6) >
Sent: Thursday, August 29, 2019 3:57 PM
To: 'Castle, Edwin S SES OSD OGC (USA)' (b) (6) >; Ney, Paul C Jr HON OSD OGC (USA) (b) (6)
Cc: Duffey, Michael P. EOP/OMB (b) (6) >; McKiver, Charlie E. EOP/OMB (b) (6) >
Subject: Agreed TPs

Gents, thanks for your help. Here are TPs we agreed upon. Let me know if you have any questions. Mark

(b) (5)

(b) (5)



Mark R. Paoletta
General Counsel
Office of Management & Budget

(b) (6)




Message (Digitally Signed)

From: McCusker, Elaine A HON OSD OUSD C (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]
Sent: 8/26/2019 10:46:57 PM
To: Duffey, Michael P. EOP/OMB (b) (6)
Subject: USAI
Attachments: smime.p7s

Mike

Hey, a few things:

1) (b) (5)

2) (b) (5)

3) We have two hill RFIs now. One was from appropriators so we drafted a response and are currently holding it pending resolution so the answer will be accurate. The second, pasted below, is from the SASC and believe it should probably be answered by OMB. (b) (5).

Thanks,
EM

RFI: "Has OMB directed DOD/DSCA to halt execution of all or any part of FY19 funds for the Ukraine Security Assistance Initiative? If so, when, and what was the reason given?"

Message (Digitally Signed)

From: McCusker, Elaine A HON OSD OUSD C (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]
Sent: 8/26/2019 10:33:20 AM
To: Duffey, Michael P. EOP/OMB (b) (6)
Subject: RE: USAI
Attachments: smime.p7s

(b) (5)

EM

-----Original Message-----

From: Duffey, Michael P. EOP/OMB <(b) (6)>
Sent: Monday, August 26, 2019 5:07 AM
To: McCusker, Elaine A HON OSD OUSD C (USA) (b) (6) >
Subject: USAI

Elaine -

We will be extending the footnote right away this morning. If you are free early, I can provide the readout (b) (5).

Mike

Sent from my iPhone

Message (Digitally Signed)

From: McCusker, Elaine A HON OSD OUSD C (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]
Sent: 8/26/2019 10:29:15 AM
To: Duffey, Michael P. EOP/OMB (b) (6)
Subject: RE: USAI
Attachments: smime.p7s

(b) (5)

EM

From: Duffey, Michael P. EOP/OMB (b) (6) >
Sent: Monday, August 26, 2019 5:47 AM
To: McCusker, Elaine A HON OSD OUSD C (USA) (b) (6)
Subject: Re: USAI

I am not tracking that. Is that something you are expecting from OMB?

Sent from my iPhone

On Aug 26, 2019, at 5:38 AM, McCusker, Elaine A HON OSD OUSD C (USA) <(b) (6)> wrote:

(b) (5) ?

EM

From: "Duffey, Michael P. EOP/OMB" (b) (6) >
Date: Monday, August 26, 2019 at 5:07:11 AM
To: "McCusker, Elaine A HON OSD OUSD C (USA)" (b) (6)
Subject: USAI

Elaine -

We will be extending the footnote right away this morning. If you are free early, I can provide the readout (b) (5).

Mike

Sent from my iPhone

From: McCusker, Elaine A HON OSD OUSD C (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]

Sent: 8/20/2019 8:13:41 PM

To: Duffey, Michael P. EOP/OMB (b) (6)

CC: Castle, William S SES OSD OGC (US) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]; Castle, Edwin S SES OSD OGC (US) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]
Paoletta, Mark R. EOP/OMB (b) (6)

Subject: RE: Any news?

Attachments: FY19 USAI Cases_08202019_1421.pptx; smime.p7s

[illegible]

From: Duffey, Michael P. EOP/OMB <(b) (6)>
Sent: Tuesday, August 20, 2019 11:32 AM
To: McCusker, Elaine A HON OSD OUSD C (USA) (b) (6)
Cc: Paoletta, Mark R. EOP/OMB <(b) (6)>
Subject: RE: Any news?

(b) (5) [REDACTED]

Mike Duffey

Associate Director for National Security Programs
Office of Management and Budget
The White House

(b) (6)

From: McCusker, Elaine A HON OSD OUSD C (USA) <(b) (6)>
Sent: Tuesday, August 20, 2019 10:54 AM
To: Duffey, Michael P. EOP/OMB (b) (6) >
Subject: [WARNING: UNSCANNABLE EXTRACTION FAILED]RE: Any news?

Mike

Understand the technical legalities and definitions.

(b) (5)

We will have another case timeline coming to you today.

Wr,
EM

From: Duffey, Michael P. EOP/OMB (b) (6) >
Sent: Tuesday, August 20, 2019 10:10 AM
To: McCusker, Elaine A HON OSD OUSD C (USA) (b) (6) >
Subject: FW: Any news?

Elaine – received your vm, thanks for the call. Will call you before noon. Below is input from OMB OGC regarding the

(b) (5)

Sincerely,
Mike

Mike Duffey
Associate Director for National Security Programs
Office of Management and Budget
The White House

(b) (6)

From: Paoletta, Mark R. EOP/OMB (b) (6)
Sent: Monday, August 19, 2019 6:59 PM
To: Duffey, Michael P. EOP/OMB <(b) (6)>
Subject: Fwd: Any news?

Mike/Elaine,

(b) (5)

(b) (5)



Thanks,
Mark

On Aug 19, 2019, at 6:07 PM, Paoletta, Mark R. EOP/OMB <(b) (6)>
wrote:

From: Duffey, Michael P. EOP/OMB (b) (6) >
Sent: Monday, August 19, 2019 6:01 PM
To: Paoletta, Mark R. EOP/OMB (b) (6) >
Subject: FW: Any news?


Sincerely,
Mike

Mike Duffey
Associate Director for National Security Programs
Office of Management and Budget
The White House
(b) (6)

From: McCusker, Elaine A HON OSD OUSD C (USA) <(b) (6)>
Sent: Monday, August 19, 2019 5:55 PM
To: Duffey, Michael P. EOP/OMB (b) (6)
Subject: RE: Any news?

Mike

Copy. To be clear, in this case, (b) (5)



(b) (5)



EM

From: Duffey, Michael P. EOP/OMB (b) (6) >
Sent: Monday, August 19, 2019 5:16 PM
To: McCusker, Elaine A HON OSD OUSD C (USA) (b) (6) >
Subject: RE: Any news?

Saw that. Thanks for the reminder. We have no interest in delaying any action up until just before the obligation event occurs and want those processes to proceed.

Sincerely,
Mike

Mike Duffey
Associate Director for National Security Programs
Office of Management and Budget
The White House
(b) (6)

From: McCusker, Elaine A HON OSD OUSD C (USA) (b) (6) >
Sent: Monday, August 19, 2019 12:51 PM
To: Duffey, Michael P. EOP/OMB (b) (6) >
Subject: RE: Any news?

Mike

Reminder, per the notes with the slide/table, the funds go into the system today to initiate transactions and obligate.

EM

From: Duffey, Michael P. EOP/OMB (b) (6) >
Sent: Monday, August 19, 2019 12:21 PM
To: McCusker, Elaine A HON OSD OUSD C (USA) (b) (6) >
Subject: RE: Any news?

Elaine – closing the loop on this, OMB OGC determined (b) (5)

Sincerely,
Mike

Mike Duffey
Associate Director for National Security Programs
Office of Management and Budget
The White House
(b) (6)

From: McCusker, Elaine A HON OSD OUSD C (USA) (b) (6) >
Sent: Saturday, August 17, 2019 2:59 PM
To: Duffey, Michael P. EOP/OMB (b) (6) >
Subject: Re: Any news?

Thanks

From: "Duffey, Michael P. EOP/OMB" <(b) (6)>
Date: Saturday, August 17, 2019 at 2:38:03 PM
To: "McCusker, Elaine A HON OSD OUSD C (USA)"
<(b) (6)>
Subject: Re: Any news?

I should probably confirm that since this is on everyone's radar. Will try to do so today

Sent from my iPhone

On Aug 17, 2019, at 2:35 PM, McCusker, Elaine A HON OSD OUSD C (USA) <(b) (6)> wrote:

Copy

From: "Duffey, Michael P. EOP/OMB" <(b) (6)>
Date: Saturday, August 17, 2019 at 2:19:51 PM
To: "McCusker, Elaine A HON OSD OUSD C (USA)" <(b) (6)>
Subject: Re: Any news?

(b) (5)

Sent from my iPhone

On Aug 17, 2019, at 2:05 PM, McCusker, Elaine A HON OSD OUSD C (USA) <(b) (6)> wrote:

So are we good to proceed with the \$61M cases on Monday?

From: "Duffey, Michael P. EOP/OMB" <(b) (6)>
Date: Saturday, August 17, 2019 at 1:34:42 PM
To: "McCusker, Elaine A HON OSD OUSD C (USA)" <(b) (6)>
Subject: Re: Any news?

(b) (5)

Sent from my iPhone

On Aug 17, 2019, at 11:39 AM, McCusker, Elaine

A HON OSD OUSD C (USA)

<(b) (6)> wrote:

Not yet.

From: "Duffey, Michael P.
EOP/OMB"

<(b) (6)>

Date: Saturday, August 17, 2019 at
9:55:49 AM

To: "McCusker, Elaine A HON
OSD OUSD C (USA)"

<(b) (6)>

Subject: RE: Any news?

No – still trying to get a read out. Have
you heard anything on the Afghanistan
piece?

Sincerely,

Mike

Mike Duffey

Associate Director for National Security
Programs

Office of Management and Budget

The White House

From: McCusker, Elaine A HON OSD
OUSD C (USA)

(b) (6) >

Sent: Saturday, August 17, 2019 8:00
AM

To: Duffey, Michael P. EOP/OMB

(b) (6)

Subject: Any news?

On the meeting?

EM

From: "Duffey, Michael P.
EOP/OMB"

(b) (6) >

Date: Thursday, August 15, 2019 at
7:59:54 PM

To: "McCusker, Elaine A HON
OSD OUSD C (USA)"

<(b) (6) >

Subject: Re: Slide

That works. Thx

Sent from my iPhone

> On zombie luAug 15, 2019, at 7:52
PM, McCusker, Elaine A HON OSD
OUSD C (USA)

(b) (6)

wrote:

>

> Mike

>

> Hey, I got tied up so didn't get the
data to you we discussed. First thing
tomorrow ok?

>

> EM

Message (Digitally Signed)

From: McCusker, Elaine A HON OSD OUSD C (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]
Sent: 8/12/2019 7:23:49 PM
To: Duffey, Michael P. EOP/OMB (b) (6)
Subject: FW: Ukraine
Attachments: smime.p7s

Please do not forward. Below update I sent internally. When do you think we will see the apportionment language from you today? Thanks.

EM

-----Original Message-----

From: McCusker, Elaine A HON OSD OUSD C (USA)
Sent: Monday, August 12, 2019 3:23 PM
To: Rood, John C HON OSD OUSD POLICY (USA) (b) (6); Ney, Paul C Jr HON OSD OGC (USA) (b) (6)
<(b) (6)>
Cc: Castle, William S SES OSD OGC (US) (b) (6); Dilworth, Monique L SES OSD OUSD C (USA) (b) (6)
Subject: Ukraine

John, Paul

quick update. (b) (5)

[REDACTED]

[REDACTED]

Thanks.

Wr,
EM

Message (Digitally Signed)

From: McCusker, Elaine A HON OSD OUSD C (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]
Sent: 8/12/2019 7:25:01 PM
To: Verga, Peter SES SD [(b) (6)]; Cacci, Ralph A SES (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]
Subject: FW: Ukraine
Attachments: smime.p7s

Please do not forward - but FYSA below note I just sent to keep Policy and GC in the loop.

EM

-----Original Message-----

From: McCusker, Elaine A HON OSD OUSD C (USA)
Sent: Monday, August 12, 2019 3:23 PM
To: Rood, John C HON OSD OUSD POLICY (USA) (b) (6) >; Ney, Paul C Jr HON OSD OGC (USA) (b) (6) >
Cc: Castle, William S SES OSD OGC (US) (b) (6) >; Dilworth, Monique L SES OSD OUSD C (USA) (b) (6) >
Subject: Ukraine

John, Paul

Quick update. (b) (5)

[REDACTED]

[REDACTED]

Thanks.

Wr,
EM

Message (Digitally Signed)

From: McCusker, Elaine A HON OSD OUSD C (US) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]
Sent: 7/25/2019 3:05:04 PM
To: Sandy, Mark S. EOP/OMB (b) (6)
Subject: FW: Ukraine Foreign Assistance
Attachments: smime.p7s

Mark

(b) (5)

EM

From: Duffey, Michael P. EOP/OMB (b) (6) >
Sent: Thursday, July 25, 2019 11:04 AM
To: David.Norquist(b) (6); McCusker, Elaine A HON OSD OUSD C (US) (b) (6); eric.chewning(b) (6)
Cc: Sandy, Mark S. EOP/OMB (b) (6) >
Subject: Ukraine Foreign Assistance

David/Elaine/Eric:

Based on guidance I have received and in light of the Administration's plan to review assistance to Ukraine, including the Ukraine Security Assistance Initiative, please hold off on any additional DoD obligations of these funds, pending direction from that process. I understand that DOD will continue its planning and casework during this period and that this brief pause in obligations will not preclude DOD's timely execution of the final policy direction.

We intend to formalize the pause with an apportionment footnote to be provided later today.

Given the sensitive nature of the request, I appreciate your keeping that information closely held to those who need to know to execute the direction. Please let me know if you have any questions.

Sincerely,
Mike

Mike Duffey
Associate Director for National Security Programs
Office of Management and Budget
The White House
(b) (6)

Message (Digitally Signed)

From: McCusker, Elaine A HON OSD OUSD C (US) [/O=EAS/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]
Sent: 7/2/2019 4:25:25 PM
To: Duffey, Michael P. EOP/OMB (b) (6)
Subject: RE: Ukraine Funding Follow-up
Attachments: FY2019 USAI Tranches.docx; FY19 USAI Vendor Information Summary.pptx; 20190625_POTUS RFIs on USAI v3.docx; smime.p7s

Mike

The Department sent some info (attached) to the Chief last week. It may have been (b) (5)

Obligation status as of last week was: \$7 million (6 percent) of tranche 1 is obligated. None of tranche two is obligated.

EM

From: Duffey, Michael P. EOP/OMB (b) (6)
Sent: Tuesday, July 2, 2019 11:21 AM
To: McCusker, Elaine A HON OSD OUSD C (US) (b) (6) >
Subject: Ukraine Funding Follow-up

Hello Elaine – I am following up on the question we traded e-mails on regarding the funding for the Ukraine Security Assistance Initiative (USAI). (b) (5)

Can you catch me up on the status of that question? He shared a breakdown of what the funding was paying for that I had not seen yet. Could you send that to me if you have it?

Happy to connect by phone this afternoon or tomorrow if easier. Thanks!

Sincerely,
Mike

Mike Duffey
Associate Director for National Security Programs
Office of Management and Budget
The White House
(b) (6)

FY19 Tranche 1 (\$125M) notified to Congress in March

(b)(3) 10 USC 130c



FY19 Tranche 2 (\$125M) notified to Congress in May – Notified after SecDef, in coordination with SecState, certified that “Ukraine has taken substantial actions to make defense institutional reforms”

(b)(3) 10 USC 130c





FY19 USAI Vendor Information



Major Command/Service	Total Case Value	Projected Sources of Supply
Army CECOM	\$145.6M	L3, Harris, Raytheon, TCI Corp, HDT Global, Army Stock
Joint Munitions Command	\$4.7M	Army Stock – produced at Army owned ammo plant
Army TACOM	\$33.65M	AM General, Gibraltor, Barrett, Leupold, Rohde&Schwarz, Trijicon, Magpul, Aspen, Sparks, ADS, Army Stock
Army USAMMA	\$2.9M	DLA, North American Shelter, Army Stock
Army USASAC	\$11.8M	BOH-FPU, containers, Army Stock
Transportation	\$7.05M	Military and Commercial Airlift/Sealift
US Navy	\$39.8M	Bowhead, CACI, Kongsberg, Teledyne Marine Foster-Miller, iRobot, SRC, TCI, AirTronic, Bauer, Navy Stock
US Air Force	\$4.5M	Airfield Navigation Aids – Vendor Selection On-going
Total	\$250M	

(UNCLASSIFIED)

1



FY19 USAI Vendor Information



Army CECOM Tranche 1

<u>Partner Mod</u>	<u>Major Items</u>	<u>Total Case Value</u>	<u>Projected Source of Supply</u>
Ukraine	(b)(3) 10 USC 130c	\$1.6M	L3 or Harris with competed delivery orders
Ukraine		\$28.2M	Raytheon and Army Stock
Ukraine		\$33M	Harris and Army Stock
TOTAL		\$62.8M	

Tranche 2

<u>Partner</u>	<u>Major Items</u>	<u>Total Case Value</u>	<u>Projected Source of Supply</u>
Ukraine	(b)(3) 10 USC 130c	\$54.5M	Harris and Army Stock – MoD Comms
Ukraine		\$4.1M	Harris and Army Stock – Mol Comms
Ukraine		\$14.6M	TCI Corp
Ukraine		\$5M	TCI Corp, HDT Global
Ukraine		\$1.5M	L3 or Harris and Army Stock
Ukraine		\$1.7M	
Ukraine		\$1.5M	
TOTAL		\$82.8M	

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2



FY19 USAI Vendor Information



Joint Munitions Command Tranche 1

<u>Partner</u>	<u>Major Items</u>	<u>Total Case Value</u>	<u>Projected Source of Supply</u>
Ukraine	(b)(3) 10 USC 130c	\$4.7M	Army Stock – produced at Army owned ammo plant
TOTAL		\$4.7M	

UNCLASSIFIED

3



FY19 USAI Vendor Information



Army TACOM Tranche 1

<u>Partner</u>	<u>Major Items</u>	<u>Total Case Value</u>	<u>Projected Source of Supply</u>
Ukraine	(b)(3) 10 USC 130c	\$325K	DLA tailored to fit requirement
Ukraine		\$5.8M	AM General, Sparks, ADS
Ukraine		\$600K	Through Army Supply
Ukraine		\$300K	Gibraltar Toyota
Ukraine	(b)(3) 10 USC 130c	\$5.2M	Barrett, Leupold, Rohde&Schwarz, Trijicon, MAGPUL
TOTAL		\$12.25M	

Tranche 2

<u>Partner</u>	<u>Major Items</u>	<u>Total Case Value</u>	<u>Projected Source of Supply</u>
Ukraine	(b)(3) 10 USC 130c	\$4.1M	AM General
Ukraine		\$13.1M	AM General, MAGPUL, Terex/Genie, Aspen
Ukraine		\$4.2M	AM General, Trijicon
TOTAL		\$21.4M	

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4



FY19 USAI Vendor Information



Army USAMMA Tranche 1

<u>Partner</u>	<u>Major Items</u>	<u>Total Case Value</u>	<u>Projected Source of Supply</u>
Ukraine	(b)(3) 10 USC 130c	\$500K	DLA, North American Rescue, Army Stock
TOTAL		\$500K	

Army USAMMA Tranche 2

<u>Partner</u>	<u>Major Items</u>	<u>Total Case Value</u>	<u>Projected Source of Supply</u>
Ukraine	(b)(3) 10 USC 130c	\$30K	DLA, North American Rescue, Army Stock
Ukraine		\$270K	DLA, North American Rescue, Army Stock
Ukraine		\$2.1	DLA, North American Rescue, Army Stock
TOTAL		\$2.4M	

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5



FY19 USAI Vendor Information



Army USASAC Tranche 1

<u>Partner</u>	<u>Major Items</u>	<u>Total Case Value</u>	<u>Projected Source of Supply</u>
Ukraine	(b)(3) 10 USC 130c	\$3.1M	
Ukraine		\$25.8K	
Ukraine		\$2.9M	
TOTAL		\$8.5M	

Army USASAC Tranche 2

<u>Partner</u>	<u>Major Items</u>	<u>Total Case Value</u>	<u>Projected Source of Supply</u>
Ukraine	(b)(3) 10 USC 130c	\$500K	BOH-FPU, containers, Army Stock
Ukraine		\$150k	
Ukraine		\$2.7M	BOH-FPU, containers, Army Stock
Ukraine		\$50K	
TOTAL		\$3.3M	

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6



FY19 USAI Vendor Information



US Navy Tranche 1

<u>Partner</u>	<u>Major Items</u>	<u>Total Case Value</u>	<u>Projected Source of Supply</u>
Ukraine	(b)(3) 10 USC 130c	\$1.5M	SRC Inc.
Ukraine		\$4M	Bowhead, CACI (subcontract to Virginia Electronics), USG
Ukraine		\$2.2M	Kongsberg, Teledyne Marine
Ukraine		\$16.4	AirTronic, Bauer, Cowan, Draeger, Klein
		\$24.1M	

US Navy Tranche 2

<u>Partner</u>	<u>Major Items</u>	<u>Total Case Value</u>	<u>Projected Source of Supply</u>
Ukraine	(b)(3) 10 USC 130c	\$8.8M	Foster-Miller, iRobot,
Ukraine		\$2.9M	SRC Inc.
Ukraine		\$4M	TCI
	TOTAL	\$15.7M	

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7

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Ukraine Security Assistance: U.S. Industry Benefits and International Burden-Sharing

What is funding under the FY2019 Ukraine Security Assistance Initiative (USAI) used for?

The package consists of \$250M in security cooperation funds for additional training, equipment, and advisory efforts to build the capacity of Ukraine's armed forces, ultimately enhancing U.S. security.

This includes the provision of equipment to support training programs and operational needs, including capabilities to enhance Ukraine's Land and Special Operations Forces, Navy and Naval Infantry, and National Guard, and some funds to provide associated training, transportation, and administrative costs.

Did funding go to U.S. firms?

Almost all of the dozens of vendors are U.S. companies, and the non-U.S. companies are authorized suppliers of specific equipment for the U.S. military. A list of vendors is attached.

Who funded the USAI?

Congress provided \$250M in funds through the Department of Defense Appropriations Act, 2019.

Broader context on Ukraine burden sharing

Ukraine remains committed to providing for its own security and building a strategic partnership with the United States:

- Spends more than 5% of GDP on security and defense;
- Spent (b)(3) 10 on U.S. defense articles in 2018; and
- Negotiating now to purchase Javelin anti-tank missiles and (b)(3) 10 USC 130c

What do other NATO members spend to support Ukraine?

Below is an overview of security assistance contributions to Ukraine by key NATO Allies and partners for which we have readily available data. These activities complement U.S. and Ukrainian efforts to counter Russian aggression.

Canada: Approximately (b)(3) 10 in security assistance since 2014

- Training: Nearly (b)(3) trainers ((b)(3) 10 USC 130c); equipment: (b)(3) 10
- \$750 million total assistance (includes humanitarian, development, and financial) since 2014
- Contributes a Senior Advisor on Defense Reform

UK: Approximately (b)(3) 10 in security assistance since 2014

- Training: (b)(3) rotational trainers ((b)(3) 10 USC 130c); equipment: (b)(3) 10
- Contributes a Senior Advisor on Defense Reform
- Recently committed to increasing Black Sea security efforts, including training Ukraine's Navy and Naval Infantry and conducting port visits to Odesa, Ukraine.

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Lithuania: Approximately (b)(3) 10 in security assistance since 2014

- Training: () trainers (b)(3) 10 USC 130c (); Equipment: (b)(3) (lethal aid)
- Contributes a Senior Advisor on Defense Reform
- Recently transferred nearly (b)(3) 10 rounds of small arms ammunition

Poland: Approximately (b)(3) 10 in security assistance since 2014

- Training: (b) trainers ((b)(3) 10 USC 130c ())
- Contributes a Senior Advisor on Defense Reform

Denmark & Sweden: Approximately (b)(3) 1(b) each since 2018

Training() trainers each (b)(3) 10 USC 130c ())

NATO: Approximately (b)(3) 10 through trust fund contributions from 36 countries

- Trust Fund projects include support for: countering improvised explosive devices; medical rehabilitation; command, control, communications, and computers (C4); cyber defense; logistics.
- Foreign Ministers agreed to a Black Sea Security package in April 2019, to include increased support for Ukraine (training of maritime forces and coast guards, port visits, exercises, info sharing).

The **European Union** also contributes billions of euros in economic assistance to Ukraine:

- (b)(3) 10 in official economic development assistance from 2014-2018;
- (b)(3) 10 in humanitarian assistance since 2014); and
- (b)(3) 10 in total macro financial loans since 2014.

Message (Digitally Signed)

From: McCusker, Elaine A HON OSD OUSD C (US) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]
Sent: 6/25/2019 6:36:49 PM
To: Chewning, Eric SES SD (b) (6)
Subject: RE: POTUS follow up
Attachments: FY2019 USAI Tranches.docx; smime.p7s

Eric

Only \$7M of the \$250M has been obligated to date.

Attached FYSA is a bit more info on the requirements breakdown.

Wr,
EM

From: Chewning, Eric SES SD (b) (6)
Sent: Monday, June 24, 2019 5:56 PM
To: McCusker, Elaine A HON OSD OUSD C (US) (b) (6) >
Subject: FW: POTUS follow up

I assume it has been obligated, is that right?

From: Blair, Robert (b) (6) >
Sent: Monday, June 24, 2019 5:55 PM
To: Chewning, Eric SES SD (b) (6)
Subject: Re: POTUS follow up

Thanks. (b) (5) ?

Sent from my iPhone

On Jun 24, 2019, at 5:53 PM, Chewning, Eric SES SD (b) (6) > wrote:

Rob, here is what I had off the shelf

From: McCusker, Elaine A HON OSD OUSD C (US) (b) (6) >
Sent: Monday, June 24, 2019 4:28 PM
To: Chewning, Eric SES SD (b) (6); Rood, John C HON OSD OUSD POLICY (USA) (b) (6) >
Cc: (b) (6) COL SD (b) (6) >; (b) (6) CAPT SD (b) (6); Verga, Peter SES SD (b) (6) >; (b) (6) LtCol USMC OSD OUSD C (USA) <(b) (6)>; McAndrew, Anne J SES OSD OUSD C (US) (b) (6) >
Subject: RE: POTUS follow up

Eric

Attached paper we provided to OMB on Friday regarding similar question. It does not have info on U.S. firms or other NATO support to Ukraine, but answers the other basics as a start.

<<...>>

Vr

EM

From: Chewning, Eric SES SD (b) (6) >
Sent: Monday, June 24, 2019 3:47 PM
To: Rood, John C HON OSD OUSD POLICY (USA) (b) (6) >; McCusker, Elaine A HON OSD OUSD C (US) (b) (6) >
Cc: (b) (6) COL SD (b) (6) (b) (6) CAPT SD (b) (6) Verga, Peter SES SD (b) (6) >
Subject: POTUS follow up

John and Elaine,

There was a follow up item (b) (5). Can you all provide additional detail on the recent security cooperation funds to Ukraine?

<https://dod.defense.gov/News/News-Releases/News-Release-View/Article/1879340/dod-announces-250m-to-ukraine/>

Specifically,

What was the funding used for? i.e., did it go to U.S. firms?

Who funded it?

What do other NATO members spend to support Ukraine?

Eric D. Chewning

Chief of Staff

Office of the Secretary of Defense

Pentagon, (b) (6)

(b) (6)

(b) (6)

(b) (6)

SIPR: (b) (6)

JWICS: (b) (6)

<USAI One pager_20June2019.docx>

Message (Digitally Signed)

From: McCusker, Elaine A HON OSD OUSD C (US) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]
Sent: 6/24/2019 10:25:33 PM
To: Chewning, Eric SES SD (b) (6)
Subject: RE: POTUS follow up
Attachments: smime.p7s

I expect the \$125M we notified in March may be, but the \$125M notified in May probably isn't all obligated. Checking exact status now and will get back to you.

EM

From: Chewning, Eric SES SD (b) (6)
Sent: Monday, June 24, 2019 5:56 PM
To: McCusker, Elaine A HON OSD OUSD C (US) (b) (6)
Subject: FW: POTUS follow up

I assume it has been obligated, is that right?

From: Blair, Robert (b) (6) >
Sent: Monday, June 24, 2019 5:55 PM
To: Chewning, Eric SES SD (b) (6) >
Subject: Re: POTUS follow up

Thanks. (b) (5)

Sent from my iPhone

On Jun 24, 2019, at 5:53 PM, Chewning, Eric SES SD <(b) (6)> wrote:

Rob, here is what I had off the shelf

From: McCusker, Elaine A HON OSD OUSD C (US) (b) (6) >
Sent: Monday, June 24, 2019 4:28 PM
To: Chewning, Eric SES SD (b) (6) >; Rood, John C HON OSD OUSD POLICY (USA) <(b) (6)>
Cc: (b) (6) COL SD (b) (6) >; (b) (6) CAPT SD (b) (6) >; Verga, Peter SES SD (b) (6) >; (b) (6) LtCol USMC OSD OUSD C (USA) <(b) (6)>; McAndrew, Anne J SES OSD OUSD C (US) <(b) (6)>
Subject: RE: POTUS follow up

Eric

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<<...>>

Vr

EM

From: Chewning, Eric SES SD (b) (6) >
Sent: Monday, June 24, 2019 3:47 PM
To: Rood, John C HON OSD OUSD POLICY (USA) (b) (6) >; McCusker, Elaine A HON OSD OUSD C (US) (b) (6) >
Cc: (b) (6) COL SD (b) (6) (b) (6) CAPT SD (b) (6) >; Verga, Peter SES SD (b) (6) >
Subject: POTUS follow up

John and Elaine,

There was a follow up item (b) (5). Can you all provide additional detail on the recent security cooperation funds to Ukraine?

<https://dod.defense.gov/News/News-Releases/News-Release-View/Article/1879340/dod-announces-250m-to-ukraine/>

Specifically,

What was the funding used for? i.e., did it go to U.S. firms?

Who funded it?

What do other NATO members spend to support Ukraine?

Eric D. Chewning

Chief of Staff

Office of the Secretary of Defense

Pentagon, (b) (6)

(b) (6)

(b) (6)

(b) (6)

(b) (6)

(b) (6)

■USAI One pager_20June2019.docx>

Message (Digitally Signed)

From: Relyea, William J SES OSD OUSD C (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/(b) (6)]
Sent: 9/6/2019 9:18:26 PM
To: Falk Curtin, Edna T. EOP/OMB (b) (6) Broomell, Katie W. EOP/OMB (b) (6)
CC: Bricker, Julie R SES OSD OUSD C (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]; (b) (6) CIV OSD OUSD C (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6) (b) (6) CIV OSD OUSD C (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/(b) (6)]
Subject: USAI
Attachments: smime.p7s

Edna/Katie,

The hold last through tonight - might we expect to see another extension to the hold? At this time of the year we have people working weekends to execute fund and its likely they will move forward unless we tell them otherwise.

Appreciate your insight.
v/r
Bill

Message

From: Broomell, Katie W. EOP/OMB (b) (6)
Sent: 9/5/2019 1:07:34 PM
To: Relyea, William J SES OSD OUSD C (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]
CC: (b) (6) CIV OSD OUSD C (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]; Bricker, Julie R SES OSD OUSD C (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]; (b) (6) Col USAF OSD OUSD C (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]; Falk Curtin, Edna T. EOP/OMB (b) (6)
Subject: Re: USAI

+Edna

Bill, no decisions have been shared at this time.

If that changes, I'll reach out.

Sent from my iPhone

> On Sep 5, 2019, at 8:52 AM, Relyea, William J SES OSD OUSD C (USA) (b) (6) >
wrote:
>
> Katie,
>
> Do you know if they are going to extend the hold on the Ukraine action past today?
>
> Please let us know.
> v/r
> Bill
>

Message

From: Broomell, Katie W. EOP/OMB [(b) (6)]
Sent: 9/3/2019 3:24:23 PM
To: Relyea, William J SES OSD OUSD C (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]
CC: Falk Curtin, Edna T. EOP/OMB [Ed(b) (6)]; Bricker, Julie R SES OSD OUSD C (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/(b) (6)]
[(b) (6)] CIV OSD OUSD C (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]
Subject: RE: update RE: 97-0100 O&M DW apportionment

Bill, thanks. I will make sure this risk is communicated to leadership.

Thanks,
Katie

From: Relyea, William J SES OSD OUSD C (USA) [(b) (6)] >
Sent: Tuesday, September 3, 2019 9:29 AM
To: Broomell, Katie W. EOP/OMB <[(b) (6)] >
Cc: Falk Curtin, Edna T. EOP/OMB <[(b) (6)] >; Bricker, Julie R SES OSD OUSD C (USA) [(b) (6)]; [(b) (6)] CIV OSD OUSD C (USA) <[(b) (6)] >
Subject: RE: update RE: 97-0100 O&M DW apportionment

Katie,

We have received the apportionment and have issued the FAD with the updated footnote. (b) (5)

v/r
Bill

From: Broomell, Katie W. EOP/OMB [(b) (6)] >
Sent: Tuesday, September 3, 2019 9:25 AM
To: Relyea, William J SES OSD OUSD C (USA) <[(b) (6)] >
Cc: Falk Curtin, Edna T. EOP/OMB <[(b) (6)] >
Subject: RE: update RE: 97-0100 O&M DW apportionment

Bill, can you confirm receipt?

Thanks,
Katie

From: Broomell, Katie W. EOP/OMB
Sent: Saturday, August 31, 2019 10:11 AM
To: Relyea, William J SES OSD OUSD C (USA) [(b) (6)] >
Cc: Glazer, Josh S. EOP/OMB <[(b) (6)] >; Falk Curtin, Edna T. EOP/OMB [(b) (6)] >; Nassif, Rob J. EOP/OMB [(b) (6)] >; Robinson, Wandlyn D. EOP/OMB [(b) (6)] >; Scher, Adam <[(b) (6)] >; Sandy, Mark S. EOP/OMB [(b) (6)] >; 'William L. EOP/OMB Metzger [(b) (6)]'

<(b) (6)>

Subject: update RE: 97-0100 O&M DW apportionment

Bill,

DOD should be in receipt of the attached apportionment via the apportionment system.

Thanks,

Katie

(b) (6)

From: Broomell, Katie W. EOP/OMB

Sent: Tuesday, August 27, 2019 4:55 PM

To: Relyea, William J SES OSD OUSD C (USA) (b) (6) >

Cc: Glazer, Josh S. EOP/OMB <(b) (6)>; Falk Curtin, Edna T. EOP/OMB

(b) (6) v>; Nassif, Rob J. EOP/OMB (b) (6) >; Robinson, Wandlyn D.

EOP/OMB <(b) (6)>; Scher, Adam (b) (6) >

Subject: 97-0100 O&M DW apportionment

Bill,

DOD should be in receipt of the attached apportionment via the apportionment system. Note the updated USAI footnote.

Thanks,

Katie

(b) (6)

Message

From: Falk Curtin, Edna T. EOP/OMB (b) (6)
Sent: 9/12/2019 11:51:59 AM
To: Relyea, William J SES OSD OUSD C (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]
Subject: Fwd: SIGNED: DoD Apportionment-Operation and Maintenance, Defense-wide [Apportionment sent to Agency after Approval from (b) (6)]
Attachments: 97-0100 2019 OM DW 25.xlsx; 1_Warning.txt

The apportionment is signed and is at DOD

Thanks,
Edna

Begin forwarded message:

From: "Saldivar, John A. EOP/OMB" <(b) (6)>
Date: September 12, 2019 at 7:43:45 AM EDT
To: "Falk Curtin, Edna T. EOP/OMB" <(b) (6)>
Subject: FW: SIGNED: DoD Apportionment-Operation and Maintenance, Defense-wide [Apportionment sent to Agency after Approval from (b) (6)]

From: apportionment@omb.gov <apportionment@omb.gov>

Sent: Thursday, September 12, 2019 3:42 AM

To: (b) (6)

Subject: SIGNED: DoD Apportionment-Operation and Maintenance, Defense-wide [Apportionment sent to Agency after Approval from (b) (6)]

Message from Sender:

Message From System Administrator:

OMB's apportionment system sent this email to members of the OSD email group apportionment group. If you believe that you received this email in error, please contact your agency apportionment administrators. You can search for them here:

<https://portal.max.gov/home/sa/findAgencyAdminForm>

Do not reply to this email address. It is used only to send emails generated in the apportionment system.

Apportionment file(s) attached.

Message

From: Falk Curtin, Edna T. EOP/OMB (b) (6)
Sent: 9/11/2019 12:00:14 PM
To: Relyea, William J SES OSD OUSD C (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]
Subject: USAI

Bill,

Mike extended the USAI obligation hold through Thursday. I will forward the signed apportionment when I get into the office. Obligations may be made starting on Friday.

I reiterated the information that Elaine sent to him on Monday as a reminder before he signed the apportionment. Alas, he must have received OGC's OK before signing it.

I still have no insight on the rationale for the hold.
Edna

Message

From: Falk Curtin, Edna T. EOP/OMB (b) (6)
Sent: 9/12/2019 4:15:54 AM
To: Relyea, William J SES OSD OUSD C (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]
Subject: USAI funds available for obligation
Attachments: 97-0100 2019 OM DW 25.xlsx

Bill,

I received an email from Mike tonight at 7:30pm requesting that I provide him a new Operation and Maintenance, Defense-wide apportionment that makes the USAI funds available for obligation immediately. I did not get home until 1145pm, and just saw Mike's note. I drafted the attached apportionment that removes the USAI footnote, but am currently unable to get into the MAX apportionment system to upload the apportionment for his signature.

I will get it into the system either before I leave for work in the morning, and if I still can't get into the apportionment system, I'll try again when I get into the office.

Hope that this is good news!
Edna

Message

From: Falk Curtin, Edna T. EOP/OMB [(b) (6)]
Sent: 9/5/2019 5:40:14 PM
To: Relyea, William J SES OSD OUSD C (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]
CC: Glazer, Josh S. EOP/OMB [(b) (6)]; Broomell, Katie W. EOP/OMB [(b) (6)]; Robinson, Wandlyn D. EOP/OMB [(b) (6)]; Duffey, Michael P. EOP/OMB [(b) (6)]; Scher, Adam [(b) (6)]; Sandy, Mark S. EOP/OMB [(b) (6)]
Subject: Updated 97-0100 O&M, Defense-wide apportionment

Bill,

Our leadership requested another update to the USAI footnote. The apportionment with the updated footnote was just transferred to DOD via the MAX system.

Please let me know if you received it or would like me to send you a copy via email.

Thanks,

Edna

[(b) (6)]

Message

From: Falk Curtin, Edna T. EOP/OMB [(b) (6)]
Sent: 9/7/2019 12:24:53 AM
To: Relyea, William J SES OSD OUSD C (USA) [/O=EASF/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN= (b) (6)]
Subject: USAI another footnote extension

Hi Bill,

I just an email to extend the USAI footnote to make funds available on 9/11.

I'll go into MAX soon. I'll let you know when Mike signs the apportionment.

E.

Message (Digitally Signed)

From: McCusker, Elaine A HON OSD OUSD C (USA) [/O=SD/OU=FIRST ADMINISTRATIVE GROUP/CN=RECIPIENTS/CN=(b) (6)]
Sent: 9/12/2019 11:27:16 AM
To: Chewning, Eric SES SD [/O=SD/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=Chewning, Eric SES SDdd4]; Norquist, David HON SD [/O=SD/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=Norquist, David HON SD81d]
CC: Hoffman, Jonathan R SES OSD OSD (USA) [/O=SD/OU=First Administrative Group/cn=Recipients/cn=(b) (6)]
Subject: Re: USAI
Attachments: smime.p7s

Copy. Moving. We already have questions from the hill about whether we will be able to execute. Preparing response and will work with all leg affairs teams.

EM

From: "Chewning, Eric SES SD" (b) (6) >
Date: Thursday, September 12, 2019 at 7:13:52 AM
To: "McCusker, Elaine A HON OSD OUSD C (USA)" <(b) (6)>, "Norquist, David HON SD" (b) (6) >
Cc: "Hoffman, Jonathan R SES OSD OSD (USA)" (b) (6) >
Subject: RE: USAI

(b) (5)

[REDACTED]

From: McCusker, Elaine A HON OSD OUSD C (USA) (b) (6) >
Sent: Wednesday, September 11, 2019 9:51 PM
To: Norquist, David HON SD (b) (6) >
Cc: Chewning, Eric SES SD (b) (6) >
Subject: USAI

FYI, you may know this, but getting word from OMB that funds will be released for obligation starting tomorrow. No additional intel yet. Will advise.

EM

Message (Digitally Signed)

From: McCusker, Elaine A HON OSD OUSD C (USA) [/O=SD/OU=FIRST ADMINISTRATIVE GROUP/CN=RECIPIENTS/CN=(b) (6)]
Sent: 9/6/2019 12:45:18 PM
To: Chewning, Eric SES SD [/O=SD/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]; Ney, Paul C Jr HON OSD OGC (USA) [/O=SD/OU=First Administrative Group/cn=Recipients/cn=(b) (6)]; Verga, Peter SES SD [/O=SD/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]
CC: Rood, John C HON OSD OUSD POLICY (USA) [/O=SD/OU=First Administrative Group/cn=Recipients/(b) (6)]; McAndrew, Anne J SES OSD OUSD C (US) [/O=SD/OU=First Administrative Group/cn=Recipients/cn=(b) (6)]; Dilworth, Monique L SES OSD OUSD C (USA) [/O=SD/OU=First Administrative Group/cn=Recipients/cn=(b) (6)]; (b) (6) Col USMC OSD OUSD C (USA) [/O=SD/OU=First Administrative Group/cn=Recipients/cn=(b) (6)]; (b) (6) Col USAF OSD OUSD C (USA) [/O=SD/OU=First Administrative Group/cn=Recipients/cn=(b) (6)]; Castle, Edwin S SES OSD OGC (USA) [/O=SD/OU=First Administrative Group/cn=Recipients/cn=(b) (6)]; Castle, William S SES OSD OGC (US) [/O=SD/OU=First Administrative Group/cn=Recipients/cn=(b) (6)]
Subject: RE: USAI latest apportionment footnote
Attachments: smime.p7s

You would be correct.

EM

-----Original Message-----

From: Chewning, Eric SES SD (b) (6) >
Sent: Friday, September 6, 2019 8:44 AM
To: McCusker, Elaine A HON OSD OUSD C (USA) (b) (6) >; Ney, Paul C Jr HON OSD OGC (USA) (b) (6) >; Verga, Peter SES SD (b) (6) >
Cc: Rood, John C HON OSD OUSD POLICY (USA) (b) (6) >; McAndrew, Anne J SES OSD OUSD C (US) (b) (6) >; Dilworth, Monique L SES OSD OUSD C (USA) (b) (6) >; Col USMC OSD OUSD C (USA) (b) (6) >; Col USAF OSD OUSD C (USA) (b) (6) >; Castle, Edwin S SES OSD OGC (USA) (b) (6) >; Castle, William S SES OSD OGC (US) (b) (6) >
Subject: RE: USAI latest apportionment footnote

Thanks Elaine. I assume if they switch tact, we've done all of the planning necessary to immediately move out.

-----Original Message-----

From: McCusker, Elaine A HON OSD OUSD C (USA) (b) (6) >
Sent: Friday, September 6, 2019 7:47 AM
To: Chewning, Eric SES SD (b) (6) >; Ney, Paul C Jr HON OSD OGC (USA) (b) (6) >; Verga, Peter SES SD (b) (6) >
Cc: Rood, John C HON OSD OUSD POLICY (USA) (b) (6) >; McAndrew, Anne J SES OSD OUSD C (US) (b) (6) >; Dilworth, Monique L SES OSD OUSD C (USA) (b) (6) >; Col USMC OSD OUSD C (USA) (b) (6) >; Col USAF OSD OUSD C (USA) (b) (6) >; Castle, Edwin S SES OSD OGC (USA) (b) (6) >; Castle, William S SES OSD OGC (US) (b) (6) >
Subject: USAI latest apportionment footnote

FYSA - Latest OMB guidance continues through tonight.

EM

"Amounts apportioned, but not yet obligated as of the date of this reapportionment, for the Ukraine Security Assistance Initiative (Initiative) are not available for obligation until September 7, 2019, to allow for an interagency process to determine the best use of such funds. DOD may continue its planning and casework for the Initiative during this period."

Message (Digitally Signed)

From: McCusker, Elaine A HON OSD OUSD C (USA) [/O=SD/OU=FIRST ADMINISTRATIVE GROUP/CN=RECIPIENTS/CN=(b) (6)]
Sent: 9/5/2019 12:29:15 PM
To: Ney, Paul C Jr HON OSD OGC (USA) [/O=SD/OU=First Administrative Group/cn=Recipients/cn=(b) (6)]; Chewning, Eric SES SD [/O=SD/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/(b) (6)]; Verga, Peter SES SD [/O=SD/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/(b) (6)]
CC: Hood, Robert R HON OSD OASD LA (US) [/O=SD/OU=First Administrative Group/cn=Recipients/cn=(b) (6)]; Castle, Edwin S SES OSD OGC (USA) [/O=SD/OU=First Administrative Group/cn=Recipients/cn=(b) (6)]; Castle, William S SES OSD OGC (US) [/O=SD/OU=First Administrative Group/cn=Recipients/cn=(b) (6)]; McAndrew, Anne J SES OSD OUSD C (US) [/O=SD/OU=First Administrative Group/cn=Recipients/cn=(b) (6)]; Dilworth, Monique L SES OSD OUSD C (USA) [/O=SD/OU=First Administrative Group/cn=Recipients/cn=(b) (6)]; (b) (6) Col USMC OSD OUSD C (USA) [/O=SD/OU=First Administrative Group/cn=Recipients/cn=(b) (6)]; (b) (6) Col USAF OSD OUSD C (USA) [/O=SD/OU=First Administrative Group/cn=Recipients/cn=(b) (6)]; Rood, John C HON OSD OUSD POLICY (USA) [/O=SD/OU=First Administrative Group/cn=Recipients/cn=(b) (6)]; Hooper, Charles W LTG USARMY DSCA FO (USA) [/O=SD/OU=First Administrative Group/cn=Recipients/cn=(b) (6)]
Subject: Ukraine
Attachments: Copy of USAI Award Matrix_31 August_2019_working copy.xlsx; smime.p7s

Good morning.

OMB intends to send us another footnote today with direction to continuing holding until Friday.

Normally would not send you this level of detail, but given the situation, providing the below assessment for background on the increasing risk of execution. Thanks to the DSCA team for continued excellent responsiveness on this.

Wr,
EM

(b) (5)

(b) (5)

(b) (5)

Message (Digitally Signed)

From: Chewning, Eric SES SD [/O=SD/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=CHEWNING, (b) (6)]
Sent: 8/29/2019 11:53:06 PM
To: McCusker, Elaine A HON OSD OUSD C (USA) [/O=SD/OU=First Administrative Group/cn=Recipients/cn=(b) (6)]; Castle, Edwin S SES OSD OGC (USA) [/O=SD/OU=First Administrative Group/cn=Recipients/cn=(b) (6)]; Ney, Paul C Jr HON OSD OGC (USA) [/O=SD/OU=First Administrative Group/cn=Recipients/cn=(b) (6)]
CC: Verga, Peter SES SD [/O=SD/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/(b) (6)]; McAndrew, Anne J SES OSD OUSD C (US) [/O=SD/OU=First Administrative Group/cn=Recipients/cn=(b) (6)]; Lyons, David Brig Gen SD [/O=SD/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]
Subject: RE: From POLITICO - Trump slow-walks Ukraine military aid meant to contain Russia
Attachments: smime.p7s

(b) (5)

From: McCusker, Elaine A HON OSD OUSD C (USA) (b) (6) >
Sent: Thursday, August 29, 2019 5:21 PM
To: Castle, Edwin S SES OSD OGC (USA) (b) (6); Ney, Paul C Jr HON OSD OGC (USA) (b) (6) >
Cc: Verga, Peter SES SD (b) (6) >; Chewning, Eric SES SD (b) (6) >; McAndrew, Anne J SES OSD OUSD C (US) <(b) (6)>; Lyons, David Brig Gen SD <(b) (6)>
Subject: RE: From POLITICO - Trump slow-walks Ukraine military aid meant to contain Russia

Scott

(b) (5)

EM

From: Castle, Edwin S SES OSD OGC (USA) (b) (6) >
Sent: Thursday, August 29, 2019 4:49 PM
To: McCusker, Elaine A HON OSD OUSD C (USA) <(b) (6)>; Ney, Paul C Jr HON OSD OGC (USA) (b) (6) >
Cc: Verga, Peter SES SD (b) (6) >; Chewning, Eric SES SD <(b) (6)>; McAndrew, Anne J SES

OSD OUSD C (US) <(b) (6)>; Lyons, David Brig Gen SD (b) (6) >

Subject: RE: From POLITICO - Trump slow-walks Ukraine military aid meant to contain Russia

(b) (5)

OMB continues to emphasize that DoD is free to continue taking all preparatory planning and funding steps short of actually incurring recordable legal obligations of USAI funding.

v/r, Scott

From: McCusker, Elaine A HON OSD OUSD C (USA) (b) (6)

Sent: Thursday, August 29, 2019 12:27 PM

To: Ney, Paul C Jr HON OSD OGC (USA) (b) (6)

Cc: Verga, Peter SES SD <(b) (6)>; Castle, Edwin S SES OSD OGC (USA) (b) (6) >;
Chewning, Eric SES SD <(b) (6)>; McAndrew, Anne J SES OSD OUSD C (US)

(b) (6); Lyons, David Brig Gen SD <(b) (6)>

Subject: FW: From POLITICO - Trump slow-walks Ukraine military aid meant to contain Russia

Paul,

Have you seen these? (b) (5)

EM

From: Duffey, Michael P. EOP/OMB (b) (6) >

Sent: Thursday, August 29, 2019 10:52 AM

To: McCusker, Elaine A HON OSD OUSD C (USA) <(b) (6)>

Subject: RE: From POLITICO - Trump slow-walks Ukraine military aid meant to contain Russia

Elaine – here are our talking points (b) (5)

(b) (5)

Message (Digitally Signed)

From: Chewning, Eric SES SD [/O=SD/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=CHEWNING, ERIC SES SDDD4]
Sent: 8/29/2019 11:35:03 PM
To: McCusker, Elaine A HON OSD OUSD C (USA) [/O=SD/OU=First Administrative Group/cn=Recipients/cn=(b) (6)]; Ney, Paul C Jr HON OSD OGC (USA) [/O=SD/OU=First Administrative Group/cn=Recipients/cn=(b) (6)]
CC: Verga, Peter SES SD [/O=SD/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/(b) (6)]; Castle, Edwin S SES OSD OGC (USA) [/O=SD/OU=First Administrative Group/cn=Recipients/cn=(b) (6)]; McAndrew, Anne J SES OSD OUSD C (US) [/O=SD/OU=First Administrative Group/cn=Recipients/cn=(b) (6)]; Lyons, David Brig Gen SD [/O=SD/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]
Subject: RE: From POLITICO - Trump slow-walks Ukraine military aid meant to contain Russia
Attachments: smime.p7s

Thanks Josh

From: McCusker, Elaine A HON OSD OUSD C (USA) (b) (6)
Sent: Thursday, August 29, 2019 12:27 PM
To: Ney, Paul C Jr HON OSD OGC (USA) (b) (6)
Cc: Verga, Peter SES SD (b) (6) >; Castle, Edwin S SES OSD OGC (USA) (b) (6); Chewning, Eric SES SD (b) (6) >; McAndrew, Anne J SES OSD OUSD C (US) (b) (6) >; Lyons, David Brig Gen SD (b) (6) >
Subject: FW: From POLITICO - Trump slow-walks Ukraine military aid meant to contain Russia

Paul,

Have you seen these? (b) (5)

EM

From: Duffey, Michael P. EOP/OMB (b) (6) >
Sent: Thursday, August 29, 2019 10:52 AM
To: McCusker, Elaine A HON OSD OUSD C (USA) <(b) (6)>
Subject: RE: From POLITICO - Trump slow-walks Ukraine military aid meant to contain Russia

Elaine – here are our talking points. (b) (5)

(b) (5)

Message (Digitally Signed)

From: McCusker, Elaine A HON OSD OUSD C (USA) [/O=SD/OU=FIRST ADMINISTRATIVE GROUP/CN=RECIPIENTS/CN=(b) (6)]
Sent: 8/27/2019 12:02:31 AM
To: Chewning, Eric SES SD [/O=SD/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]
CC: Norquist, David HON SD [/O=SD/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)] Rood, John C HON OSD OUSD POLICY (USA) [/O=SD/OU=First Administrative Group/cn=Recipients/cn=(b) (6)]
Subject: RE: [Non-DoD Source] Ukraine (USAI funding)
Attachments: smime.p7s

(b) (5)



Wf,
EM

From: Chewning, Eric SES SD (b) (6) >
Sent: Monday, August 26, 2019 7:50 PM
To: McCusker, Elaine A HON OSD OUSD C (USA) (b) (6) >
Cc: Norquist, David HON SD (b) (6); Rood, John C HON OSD OUSD POLICY (USA) (b) (6) >
Subject: FW: [Non-DoD Source] Ukraine (USAI funding)

FYI.

From: Chewning, Eric D SES OSD OUSD ATL (USA) (b) (6) >
Sent: Monday, August 26, 2019 5:01 PM
To: Chewning, Eric SES SD (b) (6)
Subject: FW: [Non-DoD Source] Ukraine (USAI funding)

From: Hanna, Tania
Sent: Monday, August 26, 2019 5:00:12 PM (UTC-05:00) Eastern Time (US & Canada)
To: Chewning, Eric D SES OSD OUSD ATL (USA)
Subject: [Non-DoD Source] Ukraine (USAI funding)

All active links contained in this email were disabled. Please verify the identity of the sender, and confirm the authenticity of all links contained within the message prior to copying and pasting the address to a Web browser.

Hi Eric,

I would greatly appreciate your insight on this issue. L3Harris Technologies has been informed that there is a "hold" on FMS cases that are funded through Ukraine Security Assistance Initiative (USAI). USAI funding is 2019 defense-wide O&M funding that has gone through the congressional notification process and will expire on September 30.

We have been working with DSCA on executing three USAI cases through the FMS process. The FMS orders support the provision of critical communications capability to the Ukrainian military. The U.S. has supported providing the Ukrainians with this type of "non-lethal" support in the past.

CECOM notified us that the cases are on hold pending an OMB approval to continue with contracting actions. We've engaged with OMB to understand the issue but have been told there are larger policy issues involved here.

The impact of holding this case and allowing the funding to expire is extremely serious for us as the communications devices have been built and are ready to ship.

I'd greatly appreciate any guidance that you may be able to provide. Is this a temporary hold or one that has been solidified? Could we work with DoD to ship these capabilities to other partners/allies if U.S. policy has changed on providing USAI funding to the Ukrainians? Any information would be appreciated as we try to map out next steps for the business.

Many thanks for your time and consideration.

Tania

Tania Hanna

Vice President

GOVERNMENT RELATIONS / L3HARRIS TECHNOLOGIES

Office: (b) (6) / Mobile: (b) (6)

L3harris.com < Caution-mailto:harris.com > (b) (6) < Caution-mailto: (b) (6) >

600 Maryland Avenue SW, Suite 850E / Washington, DC 20024 / USA



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Message (Digitally Signed)

From: McCusker, Elaine A HON OSD OUSD C (USA) [/O=SD/OU=FIRST ADMINISTRATIVE GROUP/CN=RECIPIENTS/CN=(b) (6)]
Sent: 8/12/2019 7:25:01 PM
To: Verga, Peter SES SD [/O=SD/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]; Cacci, Ralph SES SD [/O=SD/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/(b) (6)]
Subject: FW: Ukraine
Attachments: smime.p7s

Please do not forward - but FYSA below note I just sent to keep Policy and GC in the loop.

EM

-----Original Message-----

From: McCusker, Elaine A HON OSD OUSD C (USA)
Sent: Monday, August 12, 2019 3:23 PM
To: Rood, John C HON OSD OUSD POLICY (USA) (b) (6); Ney, Paul C Jr HON OSD OGC (USA) (b) (6)
Cc: Castle, William S SES OSD OGC (US) (b) (6); Dilworth, Monique L SES OSD OUSD C (USA) (b) (6)
Subject: Ukraine

John, Paul

Quick update. (b) (5)

[REDACTED]

[REDACTED]

Thanks.

Wr,
EM

Message (Digitally Signed)

From: McCusker, Elaine A HON OSD OUSD C (US) [/O=SD/OU=FIRST ADMINISTRATIVE GROUP/CN=RECIPIENTS/CN=(b) (6)]
Sent: 6/24/2019 10:25:33 PM
To: Chewning, Eric SES SD [/O=SD/OU=EXCHANGE ADMINISTRATIVE GROUP (FYDIBOHF23SPDLT)/CN=RECIPIENTS/CN=(b) (6)]
Subject: RE: POTUS follow up
Attachments: smime.p7s

I expect the \$125M we notified in March may be, but the \$125M notified in May probably isn't all obligated. Checking exact status now and will get back to you.

EM

From: Chewning, Eric SES SD <(b) (6)>
Sent: Monday, June 24, 2019 5:56 PM
To: McCusker, Elaine A HON OSD OUSD C (US) <(b) (6)>
Subject: FW: POTUS follow up

I assume it has been obligated, is that right?

From: Blair, Robert <(b) (6)>
Sent: Monday, June 24, 2019 5:55 PM
To: Chewning, Eric SES SD (b) (6)
Subject: Re: POTUS follow up

Thanks. (b) (5)?

Sent from my iPhone

On Jun 24, 2019, at 5:53 PM, Chewning, Eric SES SD (b) (6) wrote:

Rob, here is what I had off the shelf

From: McCusker, Elaine A HON OSD OUSD C (US) (b) (6) >
Sent: Monday, June 24, 2019 4:28 PM
To: Chewning, Eric SES SD (b) (6) >; Rood, John C HON OSD OUSD POLICY (USA) (b) (6)
Cc: (b) (6) COL SD (b) (6) >; (b) (6) CAPT SD (b) (6) >; Verga, Peter SES SD (b) (6) >; (b) (6) LtCol USMC OSD OUSD C (USA) (b) (6) >; McAndrew, Anne J SES OSD OUSD C (US) (b) (6) >
Subject: RE: POTUS follow up

Eric

Attached paper we provided to OMB on Friday regarding similar question. It does not have info on U.S. firms or other NATO support to Ukraine, but answers the other basics as a start.

<<...>>

Vr

EM

From: Chewning, Eric SES SD <(b) (6)>
Sent: Monday, June 24, 2019 3:47 PM
To: Rood, John C HON OSD OUSD POLICY (USA) (b) (6); McCusker, Elaine A HON OSD OUSD C (US) (b) (6)
Cc: (b) (6) COL SD (b) (6) (b) (6) CAPT SD (b) (6); Verga, Peter SES SD (b) (6)
Subject: POTUS follow up

John and Elaine,

There was a follow up item (b) (5). Can you all provide additional detail on the recent security cooperation funds to Ukraine?

<https://dod.defense.gov/News/News-Releases/News-Release-View/Article/1879340/dod-announces-250m-to-ukraine/>

Specifically,

What was the funding used for? i.e., did it go to U.S. firms?

Who funded it?

What do other NATO members spend to support Ukraine?

Eric D. Chewning

Chief of Staff

Office of the Secretary of Defense

Pentagon, (b) (6)

(b) (6)

(b) (6)

(b) (6)

(b) (6)

(b) (6)

<USAI One pager_20June2019.docx>

From: McCusker, Elaine A HON OSD OUSD C (USA)
To: david.norquist(b) (6)
Cc: david.lyons(b) (6); Cacci, Ralph A SES (USA); (b) (6); Col.USMC OSD OUSD C (USA); (b) (6)
Subject: (b) (6) Lt Col USAF OSD OUSD C (USA)
Date: (C//NF) Comptroller 5-15 for W/E 8-16-19
Thursday, August 15, 2019 5:26:00 PM

CLASSIFICATION: ~~CONFIDENTIAL/NOFORN~~

EXECUTIVE SUMMARY:

(b) (5)
Educated OMB on Ukraine Security Assistance Initiative (USAI) funding execution process. (b) (5)

KEY ACCOMPLISHMENTS:

- 1) (b) (5)
- 2) Provided OMB with the actions necessary to execute remaining USAI funding. The Congress (HAC) added \$50M to our \$200M request for FY 2019, and through August 15th, \$36M has been obligated. (b) (5)
- 3) (b) (5)
- 4) (b) (5)

(b) (5) [REDACTED]

5) (b) (5) [REDACTED]

6) (b) (5) [REDACTED]

7) (b) (5) [REDACTED]

8) (b) (5) [REDACTED]

WATCH AREAS:

- Ukraine, (b) (5) [REDACTED]

HELP NEEDED:

7 ...

UPCOMING EVENTS/MILESTONES

(b) (5)



CLASSIFICATION: ~~CONFIDENTIAL~~/NOFORN

From: McCusker, Elaine A HON OSD OUSD C (USA)
To: david.norquist (b) (6)
Cc: david.lyons (b) (6); Cacci, Ralph A SES (USA) (b) (6); Col SD: (b) (6); Col USMC
OSD OUSD C (USA) (b) (6); Lt Col USAF OSD OUSD C (USA)
Subject: (C) Comptroller 5-15 Submission W/E 8/23/19
Date: Thursday, August 22, 2019 10:53:00 AM

~~CLASSIFICATION: CONFIDENTIAL~~

EXECUTIVE SUMMARY:


(b) (5)
Addressing Ukraine Security
Assistance Initiative (USAI) issues with GC and OMB. (b) (5)

KEY ACCOMPLISHMENTS:

- 1) (b) (5)
- 2) (b) (5)
- 3) Working through the complications of obligation restrictions placed on the USAI by OMB via their SF132 apportionment documents. The most recent apportionment does not permit further obligations for USAI until August 26th. The HAC, who recently visited Ukraine and added \$50M to our \$200M FY 2019 request, is inquiring about the stall in obligations. We will coordinate

with OGC and OMB on any Q&As that are transmitted to the Congress.

4) (b) (5)



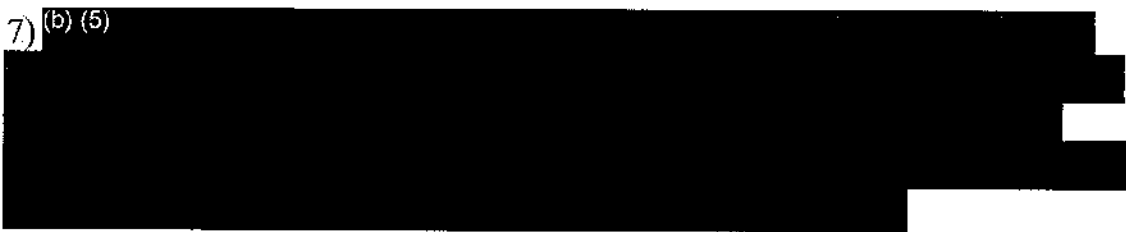
5) (b) (5)



6) (b) (5)



7) (b) (5)




8) (b) (5)



WATCH AREAS:

- Ukraine, (b) (5)



HELP NEEDED:

- ...

UPCOMING EVENTS/MILESTONES

(b) (5)



CLASSIFICATION: ~~CONFIDENTIAL~~

From: McCusker, Elaine A HON OSD OUSD C (USA)
To: david.norquist (b) (6)
Cc: Lyons, David B Brig Gen USAF (USA); (b) (6) Col SD; (b) (6) Col USMC OSD OUSD C (USA); (b) (6) Col USAF OSD OUSD C (USA); Verga, Peter S Lt SD
Subject: (S//NF) Comptroller 5-15 Submission W/E 9-6-19
Date: Thursday, September 5, 2019 11:54:00 AM

CLASSIFICATION: ~~SECRET//NOFORN~~

EXECUTIVE SUMMARY:

(b) (5)

KEY ACCOMPLISHMENTS:

1)

(b) (5)

2)

(b) (5)

3)

(b) (5)


4)

(b) (5)

5)

(b) (5)

6) (b) (5)




7) Proceeding with all Ukraine Security Assistance Initiative (USAI) execution related actions that can be completed just short of obligating funds in order to comply with the latest OMB apportionment footnote.

8) (b) (5)



9) (b) (5)



WATCH AREAS:

(b) (5)



HELP NEEDED:

(b) (5)



UPCOMING EVENTS/MILESTONES

(b) (5)




CLASSIFICATION: ~~SECRET~~//NOFORN



From: McCusker, Elaine A HON OSD OUSD C (USA)
To: david.norquist (b) (6)
Cc: Lyons, David B Brig Gen USAF (USA); Verga, Peter SES SD (b) (6) Col USAF OSD OUSD C (USA);
Subject: (b) (6) Col USMC OSD OUSD C (USA)
Date: (C) Comptroller 5-15 Submission for W/E 9-13-19:
Thursday, September 12, 2019 2:17:00 PM

~~CLASSIFICATION: CONFIDENTIAL~~

EXECUTIVE SUMMARY:

(b) (5) [REDACTED] Worked additional execution contingency plans for the Ukraine Security Assistance Initiative (USAI) – funds released this morning. (b) (5) [REDACTED]

KEY ACCOMPLISHMENTS:

- 1) (b) (5) [REDACTED]
- 2) Continued to walk the line on all USAI execution related actions that can be completed just short of obligating funds without risk of ADA and started going deeper into each process to squeeze out time. Funds now released and focusing on execution with twice-weekly updates on progress.

- 3) (b) (5) [REDACTED]

- 4) (b) (5) [REDACTED]

(b) (5) [REDACTED]

5) (b) (5) [REDACTED]

6) (b) (5) [REDACTED]

7) (b) (5) [REDACTED]

WATCH AREAS:

- (b) (5) [REDACTED]
- USAI (b) (5) [REDACTED]
- (b) (5) [REDACTED]

HELP NEEDED:

UPCOMING EVENTS/MILESTONES

- (b) (5) [REDACTED]


CLASSIFICATION: ~~CONFIDENTIAL~~

From: McCusker, Elaine A HON OSD OUSD C (USA)
To: david.norquist (b) (6)
Cc: Lyons, David B Brig Gen USAF (USA); (b) (6) Col SD; Verga, Peter SES SD; (b) (6)
Col USMC OSD OUSD C (USA); (b) (6) Col USAF OSD OUSD C (USA)
Subject: (C) Comptroller 5-15 Submission for W/E 9-20-19
Date: Thursday, September 19, 2019 6:15:00 PM

~~CLASSIFICATION: CONFIDENTIAL~~

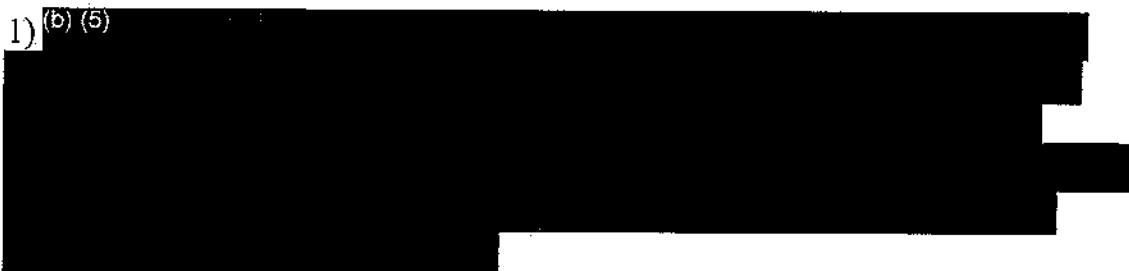
EXECUTIVE SUMMARY:

(b) (5)



KEY ACCOMPLISHMENTS:

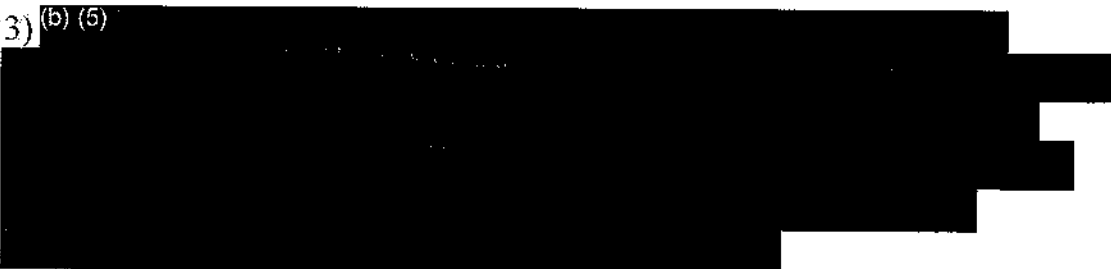
1) (b) (5)



2) (b) (5)



3) (b) (5)



4) (b) (5)



5) (b) (5)

6) Working fiscal year-end issues including final funds release for approved reprogramming actions and close communication on Ukraine Security Assistance Initiative (USAI) and (b) (5). DSCA believes they can obligate all but \$30M of the \$250M in available USAI funding. I provided you with the CR language on this topic earlier today. DSCA has obligated \$60M USAI as of September 18 and (b) (5). Both will continue to report obligations twice a week until the end of the fiscal year.

7) (b) (5)

8) (b) (5)

9) (b) (5)

WATCH AREAS:

- (b) (5)

- USAI (b) (5)

- (b) (5)

HELP NEEDED:

UPCOMING EVENTS/MILESTONES

(b) (5)



~~CLASSIFICATION: CONFIDENTIAL~~

From: McCusker, Elaine A HON OSD OUSD C (USA)
To: david.norquist (b) (6)
Cc: Lyons, David B Brig Gen USAF (USA); Verga, Peter SES SD; (b) (6) Col SD; (b) (6)
Col USMC OSD OUSD C (USA); (b) (6) Col USAF OSD OUSD C (USA)
Subject: (C) Comptroller 5-15 Submission for W/E 9-27-19
Date: Thursday, September 26, 2019 4:12:00 PM
Attachments: Truth In Negotiation (TIN) Audits Media Queries Document.pdf

CLASSIFICATION: ~~CONFIDENTIAL~~

EXECUTIVE SUMMARY:

(b) (5)

Tracking year end obligation status of USAI (b) (5)
(b) (5)

KEY ACCOMPLISHMENTS:

1) (b) (5)

2) Tracking year end obligation status of high interest programs for obligations including the Ukraine Security Assistance Initiative (USAI) program which has obligated \$92.2M of the \$250M available. DSCA expects to lapse \$30M of the USAI dollars, which should be extended under the CR. (b) (5)

Will
provide the historical USAI obligation summary we discussed this morning.

3) (b) (5)

(b) (5)

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4) (b) (5)

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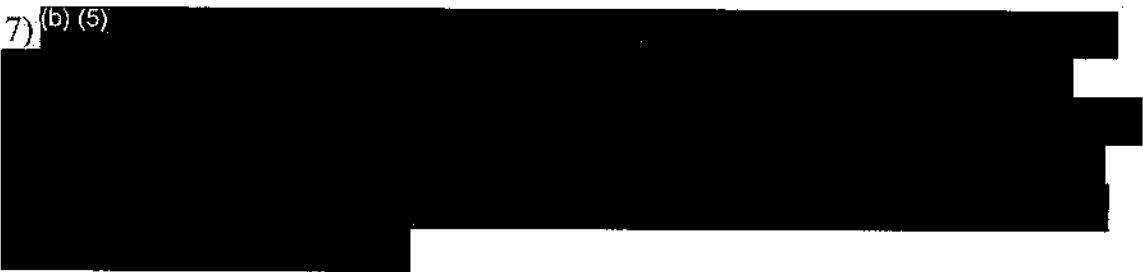
5) (b) (5)

A rectangular area of text is completely redacted with black ink.

6) (b) (5)

A rectangular area of text is completely redacted with black ink.

7) (b) (5)

A rectangular area of text is completely redacted with black ink.

8) (b) (5)

A large rectangular area of text is completely redacted with black ink.

9) (b) (5)

A rectangular area of text is completely redacted with black ink.

(b) (5) [REDACTED]

10) (b) (5) [REDACTED]

WATCH AREAS:

- (b) (5) [REDACTED]
- USAI (b) (5) [REDACTED]
- (b) (5) [REDACTED]

HELP NEEDED:

-

UPCOMING EVENTS/MILESTONES

- (b) (5) [REDACTED]

CLASSIFICATION: ~~CONFIDENTIAL~~

From: McCusker, Elaine A HON OSD OUSD C (US)
To: MSand, (b) (6)
Cc: McAndrew, Anne J SES OSD OUSD C (US)
Subject: (S//NF) USAI STATUS
Date: Tuesday, July 30, 2019 2:15:00 PM

CLASSIFICATION: ~~SECRET//NOFORN~~

Mark

DSCA is currently at 10.7% obligation rate for Ukraine Security Assistance Initiative (USAI) FY19 funds.

Here is the info from DSCA regarding the timeline for obligation of funds:

(b) (5)



EM

CLASSIFICATION: ~~SECRET//NOFORN~~

From: Dilworth, Monique L SES OSD OUSD C (USA)
To: McCusker, Elaine A HON OSD OUSD C (USA)
Cc: OSD Pentagon OUSD C List Front Office Staff
Subject: FW: (S//NF) RAH for (b) (5) (Final docs on USAI)
Date: Friday, August 16, 2019 8:13:28 AM
Attachments: 20190815_SD RAH on USAI USDP cleared.docx;
TAB A 20190815 SD Notecard (b) (5) on Ukraine Security Assistance USDP cleared.docx

CLASSIFICATION: ~~SECRET//NOFORN~~

This is what went forward to the SD yesterday.

CLASSIFICATION: ~~SECRET//NOFORN~~

-----Original Message-----

From: Sendak, Catherine E SES (USA) <(b) (6)>
Sent: Friday, August 16, 2019 8:04 AM
To: Dilworth, Monique L SES OSD OUSD C (USA)
<(b) (6)>; Fisher, Vincent L SES OSD OUSD C
(USA) <(b) (6)>; (b) (6) CIV OSD
OUSD C (USA) <(b) (6)>
Cc: (b) (6) CIV OSD OUSD POLICY (USA)
<(b) (6)>; (b) (6) MAJ USARMY OSD OUSD POLICY
(US) <(b) (6)>; (b) (6) C CIV OSD OUSD POLICY
(USA) <(b) (6)>; Cooper, Laura K SES OSD OUSD POLICY
(US) <(b) (6)>; (b) (6) Col USMC OSD
OUSD C (USA) <(b) (6)>; (b) (6) Lt Col
USAF OSD OUSD C (USA) <(b) (6)>; Relyea,
William J SES OSD OUSD C (USA) <(b) (6)>
Subject: RE: (S//NF) RAH for (b) (5)

CLASSIFICATION: ~~SECRET//NOFORN~~

Of course Monique, please see attached.

Best,

Katie

Catherine Sendak
Principal Director
Russia, Ukraine, and Eurasia

CLASSIFICATION: ~~SECRET//NOFORN~~

-----Original Message-----

From: Dilworth, Monique L SES OSD OUSD C (USA)
<(b) (6)>
Sent: Thursday, August 15, 2019 6:56 PM
To: Sendak, Catherine E SES (USA) <(b) (6)>;
Fisher, Vincent L SES OSD OUSD C (USA)
<(b) (6)>; (b) (6) CIV OSD OUSD C
(USA) <(b) (6)>

Cc: (b) (6) CIV OSD OUSD POLICY (USA)
<(b) (6)> > (b) (6) MAJ USARMY OSD OUSD POLICY
(US) <(b) (6)> > (b) (6) CIV OSD OUSD POLICY
(USA) <(b) (6)> > Cooper, Laura K SES OSD OUSD POLICY
(US) <(b) (6)> > (b) (6) Col USMC OSD
OUSD C (USA) <(b) (6)> > (b) (6) Lt Col
USAF OSD OUSD C (USA) <(b) (6)> > Relyea,
William J SES OSD OUSD C (USA) <(b) (6)> >
Subject: RE: (S//NF) RAH for (b) (5)

CLASSIFICATION: SECRET//NOFORN

Please forward what went to the SD's office for Ms. McCusker's situational awareness. Thanks.

CLASSIFICATION: ~~SECRET//NOFORN~~

-----Original Message-----

From: Sendak, Catherine E SES (USA) <(b) (6)>
Sent: Thursday, August 15, 2019 6:39 PM
To: Dilworth, Monique L SES OSD OUSD C (USA)
(b) (6) > Fisher, Vincent L SES OSD OUSD C
(USA) (b) (6) > (b) (6) CIV OSD
OUSD C (USA) (b) (6)
Cc: (b) (6) CIV OSD OUSD POLICY (USA)
<(b) (6)> > (b) (6) USARMY OSD OUSD POLICY
(US) (b) (6) > (b) (6) CIV OSD OUSD POLICY
(USA) (b) (6) > Cooper, Laura K SES OSD OUSD POLICY
(US) <(b) (6)> > (b) (6) Col USMC OSD
OUSD C (USA) (b) (6) > (b) (6) Lt Col
USAF OSD OUSD C (USA) <(b) (6)> > Relyea,
William J SES OSD OUSD C (USA) (b) (6) >
Subject: RE: (S//NF) RAH for (b) (5)

CLASSIFICATION: ~~SECRET//NOFORN~~

Monique,

We wanted to keep your team posted. Unfortunately, we just received word from USDP FO we missed the window of opportunity for the additional edits you requested.

Best,

Katie

Catherine Sendak
Principal Director
Russia, Ukraine, and Eurasia

CLASSIFICATION: SECRET//NOFORN

-----Original Message-----

From: Sendak, Catherine E SES (USA)
Sent: Thursday, August 15, 2019 5:48 PM
To: Dilworth, Monique L SES OSD OUSD C (USA)
<(b) (6)>; Fisher, Vincent L SES OSD OUSD C
(USA) <(b) (6)>; (b) (6) CIV OSD
OUSD C (USA) <(b) (6)>
Cc: (b) (6) CIV OSD OUSD POLICY (USA)
<(b) (6)>; (b) (6) MAJ USARMY OSD OUSD POLICY
(US) <(b) (6)>; (b) (6) CIV OSD OUSD POLICY
(USA) <(b) (6)>; Cooper, Laura K SES OSD OUSD POLICY
(US) <(b) (6)>; (b) (6) Col USMC OSD
OUSD C (USA) <(b) (6)>; (b) (6) Lt Col
USAF OSD OUSD C (USA) <(b) (6)>; Relyea,
William J SES OSD OUSD C (USA) <(b) (6)>
Subject: RE: (S//NF) RAH for (b) (5)

CLASSIFICATION: ~~SECRET//NOFORN~~

Thank you Monique.

We are sending these over to USDP FO for consideration right now but we are not sure of the deadline schedule with USDP FO and SD FO.

As we learn more, we will keep you all posted.

Again, thank you.

Best,

Katie

Catherine Sendak
Principal Director
Russia, Ukraine, and Eurasia

CLASSIFICATION: ~~SECRET//NOFORN~~

-----Original Message-----

From: Dilworth, Monique L SES OSD OUSD C (USA)
<(b) (6)>
Sent: Thursday, August 15, 2019 5:38 PM
To: Sendak, Catherine E SES (USA) <(b) (6)>;
Fisher, Vincent L SES OSD OUSD C (USA)
<(b) (6)>; Buteau-Pierre, Barbara CIV OSD OUSD C
(USA) <(b) (6)>
Cc: (b) (6) CIV OSD OUSD POLICY (USA)
<(b) (6)>; (b) (6) MAJ USARMY OSD OUSD POLICY
(US) <(b) (6)>; (b) (6) CIV OSD OUSD POLICY
(USA) <(b) (6)>; Cooper, Laura K SES OSD OUSD POLICY
(US) <(b) (6)>; (b) (6) Col USMC OSD
OUSD C (USA) <(b) (6)>; (b) (6) Lt Col
USAF OSD OUSD C (USA) <(b) (6)>; Relyea,
William J SES OSD OUSD C (USA) <(b) (6)>

Subject: RE: (S//NF) RAH for (b) (5)

CLASSIFICATION: ~~SECRET//NOFORN~~

Katie: Based on the discussion over phone, we updated the attached to strike out all references to (b) (5)

And please strike the sentence about (b) (5)
Call me if you need clarification on anything. I'll be here for a while.

Monique Dilworth

(b) (6)

CLASSIFICATION: ~~SECRET//NOFORN~~

-----Original Message-----

From: Sendak, Catherine E SES (USA) <(b) (6)>

Sent: Thursday, August 15, 2019 4:56 PM

To: Dilworth, Monique L SES OSD OUSD C (USA)

<(b) (6)>; Fisher, Vincent L SES OSD OUSD C (USA) <(b) (6)>; (b) (6) CIV OSD

OUSD C (USA) <(b) (6)>

Cc: (b) (6) CIV OSD OUSD POLICY (USA)

(b) (6) >; (b) (6) MAJ USARMY OSD OUSD POLICY

(US) <(b) (6)>; (b) (6) CIV OSD OUSD POLICY

(USA) <(b) (6)>; Cooper, Laura K SES OSD OUSD POLICY

(US) <(b) (6)>

Subject: (S//NF) RAH for (b) (5)

Importance: High

CLASSIFICATION: ~~SECRET//NOFORN~~

Monique,

Following up on the conference call at 4pm RE Comptroller edits to SD RAH for (b) (5)

Here are the edits that were sent our way from your team - please let me know if something was missed. As we have been informing your team, the timeline on this remains very tight and our understanding is USDP is reviewing now.

Thank you.

Best,

Katie

Catherine Sendak
Principal Director
Russia, Ukraine, and Eurasia

CLASSIFICATION: ~~SECRET//NOFORN~~

~~SECRET//NOFORN~~


DepSec Action: _____

Prepared by: (b) (6)

READ-AHEAD FOR THE SECRETARY OF DEFENSE
Meeting with (b) (5)

From: John C. Rood, Under Secretary of Defense for Policy

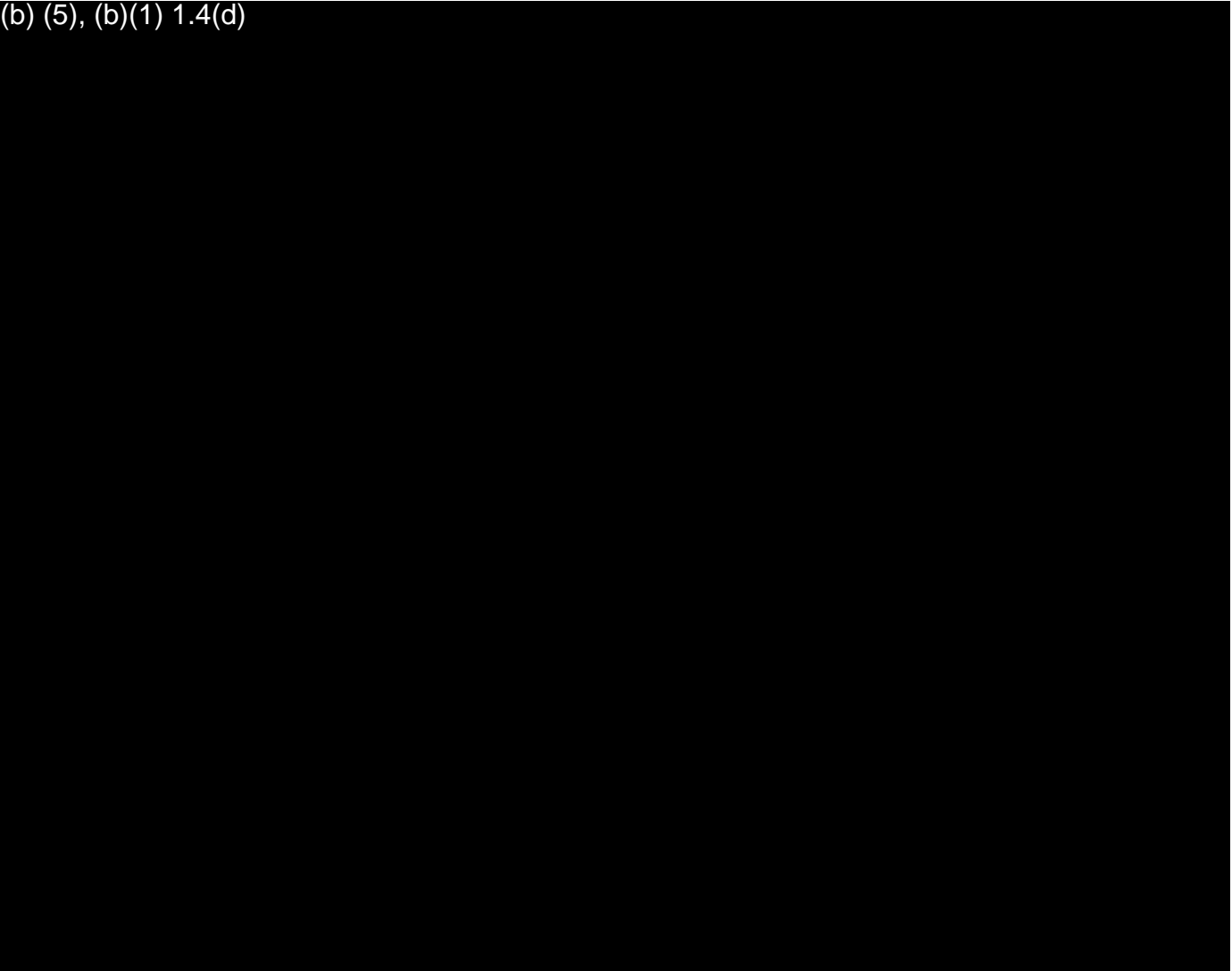
(b) (5), (b)(1) 1.4(d)



~~SECRET//NOFORN~~

~~SECRET//NOFORN~~

(b) (5), (b)(1) 1.4(d)



Attachments:

TAB A: Talking Points

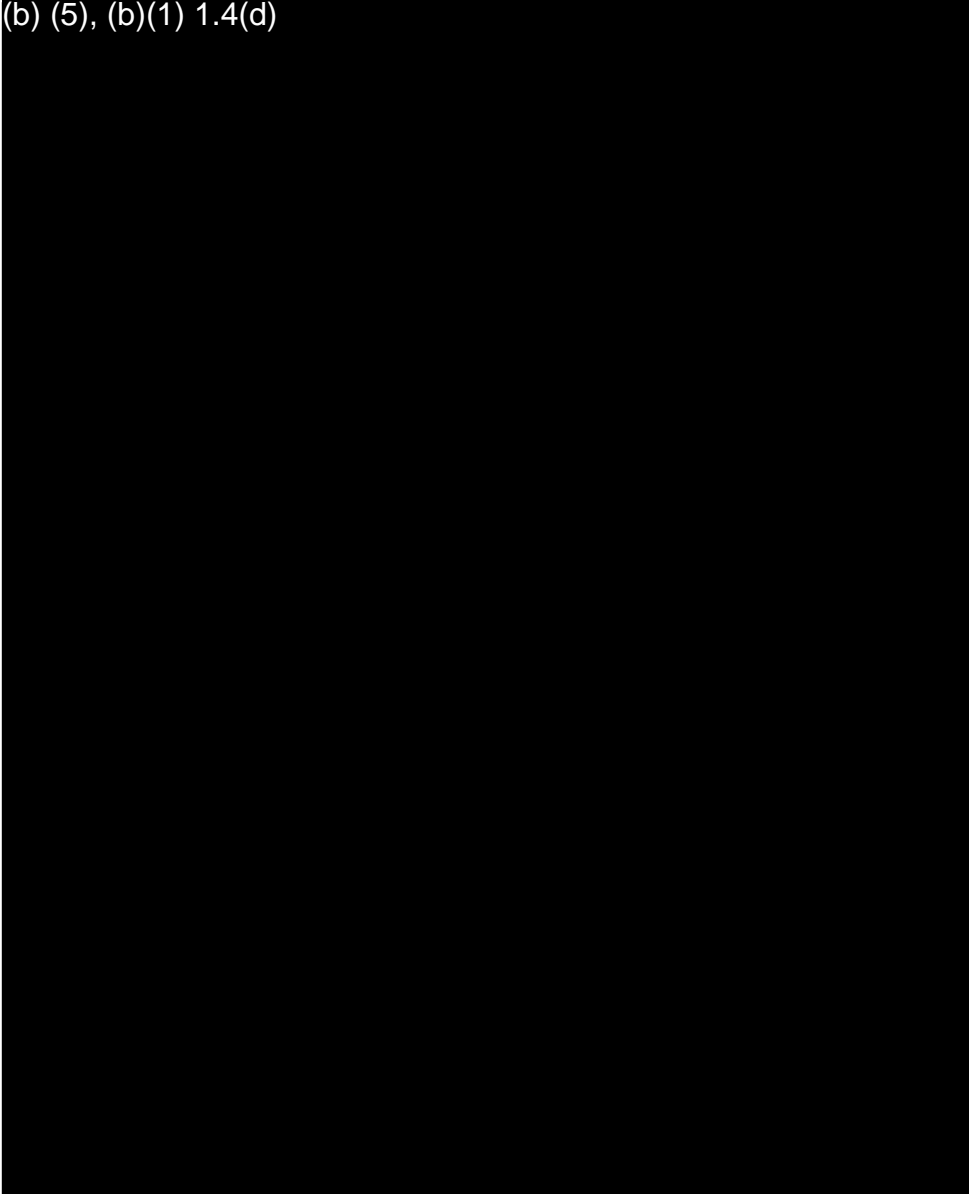
~~SECRET//NOFORN~~

~~SECRET//NOFORN~~

(b) (5) [REDACTED] Ukraine Security Assistance
19 August 2019

Discussion Items

(b) (5), (b)(1) 1.4(d)



~~SECRET//NOFORN~~

Page 1 of 2

Prepared by (b) (6)
As of 15 AUG 2019, 1200

~~SECRET//NOFORN~~

~~SECRET//NOFORN~~

Page 2 of 2

From: (b) (6) COL SD
To: Rood, John C HON OSD OUSD POLICY (USA)
Cc: Chewning, Eric SES SD; Smith, George W Jr LtGen USMC OSD OSD (USA); (b) (6) CAPT SD; Wheelbarger, Kathryn L SES OSD OUSD POLICY (USA); Trullio, David V SES OSD OUSD POLICY (USA); Verga, Peter SES SD; OSD Pentagon OUSD Policy List USDP MAs and SAs; SD - SecDef Action Group; (b) (6) LtCol USMC MARCENT (USA); Cooper, Laura K SES OSD OUSD POLICY (US); McCusker, Elaine A HON OSD OUSD C (US)
Subject: RE: (S//NF) Ukraine Deputies Small Group Readout, July 26, 2019
Date: Monday, July 29, 2019 6:32:07 PM

Sir,

Secretary Esper reviewed the Ukraine DC readout and has no further questions.

V/r

COL (b) (6)

COL (b) (6) USA

Principal Military Assistant to the Secretary of Defense

(b) (6)

COMM: (b) (6)

CMS: (b) (6)

Red Switch: (b) (6)

From: Rood, John C HON OSD OUSD POLICY (USA) (b) (6)
Sent: Friday, July 26, 2019 7:47 PM
To: mark.espe (b) (6)
Cc: Chewning, Eric SES SD <(b) (6)>; Smith, George W Jr LtGen SD <(b) (6)>; (b) (6) CAPT SD <(b) (6)>; Wheelbarger, Kathryn L SES OSD OUSD POLICY (USA) (b) (6)>; Trullio, David V SES OSD OUSD POLICY (USA) (b) (6)>; Verga, Peter SES SD <(b) (6)>; COL SD <(b) (6)>; OSD Pentagon OUSD Policy List USDP MAs and SAs (b) (6)>; SD - SecDef Action Group <(b) (6)>; (b) (6) LtCol SD <(b) (6)>; Cooper, Laura K SES OSD OUSD POLICY (US) (b) (6)>; COL SD <(b) (6)>; McCusker, Elaine A HON OSD OUSD C (US) <(b) (6)>
Subject: (S//NF) Ukraine Deputies Small Group Readout, July 26, 2019

CLASSIFICATION: ~~SECRET//NOFORN~~

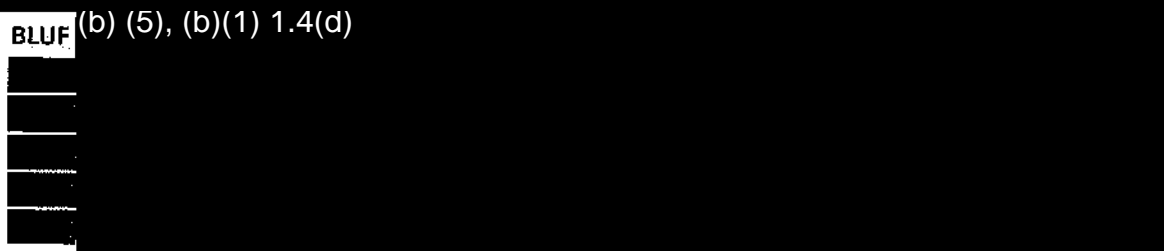
Mr. Secretary,

(b) (5)



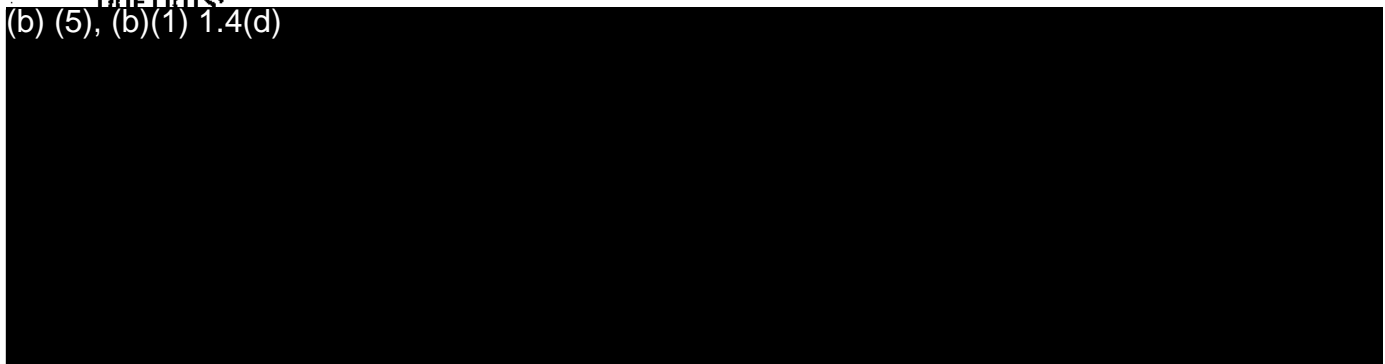
BLUF

(b) (5), (b)(1) 1.4(d)



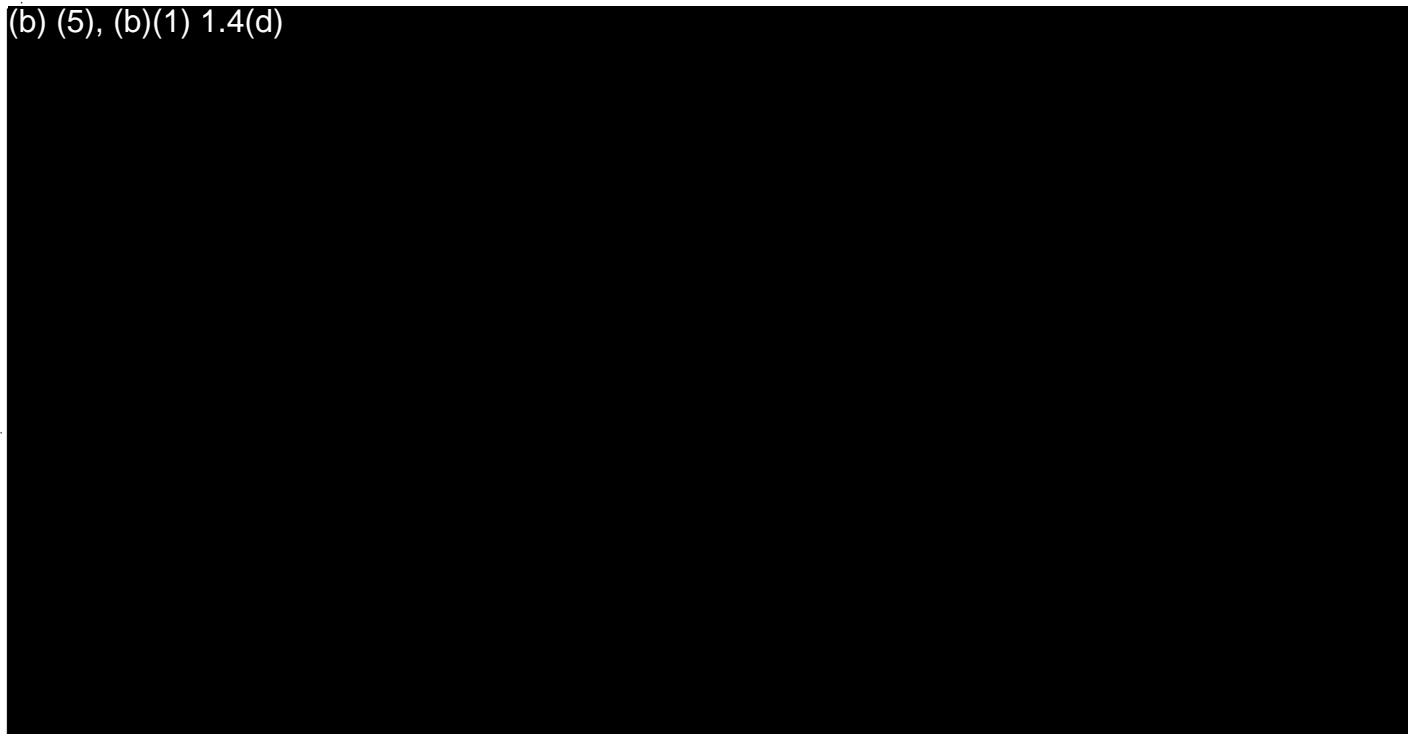
DUE OUTS:

(b) (5), (b)(1) 1.4(d)

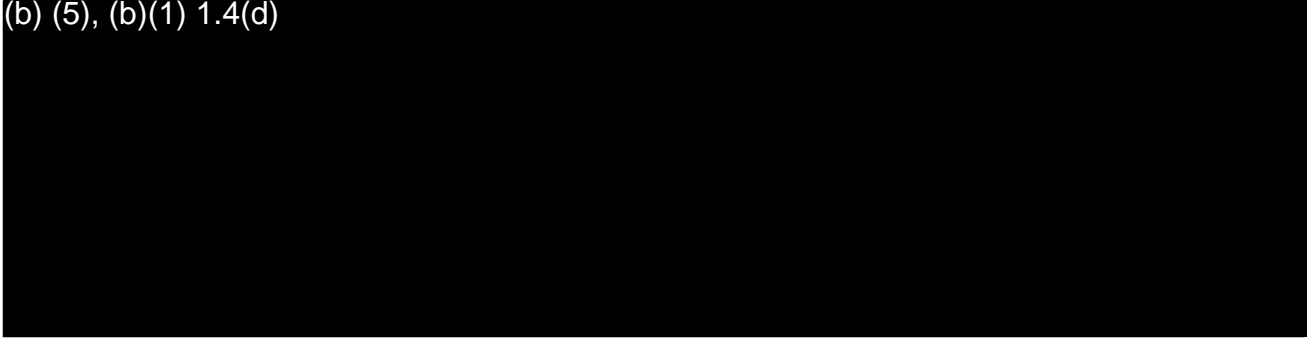


Key points:

(b) (5), (b)(1) 1.4(d)



(b) (5), (b)(1) 1.4(d)



--John--

CLASSIFICATION: ~~SECRET//NOFORN~~

From: [Esper, Mark HON SD](#)
To: [Rood, John C HON OSD OUSD POLICY \(USA\)](#); [Chewning, Eric D SES OSD OUSD ATL \(USA\)](#); [Norquist, David HON SD](#); [Johnson, Justin SES SD](#)
Cc: [McCusker, Elaine A HON OSD OUSD C \(USA\)](#); [OSD Pentagon OUSD Policy List USDP MAs and SAs](#)
Subject: Re: Ukraine Assistance Funding
Date: Friday, August 16, 2019 2:24:25 PM

Got it. Thanks

MTE

Sent from Mobile Device

From: "Rood, John C HON OSD OUSD POLICY (USA)" (b) (6) >
Date: Friday, August 16, 2019 at 1:09:32 PM
To: "Esper, Mark HON SD" <(b) (6)>, "Chewning, Eric D SES OSD OUSD ATL (USA)" <(b) (6)>, "Norquist, David HON SD" (b) (6) >, "Johnson, Justin SES SD" (b) (6) >
Cc: "McCusker, Elaine A HON OSD OUSD C (USA)" (b) (6) >, "OSD Pentagon OUSD Policy List USDP MAs and SAs" (b) (6) >
Subject: RE: Ukraine Assistance Funding

Sec. Esper:

Below is a response from Elaine McCusker in the Comptroller's office to your question about apportionment. The email below has the key info. Please let me know if you have any other questions.--John--

From: McCusker, Elaine A HON OSD OUSD C (USA) (b) (6)
Sent: Friday, August 16, 2019 12:48 PM
To: Rood, John C HON OSD OUSD POLICY (USA) (b) (6)
Subject: RE: Ukraine Assistance Funding

John

We are not currently under apportionment restriction, but (b) (5) _____, which we did last night. Info attached (power point doc) was also provided to OMB specific to the requirement below.

Attached is the previous apportionment direction we had from OMB, which is how they control funds release to departments and agencies. (An apportionment is an “OMB-approved plan to use budgetary resources - 31 U.S.C. 1513(b); Executive Order 11541.”)

We received two consecutive obligation “pauses” like the one attached. (b) (5)

[REDACTED]

Wr,

EM

From: Duffey, Michael P. EOP/OMB <(b) (6)>
Sent: Monday, August 12, 2019 6:54 PM
To: McCusker, Elaine A HON OSD OUSD C (USA) (b) (6)
Cc: Ney, Paul C Jr HON OSD OGC (USA) (b) (6); Paoletta, Mark R. EOP/OMB (b) (6)
Subject: USAI Funds

Elaine – per our conversation this evening, DoD is authorized to proceed with all processes necessary to obligate the previously discussed \$61 million of funds in connection with the Ukraine Security Assistance Initiative. (b) (5)

[REDACTED] Please provide us by Thursday (Aug 15th) with an estimated time frame for each contract or grant, specifically including the expected date of obligation for each of those contracts/grants. (b) (5)

Thank you.

Sincerely,

Mike

Mike Duffey

Associate Director for National Security Programs

Office of Management and Budget

The White House

(b) (6)

From: Esper, Mark HON SD (b) (6) >

Sent: Friday, August 16, 2019 11:58 AM

To: Chewning, Eric D SES OSD OUSD ATL (USA) (b) (6); Norquist, David HON SD (b) (6); Rood, John C HON OSD OUSD POLICY (USA)

(b) (6); Johnson, Justin SES SD (b) (6)

Subject: Ukraine Assistance Funding

(b) (5)

Please let me know soonest, as well as any other relevant facts.

Thanks

MTE

Mark T. Esper, Ph.D.

Secretary of Defense